# Finite set interpretations

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Interpretations: logically defined mappings from structures to structures.

**Property:** interpretations are closed under composition.

**Property:**  $S \models \tilde{I}(\phi)$  iff  $I(S) \models \phi$ .

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**Example**:

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#### **Expressive power? Completeness?**

Question: are all structures of decidable MSO-theory prefix recognizable? Seese conjecture: are all structures of decidable MSO-theory MSOinterpretations of a tree? Partially solved (Courcelle & Oum).

# **OVERVIEW**

- Finite set interpretations & Elementary results
- Finite set interpretations of trees
- Focus on car parking
- Conclusion

# Finite set interpretations

**Def:** A relational structure  $S = (U, R_1, \ldots, R_k)$ 

- $\bullet \ \mathcal{U}$  is a set called the universe of the structure
- each  $R_i$  is a relation of arity  $r_i$  over  $\mathcal{U}$

**Isomorphism:**  $S \simeq S'$  if  $R(u_1, \ldots, u_n) \leftrightarrow R'(f(u_1), \ldots, f(u_n))$  (for f bijective)

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#### **First-order logic (FO)**

FO variables:  $x, y, x_1, \ldots$  ranging over  $\mathcal{U}$ 

FO logic:  $x = y, R(x_1, \ldots, x_k), \land, \lor, \neg, \exists x, \forall x$ 

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Model:  $\mathcal{S} \models \phi, \mathcal{S} \models \phi(a, b, c), \mathcal{S} \models \phi(A, B, C)$ 

**Def:** First-order interpretation  $(\delta(x), \phi_1(x_1, \ldots, x_{r_1}), \ldots, \phi_k(x_1, \ldots, x_{r_k}))$ 

- $\delta, \phi_1, \ldots, \phi_k$  are first-order formulas
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- $\Delta, \Phi_1, \ldots, \Phi_k$  are weak monadic formulas
- $X, X_1, \ldots$  are weak monadic variables

#### **SEMANTIC OF INTERPRETATIONS**

Given an interpretation I and a structure S.

FO interpretation:  $I_{FO}(S)$  is defined by: Universe:  $\{a \in \mathcal{U}_S : S \models \delta(a)\}$ Relations:  $R_i(a_1, \dots, a_{r_i})$  iff  $S \models \phi(a_1, \dots, a_{r_i})$  Given an interpretation I and a structure S.

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Motto: Elements of  $I_{FS}(S)$  are finite sets of elements of S.

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$$Plus(X, Y, Z) = \exists C. \ 0 \notin C \land \forall x. \land \ \langle$$

$$\begin{cases} x \notin X \land x \notin Y \land x \notin C & \to x \notin Z \land \operatorname{Succ}(x) \notin C \\ x \in X \land x \notin Y \land x \notin C & \to x \in Z \land \operatorname{Succ}(x) \notin C \\ x \notin X \land x \in Y \land x \notin C & \to x \in Z \land \operatorname{Succ}(x) \notin C \\ x \in X \land x \in Y \land x \notin C & \to x \notin Z \land \operatorname{Succ}(x) \notin C \\ x \notin X \land x \notin Y \land x \in C & \to x \notin Z \land \operatorname{Succ}(x) \notin C \\ x \in X \land x \notin Y \land x \in C & \to x \notin Z \land \operatorname{Succ}(x) \notin C \\ x \notin X \land x \notin Y \land x \in C & \to x \notin Z \land \operatorname{Succ}(x) \in C \\ x \notin X \land x \in Y \land x \in C & \to x \notin Z \land \operatorname{Succ}(x) \in C \\ x \notin X \land x \in Y \land x \in C & \to x \notin Z \land \operatorname{Succ}(x) \in C \\ x \notin X \land x \in Y \land x \in C & \to x \notin Z \land \operatorname{Succ}(x) \in C \end{cases}$$

**Prop:**  $(\mathbb{N}, \operatorname{Succ}) \models \operatorname{Plus}(A, B, C)$  iff f(A) + f(B) = f(C)

# **EXAMPLE:** $I_{\rm FS}(\mathbb{N}, {\rm Succ}) \simeq (\mathbb{N}, +)$

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Prop:  $(\mathbb{N}, \operatorname{Succ}) \models \operatorname{Plus}(A, B, C)$  iff f(A) + f(B) = f(C)Conclusion:  $I_{\operatorname{FS}}(\mathbb{N}, \operatorname{Succ}) \simeq (\mathbb{N}, +)$ 

**Prop:**  $I_{\rm FS}(S) \models \phi$  iff  $S \models \widetilde{I_{\rm FS}}(\phi)$ . Where  $\phi$  is a first order formula,  $\widetilde{I_{\rm FS}}(\phi)$  is a WMSO-formula.

**Prop:**  $I_{\rm FS}(\mathcal{S}) \models \phi$  iff  $\mathcal{S} \models \widetilde{I_{\rm FS}}(\phi)$ .

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Proof:

Transform in  $\phi$  every FO-variable into a WMSO-variable.

Relativise the quantification to  $\Delta$ .

Substitute the corresponding formula in  $I_{\rm FS}$  for every relational symbol.

**E.g.**  $\exists x. \forall y. \exists z. x + y = z \text{ becomes } \exists X. \forall Y. \exists Z. Plus(X, Y, Z)$ 

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**Corollary:** The set FS(S) of structures obtainable by finite set interpretations from S is closed under FO-interpretations.

**Def:** The universal FS-interpretation  $P_F$  is defined by:  $P_F = (\Delta(X), \Phi_{\subseteq}(X, Y), \Phi_1(X_1, \dots, X_{r_1}), \dots, \Phi_k(X_k, \dots, X_{r_k})$  with:

$$\Delta(X) = True$$
  

$$\Phi_{\subseteq}(X,Y) = \forall x. \ x \in X \to x \in Y$$
  

$$\Phi_i(X_1,\ldots,X_{r_i}) = \exists x_1 \ldots \exists x_{r_i}. \bigwedge_j X_j = \{x_j\} \land R_i(x_1,\ldots,x_{r_i})$$

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**Description:**  $P_{\rm F}(\mathcal{S})$  is the structure such that:

- The universe is the set of finite sets of elements of  $\mathcal{S}$ .
- The relation  $R_{\subseteq}$  coincide with the inclusion.
- The structure  $\mathcal{S}$  is replicated over singletons.

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**Proof:** Eliminate FO-variables using  $\subseteq$ . Replace syntactically WMSO-variables by FO-variables.

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**Corollary:** FS(S) is the set of structures FO-interpretable in  $P_F(S)$  (called the generator of FS(S))

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**Prop:** The first order theory of tree-automatic structures is decidable. **Proof:** Consequence of the closure of tree-automata under projection, union, intersection and complementation, and the decidability of the emptyness problem.

**Prop:** A structure admits an automatic presentation iff it is isomorphic to  $I_{FS}(\mathbb{N},+)$  for some finite set interpretation  $I_{FS}$ .

A structure admits a tree-automatic presentation iff it is isomorphic to  $I_{\rm FS}(\Delta_2)$  for some finite set interpretation  $I_{\rm FS}$ .

# Finite set interpretations of trees

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**Consequence:** All structures obtainable by application of a finite set interpretation to a structure of decidable WMSO-theory can be obtained by applications of a finite set interpretation to a tree.

**Proof:**  $I_{\rm FS}(\mathcal{S}) = I_{\rm FS}(I_{\rm WMSO}(t)) = I'_{\rm FS}(t)$ 

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**Consequence:** All structures obtainable by application of a finite set interpretation to a structure of decidable WMSO-theory can be obtained by applications of a finite set interpretation to a tree. **Proof:**  $I_{\rm FS}(S) = I_{\rm FS}(I_{\rm WMSO}(t)) = I'_{\rm FS}(t)$ 

**Conclusion:** Structures obtainable by finite set interpretations of trees are an important class to study.

**Goal:** Study FS(t) for t a deterministic tree.

**Def:** An equivalence relation  $\sim$  is a congruence over a structure S if for every n and  $u_1 \sim v_1, \ldots, u_{r_n} \sim v_{r_n}$ :

$$R_n(u_1,\ldots,u_{r_n})$$
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The quotient structure  $S/_{\sim}$  has the equivalence classes of  $\sim$  as universe, and the image of the relations by the canonical surjection as relations.

**Def:** An equivalence relation  $\sim$  is a congruence over a structure S if for every n and  $u_1 \sim v_1, \ldots, u_{r_n} \sim v_{r_n}$ :

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**Prop:** If  $S = (U, R_1, ..., R_k, \sim)$  has a decidable FO-theory,  $S/_{\sim}$  also has a decidable FO-theory.

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Corollary: The tree-automatic structures are closed under quotient.

### MAIN REPRESENTATION THEOREM

Corollary of Main Theorem: Fix  $I_{\rm FS}.$  There exists  $I_{\rm WMSO}$  such that for every  ${\cal S}$  and t,

 $P_{\rm F}(\mathcal{S}) \simeq I_{\rm FS}(t)$  iff  $\mathcal{S} \simeq I_{\rm WMSO}(t)$ .

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**Proof (easy direction):** Assume  $S \simeq I_{\text{WMSO}}(t)$ . Then  $P_{\text{F}}(S) \simeq P_{\text{F}}(I_{\text{WMSO}}(t)) = I'_{\text{FS}}(t)$ . Corollary of Main Theorem: Fix  $I_{\rm FS}.$  There exists  $I_{\rm WMSO}$  such that for every  ${\cal S}$  and t ,

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**Corollary of corollary:** S is a structure, t a tree then

 $FS(S) \subseteq FS(t)$  iff  $S = I_{WMSO}(t)$  for some WMSO-interpretation  $I_{WMSO}(t)$ 

**Example:**  $M = (\{a, b\}^*, a, b, .)$  is not FS-interpretable in a tree.

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# Focus on car parking

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 $\begin{array}{rcl} f: & \operatorname{Atoms} \subseteq \mathcal{P}(\operatorname{dom}(t)) & \to & \operatorname{dom}(t) & \text{ is an injection.} \\ & A & \mapsto & u & \operatorname{with} t \models \Psi(A, u) \end{array}$ 

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Conclusion: By sending  $I_{\rm FS}(t)|_{\rm Atoms} \simeq S$  via f, one obtains  $I_{\rm WMSO}(t) \simeq S$ .

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**Idea:** Each f(X) is a car. Compute the flow of cars, and an itinerary for each car.

At the end, one must have at most one car per node.

## Conclusion

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New object: finite set interpretations

#### **Elementary properties:**

- Composition:  $I_{\rm FO} \circ I_{\rm FS}$  and  $I_{\rm FS} \circ I_{\rm WMSO}$  are FS-interpretation.
- Transfer:  $I_{FS}(S) \models \phi$  iff  $S \models \widetilde{I_{FS}}(\phi)$ .
- Existence of a universal FS-interpretation  $P_{\rm F}$ .

#### Advanced results:

- Closure of FS(t) under quotient.
- Main result:  $P_{\rm F}(\mathcal{S}) \simeq I_{\rm FS}(t)$  iff  $\mathcal{S} \simeq I_{\rm WMSO}(t)$ .

#### **Applications**:

- The free monoid (two elements) non FS-interpretable in a tree.
- Random graph non FS-interpretable in a tree.
- Strictness of the tree-automatic hierarchy (variant by Blumensath).
- Intrinsic regularity in  $P_{\rm F}(\mathbb{N}, {\rm Succ})$  (extension of (Barany)).