

# Algebraic and Model Theoretical Descriptions of Finite Graphs

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Reference : Graph structure and monadic second-order logic,

book to be published by Cambridge University Press, readable on :

http://www.labri.fr/perso/courcell/ActSci.html

*History* : Confluence of 4 independent research directions, now intimately related :

- Polynomial algorithms for NP-complete and other hard problems on particular classes of graphs, and especially hierarchically structured ones : series-parallel graphs, cographs, partial k-trees, graphs or hypergraphs of tree-width < k, graphs of clique-width < k.</li>
- 2. Excluded minors and related notions of forbidden configurations (matroid minors, « vertex-minors »).
- 3. <u>Decidability of Monadic Second-Order logic</u> on classes of finite graphs, and on infinite graphs.
- 4. Extension to graphs and hypergraphs of the main concepts of Formal Language Theory : grammars, recognizability, transductions, decidability questions.

Two key words:

Graph structure (main notions) :

hierarchical decompositions (tree-decomposition, modular decomposition,...) embedding on surfaces

exclusion of minor, vertex-minor or induced subgraph

existence of homomorphism into a fixed graph (generalized coloring)

Logic : First-order, second-order, monadic second-order (MS)

for expressing graph properties (i.e., graph classes) and graph transformations, and structures of above types

The good combination : MS logic and hierarchical decompositions related to tree-width and clique-width/rank-width.

### An overview chart



### Key concepts of Language Theory and their extensions

| Languages                                    | Graphs   |  |
|--|--|--|
| Algebraic structure :                        | Algebras based on graph operations : $\oplus$ , $\otimes$ , // |  |
| monoid (X*,*,ε)                              | quantifier-free definable operations<br>Algebras : HR, VR      |  |
| Context-free languages :                     | Equational sets of the   |  |
| Equational subsets of (X*,*,ε)               | algebras HR, VR  |  |
| Regular languages :                          | Recognizable sets  |  |
| Finite automata ≡                            | of the algebras HR, VR   |  |
| Finite congruences $\equiv$                  | defined by finite congruences                                  |  |
| Regular expressions $\equiv$                 |  |  |
| $\equiv$ Monadic Second-order                | $\cup$   |  |
| definable sets of words or terms             | Monadic Second-order definable sets of graphs                  |  |
| Rational and other types of<br>transductions | Monadic Second-order transductions                             |  |

### Summary

Introduction (finished)

Extension to graphs of Language Theoretical notions

- 1. Context-free sets defined by equation systems.
- 2. The graph algebras **VR** and **HR**.
- 3. Recognizability as an algebraic notion.
- 4. Monadic second-order logic defines inductive properties and functions
- 5. Algorithmic applications
- 6. Monadic second-order transductions.

Links with logic and graph theory

7. Graph classes on which MS logic is decidable

Open questions

1. Equational (context-free) sets

Equation systems = Context-Free (Graph) Grammars in an algebraic setting

In the case of words, the set of context-free rules  $S \rightarrow a S T; S \rightarrow b; T \rightarrow c T T T; T \rightarrow a$ 

is equivalent to the system of two set equations:

| S = a S T   | $\cup$ | { b } |
|-------------|--------|-------|
| T = c T T T | $\cup$ | { a } |

where S is the language generated by S (idem for T and T).

For graphs (or other objects) we consider systems of equations like:

$$S = f(k(S), T) \cup \{b\}$$

 $T = f(T, f(g(T), m(T))) \cup \{a\}$ 

where :

- f is a binary operation,
- g, k, m are unary operations on graphs,
- a, b denote basic graphs (up to isomorphism).

An *equational set* is a component of the least (unique) solution of such an equation system. This is well-defined in any algebra.

Many poperties are valid at the general algebraic level.

### 2. The graph algebras HR and VR

*HR operations :* Origin : Hyperedge Replacement hypergraph grammars ; associated complexity measure : tree-width

Graphs have distinguished vertices called *sources*, pointed to by source labels from a finite set :  $\{a, b, c, ..., h\}$ .

Binary operation(s) : Parallel composition

G // H is the disjoint union of G and H and sources with same label are fused. (If G and H are not disjoint, one first makes a copy of H disjoint from G).



Unary operations : Forget a source label Forget<sub>a</sub>(G) is G without *a*-source: the source is no longer distinguished ; (it is made "internal").

#### Source renaming :

 $Ren_{a \leftarrow b}(G)$  exchanges source labels *a* and *b* 

(replaces a by b if b is not the label of a source)

Nullary operations denote basic graphs : the connected graphs with at most one edge.

For dealing with hypergraphs one takes more nullary symbols for denoting hyperedges.

Each graph G has type  $\tau(G)$  = the set of labels of its sources. The type function has a homomorphic behaviour :

 $\tau(G//H) = \tau(G) \cup \tau(G); \tau(Forget_a(G)) = \tau(G) - \{a\}; \tau(Ren_a \leftrightarrow b(G)) = \tau(G)[a/b, b/a].$ 

### **Tree-decompositions**



*Proposition:* A graph has tree-width  $\leq k$  if and only if it can be constructed from basic graphs with  $\leq k+1$  labels by using the operations *//*, *Rena*, *b* and *Forgeta*.

*Example :* Trees are of tree-width 1, constructed with two source labels, r (root) and n (new root): Fusion of two trees at their roots :



Extension of a tree by parallel composition with a new edge, forgetting the old root, making the "new root" as current root :

 $e = r \bullet \dots \bullet n$ 

Renn ↔r (Forgetr (G // e))



#### From an algebraic expression to a tree-decomposition

Example : cd // Ren<sub>a c</sub> (ab // Forget<sub>b</sub>(ab // bc)) (Constant ab denotes an edge from a to b)



The tree-decomposition associated with this term.

### VR operations

Origin : Vertex Replacement graph grammars

Associated complexity measure: clique-width, has no combinatorial characterization but is defined in terms of few very simple graph operations (giving easy inductive proofs). Equivalent notion: rank-width (Oum and Seymour) with better structural and algorithmic properties.

Graphs are simple, directed or not.

k labels : *a* , *b* , *c* , ..., *h*. Each vertex has one and only one label ;

a label *p* may label several vertices, called the *p*-ports.

One binary operation: disjoint union :  $\oplus$ 

Unary operations: Edge addition denoted by  $Add-edg_{a,b}$ 

Add-edg<sub>a,b</sub>(G) is G augmented with (un)directed edges from every *a*-port to every *b*-port.

![](_page_14_Figure_2.jpeg)

H = Add-edga,b(G); only 5 new edges added

The number of added edges depends on the argument graph.

Vertex relabellings :

**Relaba**  $\rightarrow b(G)$  is G with every vertex labelled by a relabelled into b

Basic graphs are those with a single vertex.

*Definition:* A graph G has clique-width  $\leq k \Leftrightarrow$  it can be constructed from basic graphs with the operations  $\bigoplus$ , *Add-edga,b* and *Relaba*  $\longrightarrow b$  with k labels. Its clique-width *cwd*(G) is the smallest such k.

The type (for the VR algebra) of graph G is  $\tau(G)$  = the set of port labels having an occurrence. Type has a homomorphic behaviour :

 $\tau(G \bigoplus H) = \tau(G)U\tau(H) ; \tau(Add-edg_{a,b}(G)) = \tau(G) ; \tau(Relab_a \longrightarrow b(G)) = \tau(G)[b/a].$ 

*Proposition :* (1) If a set of simple graphs has bounded tree-width, it has bounded clique-width, but not *vice-versa*.

(2) Unlike tree-width, clique-width is sensible to edge directions: Cliques have clique-width 2, tournaments have unbounded clique-width.

Classes of graphs of bounded tree-width :

Trees (1), Outerplanar graphs (2), Java structured programs (6), Graphs without P as a minor if P is planar.

Classes of <u>unbounded tree-width</u> and <u>bounded clique-width</u>.

Cliques (2), Complete bipartite graphs (2), Distance hereditary graphs (3),

Graphs without  $P_5$  and  $1 \otimes P_4$  (5), or  $1 \oplus P_4$  and  $1 \otimes P_4$  (16) as induced subgraphs. (many similar results for exclusion of induced subgraphs with 4 and 5 vertices).

Classes of <u>unbounded clique-width</u> :

Planar graphs of degree 3, Tournaments, Interval graphs, Without induced P<sub>5</sub>.

![](_page_17_Figure_0.jpeg)

![](_page_17_Figure_1.jpeg)

 $K_n$  is defined by  $t_n$  where  $t_{n+1} = Relab_{b \rightarrow a}(Add-edg_{a,b}(t_n \oplus b))$ 

Another example : Cographs are generated by  $\oplus$  and  $\otimes$  defined by : G  $\otimes$  H = Relabb  $\rightarrow a$  (Add-edga, b (G  $\oplus$  Relaba  $\rightarrow b$ (H))

 $= G \oplus H$  with "all edges" between G and H.

We have two algebra structures HR and VR on graphs hence, two notions of context-free sets, defined as the equational sets of the algebras HR and VR, and two notions of recognizable sets (based on congruences). Why not a third algebra ? : we have robustness results (to come).

Which properties are immediate (i.e., follow from the algebraic setting) ?
Answers : Closure under union, // and ⊕, the unary operations.
Emptiness and finiteness are decidable (finite sets are computable)
Parikh's Theorem
Derivation trees, denotation of generated graphs by terms,
Upper bounds to tree-width and clique-width.

Which do not hold as we could wish?

Answers : The set of all (finite) graphs is not HR- or VR-equational. Not even is the set of all square grids (planar graphs of degree 4) Parsing is sometimes NP-complete.

Equat(**HR**) = Equat(**VR**) without some fixed  $K_{n,n}$  as a subgraph.

### 3. Recognizable sets : an algebraic definition

F: a finite set of operations with (fixed) arity, called a signature

 $M = \langle M, (f_M)_{f \in F} \rangle$ : an F-algebra.

*Definition* : L ⊆ M is (F-)*recognizable* if it is a union of equivalence classes for a finite congruence ≈ on M (*finite* means that M / ≈ is finite). Equivalently, L =  $h^{-1}(D)$  for a homomorphism  $h : M \to A$ , where A is a finite F-algebra, D ⊆ A.

On terms, h is the run of a *finite deterministic automaton*.

REC(M) = the recognizable subsets of M (with respect to the algebra M)

For the algebras **HR** and **VR** that have infinite signatures :

we require that the congruence  $\approx$  is type preserving :

 $G \approx H$  implies  $\tau(G) = \tau(H)$ 

it has finitely many classes of each type,

L is the union of finitely many classes.

We could also use many-sorted algebras, with  $\tau(G)$  as sort of G, because the type function has a homomorphic behaviour.

Two notions of recognizable sets on the two algebras HR and VR.

Which properties of recognizable sets follow from the algebraic setting?

Answers : Closure under union, intersection and difference, inverse homomorphisms, inverse unary derived operations. The intersection of an equational set and a recognizable one is equational (with effective constructions)

Which properties of recognizable sets do not follow algebraically ?

Answers : Closure under the operations of the algebras : //, ⊕, the unary operations. (False for add-edg but true for some harmless restriction of the use of this operation).
Which do not hold as we could wish or expect ?
Answers : Emptiness is not decidable (because of infinite signatures). REC and EQUAT are incomparable
Every set of square grids is HR- and VR-recognizable. Hence uncountably many recognizable sets and no finite automaton characterization.

### Inductive proofs and computations

*Example* : *Series-parallel graphs*, defined as graphs with sources 1 and 2, generated from  $e = 1 \longrightarrow 2$  and the operations // (parallel-composition) and *series-composition* defined from other operations by :

$$G \bullet H = Forget_3(Ren_2 \leftrightarrow_3 (G) // Ren_1 \leftrightarrow_3 (H))$$

Example :

![](_page_22_Figure_4.jpeg)

#### Inductive proofs :

- 1) G, H connected implies : G//H and G H are connected, (induction)
  - e is connected (basis) :
  - $\Rightarrow$  All series-parallel graphs are connected.

- 2) It is not true that :
  - G and H planar implies : G//H is planar ( $K_5 = H//e$ ).
  - A stronger property for induction :
    - G has a planar embedding with the sources in the same "face"
    - $\Rightarrow$  All series-parallel graphs are planar.

#### Inductive computation : Test for 2-colorability

Not all series-parallel graphs are 2-colorable (see  $K_3$ )

G, H 2-colorable does not imply that G//H is 2-colorable (because  $K_3=P_3//e$ ). One can check 2-colorability with 2 auxiliary properties :

We can compute for every SP-term t, by induction on the structure of t the pair of Boolean values (Same(Val(t)), Diff(Val(t))).

We get the answer for G = Val(t) (the graph that is the *value* of t) regarding 2-colorability.

Recognizability and inductive properties

*Definition* : A finite set P of properties on an F-algebra **M** is F-inductive if for every  $p \in P$  and  $f \in F$ , there exists a (known) Boolean formula B such that :

 $p(f_M(a,b)) = B[...,q(a),...,q'(b),...]$  for all a and b in M

 $(q, q' \in P, q(a), ..., q(b) \in \{True, False\})$ .

*Proposition* : A subset L of **M** is recognizable iff it is the set of elements that satisfy a property belonging to a finite inductive set P of properties .

Proof:

Let  $L = h^{-1}(C)$  for a homomorphism  $h : M \to A$ , A a finite F-algebra and C a subset of A (domain of A).

For each a in A, let  $\hat{a}$  be the property :  $\hat{a}(m)$ = True  $\Leftrightarrow$  h(m) = a. Let p be such that p(m) = True  $\Leftrightarrow$   $h(m) \in C \Leftrightarrow m \in L$ .

Properties  $\{p, \hat{a} \mid a \in A\}$  form an F-inductive set.

If P is an inductive set of k properties, one can define an F-algebra structure on the set  $B^{k}$  of k-tuples of Booleans, such that the mapping :

 $h: m \rightarrow the k-tuple of Booleans is a homomorphism.$ 

#### Inductive properties and automata on terms

The simultaneous computation of m inductive properties can be implemented by an automaton with  $2^m$  states working on terms t.

This computation takes time O(|t|).

Membership of an element m of M in a *recognizable set* L can be tested by such an automaton on *any* term t in T(F) defining m (in some for an equational, i.e. context-free set).

An inductive set of properties can be effectively constructed (at least theoretically) from every monadic-second order formula.

This result extends to the computation of values (integers) defined by monadic-second order formulas (number of satisfying tuples, distance)

4. Monadic Second-Order (MS) Logic

A logical language which specifies inductive properties and functions

- = First-order logic on power-set structures
- First-order logic extended with (quantified) variables
   denoting subsets of the domains.

MS properties : transitive closure, properties of paths, connectivity, planarity (via Kuratowski, uses connectivity), k-colorability.

*Examples of formulas for*  $G = (V_G, edg_G(.,.))$ , undirected

Non connectivity :  $\exists X ( \exists x \in X \land \exists y \notin X \land \forall u, v (u \in X \land edg(u, v) \Longrightarrow v \in X) )$ 

2-colorability (i.e., G is bipartite) :  $\exists X ( \forall u, v (u \in X \land edg(u, v) \Rightarrow v \notin X) \land \forall u, v (u \notin X \land edg(u, v) \Rightarrow v \in X) )$ 

### Edge set quantifications

*Incidence graph of* G undirected,  $Inc(G) = (V_G \cup E_G, inc_G(.,.))$ 

 $inc_G(v,e) \iff v$  is a vertex of edge e.

Monadic second-order  $(MS_2)$  formulas written with inc can use quantifications on sets of edges.

Existence of Hamiltonian circuit is expressible by an MS<sub>2</sub> formula, but not by an MS formula.

**Definition** : A set L of words, of trees, of graphs or relational structures is Monadic Second-Order (MS) definable if it is the set of finite models of an MS sentence  $\phi$  (formula without free variables).

L = { S / S finite, S  $\mid = \phi$  } for an MS formula  $\phi$ 

Theorem : (1) A language (set of words or *finite terms*) is recognizable (by congruence or automaton) ⇔ it is MS definable

(2) A set of finite graphs is VR-recognizable if it is MS-definable

(3) A set of finite graphs is HR-recognizable if it is MS<sub>2</sub>-definable *Proofs:* 

(1) Doner, Thatcher, Wright, (see W. Thomas, Handbook formal languages, vol.3)
(2, 3) There are two possible proofs, one of them based on the Feferman-Vaught paradigm, saying that the validity of an MS formula in the disjoint union of two structures can be deduced from those of formulas of no larger quantifier-height in each of the two structures. This is inductivity/recognizability.

### 5. Algorithmic applications

Finite automata constructed from MS formulas process terms, not graphs. We need *parsing algorithms* building terms defining the given graphs :

one can construct tree-decompositions in linear time, whence terms representing graphs of twd < given k (Bodlaender, 1996).</li>
 one can construct in cubic time (non-optimal) terms for graphs of clique-width < given k (Oum and Hlineny, 2007).</li>

These are "theoretical algorithms", not implementable. Usable algorithms exist for tree-width (Bodlaender reports testing graphs with 50 vertices of tree-width 35).

Fixed-parameter tractability results

Theorem (B.C.) :

A) For graphs of clique-width  $\leq k$ , for each k :

each monadic second-order property, (ex. 3-colorability), each monadic second-order optimization function, (ex. distance), each monadic second-order counting function, (ex. # of paths) is evaluable :

in linear time on graphs given by a term over VR, in time  $O(n^3)$  otherwise.

B) All this is possible in linear time on graphs of tree-width  $\leq$  k, for each fixed k.

#### Labelling schemes

For a property P(x,y,Z,U) of vertices x,y and sets of vertices Z,U, for a class of graphs of *C*, one wants 2 algorithms, one that attaches to each vertex **u** of a graph G in *C* a label L(u) and another one, independent of G, that decodes : From L(x), L(y), L(Z), L(U) it tells whether P(x,y,Z,U) holds in G. Labels should have size O(log(n)) or O(log<sup>2</sup>(n)), n=number of vertices. *Results* 

P: MS property, C: graphs of bounded twd or cwd (O(log(n)))

P: x and y are separated by Z,

**C**: graphs of bounded twd or cwd (O(log(n)))

**C**: planar graphs (unbounded cwd, O(log(n)))

Distance of x and y in G - Z, C: graphs of bounded twd or cwd (O(log<sup>2</sup>(n))) Applications : Networks with failure. 6. Monadic second-order transductions

STR( $\Sigma$ ): finite  $\Sigma$ -relational structures (or directed ranked  $\Sigma$ -hypergraphs).

**MS** transductions are multivalued mappings  $\tau$  : STR( $\Sigma$ )  $\rightarrow$  STR( $\Gamma$ )

$$S \vdash \tau = \tau (S)$$

Basic case : T is defined inside S by MS formulas, in terms of parameters: subsets  $X_1, ..., X_p$  of the domain of S

*Examples* :  $(G, \{x\}) \mapsto$  the connected component containing x.

 $(G,X,Y) \longrightarrow$  the minor of G resulting from contraction of the edges in X and deletion of edges and vertices in Y.

*Remark* : For each tuple of parameters  $X_1, ..., X_p$  satisfying an MS property, T is uniquely defined.  $\tau$  is multivalued by the different choices of parameters.

General case : T is defined in this way but inside  $\underline{S \oplus S \oplus ... \oplus S}$ : disjoint copies of S with "marked" equalities of copied elements

![](_page_35_Figure_1.jpeg)

*Proposition* : The composition of two MS transductions is an MS transduction.

Example of an MS transduction (without parameters) : The square mapping  $\delta$  on words:  $u \rightarrow uu$ 

| For | u = aac, we ha         | ave S   | $\cdot \rightarrow \cdot \rightarrow \cdot$  |                         |
|-----|------------------------|---|--|-------------------------|
|     |                        |   | a a c  |                         |
|     | <u>S ⊕ S</u>           | $\cdot  ightarrow \cdot  ightarrow \cdot$   | $\cdot  ightarrow \cdot  ightarrow \cdot$    | (marking edges omitted) |
|     |                        | a a c   | a a c  |                         |
|     |                        | p <sub>1</sub> p <sub>1</sub> p <sub>1</sub>  | p <sub>2</sub> p <sub>2</sub> p <sub>2</sub> |                         |
|     | δ(S)                   | $\cdot \rightarrow \cdot \rightarrow \cdot \rightarrow \cdot \rightarrow \cdot \rightarrow \cdot$ |  |                         |
|     |                        | a a c   | a a c  |                         |
|     | In $\delta(S)$ we rede | efine <i>Suc</i> (i.e., ·   | $\rightarrow$ ) as follows :                 |                         |

We also remove the "marker" predicates p<sub>1</sub>, p<sub>2</sub>.

The fundamental property of MS transductions :

![](_page_37_Figure_1.jpeg)

Every MS formula  $\psi$  has an effectively computable

*backwards translation*  $\tau \#(\psi)$ , an MS formula, such that :

S  $| = \tau \#(\psi)$  if and only if  $\tau(S) | = \psi$ 

The verification of  $\psi$  in the object structure  $\tau(S)$  reduces to the verification of  $\tau \#(\psi)$  in the given structure S.(because S contain all information to describe  $\tau(S)$ ; the MS properties of  $\tau(S)$  are expressible by MS formulas in S

Consequence : If  $L \subseteq STR(\Sigma)$  has a decidable MS satisfiability problem, so has its image under an MS transduction.

### Robustness results : Preservation and generation

![](_page_38_Figure_1.jpeg)

(1) : A. Blumensath -B.C. (2) : J. Engelfriet.

### Robustness results : Preservation and generation (2)

![](_page_39_Figure_1.jpeg)

VR-equational  $\Rightarrow$  bounded clique-width.

- HR-equational  $\Rightarrow$  bounded tree-width.
- (1) : A. Blumensath -B.C. (2) : J. Engelfriet. (3) : B.C.-J. Engelfriet

Recognizability is preserved under inverse monadic second-order transductions. (A.Blumensath, B.C., 2004)

QF-recognizability of sets of relational structures is preserved

(QF= disjoint union and unary quantifier free operations)

Proof sketch : Every MS transduction is the composition of MS transductions

of 3 types :

- Copy<sub>k</sub>
- Parameterless noncopying
- Guessing unary relations

1) Copy<sub>k</sub> :

 $S \rightarrow \underline{S \oplus S \oplus \dots S}$  (k times)

Disjoint union with binary relations  $Y_{i,j}$  for  $1 \le i < j \le k$  defined as

 $\{(x,y) / x \text{ is the i-copy, } y \text{ is the j-copy of some u in } D_S\}$ 

Facts : a) Copy<sub>k</sub>(S  $\oplus$  T) = Copy<sub>k</sub>(S)  $\oplus$  Copy<sub>k</sub>(T)

b) For f quantifier-free, there is a quantifier-free op. g such that  $Copy_k(f(S)) = g(Copy_k(S))$ 

 $Copy_k$  is "almost" a homomorphism, REC is preserved under inverse homo's.

#### 2) Forgetting unary relations :

We let  $fgt_{\Pi}$  : STR( $\Sigma \cup \Pi$ )  $\rightarrow$  STR( $\Sigma$ ) forget  $\Pi$ , a finite set of unary relations.

Its inverse consists in guessing the relations in  $\Pi$ , this can be done by means of parameters of an MS transduction.

Lemma : If  $L \in REC$ , then  $fgt_{\Pi}(L) \in REC$ .

*Proof* : From a congruence  $\equiv$  for L, we define the equivalence :

$$S \cong T \quad \text{iff} \quad \{ [U]_{\equiv} / \text{fgt}_{\Pi}(U) = S \} = \{ [U]_{\equiv} / \text{fgt}_{\Pi}(U) = T \}$$

It is finite, saturates  $fgt_{\Pi}(L)$ . It is a congruence : we use the fact that

 $S \oplus T = fgt_{\Pi}(W)$  iff there exist S', T' such that  $W = S' \oplus T'$ ,  $S = fgt_{\Pi}(S')$ , and  $T = fgt_{\Pi}(T')$ ,

and a similar observation for unary operations.

3) Parameterless noncopying MS transductions.

We let  $L \in \text{REC}(\Gamma)$  and  $\tau$  be a parameterless noncopying MS transduction : STR( $\Sigma$ )  $\rightarrow$  STR( $\Gamma$ ) of quantifier-height k

We prove that  $\tau^{-1}(L) \in \text{REC}(\Sigma)$ 

From a congruence  $\equiv$  for L, we define, on each set STR( $\Delta$ ), the equivalence :

 $S \cong T$  iff  $tp_k(S) = tp_k(T)$  and for every parameterless noncopying MS transduction  $\mu : STR(\Delta) \rightarrow STR(\Gamma)$  of quantifier-height at most k, we have  $\mu(S) \equiv \mu(T)$ .

(tp<sub>k</sub>(S) is the MS theory of S of quantifier height at most k.)

It is finite, saturates  $\tau^{-1}(L)$  (because  $\tau$  is one of the considered transductions  $\mu$ ).

7. Logic and combinatorics: Graph classes with decidable monadic second-order theories (or satisfiability problems)

*Theorem* (Seese 1991): If a set of graphs has a decidable  $MS_2$  satisfiability problem, it has bounded tree-width.

*Theorem* (B.C., Oum 2004): If a set of graphs has a decidable  $C_2MS$  satisfiability problem, it has bounded clique-width.

Answering a question by Seese : If a set of graphs has a decidable MS satisfiability problem, is it the image of a set of trees under an MS transduction, equivalently, has it bounded clique-width ?

 $MS_2 = MS$  logic with edge quantifications;  $C_2MS = MS$  logic with even cardinality set predicates. A set *C* has a decidable *L*-satisfiability problem if one can decide whether any give formula in *L* is satisfied by some graph in *C* 

Proof of Seese's Theorem :

- A) If a set of graphs *C* has unbounded tree-width, the set of its minors includes all k x k-grids (Robertson, Seymour)
- B) If a set of graphs contains all kxk-grids, its MS<sub>2</sub> satisfiability problem is undecidable
- C) If *C* has decidable  $MS_2$  satisfiability problem, so has Minors(C), because  $C \longrightarrow Minors(C)$  is an  $MS_2$  transduction.

Hence, if *C* has unbounded tree-width and a decidable  $MS_2$  satisfiability problem, we have a contradiction for the decidability of the  $MS_2$  satisfiability problem of Minors(*C*).

#### Proof of Courcelle-Oum's Theorem :

 D) Equivalence between the cases of all (directed and undirected) graphs and bipartite undirected graphs.

A') If a set of bipartite graphs C has unbounded clique-width, the set of its *vertexminors* contains all "S<sub>k</sub>" graphs

C') If *C* has decidable C<sub>2</sub>MS satisfiability problem, so has Vertex-Minors(*C*), because  $C \longrightarrow Vertex-Minors(C)$  is a C<sub>2</sub>MS transduction.

E) An MS transduction transforms  $S_k$  into the kxk-grid.

Hence A' + B + C' + E gives the result for bipartite undirected graphs. The general result follows with the encoding D).

### Definitions and facts

Local complementation of G at vertex v

G \* v = G with edge complementation of  $G[n_G(v)]$ ,

the subgraph induced by the neighbours of v

Local equivalence ( $\approx_{loc}$ ) = transitive closure of local complementation (at all vertices)

Vertex-minor relation :

 $H \leq_{VM} G : \Leftrightarrow H$  is an induced subgraph of some G'  $\approx_{loc} G$ .

*Proposition (Courcelle and Oum 2004) :* The mapping that associates with G its locally equivalent graphs is a  $C_2MS$  transduction.

Why is the even cardinality set predicate necessary?

![](_page_47_Figure_2.jpeg)

Y

G

Consider G \* X for  $X \subseteq Y$ :

u is linked to v in G \* X

 $\Leftrightarrow$  Card(X) is even

(G \* X = composition of local complementations at all vertices from X)

**Definition of**  $S_k$ , bipartite : A = {1,...,(k+1)(k-1)}, B = {1,...,k(k-1)} From  $S_k$  to Grid<sub>k x k</sub> by an MS transduction

![](_page_48_Figure_1.jpeg)

The orderings of A and B : x, y are consecutive  $\Leftrightarrow$  Card(n<sub>G</sub>(x)  $\Delta$  n<sub>G</sub>(y)) = 2

One recognizes the edges from  $i \in B$  to  $i \in A$ , and from  $i \in B$  to  $i+k-1 \in A$  (thick edges on the left drawing)

One creates edges (e.g. from  $1 \in A$  to  $2 \in A$ , from  $2 \in A$  to  $3 \in A$  etc...and similarly for B, and from  $1 \in B$  to  $4 \in A$ , etc...) one deletes others (from  $4 \in B$  to  $6 \in A$  etc...), and vertices like 7,8 in A, to get a grid containing  $Grid_{kxk}$ 

### 8. A few open questions

*Question 1* : What should be the clique-width/rank-width of hypergraphs (or relational structures) ?

*Question 2* (A. Blumensath, B.C., P. Weil,): Which operations, quantifierfree definable or not, yield extensions of the signatures VR, HR, QF that are *equivalent* i.e., define the same recognizable and equational sets ?

*Question 3* : Is it true that the decidability of the MS (and not of the  $C_2MS$ ) satisfiability problem for a set of graphs implies bounded clique-width, as conjectured by D. Seese ?

More important (IMHO) :

Question 4: What about sets of hypergraphs or relational structures?