



Automata based graph algorithms for logically defined problems

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(includes current work with Irène Durand)

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References :

B.C. & J. Engelfriet : *Graph structure and monadic second-order logic*, Cambridge University Press, 2012.

BC & I. Durand : *Automata for the verification of monadic second-order graph properties*, J. Applied Logic, 10 (2012) 368-409

BC & I. Durand : *Computing by fly-automata beyond MSOL*, Preprint, Abstract in Proceedings of CAI 2013 (Conference on Algebraic Informatics).

Topics of lectures

Algorithmic meta-theorems : existence and construction of (relatively) efficient graph algorithms from logical descriptions of the problems.

These lectures : meta-theorems based :

on problem descriptions in (extensions of) MSO (Monadic Second-Order) logic,

on hierarchical decompositions of graphs

and on automata, possibly with *infinitely many states*.

A kind of *theory of dynamic programming*.

Summary of 3 lectures

Part 1

First example : construction of a finite automaton for the 2-colorability of series-parallel graphs.

Graph decompositions expressed by algebraic terms : tree-width and clique-width, parameters for FPT and XP graph algorithms.

Automata based algorithms : the general scheme.

Difficulty : the size of automata; the example of connectedness.

Fly-automata : definitions.

3 types of fly-automata : P, FPT and XP.

Part 2

Monadic Second-Order logic : definitions, examples.

The main construction : from MSO formulas to automata
(accepting clique-width terms).

Existential quantifications and nondeterministic automata.

Example : colorability problems.

Part 3 (Recent work)

Beyond MSO logic for graph properties and functions.

A fly-automaton for regularity of graphs (not an MSO property).

Boolean and first-order constructions of properties and functions,
and their interpretations in terms of fly-automata

Monadic-second order constructions; spectra.

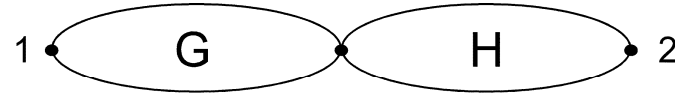
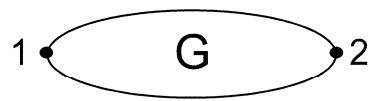
Implementation (in AUTOGRAPH) and tests.

Conclusions and call for interesting problems to handle in this way

2-colorability of Series-Parallel (SP) graphs

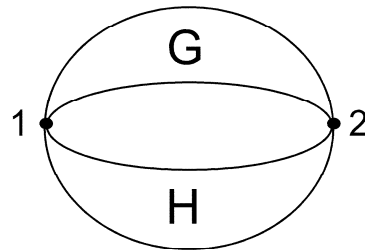
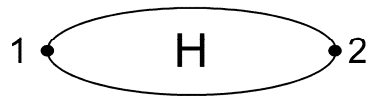
Graphs with distinguished vertices marked 1 and 2, generated from

$e = 1 \rightarrow 2$ by the operations of *parallel-composition* $//$ and *series-composition* \bullet

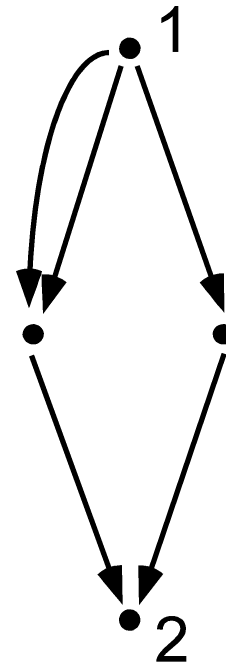


$G \bullet H$

$$((e // e) \bullet e) // (e \bullet e)$$



$G // H$



The defining equation is $S = S // S \cup S \bullet S \cup \{e\}$

Inductive computation : **Test of 2-colorability** for SP graphs

Not all series-parallel graphs are 2-colorable (see K_3)

G and H 2-colorable does not imply that $G//H$ is 2-colorable (see $K_3 = P_3//e$).

One can check 2-colorability with 2 auxiliary properties :

Same(G) = G is 2-colorable with sources of the **same color**,

Diff(G) = G is 2-colorable with sources of **different colors**

by using the rules :

Diff(e) = True ; **Same**(e) = False

Same(G//H) \Leftrightarrow **Same**(G) \wedge **Same**(H)

Diff(G//H) \Leftrightarrow **Diff**(G) \wedge **Diff**(H)

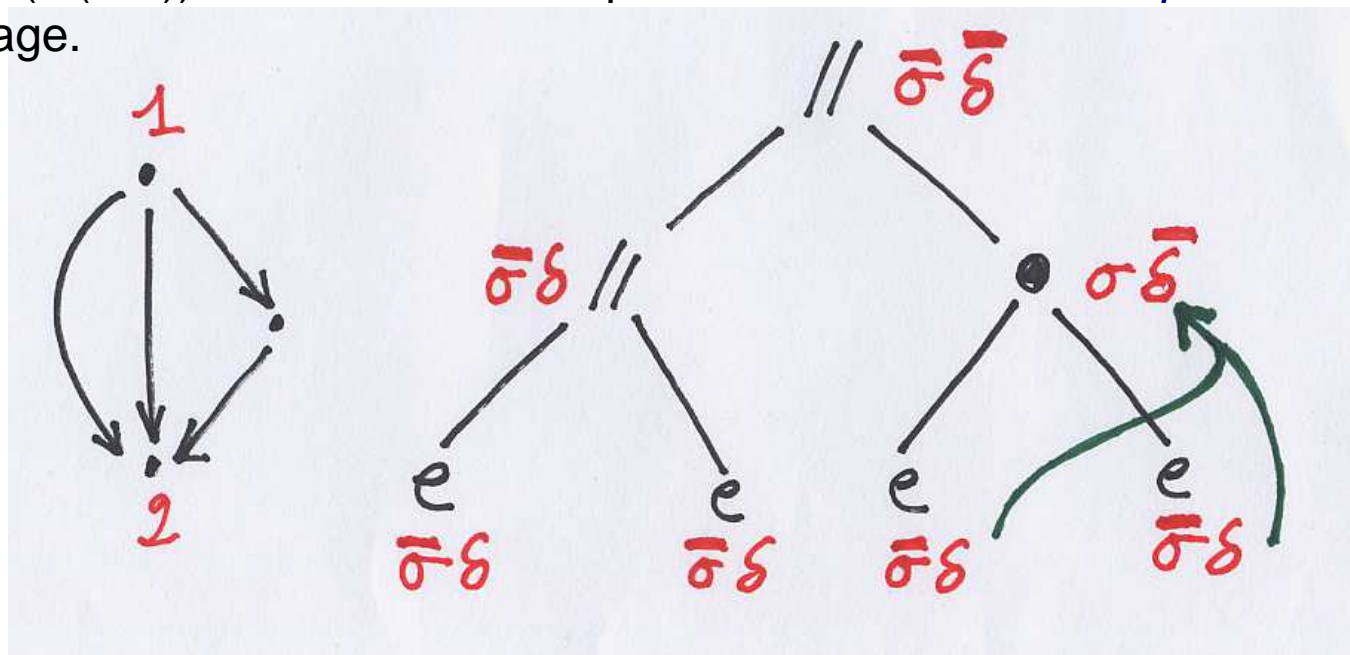
Same(G•H) \Leftrightarrow (**Same**(G) \wedge **Same**(H)) \vee (**Diff**(G) \wedge **Diff**(H))

Diff(G•H) \Leftrightarrow (**Same**(G) \wedge **Diff**(H)) \vee (**Diff**(G) \wedge **Same**(H))

Application : An algorithm based on a finite bottom-up automaton

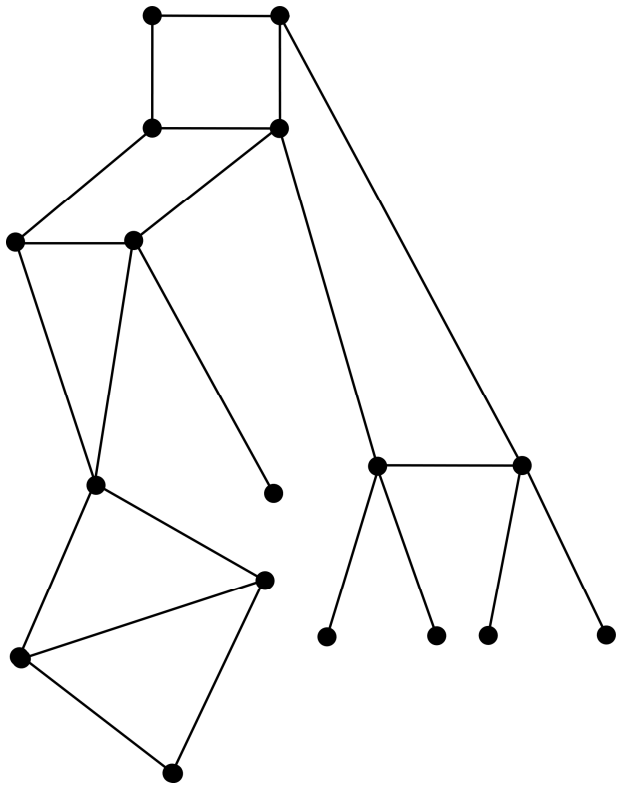
For every term t , we can compute, by running a finite **deterministic bottom-up automaton** on t , the pair of Boolean values ($\text{Same}(G(t))$, $\text{Diff}(G(t))$), where $G(t)$ is the graph **value** of t . We get the answer whether $G(t)$ is 2-colorable.

Example : σ at node u means that $\text{Same}(G(t/u))$ is true, $\bar{\sigma}$ that it is false, δ that $\text{Diff}(G(t/u))$ is true, etc... Computation is *done bottom-up* with the rules of previous page.

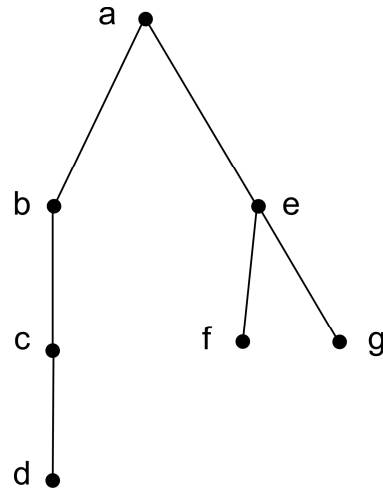


Answer : the graph is **not** 2-colorable.

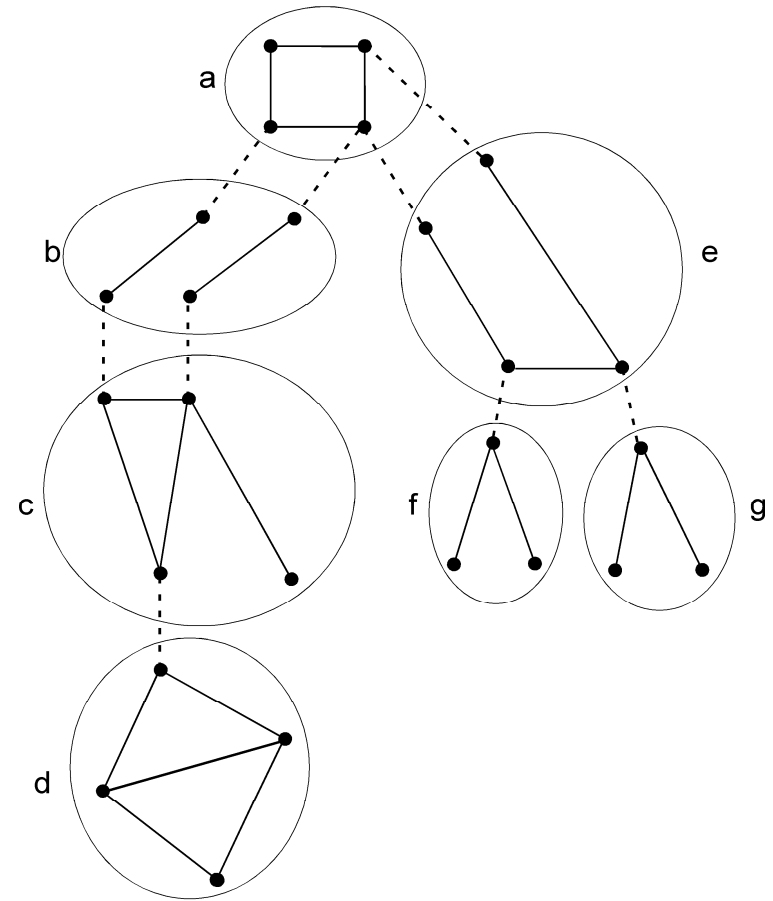
Algebraic view of tree-decompositions



Graph G



Tree T



Tree-decomposition of G

Dotted lines - - - - link *copies* of a same vertex.

Width = max. size of a box - 1. **Tree-width** = minimal width of a tree-decomposition

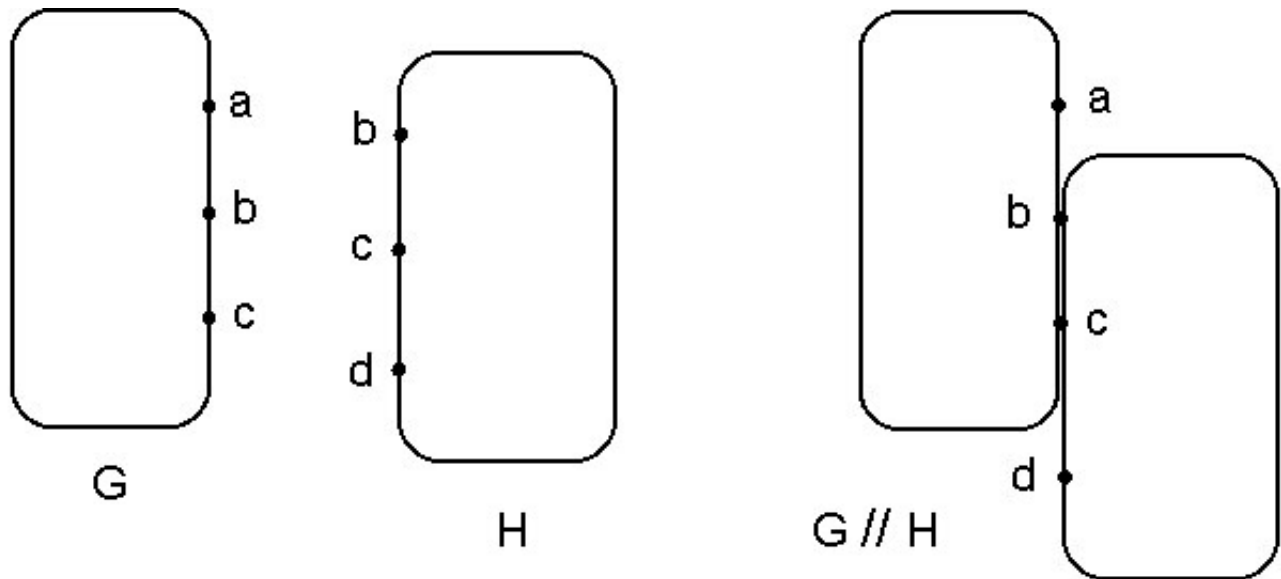
Graph operations and terms for tree-decompositions

Graphs have distinguished vertices called *sources*, (or *terminals* or *boundary vertices*) pointed to by *source labels* from $\{a, b, c, \dots, d\}$.

Binary operation : *Parallel composition*

$G // H$ is the disjoint union of G and H and sources with same label are *fused*.

(If G and H are not disjoint, one first makes a copy of H disjoint from G).



Unary operations :

Forget a source label

$Forget_a(G)$ is G without any a -source: the source is no longer distinguished (it is made "internal").

Source renaming :

$Ren_{a \leftrightarrow b}(G)$ exchanges source labels a and b

(replaces a by b if b is not the label of any source)

Nullary operations denote *basic graphs* : edge graphs, isolated vertices.

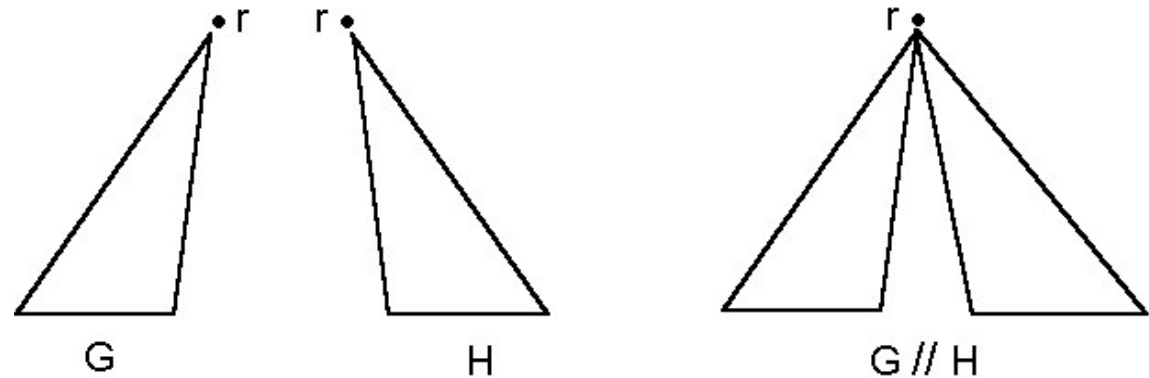
Terms over these operations *define* (or *denote*) graphs (with or without sources)

Example : Trees

Constructed with two source labels, r (root) and n (new root).

Fusion of two trees

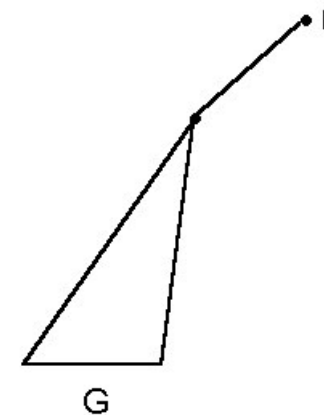
at their roots :



Extension of a tree by parallel composition with a new edge, forgetting the old root, making the "new root" as current root :

$$e = r \bullet \text{---} \bullet n$$

$$\text{Renn } r (\text{Forgetr}(G // e))$$



Defining equation : $T = T // T \cup \text{extension}(T) \cup r$

Series-parallel graphs have tree-width 2.

Proposition: A graph has tree-width $\leq k$

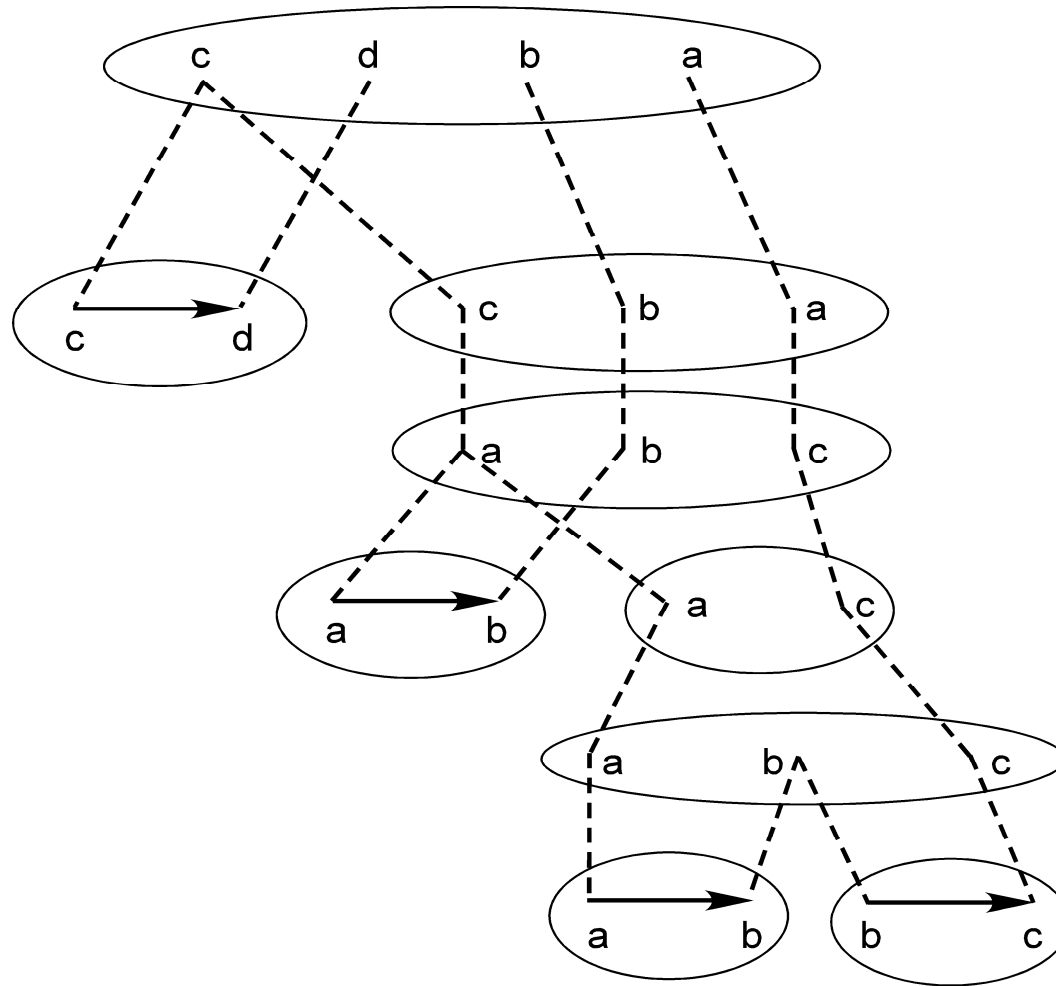
if and only if it can be constructed from edges by using the operations $//$, $Ren_{a \leftrightarrow b}$ and $Forget_a$ with $\leq k+1$ labels a, b, \dots

Consequences :

- Representation of tree-decompositions by terms.
- Algebraic characterization of tree-width.
- Terms as inputs to graph algorithms

From an algebraic expression to a tree-decomposition

Example : $cd // Ren_{a \leftrightarrow c} (ab // Forget_b(ab // bc))$ (ab denotes an edge from a to b)



Graph operations for *defining* clique-width

Graphs are simple, directed or not, and labelled by a, b, c, \dots .

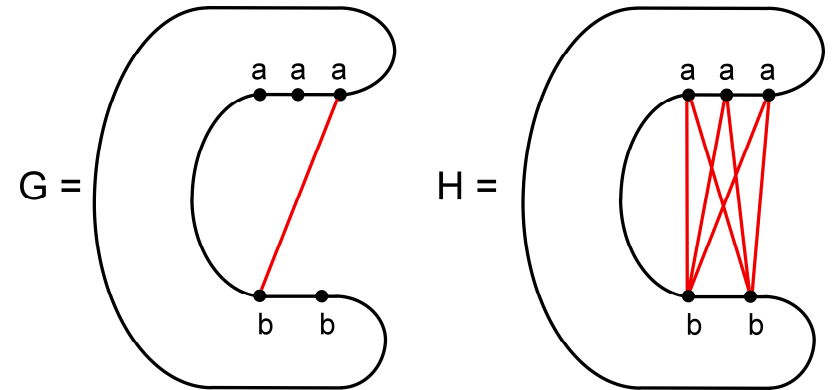
A vertex labelled by a is called an a -vertex.

One binary operation: *disjoint union* : \oplus

Unary operations: *edge addition* denoted by $Add_{a,b}$

$Add_{a,b}(G)$ is G augmented
with directed or undirected edges
from every a -vertex to every b -vertex.

The number of added edges depends
on the argument graph.



$H = Add_{a,b}(G)$; only 5 new edges added

vertex relabellings :

$Relab_{a \rightarrow b}(G)$ is G with every a -vertex is made into a b -vertex

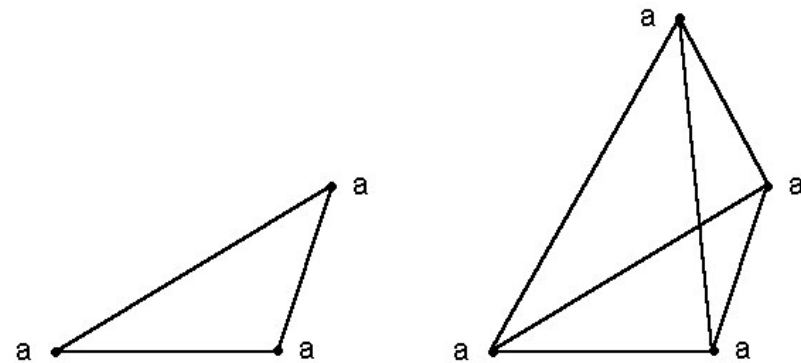
Basic graphs : those with a single vertex.

Definition: A graph G has **clique-width** $\leq k \Leftrightarrow G=G(t)$ is defined by a term t using $\leq k$ labels.

Example : Cliques have clique-width 2.

K_n is defined by t_n where $t_{n+1} =$

$Relab_{b \rightarrow a}(Add_{a,b}(t_n \oplus \mathbf{b}))$



Tree-width and clique-width

Proposition : (1) Bounded tree-width implies bounded clique-width ($\text{cwd}(G) \leq 2^{2\text{tw}(G)+1}$ for G directed), but **not conversely**.

(2) Unlike tree-width, clique-width is sensible to edge directions : Cliques have clique-width 2, tournaments have unbounded clique-width.

Classes of unbounded tree-width and **bounded clique-width**:

Distance hereditary graphs (3),

Graphs without $\{P_5, \mathbf{1} \otimes P_4\}$ (5), or $\{\mathbf{1} \oplus P_4, \mathbf{1} \otimes P_4\}$ (16)

as induced subgraphs.

Classes of unbounded clique-width :

Planar graphs of degree 3, Tournaments, Interval graphs.

Graphs without induced P_5 . ($P_n =$ path with n vertices)

Exercises

- 1) Complete the proof of the proposition page 14: transform a tree-decomposition of width k into a term that defines the same graph and uses $k+1$ source labels.
- 2) Prove that this proposition holds without the source renaming operations.
- 3) What is the maximal clique-width of a SP graph ?
- 4) Give upper-bounds to the tree-width and the clique-width of the rectangular $n \times m$ grids.
- 5) Give an upper bound to the clique-width of a graph whose biconnected components have clique-width at most k .

The parsing problem: construction of decompositions

Automata take terms as inputs, not graphs : the **parsing** must be done before. (**Graph automata do not exist in a satisfactory way**).

A difficult problem : deciding $\text{twd}(G) \leq k$ and $\text{cwd}(G) \leq k$ (for input (G,k)) are NP-complete problems.

There are FPT approximation algorithms, taking time $f(k).n^a$, that output the following for given k and G with n vertices:

- (i) either the answer that $wd(G) > k$,
- (ii) or a term witnessing that $wd(G) \leq g(k)$.

Hence from an algorithm taking as input a term t in $T(F_k)$ (F_k : the operations for terms of width $\leq k$) and whose computation time is $h(k).n^b$, we get (by trying $k = 1, 2, \dots$ until we reach Case (ii)) an FPT algorithm for given G with computation time $\leq m(wd(G)).n^{\max(a,b)}$

Algorithms : for tree-width : see Bodlaender et al., Information and Computation 2010 and 2011, ACM Trans. Algos 2012).

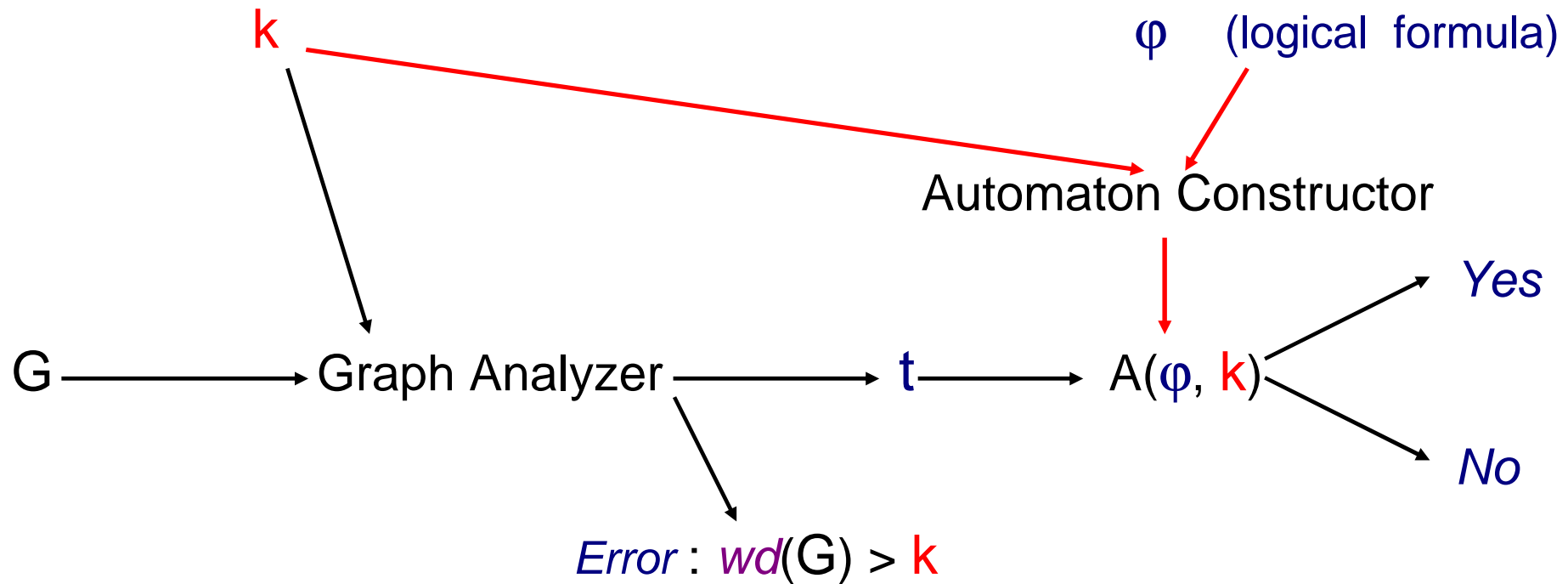
For clique-width : approximation algorithms based on articles by Oum, Seymour, Hlineny, Kanté, 2005-2013).

However, graphs arising from concrete problems are **not random**. They may have “natural” hierarchical decompositions from which terms of small tree-width or clique-width are not hard to find.

Compilation : flow-graphs of structured programs have tree-width ≤ 6 .

In **linguistics** and **chemistry**: graphs of tree-width ≤ 3 .

Algorithmic meta-theorems through automata: the general scheme



Steps \longrightarrow are done “once for all”, independently of G

$A(\varphi, k)$: finite automaton on terms t

wd = tree-width or clique-width or equivalent notion.

Automata on terms that check graph properties

Terms are seen as labelled trees. We want to check a property $P(G)$, for $G = G(t)$, t in $T(F)$.

For each *labelled* graph G , we define some piece of information $q(G)$ consisting of properties of G and of values attached to G , with:

(i) **inductive behaviour of** q : for f in F and graphs G, H :

$$q(f(G, H)) = f^q(q(G), q(H))$$

for some computable function f^q .

(ii) $P(G)$ can be **decided** from $q(G)$.

Recall the 2-colorability of SP graphs, page 8.

Then $q(G(t/u))$ is computed bottom-up in a term t , for each node u . This information is relative to the graph $G(t/u)$ defined by the subterm t/u of t issued from u .

$q(G(t/u))$ is a state of a *finite or infinite deterministic bottom-up automaton*.

These automata formalize some form of *dynamic programming*.

In the sequel we *only consider clique-width*: the automata are simpler to build and they can be adapted to bounded tree-width as bounded tree-width implies bounded clique-width.

Now an example.

The deterministic automaton for connectedness.

The state at node u is the *set of types (sets of labels)* of the connected components of the graph $G(t/u)$. For k labels ($k = \text{bound on clique-width}$), the set of states has size $\leq 2^{2^k}$.

Proved lower bound : $2^{2^{k/2}}$.

→ **Impossible** to “**compile**” the automaton (i.e., to list the transitions) .

Example of a state : $q = \{ \{a\}, \{a,b\}, \{b,c,d\}, \{b,d,f\} \}$, (a,b,c,d,f : labels).

Some transitions :

$Add_{a,c}$: $q \longrightarrow \{ \{a,b,c,d\}, \{b,d,f\} \}$,

$Relab_{a \rightarrow b}$: $q \longrightarrow \{ \{b\}, \{b,c,d\}, \{b,d,f\} \}$

Transitions for \oplus : union of sets of types.

Note : *Also state (p,p) if $G(t/u)$ has ≥ 2 connected components, all of type p .*

In a *fly-automaton* : the states and transitions are *computed* and not tabulated.

We allow fly-automata with *infinitely* many states and with *outputs* : numbers, finite sets of tuples of numbers, etc.

Example continued : For computing the *number of connected components*, we use states such as :

$$q = \{ (\{a\}, 4), (\{a,b\}, 2), (\{b,c,d\}, 2), (\{b,d,f\}, 3) \},$$

where 4, 2, 2, 3 are the numbers of connected components of respective types $\{a\}$, $\{a,b\}$, $\{b,c,d\}$, $\{b,d,f\}$.

Fly-automaton (FA)

Definition : $A = \langle F, Q, \delta, \text{Out} \rangle$

F : finite **or countable** (**effective**) signature (set of operations),

Q : finite **or countable** (**effective**) set of states (integers, pairs of integers, finite sets of integers: states can be encoded as finite words, integers in binary),

Out : $Q \rightarrow D$ (an effective domain, i.e., set of finite words), **computable**.

δ : **computable** (bottom-up) transition function

Nondeterministic case : δ is *finitely multi-valued*.

This automaton defines a **computable function** : $T(F) \rightarrow D$

(or : $T(F) \rightarrow P(D)$ if it is not deterministic)

If $D = \{ \textit{True}, \textit{False} \}$, it defines a **decidable property**, equivalently,
a **decidable subset** of $T(F)$.

Deterministic computation of a nondeterministic FA :

bottom-up computation of ***finite*** sets of states (classical simulation of the determinized automaton): these states are the useful ones of the ***determinized automaton***; these sets are ***finite*** because the transition function is finitely multivalued.

Fly-automata are “implicitly determinized” and they run deterministically

Computation time of a fly-automaton

F : all graph operations, F_k : those using k labels.

On term $t \in T(F_k)$ defining $G(t)$ with n vertices, if a fly-automaton takes time bounded by :

$(k + n)^c \rightarrow$ it is a P-FA (a polynomial-time FA),

$f(k).n^c \rightarrow$ it is an FPT-FA,

$a.n^{g(k)} \rightarrow$ it is an XP-FA.

The associated algorithm is polynomial-time, FPT or XP for clique-width as parameter.

Proposition : Every polynomial-time computable function $T(F) \rightarrow D$ is computable by a fly-automaton whose computation time is polynomial.

Nothing new ! : Our concern is to have easy and uniform *constructions* of FA's from *logical and combinatorial* descriptions of functions and properties.

Theorem : Every graph property expressible in monadic second-order (MS) logic can be checked by a fly-automaton whose restriction to each subsignature F_k has *finitely many* states.

Hence, it is a *linear* FPT-FA.

Linear : its computation-time is bounded by $f(k).n$