



Enumeration algorithms based on *fly-automata*

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Overview

Enumeration problem 1 : listing graphs : for example, the forbidden minors for some minor closed class.

Enumeration problem 2 : listing configurations in a given graph : for example, its p -colorings or its maximal induced planar subgraphs.

We only consider problems of type 2 for configurations defined in **monadic second-order (MSO)** logic and graphs of **bounded tree-width** or **bounded clique-width**. Graphs are defined by algebraic terms and processed by automata on these terms.

Our graph parameter is *clique-width* and the terms denoting graphs are those from which clique-width is defined because :

- it is easier to handle than (the very popular) tree-width for constructing automata, and more powerful : bounded tree-width implies bounded clique-width,
- it is defined in terms of elementary graph operations, hence is easier than the equivalent notion of rank-width,
- it works equally well on directed graphs.
- it can handle edge quantification via incidence graphs.

The system **AUTOGRAPH** (by Irène Durand) and the corresponding theory [B.C.&I.D.: *Automata for the verification of monadic second-order graph properties*, J. Applied Logic, 10 (2012) 368-409] are based on clique-width.

Theorem [B.C.]: For every k , every **MSO** graph property P can be checked by a *finite* automaton. This automaton recognizes the terms that: (1) are written over the finite set of operations that generate the graphs of *clique-width* at most k , and (2) define a graph satisfying P .

However, these automata are much too large to be tabulated.

Remedy: We use **fly-automata** (in French “automates programmés”) whose states and transitions are **described** and **not tabulated**. Only the transitions necessary for an input term are computed, “on the fly”.

As states are not listed, a fly-automaton can use an infinite set of states. It can recognize sets of words or terms that are **not monadic second-order definable** : the language $a^n b^n$, the set of terms of arbitrary clique-width that define **regular** graphs (all vertices of same degree).

It can also **compute values**: the number of p -colorings or of “**acyclic**” p -colorings of a graph (the graph induced by any two color classes is acyclic).

We can construct fly-automata in uniform ways from logical formulas. In this way, we develop a *theory* of (some aspects of) *dynamic programming*.

Review of definitions

Definition 1 : Monadic Second-Order Logic

First-order logic extended with (quantified) variables denoting subsets of the domains.

MSO (expressible) properties : transitive closure, properties of paths, connectedness, planarity (via Kuratowski), p-colorability.

Examples of formulas for $G = (V_G, \text{edg}_G(.,.))$, undirected

G is 3-colorable :

$$\begin{aligned} \exists X, Y (X \cap Y = \emptyset \wedge \\ \forall u, v \{ \text{edg}(u, v) \Rightarrow \\ [(u \in X \Rightarrow v \notin X) \wedge (u \in Y \Rightarrow v \notin Y) \wedge \\ (u \notin X \cup Y \Rightarrow v \in X \cup Y)] \\ \}) \end{aligned}$$

G is not connected :

$$\exists Z (\exists x \in Z \wedge \exists y \notin Z \wedge (\forall u,v (u \in Z \wedge \text{edg}(u,v) \Rightarrow v \in Z)))$$

Transitive and reflexive closure : **TC**(R, x, y) :

$$\forall Z \{ \text{“Z is R-closed”} \wedge x \in Z \Rightarrow y \in Z \}$$

where “Z is R-closed” is defined by :

$$\forall u,v (u \in Z \wedge R(u,v) \Rightarrow v \in Z)$$

The relation R can be defined by a formula as in :

$$\forall x,y (x \in Y \wedge y \in Y \Rightarrow \mathbf{TC}(\text{“}u \in Y \wedge v \in Y \wedge \text{edg}(u,v)\text{”}, x, y)$$

expressing that $G[Y]$ is connected (*Y is free in R*).

Application : Planarity is MSO-expressible (no minor K_5 or $K_{3,3}$).

Non-MSO-expressible properties

- G is *isomorphic to $K_{p,p}$* for some p (p is *not fixed*; needs equipotence of two sets, hence quantification over binary relations to find if there is a **bijection**).

- G has a *nontrivial automorphism*, or is *regular* (has all vertices of same degree).

Reference : B.C. & J. Engelfriet : *Graph structure and monadic second-order logic*, Cambridge University Press, 2012

Definition 2 : Clique-width

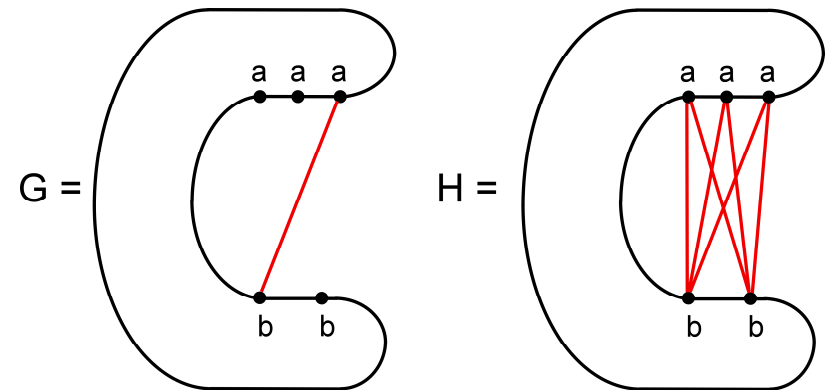
Defined from graph operations. Graphs are simple, directed or not, and labelled by a, b, c, \dots . A vertex labelled by a is called an a -vertex.

One binary operation: *disjoint union* : \oplus

Unary operations: *edge addition* denoted by $Add_{a,b}$

$Add_{a,b}(G)$ is G augmented with undirected edges between every a -vertex and every b -vertex.

The number of added edges depends on the argument graph.



$H = Add_{a,b}(G)$; only 5 new edges added

Directed edges can be defined similarly.

Vertex relabellings :

$Relab_{a \rightarrow b}(G)$ is G with every a -vertex is made into a b -vertex

Basic graphs : those with a single vertex a , labelled by a .

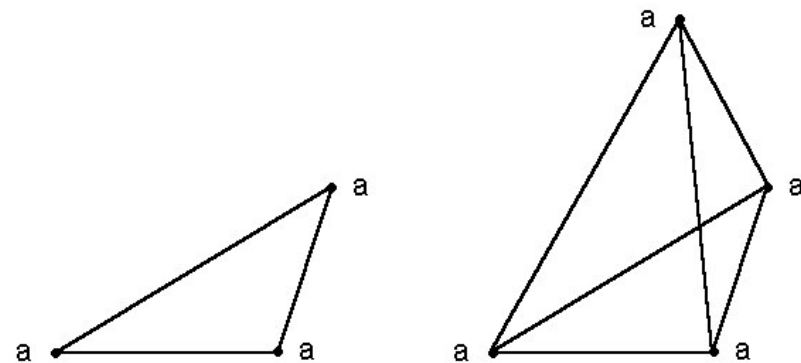
Definition: A graph G has clique-width $\leq k$ (denoted by $cwd(G)$)

$\Leftrightarrow G = G(t)$, defined by a term t using $\leq k$ labels.

Example : Cliques have
clique-width 2.

K_n is defined by t_n where $t_{n+1} =$

$Relab_{b \rightarrow a}(Add_{a,b}(t_n \oplus \mathbf{b}))$



The *parsing problem*: construction of terms, i.e., of decompositions.

As automata take terms as inputs, the *parsing* must be done before. Deciding if $\text{cwd}(G) \leq k$ (for input (G, k)) is NP-complete (same for tree-width).

There are FPT approximation algorithms, taking time $f(k) \cdot n^3$, that output the following, for given k and graph G with n vertices:

- (i) either the answer that $\text{cwd}(G) > k$,
- (ii) or a term witnessing that $\text{cwd}(G) \leq g(k)$.

Every FPT algorithm taking *terms* as inputs can be converted into an FPT algorithm taking *graphs* as inputs.

New definition 3 : Fly-automaton (FA)

$A = \langle F, Q, \delta, \text{Out} \rangle$

F : finite or countable (effective) signature (set of operations),

Q : finite or countable (effective) set of states (integers, pairs of integers, finite sets of integers: states can be encoded as finite words, integers in binary),

$\text{Out} : Q \rightarrow D$ (an effective domain, i.e., set of finite words), **computable**.

δ : **computable** (bottom-up) transition function

Nondeterministic case : δ is *finitely multi-valued*.

This automaton defines a **computable function** : $T(F) \rightarrow D$

(or : $T(F) \rightarrow P(D)$ if it is not deterministic)

If $D = \{ \textit{True}, \textit{False} \}$, it defines a **decidable property**, equivalently,
a **decidable subset** of $T(F)$.

Deterministic computation of a nondeterministic FA :

bottom-up computation of ***finite*** sets of states (classical simulation of the determinized automaton): these states are the useful ones of the ***determinized automaton***; these sets are ***finite*** because the transition function is finitely multivalued.

To be defined later : Enumerating computation

Example : The **number** of accepting runs of a nondeterministic automaton.

Let $A = \langle F, Q, \delta, \text{Acc} \rangle$ be finite, nondeterministic.

Then $\#A := \langle F, [Q \rightarrow \mathbf{N}], \delta^\#, \text{Out} \rangle$

$[Q \rightarrow \mathbf{N}] =$ the set of total functions : $Q \rightarrow \mathbf{N}$

$\delta^\#$ is easy to define such that the state reached at position

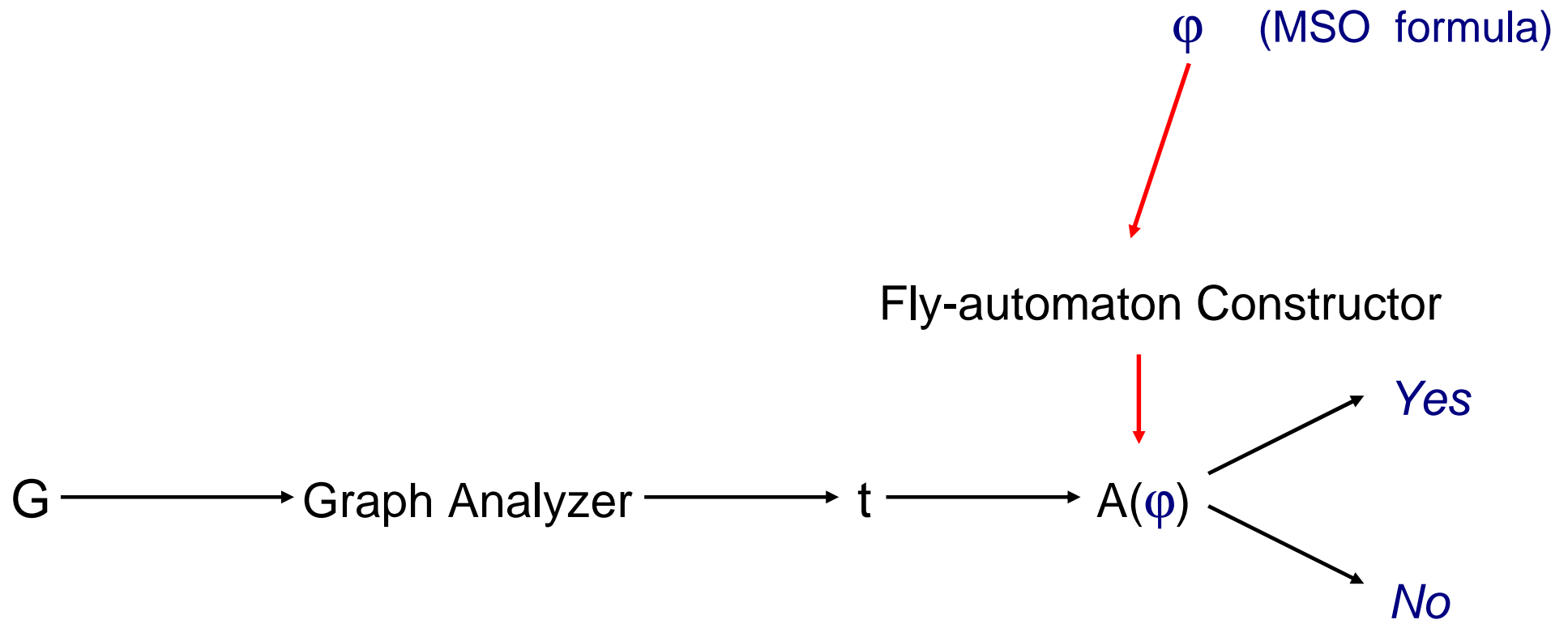
u in the input term is the function σ such that $\sigma(q)$ is

the number of runs reaching **q** at **u**.

Out(σ) is the sum of $\sigma(q)$ for **q** in **Acc**.

$\#A$ is a fly-automaton obtained by a generic construction that extends to the case of an infinite fly-automaton A .

The algorithmic MSO meta-theorem through *fly-automata*



$A(\varphi)$: an *infinite fly-automaton* over the **countable** set F of all graph operations that define clique-width. The time taken by $A(\varphi)$ depends on t (on the number of labels that occur in this term), not only on the size of G .

Fly-automata that check graph properties

How to construct them ?

- (1) **Direct construction** for a well-understood graph property or
- (2) **Inductive construction** based on the structure of an **MSO formula**;
a direct construction is anyway needed for atomic formulas;
logical connectives are handled by transformations of automata :
products, projection (making them nondeterministic), determinization
(for negation).

Direct construction : Connectedness.

The state at node u of term t is the *set of types (sets of labels)* of the connected components of the graph $G(t/u)$. For k labels ($k = \text{bound on clique-width}$), the set of states has size $\leq 2^{(2^k)}$.

Proved lower bound : $2^{(2^{k/2})}$.

→ **Impossible** to “**compile**” the automaton (*i.e.*, to list its transitions) .

Example of a state : $q = \{ \{a\}, \{a,b\}, \{b,c,d\}, \{b,d,f\} \}$, (a,b,c,d,f : labels).

Some transitions :

Add _{a,c} : $q \longrightarrow \{ \{a,b,c,d\}, \{b,d,f\} \}$,

Relab _{$a \rightarrow b$} : $q \longrightarrow \{ \{b\}, \{b,c,d\}, \{b,d,f\} \}$

Transitions for \oplus : union of sets of types.

Note : *Also state (p,p) if $G(t/u)$ has ≥ 2 connected components, all of type p .*

We can allow fly-automata with *infinitely* many states and, also, with *outputs* : numbers, finite sets of tuples of numbers, etc.

Example continued : For computing the **number of connected components**, we use states such as :

$$q = \{ (\{a\}, 4), (\{a,b\}, 2), (\{b,c,d\}, 2), (\{b,d,f\}, 3) \},$$

where 4, 2, 2, 3 are the numbers of connected components of respective types $\{a\}$, $\{a,b\}$, $\{b,c,d\}$, $\{b,d,f\}$.

Computation time of a fly-automaton

F : all graph operations, F_k : those using labels $1, \dots, k$.

On term $t \in T(F_k)$ defining $G(t)$ with n vertices, if a fly-automaton takes time bounded by :

$(k + n)^c \rightarrow$ it is a P-FA (a polynomial-time FA),

$f(k).n^c \rightarrow$ it is an FPT-FA,

$a.n^{g(k)} \rightarrow$ it is an XP-FA.

The associated algorithm is, respectively, polynomial-time, FPT or XP for clique-width as parameter.

Recognizability Theorem [B.C & I.D.] : For each MSO property P , one can construct **a single infinite FPT-FA** over F that recognizes the terms $t \in T(F)$ such that $P(G(t))$ holds.

For each k , its restriction to the finite signature F_k is a finite automaton.

Consequences : (1) The same automaton (the same model-checking program) can be used for graphs of any clique-width.

(2) Can be implemented in non-trivial cases.

Some experiments using FA (by Irène Durand)

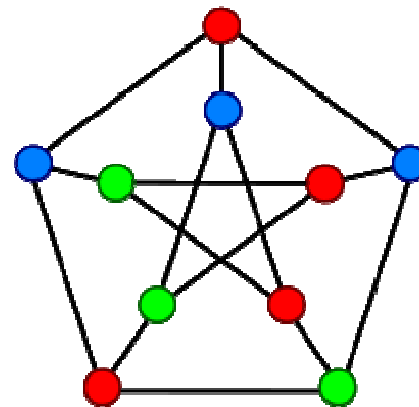
Number of 3-colorings of the 6 x 90 “modified” grid of clique-width 8 in 1 minute 9 seconds (with diagonals on the squares of the first column).
For the similar 6 x 250 grid : < 6 minutes; for 6 x 360 : < 9 minutes.

4-*acyclic*-colorability of the **Petersen graph** (clique-width 5) in 1.5 minutes, from a term in $T(F_6)$.

(3-colorable but not acyclically;

red and **green** vertices

induce a cycle).



The **McGee graph** (of clique-width 8)

is defined by a term in $T(F_{10})$

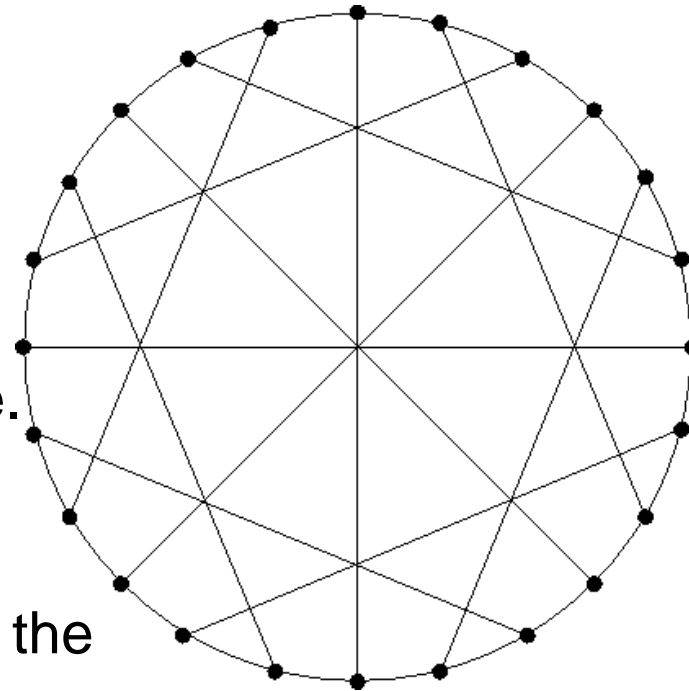
of size 99 and depth 76.

This graph is 3-acyclically colourable.

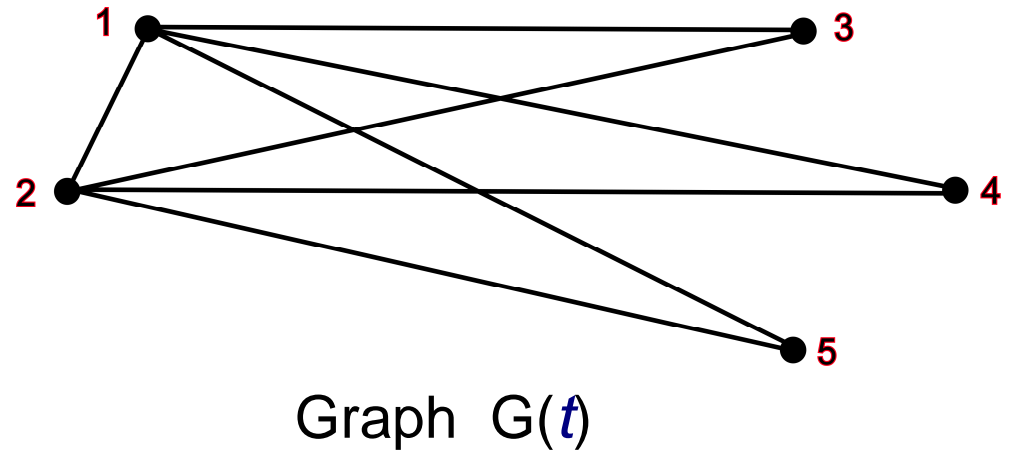
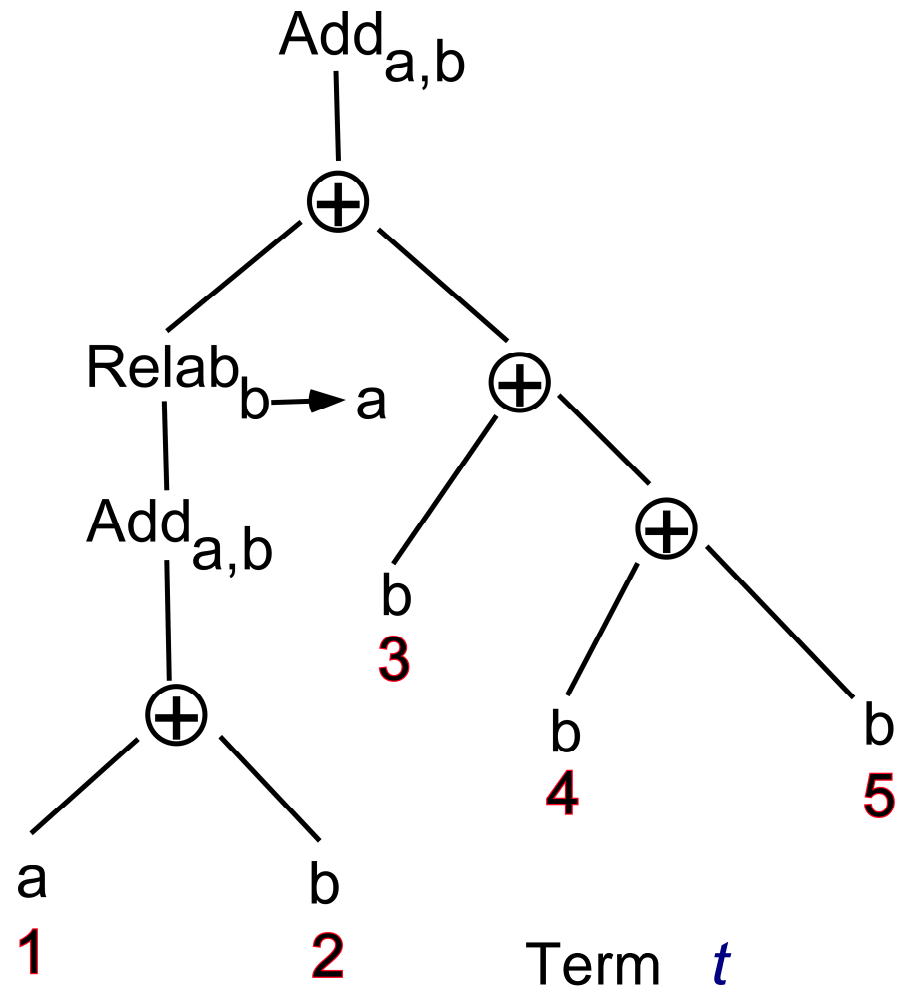
- checked in 40 minutes.

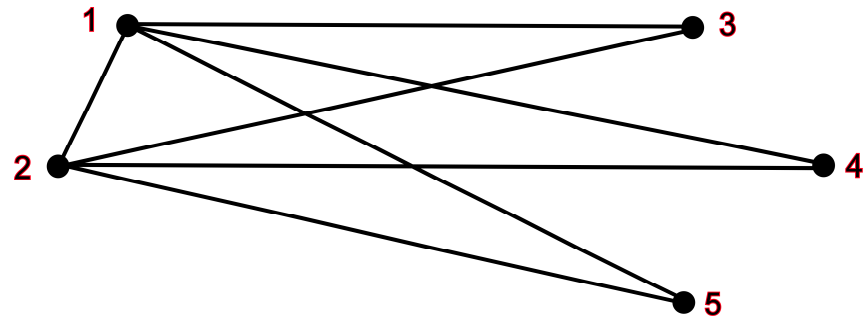
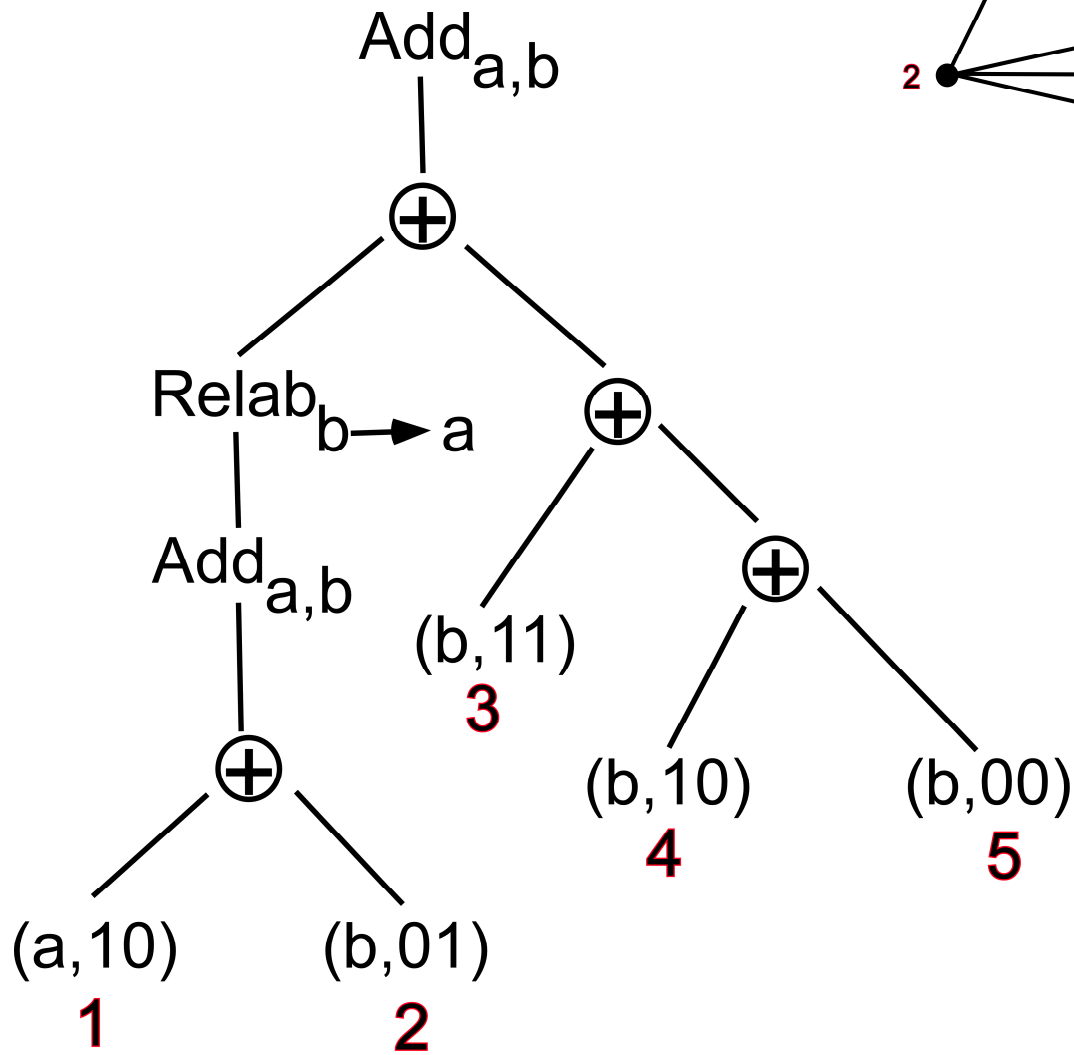
- even in 2 seconds by *enumerating* the

runs, and stopping as soon as an accepting one is found.



Existential quantifications and nondeterminism





$$V_1 = \{1,3,4\}, \quad V_2 = \{2,3\}$$

Term $t^* (V_1, V_2)$

Consider a property $\exists X, Y. \varphi(X, Y)$ to be checked on graph $G(t)$.

We construct a deterministic automaton A over $F^{[2]}$ recognizing the terms $t^*(X, Y)$ such that $G(t^*(X, Y)) \models \varphi(X, Y)$.

We delete the Booleans in the nullary symbols of $F^{[2]}$: we obtain a nondeterministic automaton B over F (called a **projection** : $A \rightarrow B$).

The different runs of B correspond to trying the different possible pairs (X, Y) when looking for a satisfying one.

B recognizes the terms t such that $G(t) \models \exists X, Y. \varphi(X, Y)$.

Enumeration techniques

Enumeration of accepting states

- stopping as soon as one is obtained
- less space but more time for checking negation

(failure to recognition),

- listing the assignments \underline{X} satisfying $\varphi(\underline{X})$: we maintain with each state, at each position, its “origin”: the partial assignment that produced it.

If an **Error state** is found in a partially constructed run, we abort its completion.

Enumerators

An *enumerator* is a triple $E = (D, \textit{reset}, \textit{next})$ where D is an effective (countable) set, *reset* and *next* are two programs guaranteed to terminate. E defines a *finite list* $\text{List}(E)$ of elements of D .

$\text{List}(E)$ may contain repetitions,

next produces one more element or reports “end of list”,

reset reinitializes the program *next*.

Remark : Enumerators can be extended to produce infinite lists.

Basic enumerators : For each nullary a , E_a produces the list of states q (not **Error**) arising from a (by the nondeterministic automaton B that checks $\exists \underline{X}. \varphi(\underline{X})$ and that is obtained from the deterministic automaton A checking $\varphi(\underline{X})$, by deleting the sequences of Booleans w in the nullary symbols (a, w) of the signature $F^{[p]}$ of A).

Alternatively, \underline{E}_a produces the list of pairs (q, w) : we keep track of the w that produced q (its “origin”).

Transforming and combining enumerators.

Making a copy of E : $\text{copy}_u(E)$ indexed by u , a position of the given term.

Making E into $\text{nr}(E)$, **nonredundant**: produces the same elements without repetitions ($\text{nr}(E)$ uses the list of already generated elements).

Applying a unary function $h : D \rightarrow D'$

If E enumerates elements of D , then $h \circ E$ produces the images by h of the elements of $\text{List}(E)$.

Cartesian product.

If E enumerates elements of D , E' elements of D' , we want to list the pairs (d,d') where $d \in \text{List}(E) = d_1, \dots$, $d' \in \text{List}(E') = d'_1, \dots$

Possible orders:

“Line order” (lexico) : $(d_1, d'_1), (d_1, d'_2), \dots, (d_2, d'_1), (d_2, d'_2), \dots$

“Column order” : $(d_1, d'_1), (d_2, d'_1), \dots, (d_1, d'_2), (d_2, d'_2), \dots$

“Diagonal order” : $(d_1, d'_1), (d_1, d'_2), (d_2, d'_1),$
 $(d_1, d'_3), (d_2, d'_2), (d_3, d'_1), \dots$

$E \times_{\text{Line}} E'$, $E \times_{\text{Col}} E'$, $E \times_{\text{Diag}} E'$ realize these enumerations.

Given a term t and an automaton A that checks $\varphi(\underline{X})$, one builds a (big) enumerator E_t by combining basic ones with Cartesian compositions, $ho(\cdot)$ and possibly $nr(\cdot)$.

If $t=f(s)$, then $E_t = ho(E_s)$ where h is based on transitions for f .

If $t=f(s,s')$, then $E_t = ho(E_s \times E_{s'})$ where h is similar.

Running E_t by calling its *next* component iteratively produces the desired list (unless the system lacks of memory).

Weighted enumeration.

Each element d of D has a **weight** (a **size**) $s(d)$.

An **s-enumerator** E produces a list d_1, d_2, \dots such that

$$s(d_1) \leq s(d_2) \leq s(d_3) \leq \dots .$$

For Cartesian product, if E s -enumerates elements of D and E' s' -enumerates those of D' , we want an s'' -enumerator of the pairs (d, d') where $s''(d, d') := s(d) + s'(d')$.

A modification of the diagonal construction can do that.

Application: Enumeration of the tuples \underline{X} that satisfy $\varphi(\underline{X})$ by increasing order of size, where the size is the sum of cardinalities of the sets composing \underline{X} .

The system AUTOGRAPH (by I. Durand)

(1) Fly-automata for **basic graph properties** :

Clique, Stable (no edge), Link(X,Y), NoCycle,

Connectedness, **Regularity**, Partition(X, Y, Z), etc...

and **functions** :

#Link(X,Y)= number of edges between X and Y,

Maximum degree.

Procedures for combining fly-automata, corresponding to logical

constructions : \wedge , \vee , negation, $\exists \underline{X}. \varphi(\underline{X})$.

Procedures to build automata that compute functions:

$\# \underline{X} . \varphi(\underline{X})$: the number of tuples \underline{X} that satisfy $\varphi(\underline{X})$ in the input term (hence, in the associated graph),

$\text{Sp} \underline{X} . \varphi(\underline{X})$: the spectrum = the set of tuples of cardinalities of the components of the \underline{X} that satisfy $\varphi(\underline{X})$, etc...

Enumeration: construction of an enumerator from a term and a fly-automaton.

These constructions are “uniform” with respect to the input automata.

Some tests

Checking colorability of grids $6 \times M$ of clique-width 8.

M	2-col. det	2-col. enum	3-col. det	3-col. enum
7	0.03 s	6 s	10 s	6 s
8	0.03 s	9 s	Fails	9 s
20	0.2 s	3 min	Fails	3 min

Counting 2-colorings : for $M = 200$, in 2 seconds (2).

Counting 3-colorings : for $M = 5$, in 3 seconds (6 204 438).

Fails for $M = 6$.

Works for $M = 360$ for modified grids.

Enumerating 3-colorings :

$M = 20$: Construction of enumerator in 3 minutes

Then, first result in 0.5 second.

Edge quantifications and tree-width

If $G = (V_G, \text{edg}_G(\cdot, \cdot))$, then $\text{Inc}(G) := (V_G \cup E_G, \text{inc}_G(\cdot, \cdot))$ is its *incidence graph*: $\text{inc}_G(u, e) \Leftrightarrow u$ is an end of e .

MSO formulas over $\text{Inc}(G)$ can use quantifications on edges and thus, express more properties.

Proposition (T.Bouvier) : $\text{tree-width}(G) \leq k \Rightarrow \text{cwd}(\text{Inc}(G)) \leq k+3$.

$k+3$ improves a previous exponential upper bound. Hence tools for “bounded clique-width” and MSO formulas are applicable to “bounded tree-width” and MSO formulas using edge quantifications.

As $\text{tree-width}(G) = O(\text{cwd}(\text{Inc}(G)))$, incidence graphs “only work” for bounded tree-width

Another construction based on automata

Theorem [B.C]: Given $\varphi(\underline{X})$ and a term t of width k , after a preprocessing taking time $O(n.\log(n))$, one can enumerate with **linear delay** the tuples \underline{X} that satisfy φ in $G(t)$ (having n vertices).

See : Linear delay enumeration and MSO logic, DAM 157 (2009)

We build from t and A a directed acyclic graph $D(t,A)$ that embeds all runs of B on t . We enumerate with linear delay the accepting runs from $D(t,A)$. The runs contains the tuples they come from, so we can get them.

To have linear delay in the *sizes of the output tuples*, we eliminate the parts of $D(\mathbf{t}, \mathbf{A})$ whose runs come from empty tuples, because traversing these parts takes time that does not correspond to an increase of the produced tuples.

We use a term \mathbf{t} of height $O(\log(n))$, that is not necessarily optimal for width.

This method has not been implemented.

Conclusion

These algorithms are based on **fly-automata**, that can be quickly constructed from logical descriptions (and basic automata)

→ **flexibility**.

The system AUTOGRAPH implements these constructions. Tests have been made for colorability and connectedness problems.

Thank you for suggesting interesting problems that could fit in this framework.