



# Recognizable sets of graphs : algebraic and logical aspects

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*References:*

B.C. and J. Engelfriet : *Graph structure and monadic second-order logic*,  
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B.C. and I. Durand: *Automata for the verification of monadic second-order  
graph properties*. J. Applied Logic 10(4): 368-409 (2012)

## Summary

1. Recognizable & equational sets :  
an algebraic setting for language theory.
2. A graph algebra with infinitely many operations & clique-width.
3. Monadic second-order logic, recognizability & fixed-parameter tractable model-checking.
4. Constructing automata.

## Two ways of considering graphs

A graph is a *logical structure* ;

graph properties can be expressed by logical formulas

(FO = first-order, MSO = monadic second-order)

A graph is an *algebraic object*,

an element of an algebra of graphs

(similar to words as elements of monoids)

Graph algebras (finite graphs, up to isomorphism, as algebraic objects):

**Equational sets** → context-free sets of graphs, without needing to define graph rewritings

**Recognizable sets** → defined without automata  
(there is no “good” notion of graph automaton)

**Algebraic understanding** of combinatorial notions:  
tree-width, path-width, rank-width.

**Clique-width:** a width measure defined algebraically.

The two graph algebras that fit to MSO logic yield:

**Hyperedge-replacement** *gragras*, *tree-width*, FPT MSO<sub>2</sub> model-checking.

**Vertex-replacement** *gragras*, *clique-width*, FPT MSO-model-checking.

Below: the algebra  $\mathbf{G}$  that yields *clique-width*.

The automata for model-checking MSO formulas on graphs of bounded clique-width are *easier to build* than those for graphs of bounded tree-width.

*And* : all results about model-checking of MSO<sub>2</sub> formulas (*with edge set quantifications*) for graphs of bounded *tree-width* follow from the ones for graphs of bounded clique-width : we replace a graph  $\mathbf{G}$  by its incidence graph  $\text{Inc}(\mathbf{G})$ , we use :  $\text{cwd}(\text{Inc}(\mathbf{G})) \leq \text{twd}(\mathbf{G}) + 3$  (T. Bouvier, LaBRI) and we note that MSO<sub>2</sub> over  $\mathbf{G}$  reduces to MSO over  $\text{Inc}(\mathbf{G})$ .

# 1. Recognizable & equational sets :

an algebraic setting for Language Theory.

Equational sets (generalizing context-free languages)

Equation systems = Context-Free (Graph) Grammars

For words, the set of context-free rules

$$X \rightarrow a X Y ; \quad X \rightarrow b ; \quad Y \rightarrow c Y Y X ; \quad Y \rightarrow a$$

is equivalent to the system of two equations:

$$X = a X Y \cup \{ b \}$$

$$Y = c Y Y X \cup \{ a \}$$

where  $X$  is the language generated by  $X$  (idem for  $Y$  and  $Y$ ).

In arbitrary algebras we consider equation systems like:

$$X = f( k( X ), Y ) \quad \cup \{ b \}$$

$$Y = f( Y, f( g( Y ), m( X ))) \quad \cup \{ a \}$$

where :

f is a binary operation,

g, k, m are unary operations,

a, b denote basic objects.

An *equational set* is a component of the least solution of such an equation system. This notion is *well-defined in any algebra*.

## The general algebraic setting

$F$  : a finite or *countably infinite* set of operation symbols with fixed arities, called *a signature*.

$\mathbf{M} = \langle M, (f_{\mathbf{M}})_{f \in F} \rangle$  : an  $F$ -algebra.

All signatures and algebras are *finite or countable* and *effectively given* (that is : *encoded by finite words in a computable way*).

*Examples* : (*words, trees and graphs will be finite*).

Free monoid of words,

free algebra of terms,

algebra of terms with associative and/or commutative operations,

monoid of traces (words with *partial commutations*).

## Recognizable sets : finite signatures

$\mathbf{M} = \langle M, (f_{\mathbf{M}})_{f \in F} \rangle$  : an F-algebra where F is *finite* .

*Definition* :  $L \subseteq M$  is *(M-)recognizable* if it is a union of equivalence classes of a finite congruence  $\approx$  on  $\mathbf{M}$ .

*Congruence* = equivalence relation such that :

$$m \approx m' \quad \text{and} \quad p \approx p' \quad \Rightarrow \quad f_{\mathbf{M}}(m,p) \approx f_{\mathbf{M}}(m',p').$$

*Finite* means that  $\approx$  has finitely many classes ( $M / \approx$  is finite ).

Equivalently,  $L = h^{-1}(D)$  for a homomorphism  $h : \mathbf{M} \rightarrow \mathbf{A}$ , where

$\mathbf{A}$  is a *finite* F-algebra and  $D \subseteq A$ .

$\text{REC}(\mathbf{M})$  = the recognizable subsets of  $\mathbf{M}$ . This notion is relative to  $\mathbf{M}$  (not only to the underlying set M). Well-defined in every algebra, *without any automaton*.

<i>Property</i>	EQ ( <b>finite</b> sig.)	REC ( <b>finite</b> sig.)
closure for $\cup$	yes	yes
closure for $\cap, -$	<b>no</b>	yes
$L_{\text{eq}} \cap K_{\text{rec}} \in \text{EQ}$		yes
closure for hom, operations of F	yes	<b>no</b>
closure for $\text{hom}^{-1}$	<b>no</b>	yes
comparison		$\subseteq \text{EQ}$ (algebra generated by F)
membership (input given by a term)	<b>undecidable</b>	decidable
emptiness	decidable	decidable

For graphs : The **two robust** (in a precise logical and algebraic sense) graph algebras have ***countably infinite*** signatures.

No problem for **equational sets** because each equation system uses a finite signature, hence they are equational in a **finitely generated subalgebra**.

**Recognizability requires an adapted definition.** Below in abstract form; the application to graphs will come soon.

## Recognizable sets : infinite signatures

$\mathbf{M} = \langle M, (f_{\mathbf{M}})_{f \in F} \rangle$  : an F-algebra where F is *countably infinite*.

F, M, the functions  $f_{\mathbf{M}}$  are *effectively given* (encoded by integers in a computable way).

Each  $m \in M$  has a *type*  $\pi(m)$  in a countable, effectively given set.

$\pi$  is a homomorphism :

$$\pi(f_{\mathbf{M}}(m, m')) = \pi_f(\pi(m), \pi(m')) \text{ for some function } \pi_f \text{ on types.}$$

For defining the recognizability of a set L, we require that  $\approx$  is

*type preserving* :  $m \approx m' \Rightarrow \pi(m) = \pi(m')$ ,

*locally finite* : it has finitely many classes of each type,

and L is a union of classes (possibly of different types).

<i>Property</i>	EQ	REC <b>finite</b> sign.	REC <b>infinite</b> sign.
closure $\cup$	yes	yes	yes
closure $\cap, -$	<b>no</b>	yes	yes
$L_{eq} \cap K_{rec} \in EQ$		yes	yes
hom, ops of F	yes	<b>no</b>	<b>no</b>
hom <sup>-1</sup>	<b>no</b>	yes	yes
comparison		$\subseteq EQ$	<b>incomparable</b> <b>with EQ</b>
membership (given by a term)	<b>undecidable</b>	decidable	decidable
emptiness	decidable	decidable	<b>undecidable</b>

## 2. A graph algebra & clique-width.

**Cographs** : Undirected graphs generated by:

$\oplus$  (*disjoint union*) and  $\otimes$  (*complete join*) from

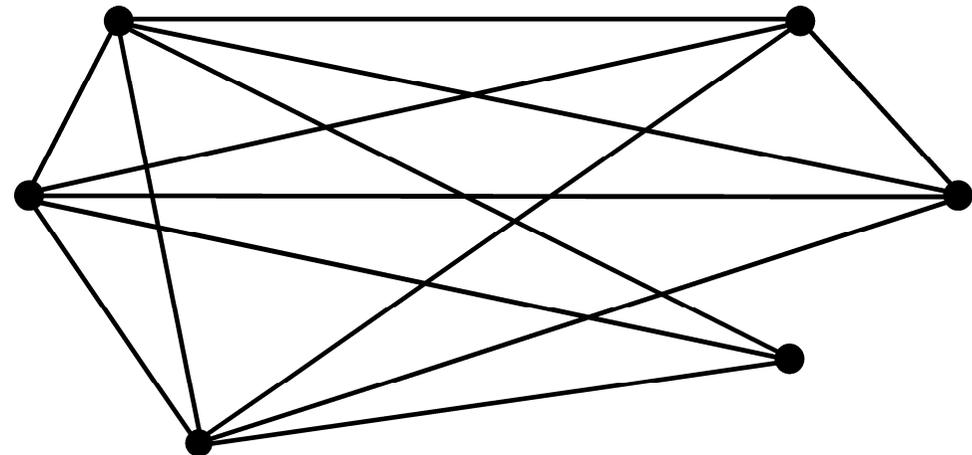
**a** : vertex without edges (*up to isomorphism*);

$\otimes$  is defined by :  $G \otimes H = G \oplus H$  plus all undirected edges between G and H,

Cographs are defined by the equation :  $C = C \oplus C \cup C \otimes C \cup \{a\}$

*Example :*

$(a \otimes a \otimes a) \otimes ((a \otimes a) \oplus a)$



The graph algebra  $\mathbf{G}$  (that yields *clique-width* )

Graphs are *simple*, directed or not.

We use labels: *a, b, c, ..., d*.

Each vertex has one label ; the labelling defines a partition of the vertex set.

A vertex labelled by *a* is an *a-port*.

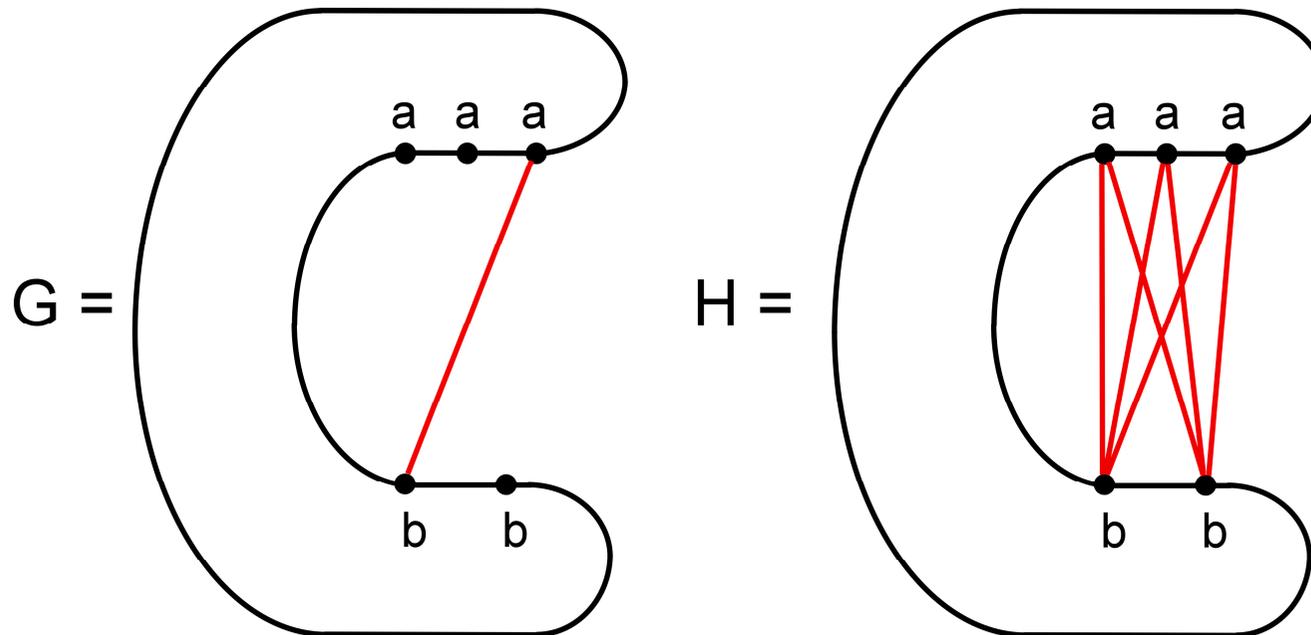
One binary operation : *disjoint union* :  $\oplus$

If  $G$  and  $H$  are not disjoint, we replace  $H$  by an isomorphic disjoint copy to define  $G \oplus H$ . Hence  $G \oplus H$  is well-defined *up to isomorphism*.

No such problem in a “decomposition approach”.

## Unary operations: Edge-addition

*Addition of undirected edges:*  $Add_{a,b}(G)$  is  $G$  augmented with edges *between* every  $a$ -port and every  $b$ -port.



$H = Add_{a,b}(G)$  ; only 5 edges added

The number of added edges depends on the argument graph.

*Addition of directed edges:*  $\overrightarrow{Add}_{a,b}(G)$  is  $G$  augmented with edges from every  $a$ -port to every  $b$ -port.

*Vertex relabellings :*

$Relab_{a \rightarrow b}(G) := G$  where each  $a$ -port is made into a  $b$ -port.

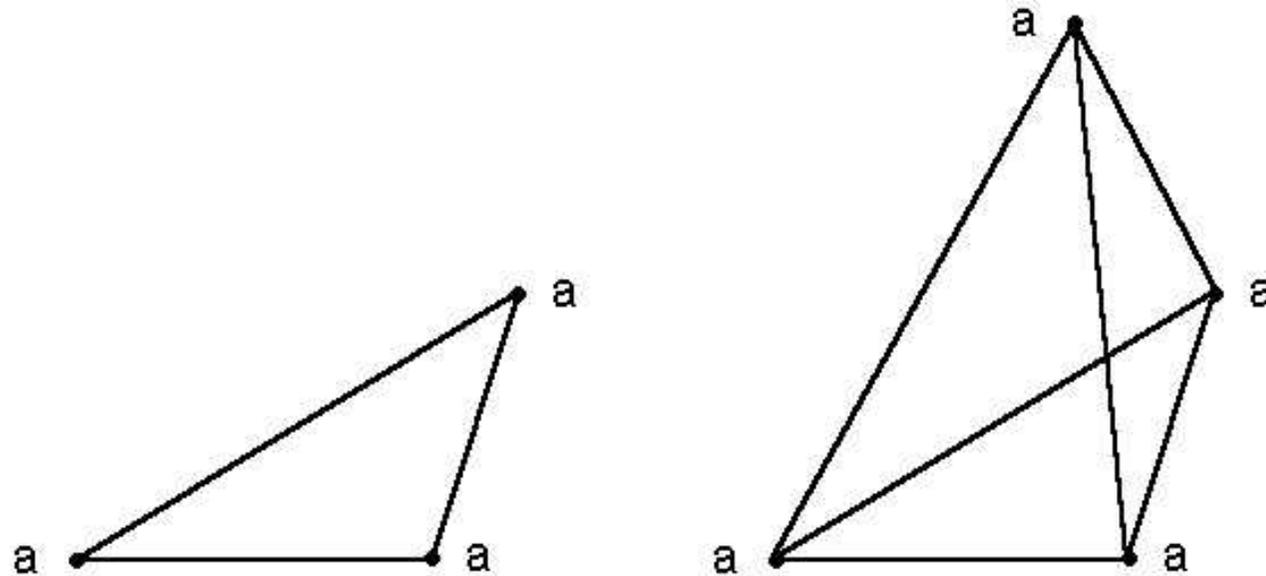
*Nullary operations:* **basic graphs** are those with a single vertex.

Every graph with  $n$  vertices is the value of a term using  $\leq n$  labels.

A graph  $G$  has **clique-width**  $\leq k \Leftrightarrow$  it can be constructed from basic graphs with the operations  $\oplus$ ,  $Add_{a,b}$  (or  $\overrightarrow{Add}_{a,b}$ ) and  $Relab_{a \rightarrow b}$  by using  $\leq k$  labels.

Its clique-width  $cwd(G)$  is the smallest such  $k$ .

*Example* : Cliques have clique-width 2 (and unbounded tree-width)



$K_n$  is defined by  $t_n$  where  $t_{n+1} = \text{Relabb} \rightarrow a(\text{Adda,b}(t_n \oplus b))$

Cliques are defined by the equation :

$$K = \text{Relabb} \rightarrow a(\text{Adda,b}(K \oplus b)) \cup a$$

*Examples of graphs of bounded clique-width:*

An undirected graph is a cograph  $\Leftrightarrow$  it has clique-width at most 2.

*Distance hereditary graphs* have clique-width at most 3.

*Cactuses* (or *cactii*?) : biconnected components are cycles.

*Examples of graphs of unbounded clique-width:*

Planar graphs (even of degree 3),

Interval graphs.

*Fact*: Unlike tree-width, clique-width is sensible to edge directions :

Cliques have clique-width 2 but *tournaments* have *unbounded clique-width*.

### 3. Monadic second-order (MSO) logic & recognizability.

Graphs as *logical structures* :

$$G = ( V_G , \text{edg}_G(\dots) )$$

$\text{edg}_G(u,v) \iff$  there is an edge  $u \rightarrow v$  (or  $u - v$ ).

parallel edges are not distinguished.

Monadic second-order logic = First-order logic extended with (quantified) *set variables* denoting *sets of vertices*.

“A set of edges” is (here) a binary relation over  $V_G$ .

Typical MSO properties : k-colorability, transitive closure, properties of paths, connectivity, planarity

*Examples* :  $G = (V_G, \text{edg}_G(\cdot, \cdot))$ , undirected

Syntax is clear ; shorthands are used (example  $X \cap Y = \emptyset$ ).

(1)  $G$  is 3-colorable : **NP-complete** property.

$$\begin{aligned} \exists X, Y ( X \cap Y = \emptyset \wedge \\ \forall u, v \{ \text{edg}(u, v) \Rightarrow \\ [ (u \in X \Rightarrow v \notin X) \wedge (u \in Y \Rightarrow v \notin Y) \wedge \\ (u \notin X \cup Y \Rightarrow v \in X \cup Y) ] \\ \} ) \end{aligned}$$

(2)  $G$  is **not** connected :

$$\exists Z ( \exists x \in Z \wedge \exists y \notin Z \wedge ( \forall u, v ( u \in Z \wedge \text{edg}(u, v) \Rightarrow v \in Z ) ) )$$

(3) *Transitive and reflexive closure* : **TC**(R ; x, y) :

$$\forall X \{ \text{"X is R-closed"} \wedge x \in X \Rightarrow y \in X \}$$

where "X is R-closed" is defined by :

$$\forall u,v (u \in X \wedge R(u,v) \Rightarrow v \in X)$$

The relation R can be defined by a formula. Here :

$$\forall x,y (x \in Y \wedge y \in Y \Rightarrow \mathbf{TC}(\text{"u \in Y \wedge v \in Y \wedge \text{edg}(u,v)"} ; x, y)$$

Y is free in R. This formula expresses that  $G[Y]$  is connected.

(4) *Minors* : G contains a fixed graph H as a minor with  $V_H = \{1, \dots, p\}$  :

there exist disjoint sets of vertices  $X_1, \dots, X_p$  in G

such that each  $G[X_i]$  is connected and,

whenever  $i - j$  in H, there is an edge between  $X_i$  and  $X_j$ .

(5) *Planarity* is expressible : no minor  $K_5$  or  $K_{3,3}$  (Kuratowski - Wagner).

(6) *Has a cycle* (for a graph without loops) :

$\exists x,y,z [\text{edg}(x,y) \wedge \text{edg}(y,z) \wedge \text{“there is a path from } x \text{ to } z \text{ avoiding } y\text{”}]$

(7) *Is a tree* : connected without cycles.

Non-expressible properties

- G is isomorphic to  $K_{p,p}$  for some p : checking equal cardinality of two sets “needs” quantification over binary relations in order to find a **bijection**.
- G has a **nontrivial automorphism**, or has all vertices of **same degree**.
- **Hamiltonicity** needs edge-set quantifications.

**Remark** : Adding an *equicardinality* set predicate would **spoil everything**.

Two problems for a class  $C$  of finite graphs and a logic

*Decidability* : does a given sentence hold in **some** (or **all**) graphs of  $C$  ?

*Model-checking* is decidable : what is its **time** or **space** complexity ?

Language,	class	<i>Decidability</i>	<i>Model-checking</i>
FO,	all graphs	<b>undecidable</b>	polynomial time
MSO, clique-width $\leq k$		decidable	cubic time
MSO, unbounded cwd.		<b>undecidable</b>	Conjecture : <b>not FPT (*)</b>

(\*) A related fact is proved by S. Kreutzer (LICS 2010) for unbounded tree-width and MSO formulas with edge quantifications. (The exact statement is very technical.)

## Fixed-Parameter Tractability (FPT) for model-checking

An algorithm is *FPT* if it takes time  $f(k) \cdot n^c$  for some fixed function  $f$  and constant  $c$ . The size of the input is  $n$ . The value  $k$  is a *parameter* of the input :

*degree, diameter, tree-width, clique-width*, etc.

The “hard part” of the time complexity depends on some function  $f$  (arbitrary in the definition; in practice, it must be “limited”; the algorithm is then usable for small values of  $k$ ).

*Example* : 3-colorability is NP-complete, even for planar graphs of degree  $\leq 4$  (Dailey, 1980). *Degree* is *not* a good parameter, but *tree-width* and *clique-width* are.

## MSO logic & recognizability : main results

For a sentence  $\varphi$ ,  $\text{Mod}(\varphi)$  is the set of finite models of  $\varphi$ .

1. **Recognizability theorem** : For every MSO sentence  $\varphi$ ,  $\text{Mod}(\varphi)$  is recognizable in the graph algebra  $\mathbf{G}$ . The *type*  $\pi(\mathbf{G})$  of  $\mathbf{G}$  is the set of its vertex labels.

2. **Weak recognizability theorem** : For every MSO sentence  $\varphi$ , for every  $k$ ,  $\text{Mod}(\varphi) \cap \text{CWD}(\leq k)$  is recognizable in  $\mathbf{G}[F_k]$ , the subalgebra of  $\mathbf{G}$  generated by the finite signature  $F_k$  of operations using labels  $1, \dots, k$ .

3. The recognizability theorem is *not a consequence* of the weak one. (The set  $K$  of *kytes*, the  $n \times n$  grids with a “tail” of length  $n$ , is not recognizable but  $K \cap \text{CWD}(\leq k)$  is finite for each  $k$ , hence, recognizable).

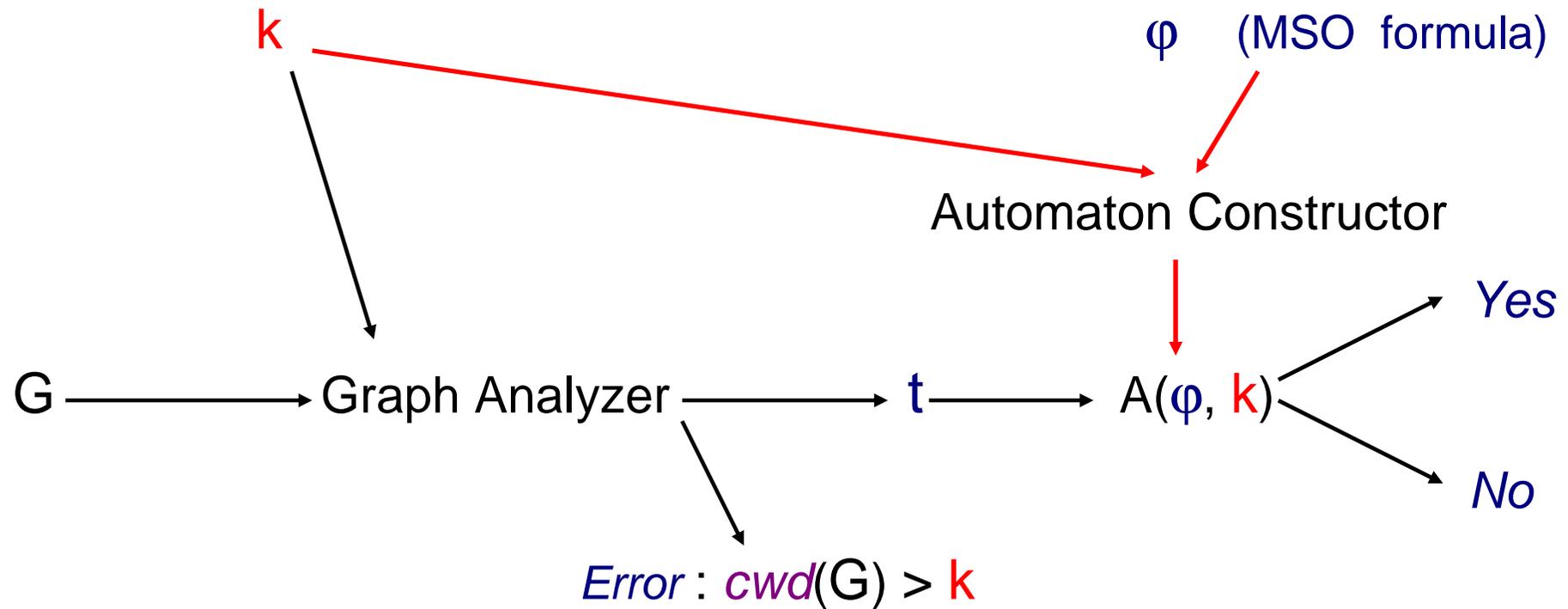
4. **Every** set of square grids is recognizable. As there are **uncountably** many such sets, there is **no characterization** of recognizable sets of graphs by logical formulas or automata.

5. For algorithmic purposes, the weak theorem is enough. Its “Büchi-style” proof is given below, in terms of finite, **implementable** automata.

## 6. Proofs of the full theorem:

- either a “Feferman-Vaught-Shelah-style” proof **manipulating** “theories” (the set of formulas of quantifier-height  $\leq k$  valid in a graph) from which a **congruence** is defined (two graphs have same theory).
- either a “Büchi-style” proof constructing infinite, “fly-automata” (“automates programmés”) that are still **implementable**.

## 4. Construction and use of automata



Steps  $\longrightarrow$  are done “once for all”, independently of  $G$

$A(\varphi, k)$ : finite automaton on terms  $t$ .

## Construction of $A(\varphi, k)$ (“Büchi-style” proof).

$k$  = the number of vertex labels = the bound on clique-width

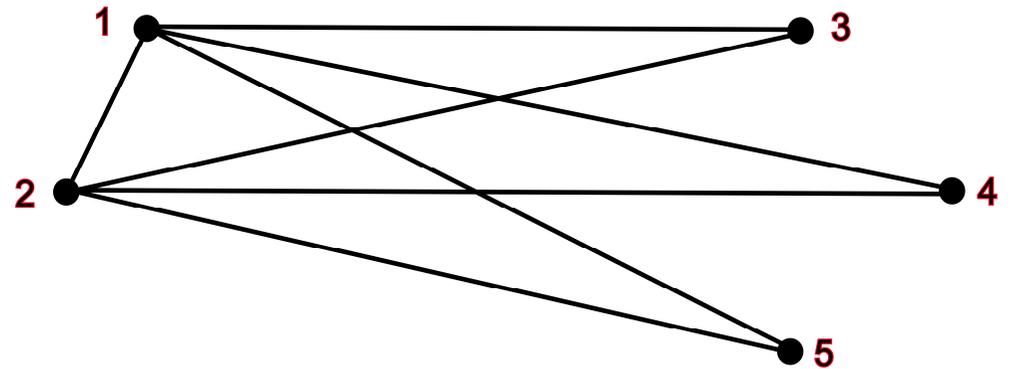
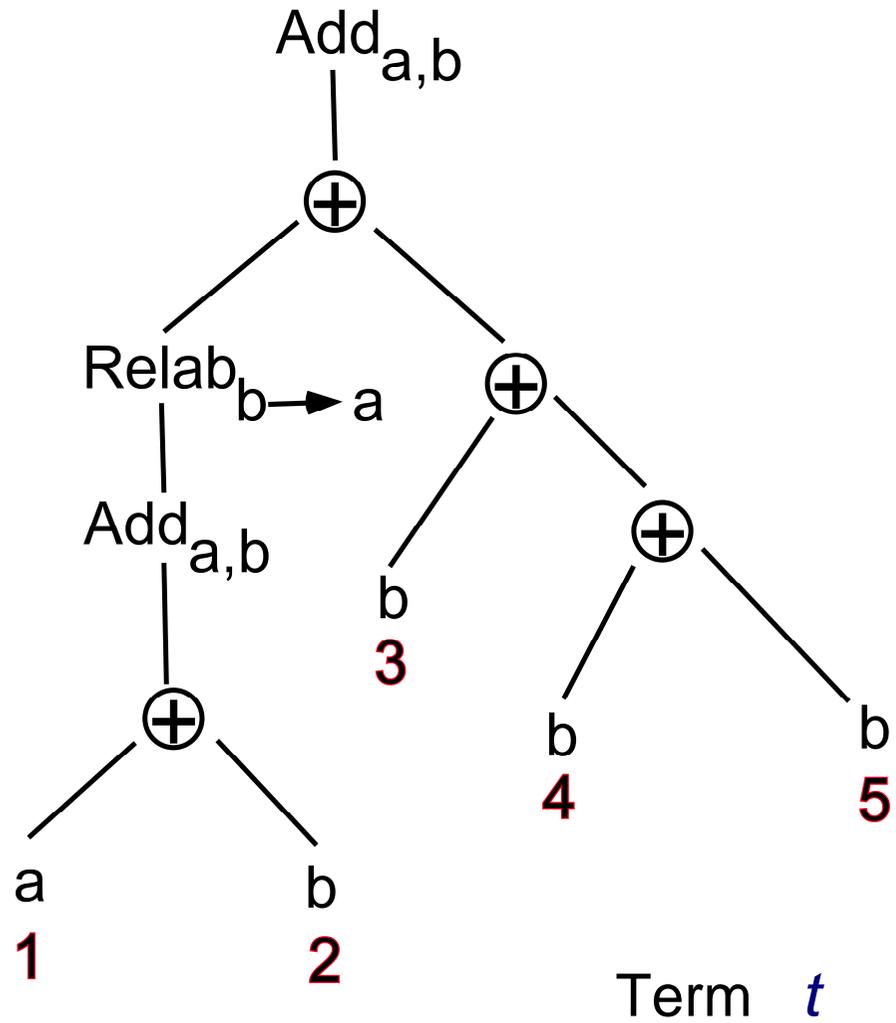
$F$  ( $= F_k$ ) = the corresponding set of operations and constants :

$a$  ,  $\emptyset$  ,  $\oplus$  ,  $Add_{a,b}$  ,  $\overrightarrow{Add}_{a,b}$  ,  $Relab\ a \longrightarrow b$

$G(t)$  = the graph defined by a term  $t$  in  $\mathbf{T}(F)$ .

Its vertices are (in bijection with) the occurrences of the nullary symbols in  $t$  that are not  $\emptyset$ .

# Example



Graph  $G(t)$

Terms are equipped with **Booleans** that encode assignments of vertex sets  $V_1, \dots, V_n$  to the free set variables  $X_1, \dots, X_n$  of MSO formulas (*formulas are written without first-order variables*):

1) we replace in  $F$  each **a** by the nullary symbol

**(a, (w<sub>1</sub>, ..., w<sub>n</sub>))** where  $w_i \in \{0, 1\}$  : we get  $F^{(n)}$

(only nullary symbols are modified);

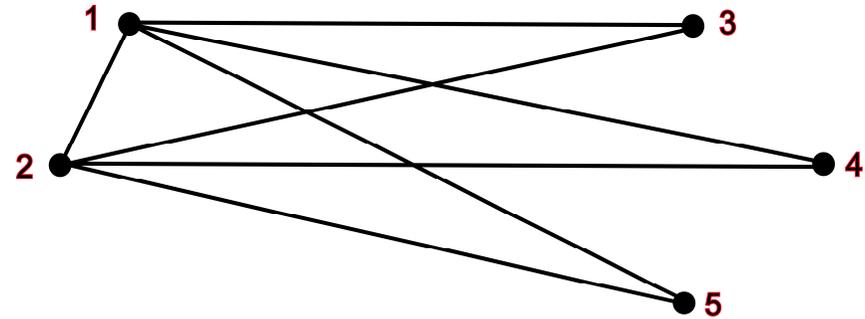
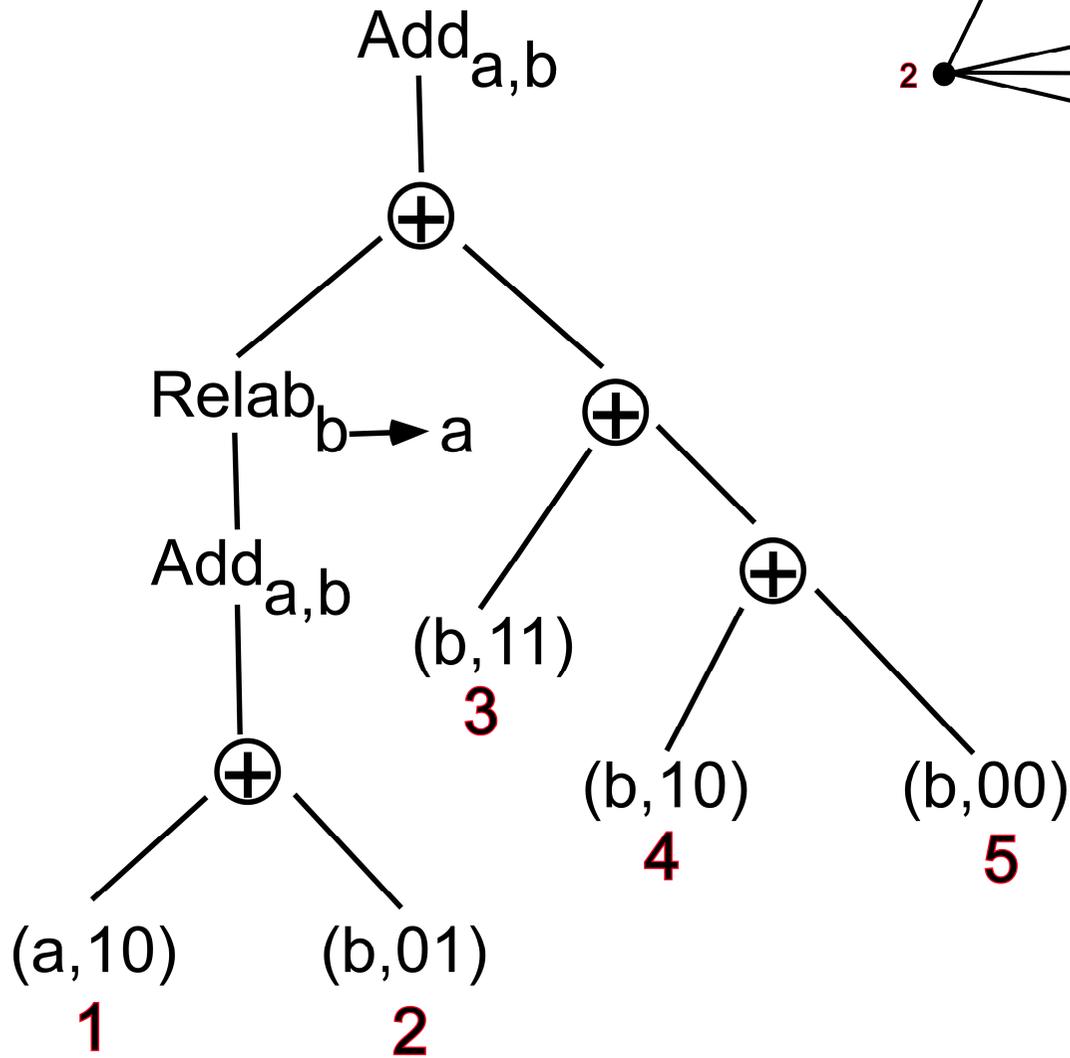
2) a term **s** in  $\mathbf{T}(F^{(n)})$  encodes a term **t** in  $\mathbf{T}(F)$  and an assignment of sets  $V_1, \dots, V_n$  to the set variables  $X_1, \dots, X_n$ :

if **u** is an occurrence of **(a, (w<sub>1</sub>, ..., w<sub>n</sub>))**, then

$w_i = 1$  if and only if **u**  $\in V_i$ .

3) **s** is denoted by **t**\* ( $V_1, \dots, V_n$ )

Example (continued)



$$V_1 = \{1,3,4\}, \quad V_2 = \{2,3\}$$

Term  $t^*(V_1, V_2)$

By an induction on  $\varphi$ , we construct for each  $\varphi(X_1, \dots, X_n)$  a finite (bottom-up) deterministic automaton  $A(\varphi(X_1, \dots, X_n), \mathbf{k})$  that recognizes:

$$L(\varphi(X_1, \dots, X_n)) := \{ t * (V_1, \dots, V_n) \in \mathbf{T}(F^{(n)}) \mid (G(t), V_1, \dots, V_n) \models \varphi \}$$

*Theorem*: For each sentence  $\varphi$ , the automaton  $A(\varphi, \mathbf{k})$  accepts in time  $f(\varphi, \mathbf{k}) \cdot |t|$  the terms  $t$  in  $\mathbf{T}(F)$  such that  $G(t) \models \varphi$

It gives a *fixed-parameter linear* model-checking algorithm for input  $t$ , and a *fixed-parameter cubic* one if the graph has to be *parsed*.

## The inductive construction of $A(\varphi, k)$

Atomic formulas : discussed below.

For  $\wedge$  : product of two automata (deterministic **or not**)

For  $\vee$  : union of two automata (or product of two **complete** automata; product preserves determinism)

For **negation** : exchange accepting / non-accepting states  
for a complete **deterministic** automaton

*Quantifications:* Formulas are written without  $\forall$

$$L(\exists X_{n+1} \cdot \varphi(X_1, \dots, X_{n+1})) = \text{pr}(L(\varphi(X_1, \dots, X_{n+1})))$$

$$A(\exists X_{n+1} \cdot \varphi(X_1, \dots, X_{n+1}), \mathbf{k}) = \text{pr}(A(\varphi(X_1, \dots, X_{n+1}), \mathbf{k}))$$

where *pr* is the *projection* that eliminates the last Boolean;  
 $\rightarrow$  a *non-deterministic* automaton.

Tools using *inverse homomorphisms* of automata:

from  $A(\varphi(X_1, X_2), \mathbf{k})$ , we get  $A(\varphi(X_4, X_3), \mathbf{k})$ ,

from  $A(\varphi(X_1, X_2), \mathbf{k})$ , we get  $A(\varphi(X_3, X_1 \cup (X_2 \setminus X_4)), \mathbf{k})$ ,

from  $A(\varphi, \mathbf{k})$ , we get  $A(\varphi[X_1], \mathbf{k})$ .

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## Some tools for constructing automata

Substitutions and inverse images (*cylindrifications*).

1) If we know  $A(\varphi(X_1, X_2), \mathbf{k})$ , we get  $A(\varphi(X_4, X_3), \mathbf{k})$  because :

$$L(\varphi(X_4, X_3)) = h^{-1}(L(\varphi(X_1, X_2))) \quad \text{where}$$

$h$  maps  $(\mathbf{a}, (w_1, w_2, w_3, w_4))$  to  $(\mathbf{a}, (w_4, w_3))$ . We take

$$A(\varphi(X_4, X_3), \mathbf{k}) = h^{-1}(A(\varphi(X_1, X_2)), \mathbf{k})$$

This construction preserves determinism and the number of states.

2) From  $A(\varphi(X_1, X_2), \mathbf{k})$ , we get  $A(\varphi(X_3, \overbrace{X_1 \cup (X_2 \setminus X_4)}^{\text{Set term}}), \mathbf{k})$  by  $h^{-1}$   
with  $h$  mapping  $(\mathbf{a}, (w_1, w_2, w_3, w_4))$  to  $(\mathbf{a}, (w_3, w_1 \vee (w_2 \wedge \neg w_4)))$

## Relativization to subsets by inverse images.

If  $\varphi$  is a closed formula expressing a graph property  $P$ , its relativization  $\varphi[X_1]$  to  $X_1$  expresses that the subgraph induced on  $X_1$  satisfies  $P$ . To construct it, we replace recursively

$$\exists y. \theta \quad \text{by} \quad \exists y. y \in X_1 \wedge \theta, \text{ etc...}$$

However, there is an easy transformation of automata :

Let  $h$  map  $(\mathbf{a}, 0)$  to  $\emptyset$  and  $(\mathbf{a}, 1)$  to  $\mathbf{a}$ .

$$L(\varphi[X_1]) = h^{-1}(L(\varphi))$$

Hence:

$$A(\varphi[X_1], \mathbf{k}) := h^{-1}(A(\varphi, \mathbf{k}))$$

## The inductive construction (continued) :

For atomic formulas and basic graph properties  $\varphi(X_1, \dots, X_n)$ , we build *complete deterministic* automata over  $F^{(n)}$  for recognizing the set of terms :  $t^* (V_1, \dots, V_n)$  in  $L(\varphi(X_1, \dots, X_n))$ .

*Intuition* : in all cases, the *state* reached at node  $u$  represents a *finite information*  $q(u)$  about the graph  $G(t/u)$  and the restriction of  $V_1, \dots, V_n$  to the vertices below  $u$  (vertices = leaves)

1) if  $u = f(v, w)$ , we want that  $q(u)$  is defined from  $q(v)$  and  $q(w)$  by a fixed function :  $\rightarrow$  the *transition function* ;

2) whether  $(G(t), V_1, \dots, V_n)$  satisfies  $\varphi(X_1, \dots, X_n)$  must be checkable from  $q(\text{root})$  :  $\rightarrow$  the *accepting states*.

*Atomic and basic formulas :*

$X_1 \subseteq X_2$ ,  $X_1 = \emptyset$ ,  $\text{Single}(X_1)$ ,

$\text{Card}_{p,q}(X_1)$  : cardinality of  $X_1$  is  $= p \pmod{q}$ ,

$\text{Card}_{< q}(X_1)$  : cardinality of  $X_1$  is  $< q$ .

→ Easy constructions of automata with few states :  
respectively 2, 2, 3,  $q$ ,  $q+1$  states.

*Example* : for  $X_1 \subseteq X_2$ , the term must have no constant (**a**, 10).

*Atomic formula* :  $\text{edg}(X_1, X_2)$  for directed edges

$\text{edg}(X_1, X_2)$  means :  $X_1 = \{x\} \wedge X_2 = \{y\} \wedge \text{edg}(x, y)$

Vertex labels belong to a set  $C$  of  $k$  labels.

$k^2+k+3$  *states* :  $0, Ok, a(1), a(2), ab, \text{Error}$ , for  $a, b \in C, a \neq b$

*Meaning of states* (at node  $u$  of  $t$ ; its subterm  $t/u$  defines  $G(t/u) \subseteq G(t)$ ).

$0$  :  $X_1 = \emptyset, X_2 = \emptyset$

$Ok$  *Accepting state* :  $X_1 = \{v\}, X_2 = \{w\}, \text{edg}(v, w)$  in  $G(t/u)$

$a(1)$  :  $X_1 = \{v\}, X_2 = \emptyset, v$  has label  $a$  in  $G(t/u)$

$a(2)$  :  $X_1 = \emptyset, X_2 = \{w\}, w$  has label  $a$  in  $G(t/u)$

$ab$  :  $X_1 = \{v\}, X_2 = \{w\}, v$  has label  $a, w$  has label  $b$  (hence  $v \neq w$ )  
and  $\neg \text{edg}(v, w)$  in  $G(t/u)$

$\text{Error}$  : all other cases

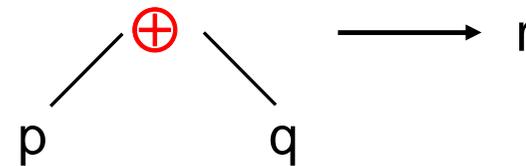
## Transition rules

For the constants based on **a** :

**(a,00)**  $\rightarrow$  0 ; **(a,10)**  $\rightarrow$  a(1) ; **(a,01)**  $\rightarrow$  a(2) ; **(a,11)**  $\rightarrow$  Error

For the binary operation  $\oplus$ :

(p,q,r are states)



If p = 0 then r := q

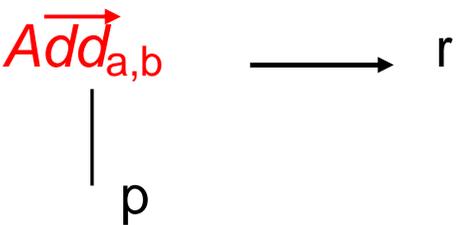
If q = 0 then r := p

If p = **a(1)**, q = **b(2)** and **a**  $\neq$  **b** then r := **ab**

If p = **b(2)**, q = **a(1)** and **a**  $\neq$  **b** then r := **ab**

Otherwise r := Error

For unary operations  $\overrightarrow{Add}_{a,b}$



If  $p = ab$  then  $r := Ok$  else  $r := p$

For unary operations  $Relab_a \rightarrow b$

If  $p = a(i)$  where  $i = 1$  or  $2$  then  $r := b(i)$

If  $p = ac$  where  $c \neq a$  and  $c \neq b$  then  $r := bc$

If  $p = ca$  where  $c \neq a$  and  $c \neq b$  then  $r := cb$

If  $p = Error$  or  $0$  or  $Ok$  or  $c(i)$  or  $cd$  or  $dc$  where  $c \neq a$   
 then  $r := p$

We get **also** the Recognizability Theorem:

$A(\varphi, k)$  is a subautomaton of  $A(\varphi, k+1)$ . The deterministic automata  $A(\varphi, k)$  can be merged into a single **infinite** deterministic automaton  $A(\varphi)$  over  $F$  (the countable signature of all graph operations).

The state  $q(t)$  reached by  $A(\varphi)$  on any  $t \in T(F)$  belongs to a finite set built from  $\pi(G(t))$ , the type of the graph  $G(t)$  defined by  $t$ .

Example of such a set :  $\{Ok, Error\} \cup P(\pi(G(t)) \times \pi(G(t)))$ .

If  $t$  and  $t'$  define isomorphic graphs, then  $q(t) = q(t')$ .

The (global) congruence proving recognizability can be taken:

$$G \approx G' \iff q(t) = q(t') \text{ where } G = G(t) \text{ and } G' = G(t')$$

## Practical difficulties and remedies.

**Parsing** : 1. Checking if a graph has clique-width  $\leq k$  is NP-complete (with  $k$  in the input ; Fellows *et al.*)

2. The *cubic approximate* parsing algorithm (by Oum *et al.*) based on *rank-width* is difficult to implement.

3. Szeider and Heule reduce to SAT the computation of clique-width and get exact values (and the corresponding terms) for graphs with at most 30 vertices and clique-width at most 12.

4. For certain classes of graphs of bounded **clique-width** defined by forbidden induced subgraphs, optimal clique-width terms can be constructed in polynomial time, by using, in many cases, *modular decomposition*.

**5. Heuristics remain to be found.**

## Sizes of automata :

1. The number of states of  $A(\varphi, k)$  is bounded by an  $h$ -iterated exponential where  $h$  is the number of quantifier alternations of  $\varphi$ .
2. There is no alternative construction giving a fixed bound on nestings of exponentiations (Meyer & Stockmeyer, Frick & Grohe).
3. The construction by induction on the structure of  $\varphi$  may need intermediate automata of huge size, even if the *unique minimal deterministic* automaton equivalent to  $A(\varphi, k)$  has a manageable number of states.

## An issue : *Fly-automata* (to be presented by Irène Durand)

States and transitions are not listed in huge tables :  
they are *specified* (in uniform ways for all  $k$ ) by “small” programs. These automata can be nondeterministic.

Example of a state for connectedness :

$$q = \{ \{a\}, \{a,b\}, \{b,c,d\}, \{b,d,f\} \},$$

a,b,c,d,f are vertex labels;  $q$  is the set of *types* of the connected components of the current graph. ( $\text{type}(H)$  = set of labels of its vertices)

Some transitions :

$$\text{Add}_{a,c} : q \longrightarrow \{ \{a,b,c,d\}, \{b,d,f\} \},$$

$$\text{Relab}_{a \rightarrow b} : q \longrightarrow \{ \{b\}, \{b,c,d\}, \{b,d,f\} \}$$

Transitions for  $\oplus$  : union of sets of types.

Using fly-automata works for formulas without quantifier alternation but that can use “new” atomic formulas for “basic” properties

*Examples : p-acyclic colorability*

$$\exists X_1, \dots, X_p \text{ (Partition}(X_1, \dots, X_p) \wedge \text{NoEdge}(X_1) \wedge \dots \wedge \text{NoEdge}(X_p) \wedge \dots \\ \dots \wedge \text{NoCycle}(X_i \cup X_j) \wedge \dots)$$

(all  $i < j$  ; set terms  $X_i \cup X_j$  avoid some quantifications).

*Minor inclusion : H* simple, loop-free.  $\text{Vertices}(H) = \{v_1, \dots, v_p\}$

$$\exists X_1, \dots, X_p \text{ (Disjoint}(X_1, \dots, X_p) \wedge \text{Conn}(X_1) \wedge \dots \wedge \text{Conn}(X_p) \wedge \dots \\ \dots \wedge \text{Link}(X_i, X_j) \wedge \dots)$$

*Existence of “holes”* : odd induced cycles (to check *perfectness* ; one checks “anti-holes” on the edge-complement of the given graph).

Skip : Only for questions.

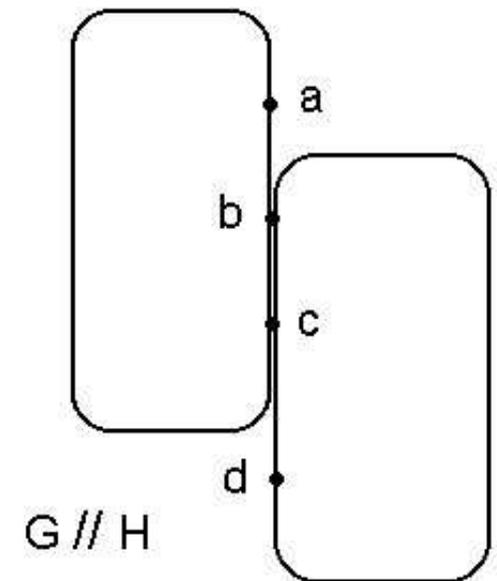
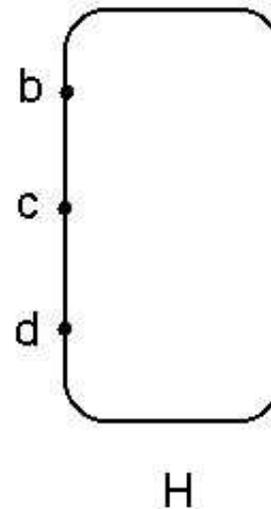
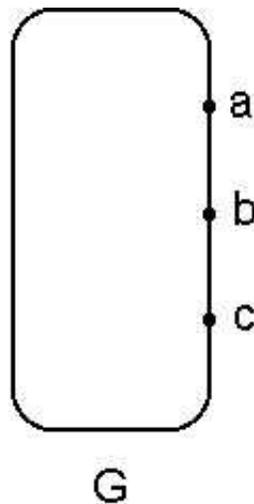
## Appendix : Graph operations that characterize **tree-width**

Graphs have distinguished vertices called *sources*, (or *terminals* or *boundary vertices*) pointed to by **source labels** from a finite set :  $\{a, b, c, \dots, d\}$ .

*Binary operation(s) : Parallel composition*

$G // H$  is the disjoint union of  $G$  and  $H$  and sources with same label are **fused**.

(If  $G$  and  $H$  are not disjoint, we use a copy of  $H$  disjoint from  $G$ ).



*Unary operations* :

*Forget a source label*

*Forget<sub>a</sub>*(G) is G without *a*-source: the source is no longer distinguished (it is made "internal").

*Source renaming* :

*Ren<sub>a ↔ b</sub>*(G) exchanges source labels *a* and *b*

(replaces *a* by *b* if *b* is not the label of any source)

*Nullary operations* denote *basic graphs* : 1-edge graphs, isolated vertices.

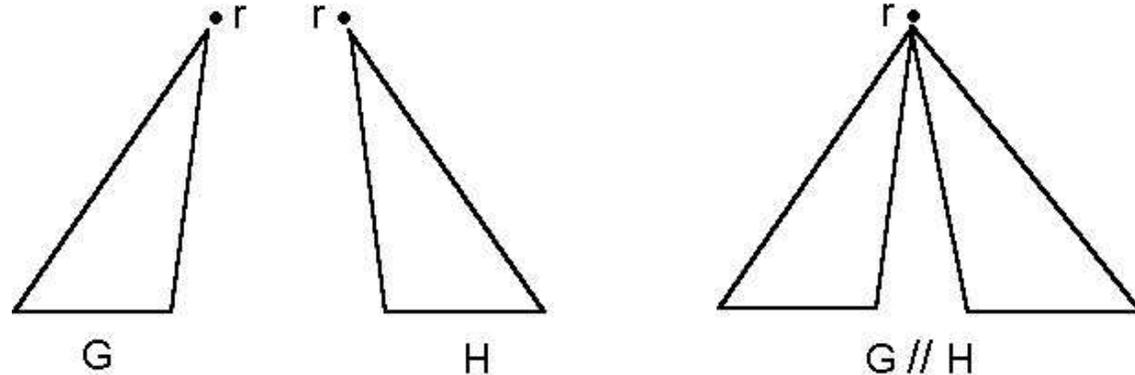
Terms over these operations *define* (or *denote*) graphs (with or without sources). They can have parallel edges.

## Example : Trees

Constructed with two source labels,  $r$  (root) and  $n$  (new root).

**Fusion** of two trees

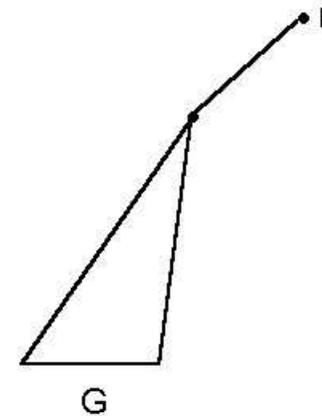
at their roots :



**Extension** of a tree by parallel composition with a new edge, forgetting the old root, making the "new root" as current root :

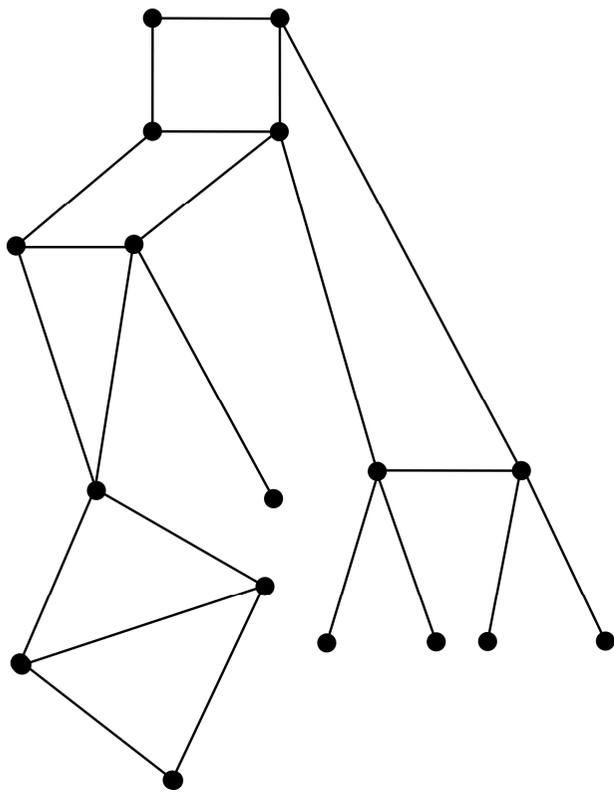
$$e = r \bullet \text{---} \bullet n$$

$$\text{Ren}_n \longleftrightarrow r (\text{Forget}_r (G // e))$$

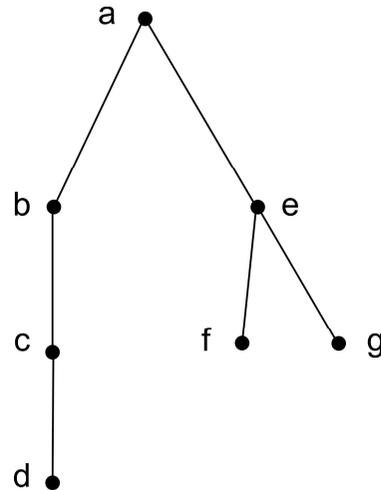


Trees are defined by :  $T = T // T \cup \text{extension}(T) \cup r$

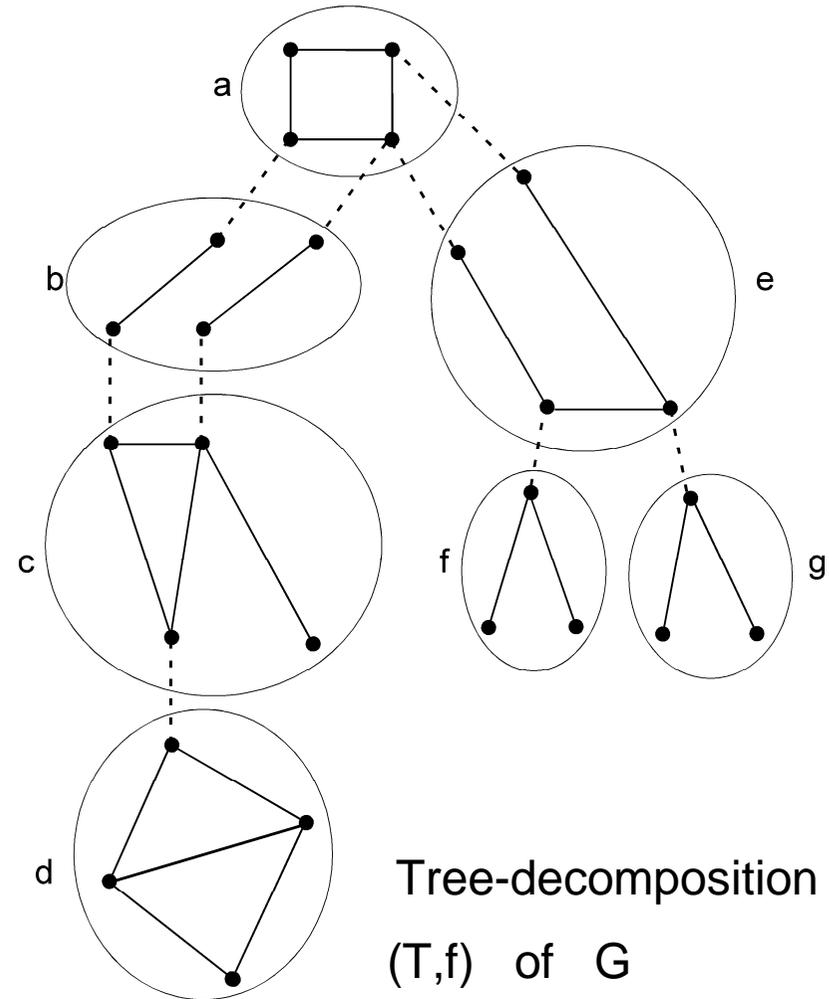
# Relation to tree-decompositions and tree-width



Graph G



Tree T



Tree-decomposition  
(T,f) of G

Dotted lines - - - link *copies* of a same vertex.

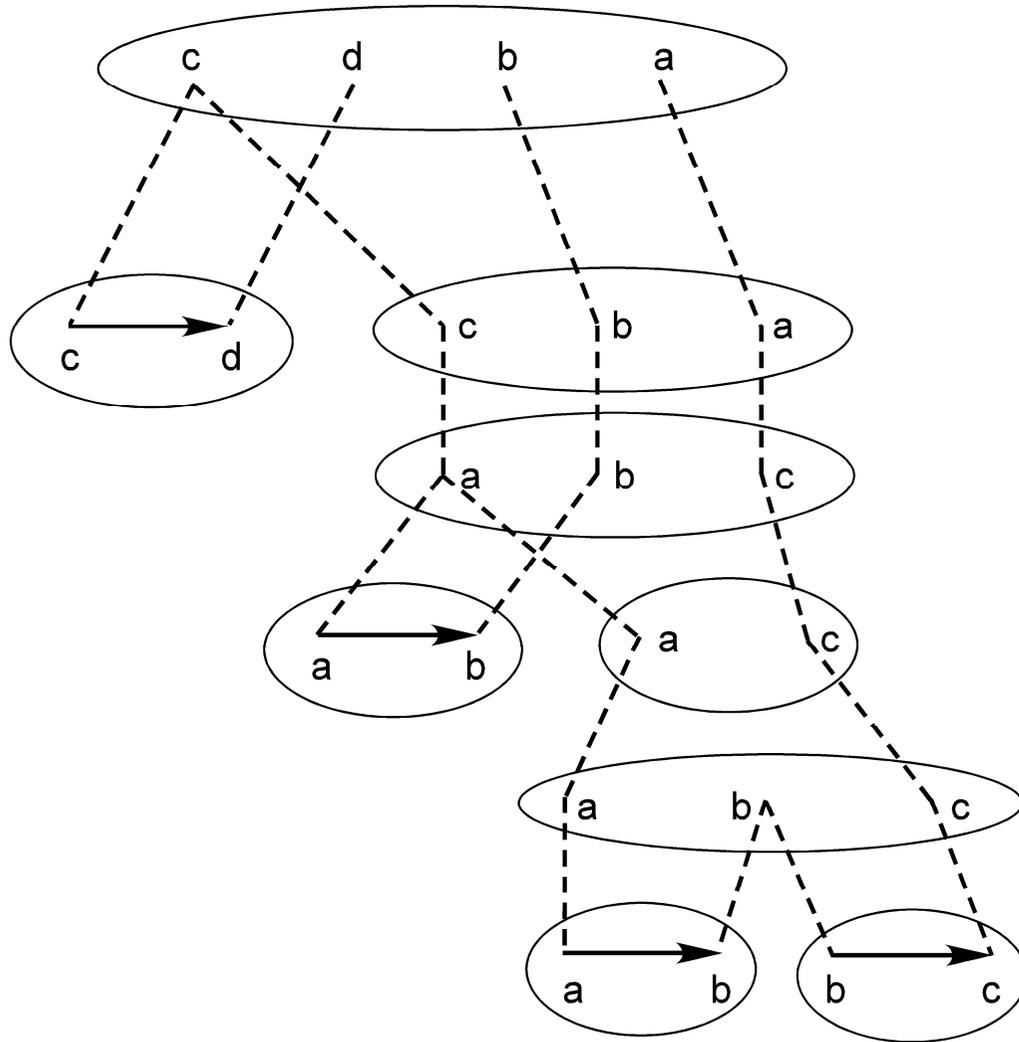
**Width** = max. size of a box -1. **Tree-width** = min. width of a tree-dec.

*Proposition:* A graph has **tree-width**  $\leq k$   $\Leftrightarrow$  it can be constructed from edges by using the operations **//**, **Ren** $a \leftrightarrow b$  and **Forget** $a$  with  $\leq k+1$  labels  $a, b, \dots$

*Proposition:* Bounded tree-width implies bounded clique-width  
( $\text{cwd}(G) \leq 2^{2\text{tw}(G)+1}$  for  $G$  directed), but **not conversely**.

# From an algebraic expression to a tree-decomposition

Example :  $cd // Ren_{a \leftrightarrow c} (ab // Forget_b(ab // bc))$       ( $ab$  denotes an edge from  $a$  to  $b$ )



The tree-decomposition associated with this term.