Visibly Pushdown Automata and Streaming

Olivier Serre

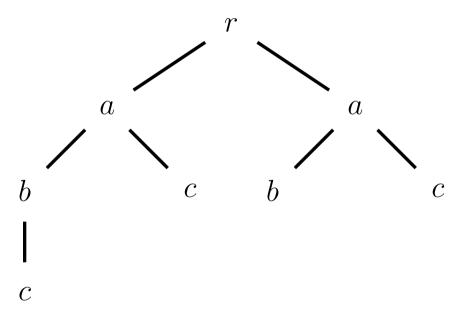
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Fact: In real word XML documents are not trees but words obtained by streaming the document.



Associated stream: $r \ a \ b \ c \ \overline{c} \ \overline{b} \ c \ \overline{c} \ \overline{a} \ a \ b \ \overline{b} \ c \ \overline{c} \ \overline{a} \ \overline{r}$

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VPAs and Streaming - p. 2/17

Validation problems for streaming tree documents (1/2)

Adapted from [Segoufin&Vianu, 2002]

Given a Document Type Definition (DTD) d, equiv. a regular tree language L_d , one sets $Streams(L_d)$ for the set of streaming of trees from L_d .

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Strong validation / Strongly recognizable DTDs:

Given a DTD *d*, can one check that a stream belongs to $Streams(L_d)$ using finite memory.
 Equiv. Is $Streams(L_d)$ a regular language?

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- Strong validation is decidable [Segoufin& Vianu, 2002].

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Strong validation / Strongly recognizable DTDs:

- Given a DTD *d*, can one check that a stream belongs to $Streams(L_d)$ using finite memory. Equiv. Is $Streams(L_d)$ a regular language?
- Strong validation is decidable [Segoufin& Vianu, 2002].
- There exists DTDs that cannot be strongly validated, e.g. trees with a single arbitrary long branched made only of nodes labeled by a: Streams(L_d) = { $ra^n \overline{a}^n \overline{r} \mid n \ge 0$ }.

Validation problems for streaming tree documents (2/2)

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Validation / Recognizable DTDs:

 Given a DTD *d*, can one check that a stream in Streams(Trees) belongs to $Streams(L_d)$ using finite memory. Equiv. Is there a regular language *R* such that

 $Streams(L_d) = R \cap Streams(Trees)$?

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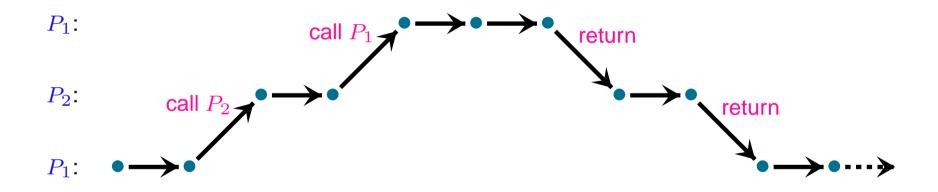
 $Streams(L_d) = R \cap Streams(Trees)$?

- DTDs that can be validated are said to be recognizable.
- Segoufin& Vianu, 2002] provides necessary conditions and also sufficient conditions (but no characterization is known).

Formal languages: regularity is not enough...

Regularity does not capture all we would like:

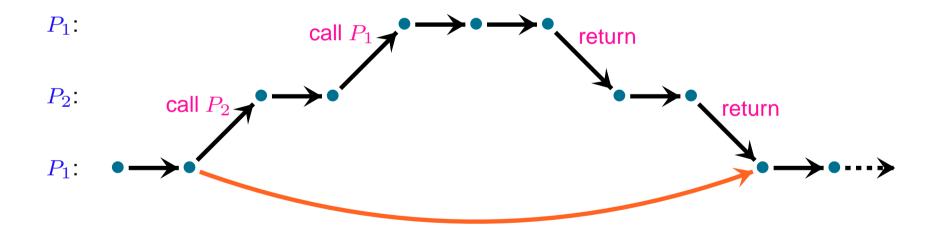
Specifications for verification/games.



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Regularity does not capture all we would like:

- Specifications for verification/games.
- To work with semi-structure data.

Example: HTML/XML document using opening/closing tags

<html>...</html>

... but context free languages are not very handy

Closure properties:

	U	\cap	complement	concatenation	Kleene-*
Regular	Yes	Yes	Yes	Yes	Yes
CFL	Yes	No	No	Yes	Yes
DCFL	No	No	Yes	No	No

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Decision problems:

	emptiness	equivalence	inclusion
Regular (NFA)	NLOG	PSPACE	PSPACE
CFL	PTIME	undecidable	undecidable
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Something in between?

... Visibly pushdown languages are good

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VPL	Yes	Yes	Yes	Yes	Yes

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VPAs and Streaming – p. 7/17

Why are context-free languages not robust?

Intersection/union for finite automata:

- Use a product construction.
- Adapt the acceptance condition.

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Intersection/union of deterministic context-free languages: may not be context-free.

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Intersection/union of deterministic context-free languages: may not be context-free.

- Where is the problem in the product construction for pushdown automata? The stacks are not synchronized.



Stack is input driven: for each input letter is associated a stack operation.

- Call: push.
- Return: pop.
- Internal action: skip.

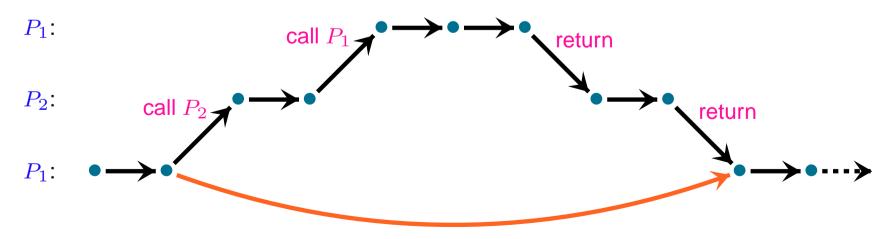


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This restriction is natural:

Verification of recursive programs.





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- Internal action: skip.
- This restriction is natural:
- Semi-structure data.

<html>...</html>

Visibly pushdown automata

Partitioned alphabet : $A = \langle A_c, A_r, A_{int} \rangle$

- A_c : calls.
- \blacksquare A_r : returns.
- \blacksquare A_{int} : internal actions.

- \checkmark Q : finite set of states.
- \blacksquare A : partitioned input alphabet.
- Γ : finite stack alphabet.
- \perp : bottom of stack symbols.
- \blacksquare Q_{in} : set of initial states.
- Acc : acceptance condition.
- **9** Δ : transition function.

- \checkmark Q : finite set of states.
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$$\Delta \subseteq \begin{cases} Q \times A_c \times Q \times (\Gamma \setminus \{\bot\}) \\ Q \times A_r \times (\Gamma \setminus \{\bot\}) \times Q \\ Q \times A_{int} \times Q. \end{cases}$$

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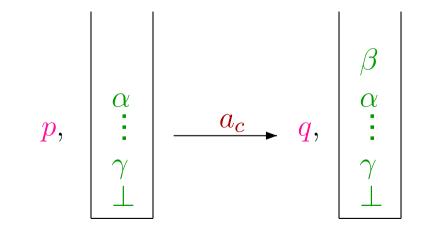
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 $(p, a_c, q, \beta) \in \Delta$:

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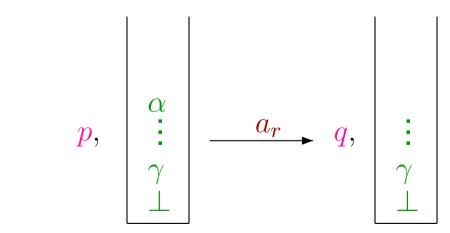
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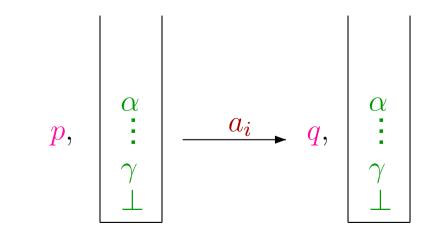
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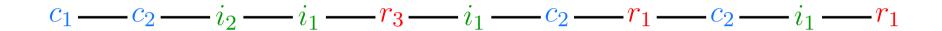
- Finite words case:
 - $Acc = F \subseteq Q$, set of final states.
 - w ∈ A* is accepted by \mathcal{M} iff there exists a run that ends in a configuration (q, σ), q ∈ F.
 - $L(\mathcal{M}) = \{ w \in A^* \mid w \text{ accepted by } \mathcal{M} \}.$
- Other extensions exist:
 - infinite words,
 - trees.

Matching positions

$$A_c = \{c_1, c_2\}, A_c = \{r_1, r_2, r_3\} \text{ and } A_{int} = \{i_1, i_2\}$$

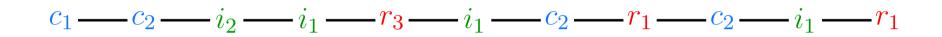
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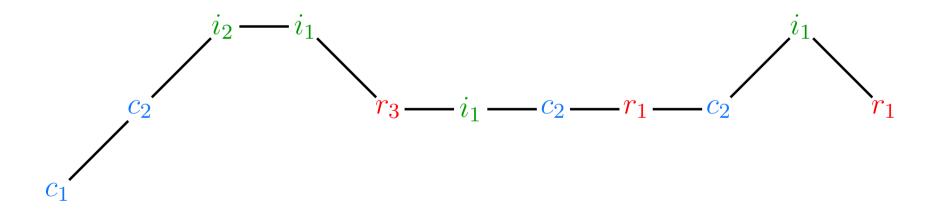
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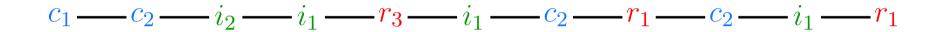
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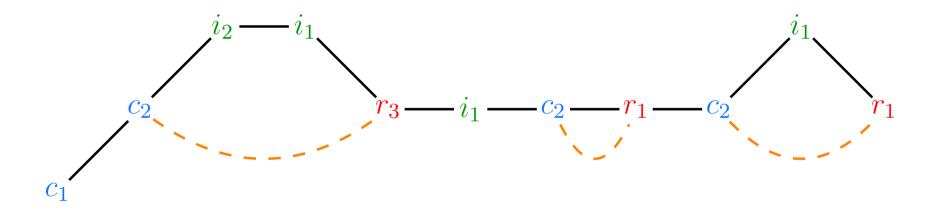




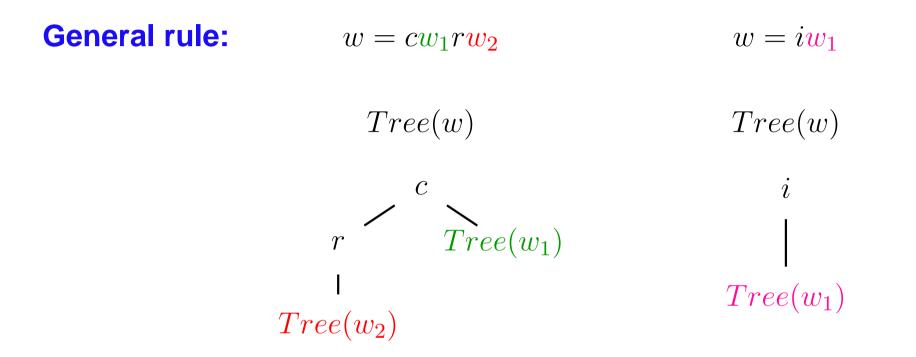
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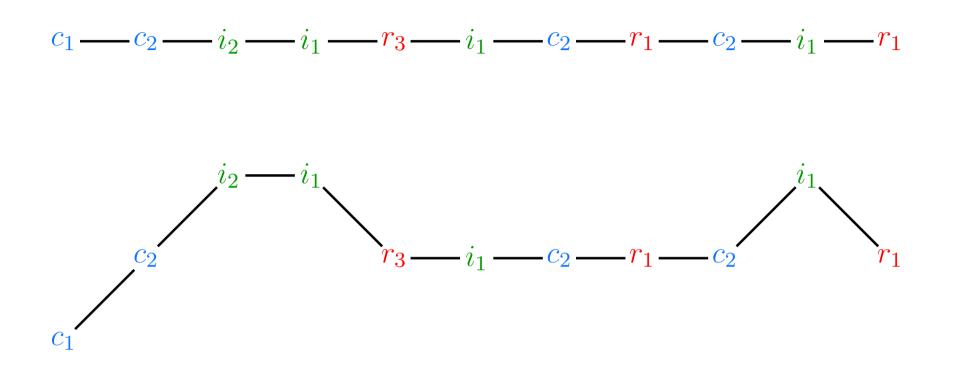
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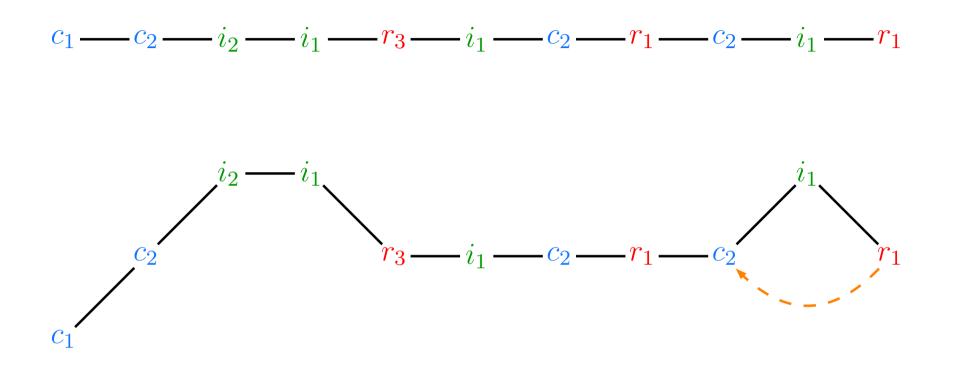




From words to trees

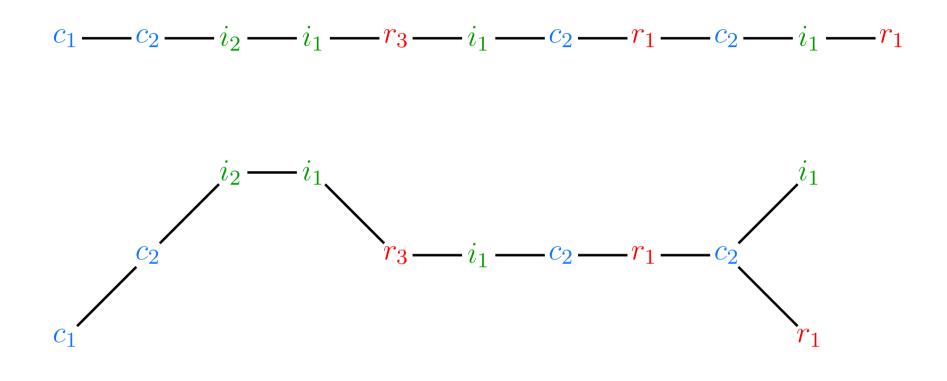


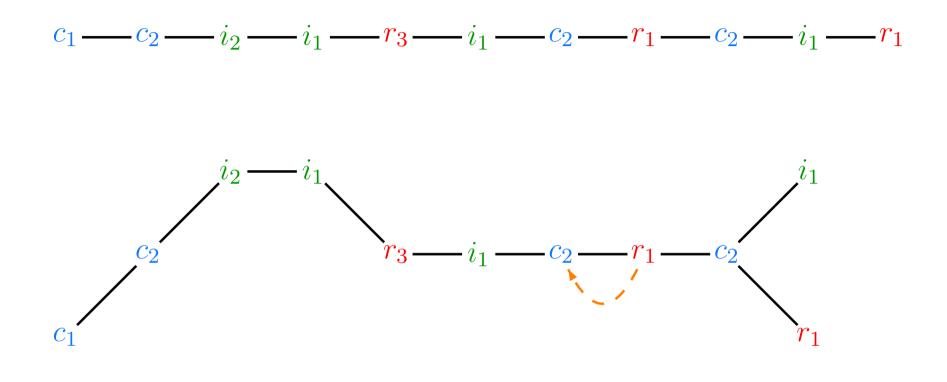




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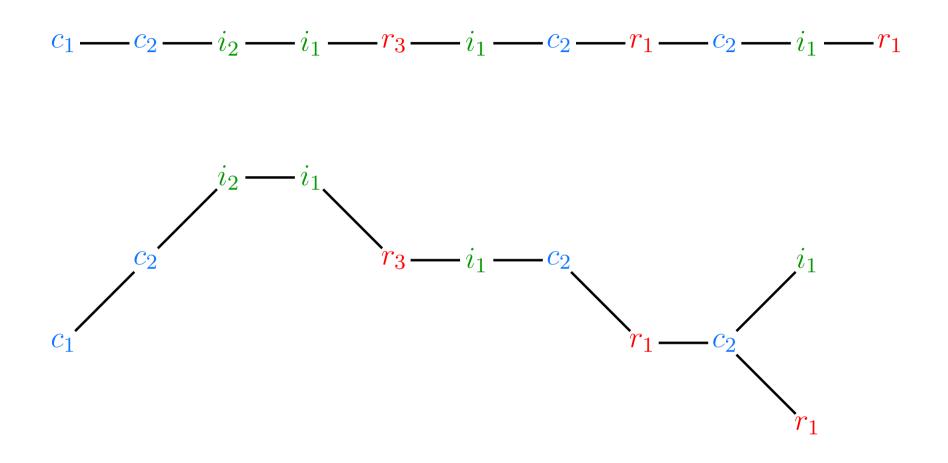
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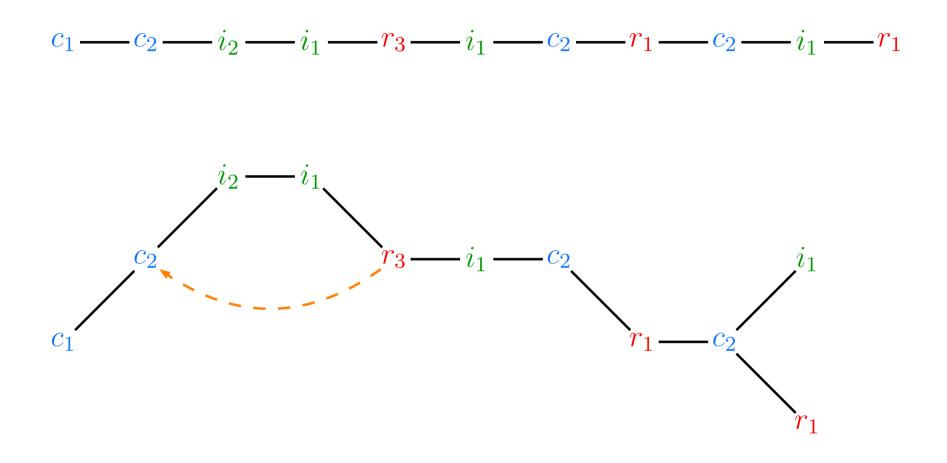


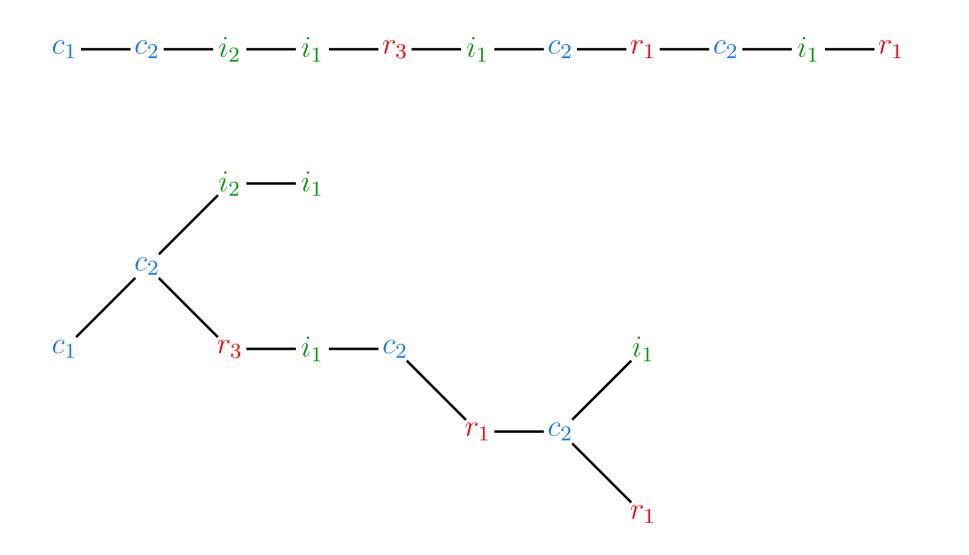


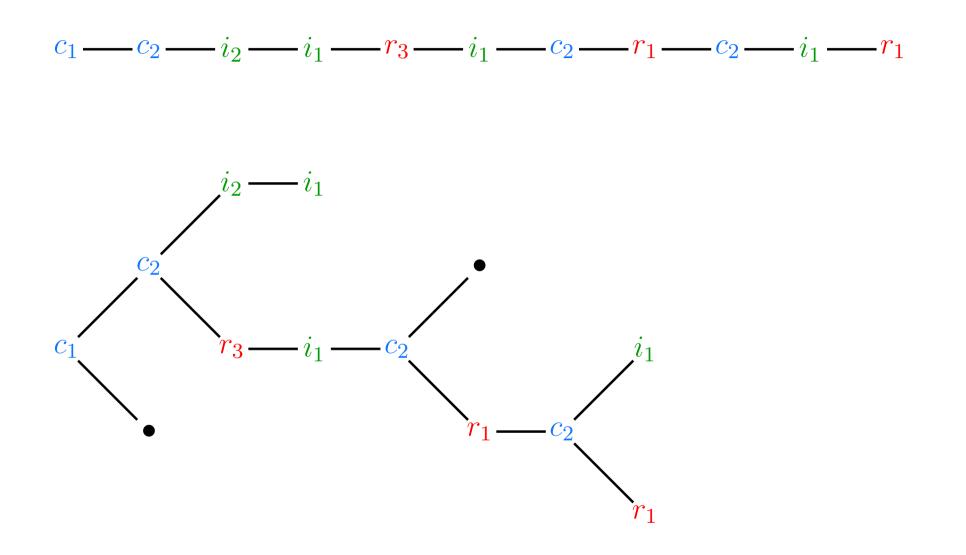
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Theorem.[Alur&Madhusudan] A language L is VPL iff Tree(L) is regular.

Sketch of Proof. (
$$\Rightarrow$$
) $(q_0, \gamma) \xrightarrow{c} (q_1, \gamma') \xrightarrow{w_1} (q_2, \gamma') \xrightarrow{r} (q_3, \gamma) \xrightarrow{w_2}$
 $Tree(w)$
 $r \xrightarrow{c} \sum_{Tree(w_1)}$
 I
 $Tree(w_2)$

(\Leftarrow) Store the left son's state in the stack and retrieve it later. **Theorem.** A tree language L is regular iff Streams(L) is VPL.

Restating validation

Strong validation

- Input: a visibly pushdown language L.
- Output: is L regular?

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Validation

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- Output: is there R Regular such that $L = R \cap L_{Strwmw}$?

Theorem[Stearns'67]. Regularity is decidable for visibly pushdown languages.

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 $L = R \cap L_{wmw}$

A general problem

- **9** Input: a VPL language K
- Is the following decidable: given a visibly pushdown language L is there R regular such that $L = R \cap K$?