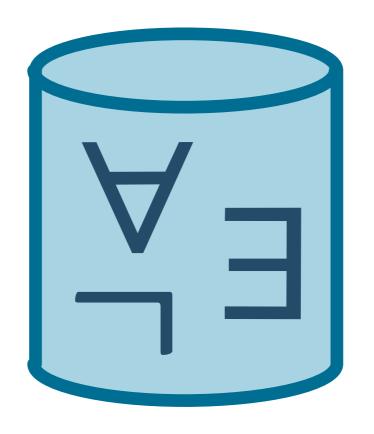
20/7/2015



# Fundamentos lógicos de bases de datos (Logical foundations of databases)

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#### Recap

- Relational model (tables)
- Relational Algebra (union, product, difference, selection, projection)
- SQL (SELECT ... FROM ... WHERE ...)
- First-order logic (syntax, semantics, active domain)
- Expressiveness (FOact = RA = basic SQL)
- Undecidable problems (Halting ≤ Domino ≤ Satisfiability ≤ Equivalence)
- Data complexity / Combined complexity
- Complexity of evaluation (LOGSPACE / PSPACE complexity)

Goal: check which properties / queries are expressible in FO

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Example.  $Q(G) = \{(u, v) \mid G \text{ contains a path from } u \text{ to } v\}$ 

Is Q expressible as a first-order formula?

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Example. 
$$\phi = \forall x \forall y \left( \neg E(x,y) \lor \exists z \left( \left( E(x,z) \lor E(z,x) \right) \land \left( E(y,z) \lor E(z,y) \right) \right) \right)$$
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Quantifier rank ≠ quantity of quantifiers

Eg, in 
$$d_0(x, y) = E(x, y)$$
, and 
$$d_k(x,y) = \exists z (d_{k-1}(x, z) \land d_{k-1}(z, y))$$
$$qr(d_k) = k \text{ but } \# \text{ quantifiers of } d_k \text{ is } 2^k$$

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Quantifier rank is a measure of complexity of a formula

**Sub-goal:** Given a property P and a number *n*, tell whether P is expressible by a sentence of quantifier rank at most *n*.

Definition. Two structures  $S_1$  and  $S_2$  are n-equivalent iff they satisfy the same FO sentences of quantifier rank  $\leq n$  (i.e.  $S_1 \models \varphi$  iff  $S_2 \models \varphi$  for all  $\varphi \in FO$  with  $\operatorname{qr}(\varphi) \leq n$ )

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Consider a property (i.e. a set of structures) *P*.

Suppose that there are  $S_1 \in P$ ,  $S_2 \notin P$  s.t.

 $S_1$  and  $S_2$  are *n*-equivalent.

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Example. **P** = { finite structures } seems to be not FO-definable. One could then aim at proving that

for all *n* there are  $S_1 \in P$  and  $S_2 \notin P$  s.t.  $S_1, S_2$  *n*-equivalent...



#### Expressive power via games

Characterization of the expressive power of FO in terms of Games

#### Expressive power via games

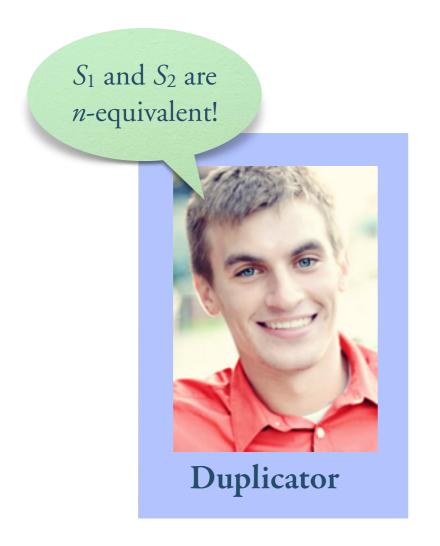
Characterization of the expressive power of FO in terms of Games

<u>Idea</u>: For every two structures (S,S') there is a game where

a player of the game has a winning strategy iff

S,S' are indistinguishable

A game between two players





Board:  $(S_1, S_2)$ 

One player plays in one structure, the other player answers in the other structure.

If after n rounds Duplicator doesn't lose:  $S_1$ ,  $S_2$  are n-equivalent

Definition. Partial isomorphism between  $S_1$  and  $S_2$  = injective partial map

f: nodes of  $S_1 \longrightarrow \text{nodes of } S_2$ 

so that

E(x,y) iff E(f(x),f(y))

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At each round *i*:

Spoiler chooses a node  $x_i$  from  $S_1$ 1. and Duplicator answers with a node  $y_i$  from  $S_{2,}$ 

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or

2. Spoiler chooses a node  $y_i$  from  $S_2$ and Duplicator answers with a node  $x_i$  from  $S_1$ ,

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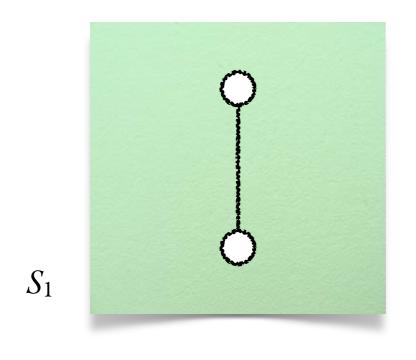
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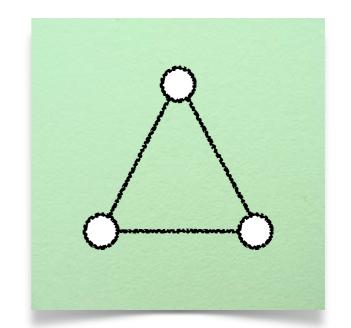
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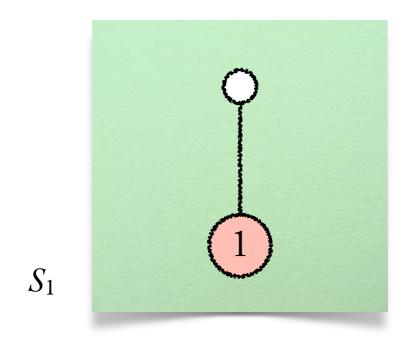
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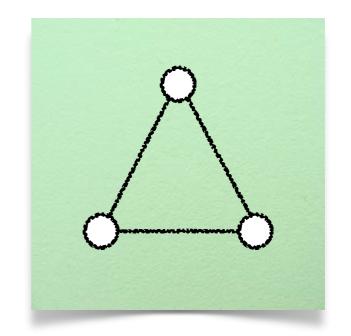
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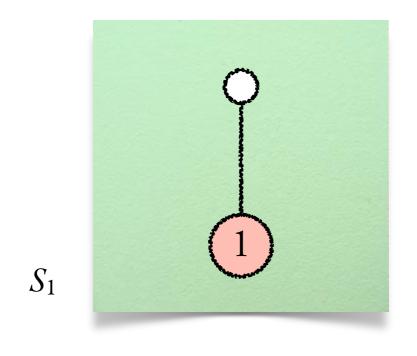
- 2. **Spoiler** chooses a node  $y_i$  from  $S_2$ and Duplicator answers with a node  $x_i$  from  $S_1$ ,
- **Spoiler** wins if  $\{x_i \mapsto y_i \mid 1 \le i \le n\}$  is **not a partial isomorphism** between  $S_1$  and  $S_2$ . or

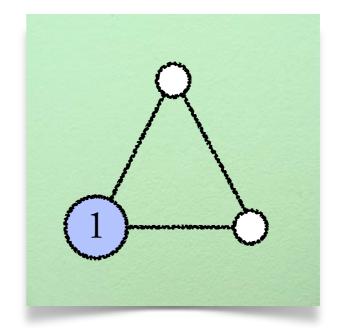


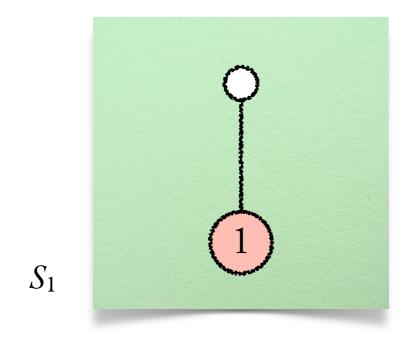


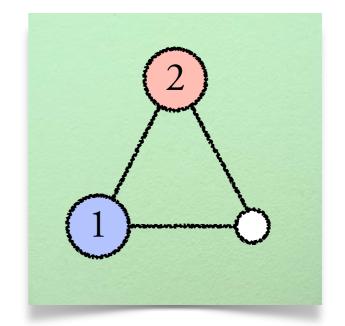


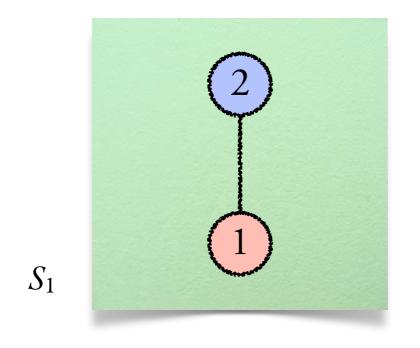


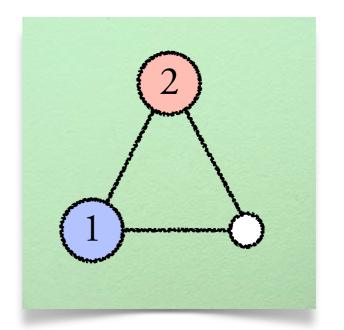


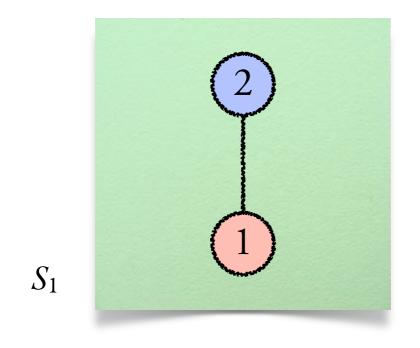


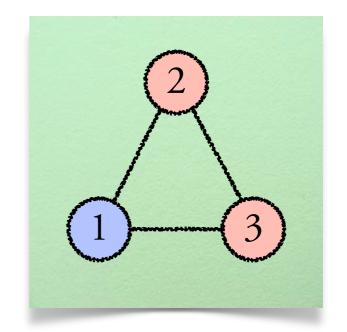


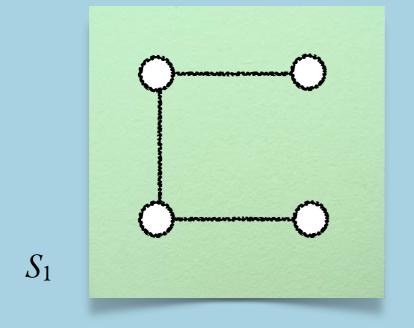


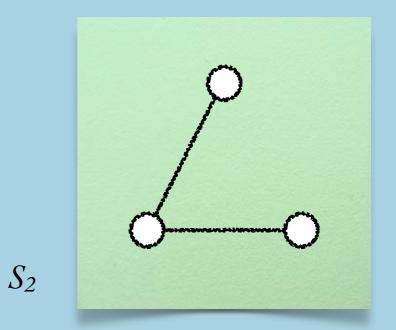


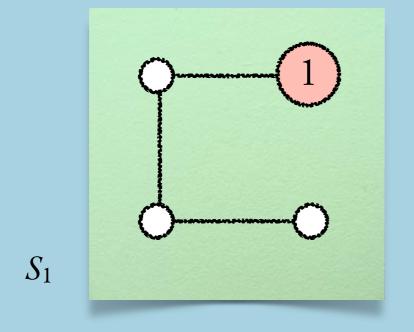


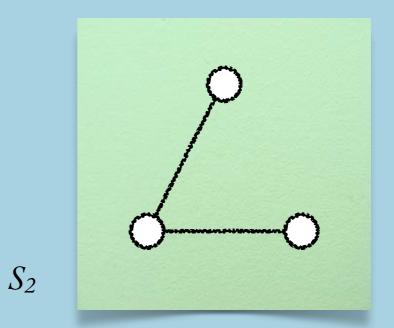


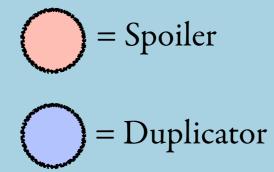




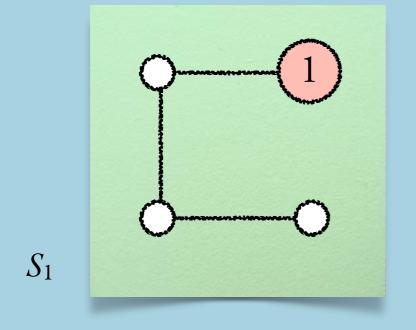


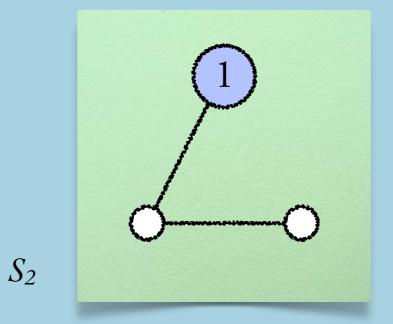




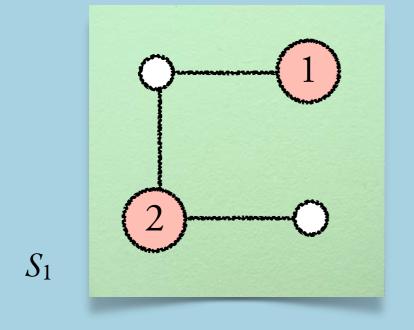


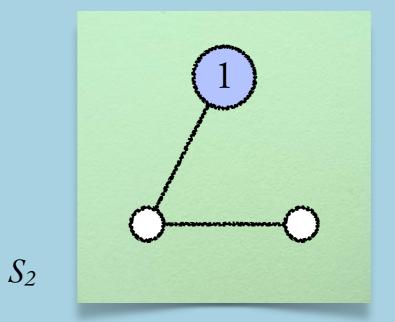
Question: Can Spoiler win in 3 rounds?

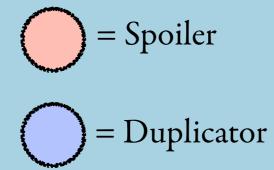


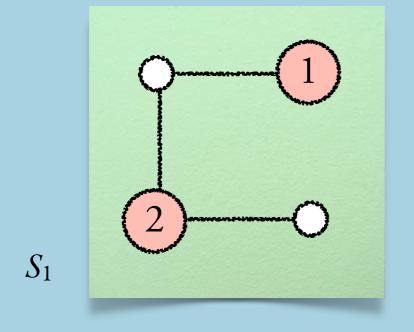


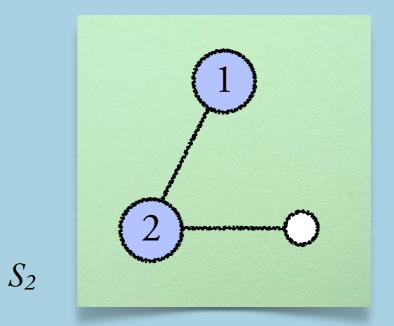
= Spoiler

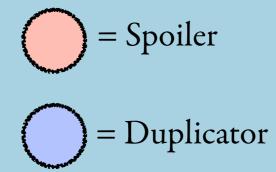


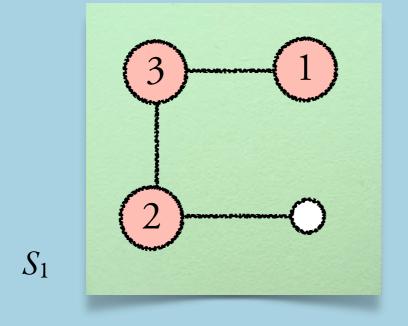


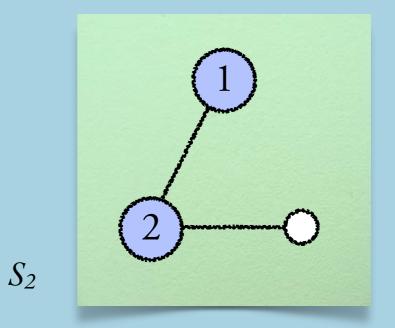


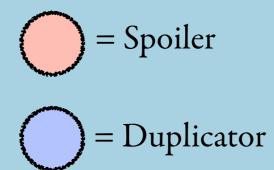




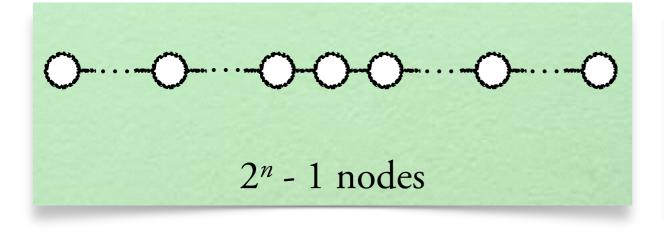


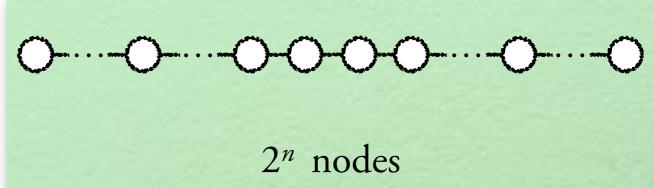


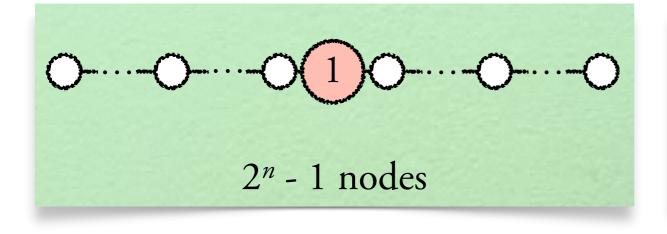


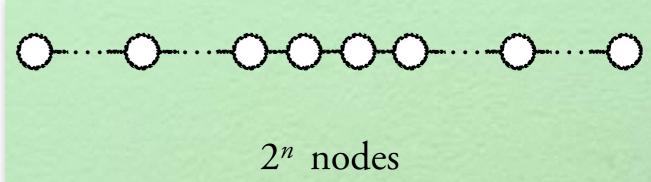


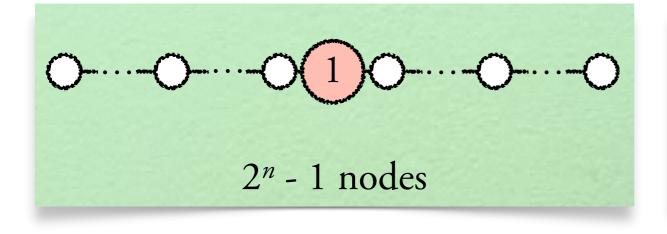
On non-isomorphic finite structures, Spoilers wins eventually... Why?

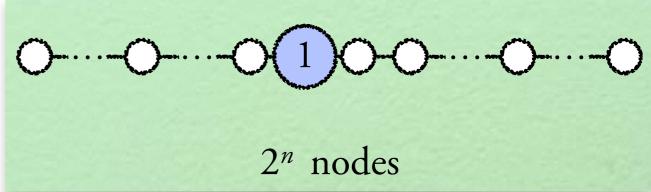


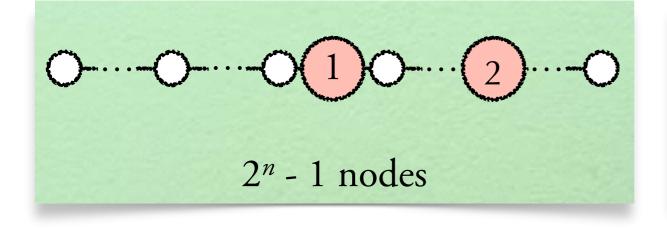


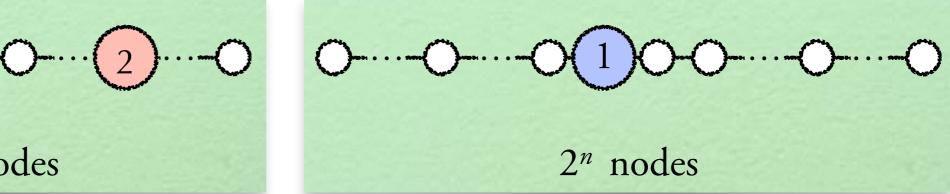


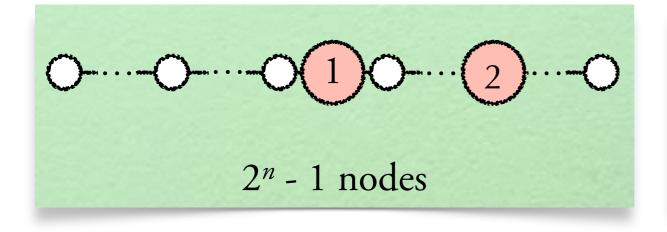


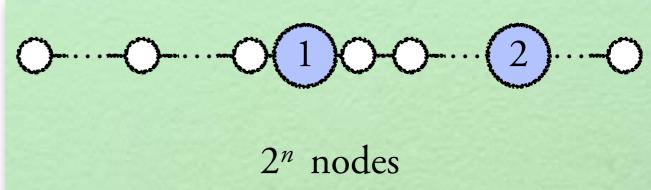




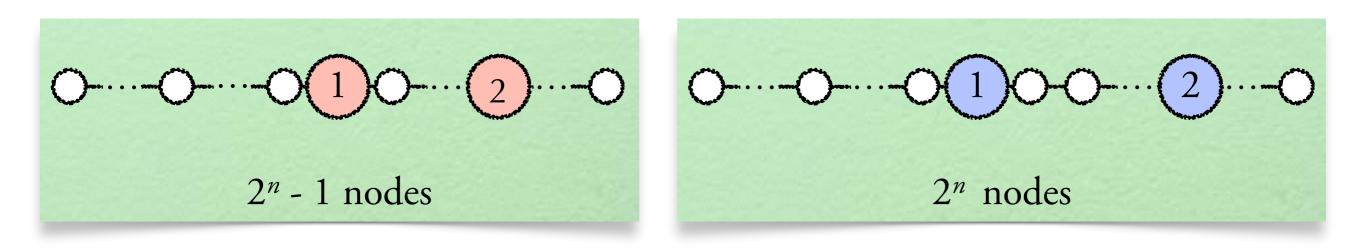








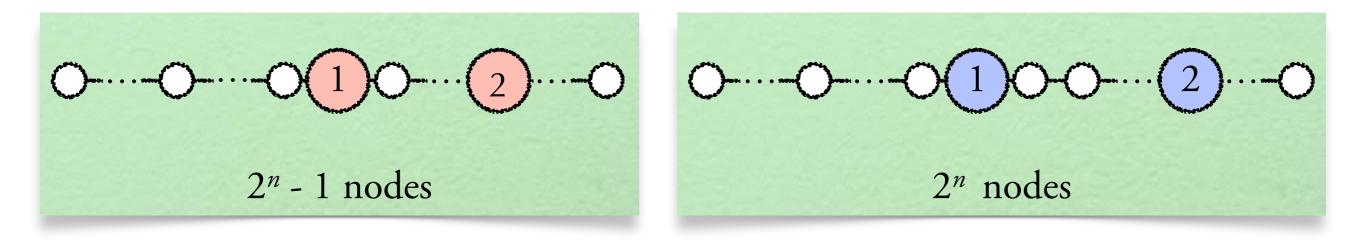
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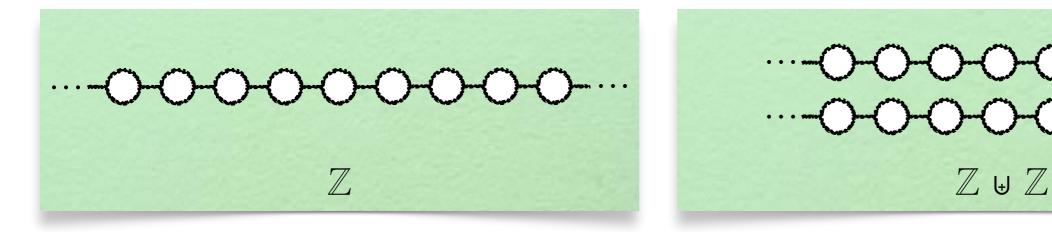


But there are non-isomorphic *infinite* structures where Duplicator can survive for *arbitrarily many rounds* (not necessarily forever!)

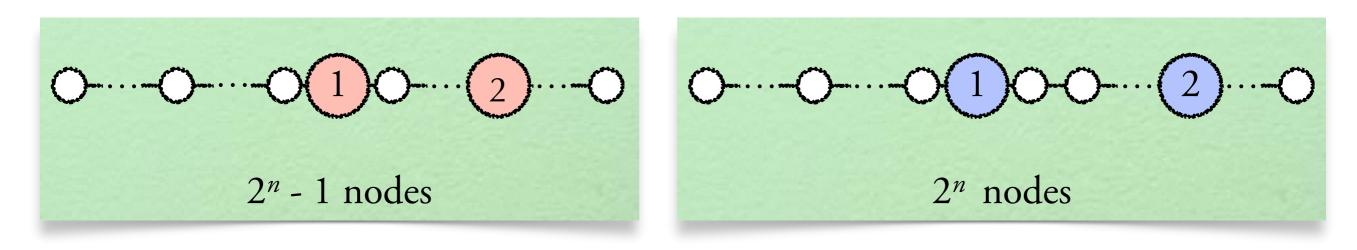
Any idea?

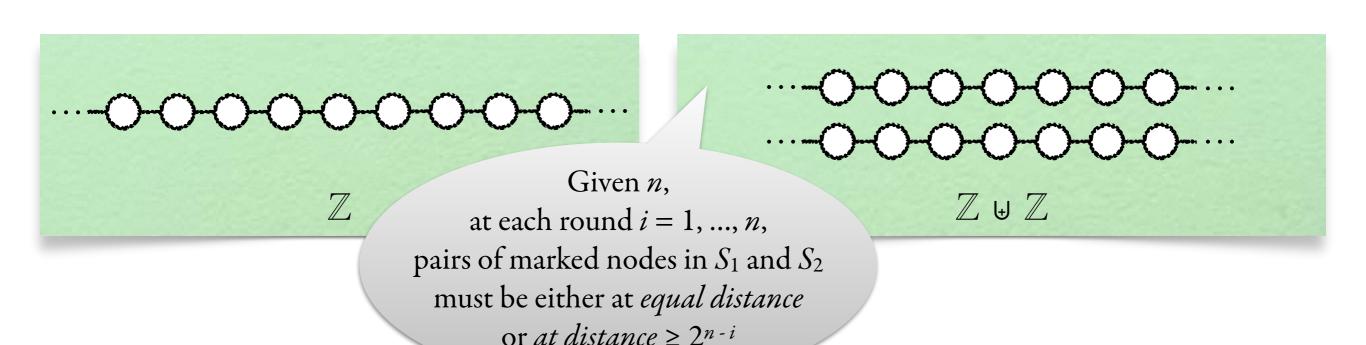
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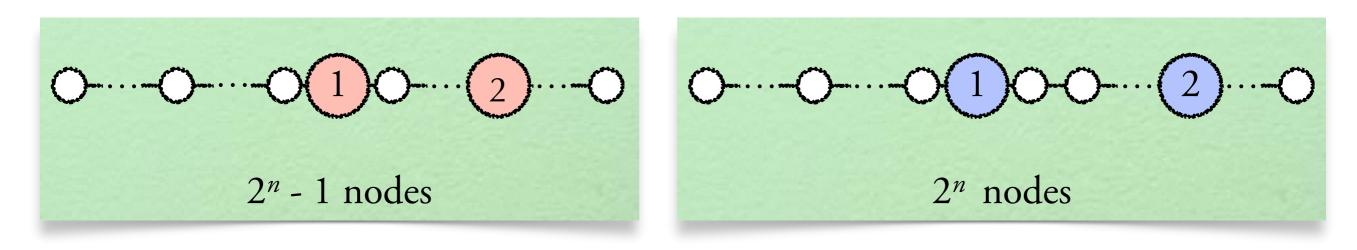


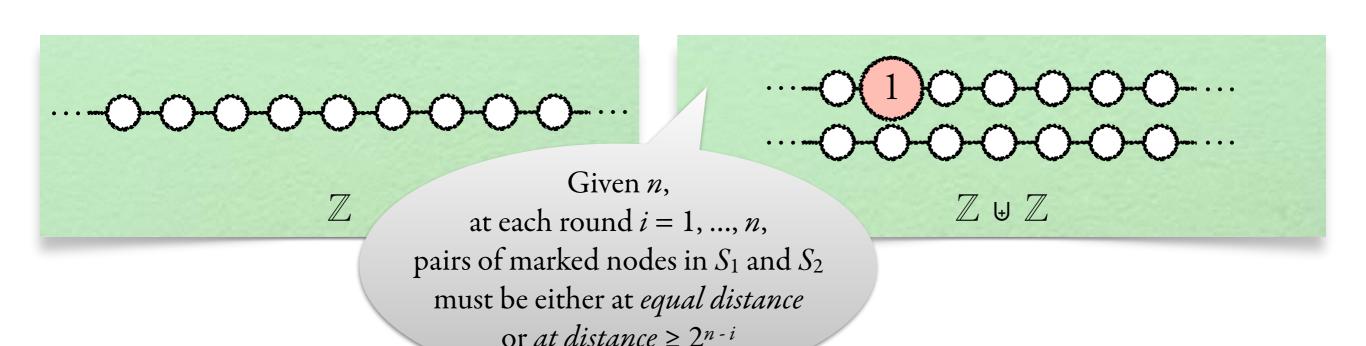
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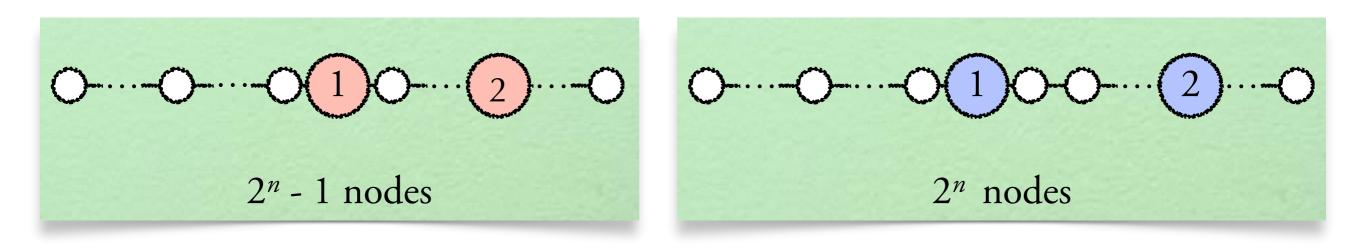


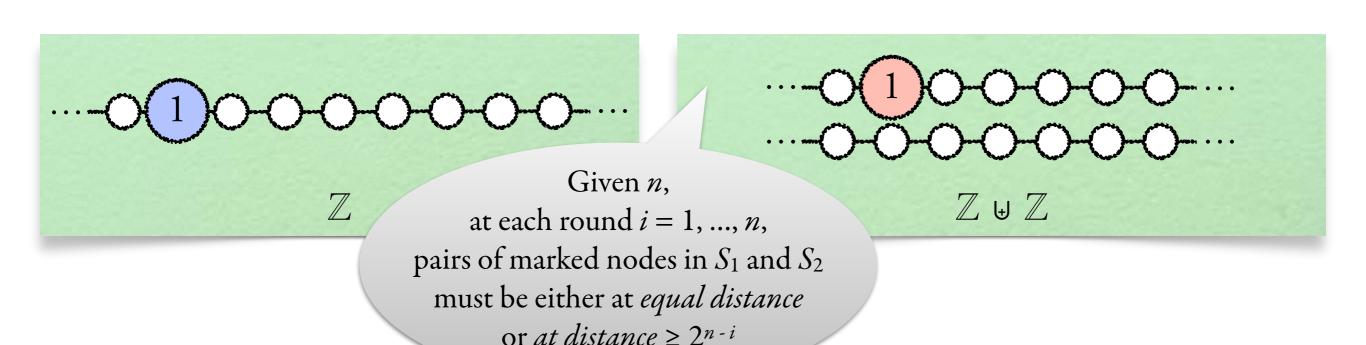
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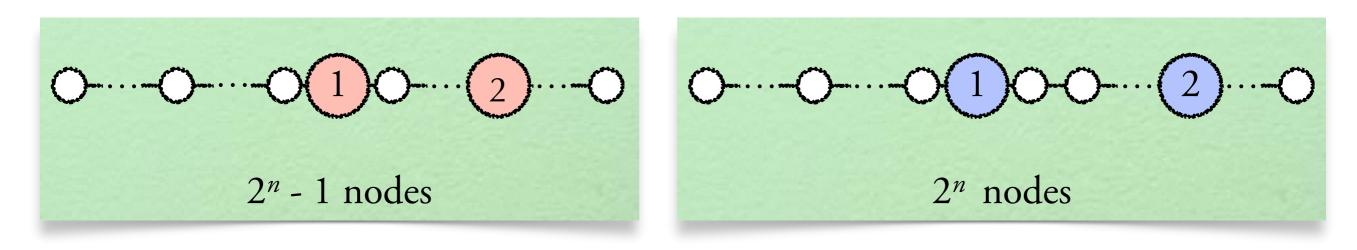


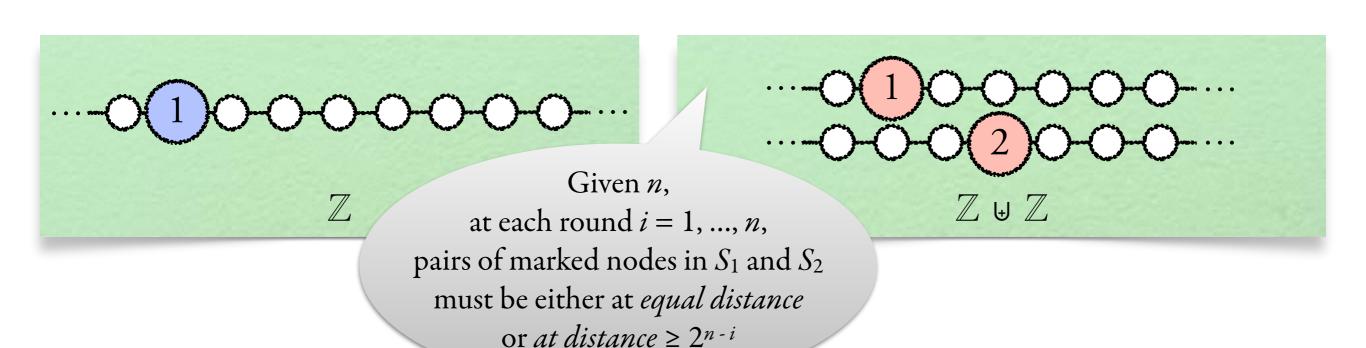
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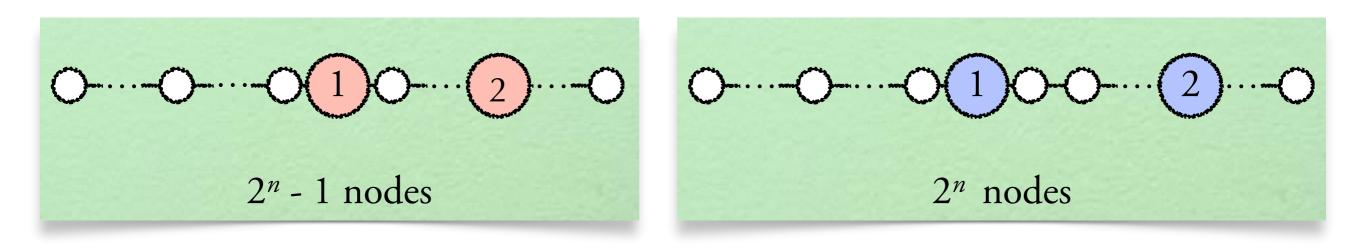


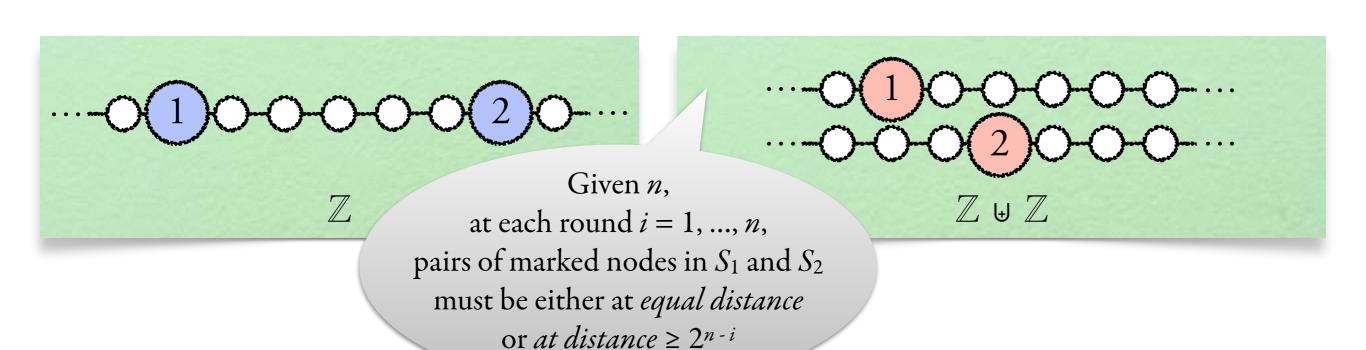
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 $S_1$  and  $S_2$  are n-equivalent

[Fraïssé '50, Ehrenfeucht '60]

iff

Duplicator has a strategy to survive n rounds in the EF game on  $S_1$  and  $S_2$ .

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Exercise: prove that the following corollary of the theorem.

If **P** is a property and for all *n* there are  $S_1 \in \mathbf{P}$ ,  $S_2 \notin \mathbf{P}$  s.t. Duplicator can survive *n* rounds on  $S_1$  and  $S_2$ 

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Then **P** is not definable in FO.

**Proof:** Suppose that **P** is defined by an FO sentence  $\phi$  and \* holds.

From  $\circledast$  and the above theorem:  $\forall n \exists S_1 \in \mathbf{P} \exists S_2 \notin \mathbf{P}$ .  $S_1, S_2$  are n-equivalent.

In particular, when  $n = quantifier rank of \phi$ ,  $S_1 \models \phi$  iff  $S_2 \models \phi$ .

A contradiction!

**Theorem.**  $S_1$  and  $S_2$  are n-equivalent

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Proof ideas for the if-direction (from Duplicator's winning strategy to *n* - equivalence)

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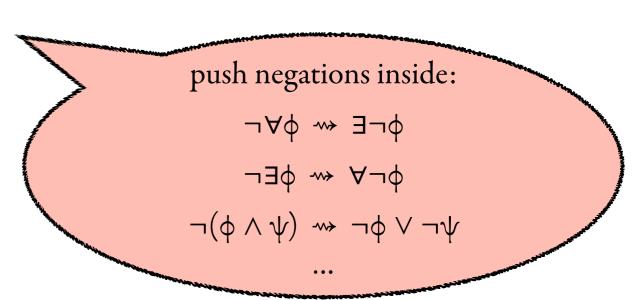
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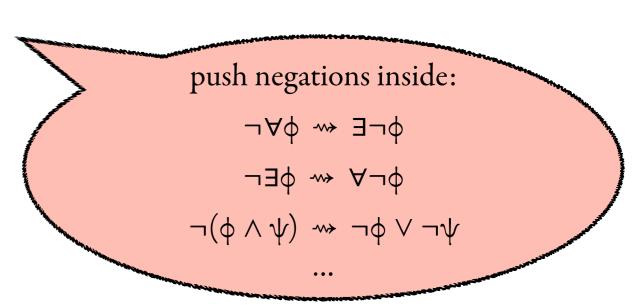
A new game to evaluate formulas....

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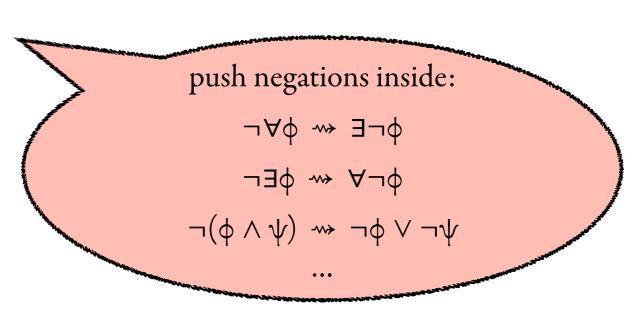


Whether  $S \models \phi$  can be decided by a *new game* between two players, True and False:

- $\phi = E(x,y)$   $\rightarrow$  True wins if nodes marked x and y are connected by an edge, otherwise he loses
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Turn winning strategy for True in  $S_1$  into winning strategy for True in  $S_2$ ....

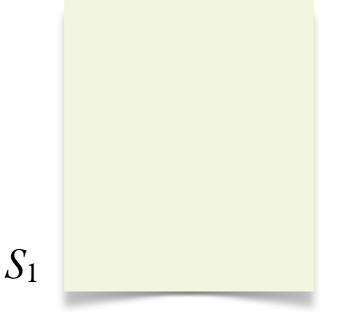
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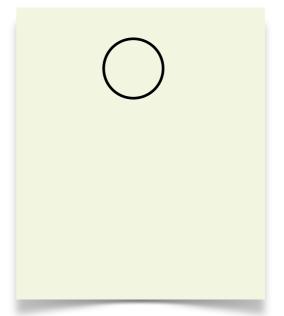
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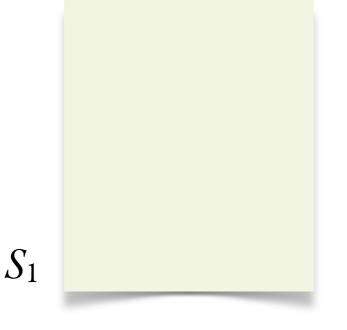
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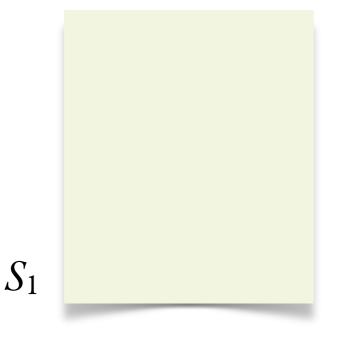
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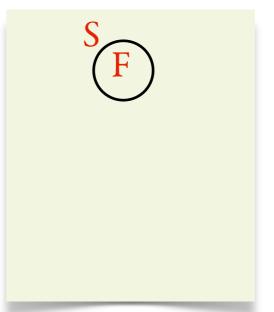
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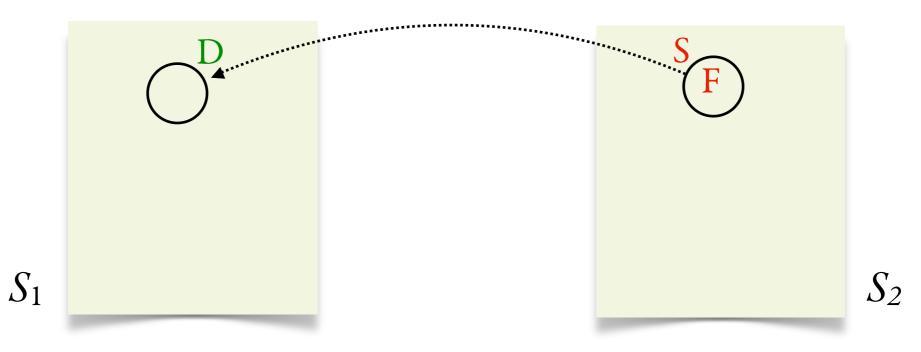
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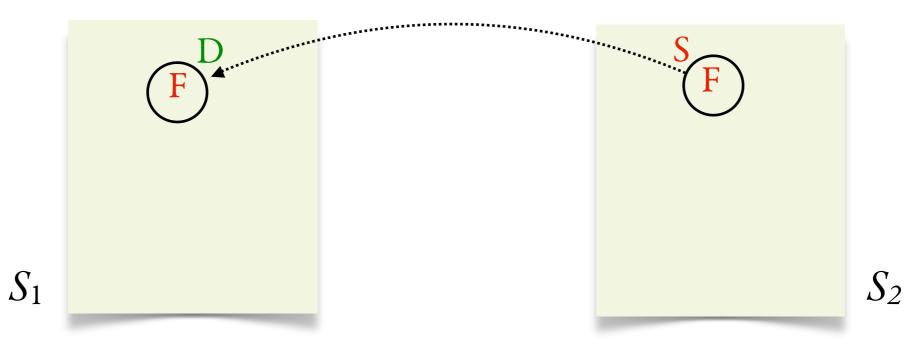
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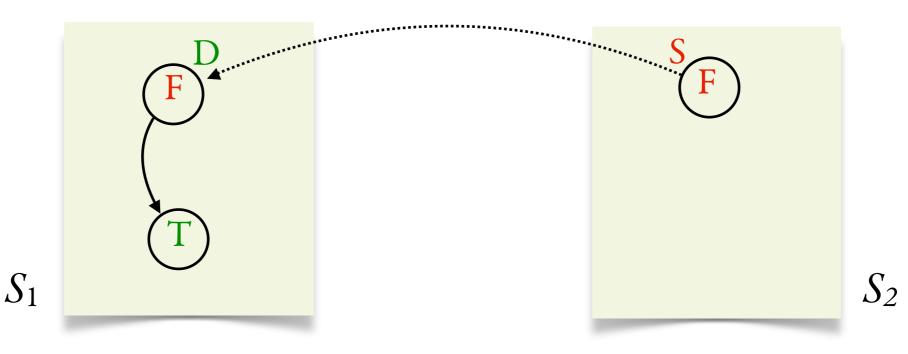
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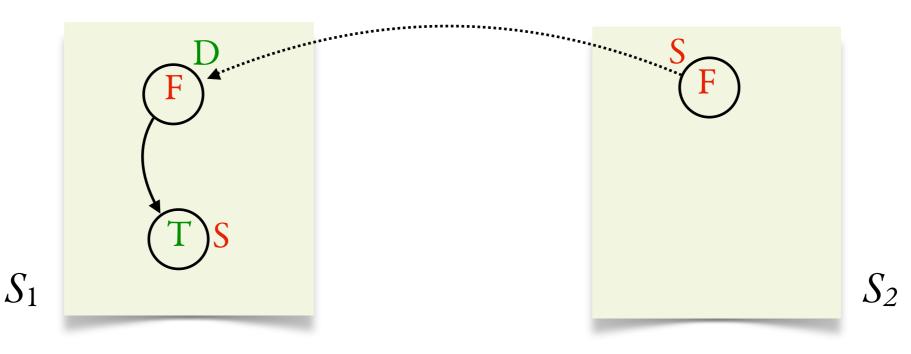
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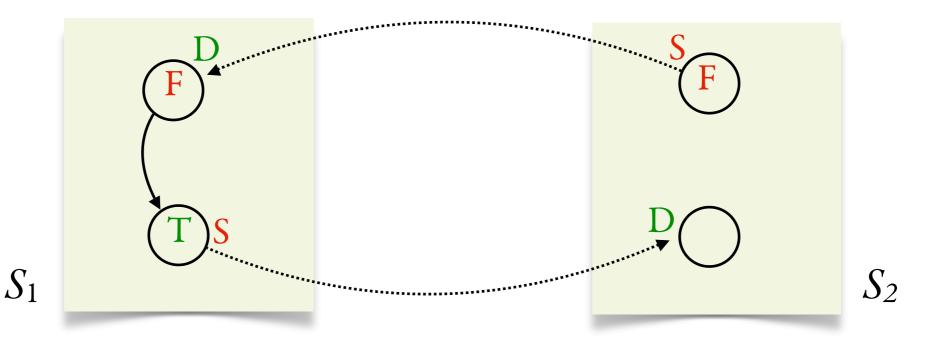
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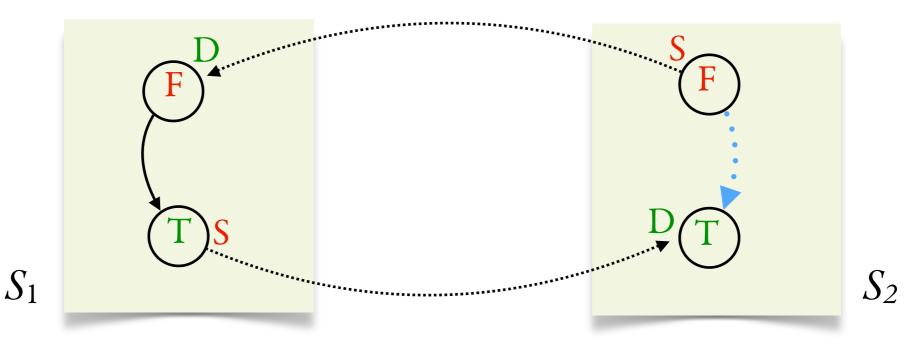
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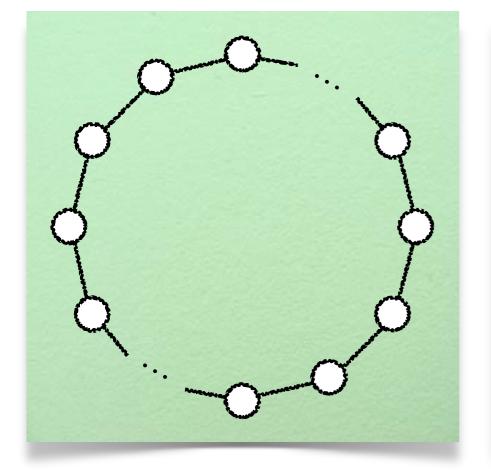
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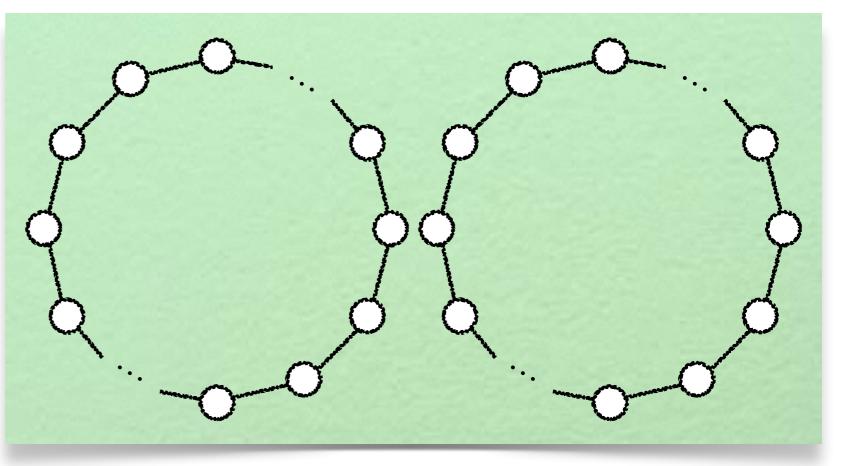
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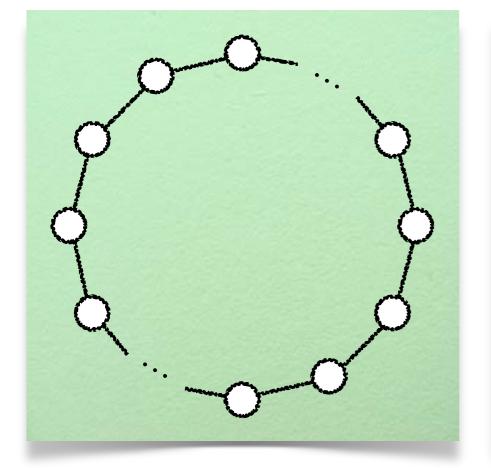
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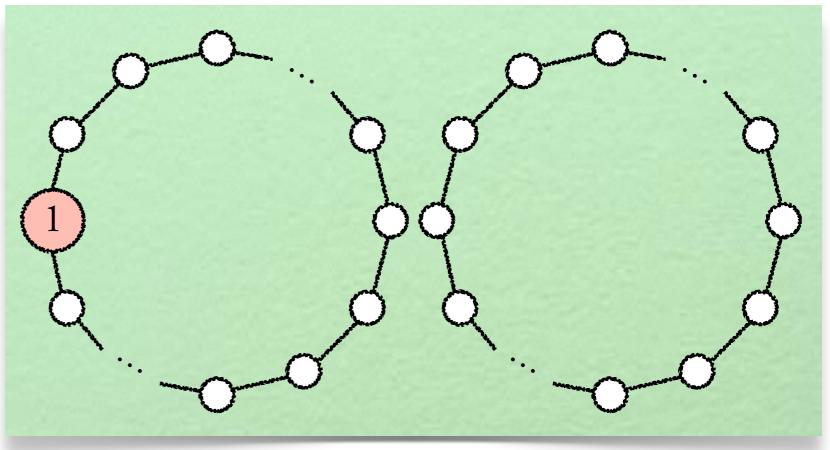
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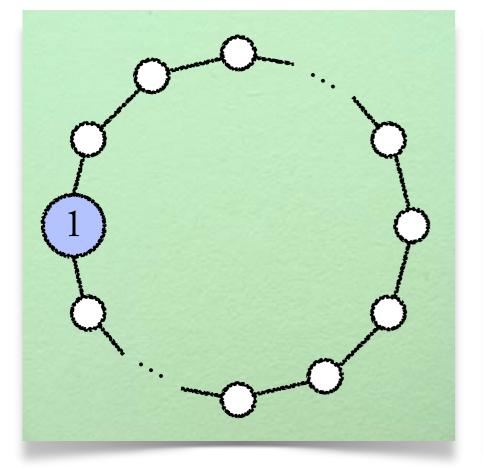
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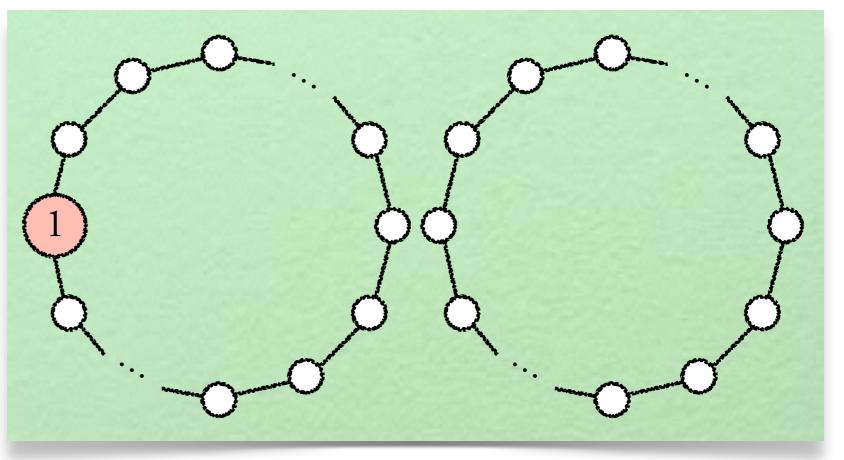
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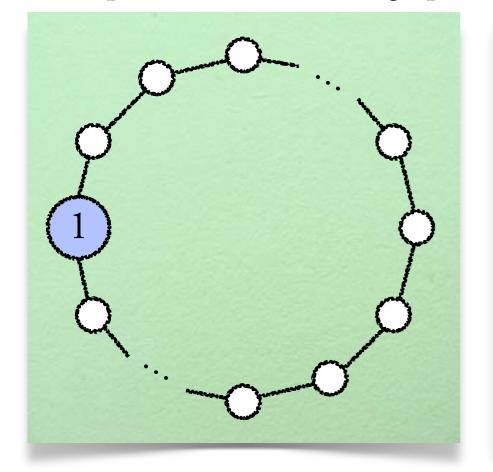
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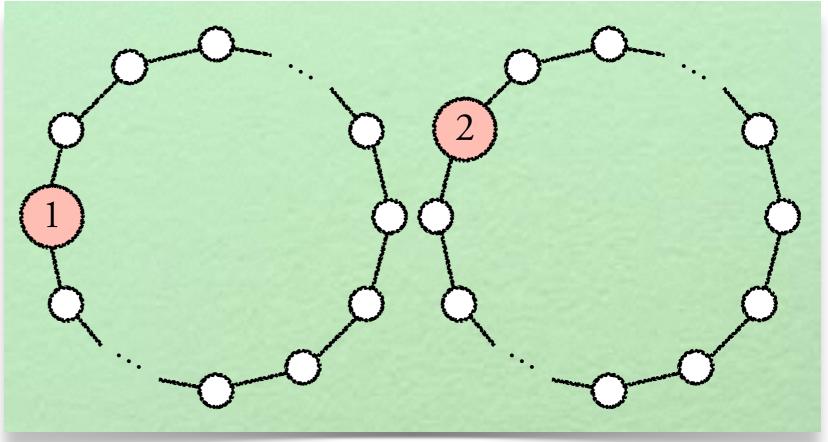
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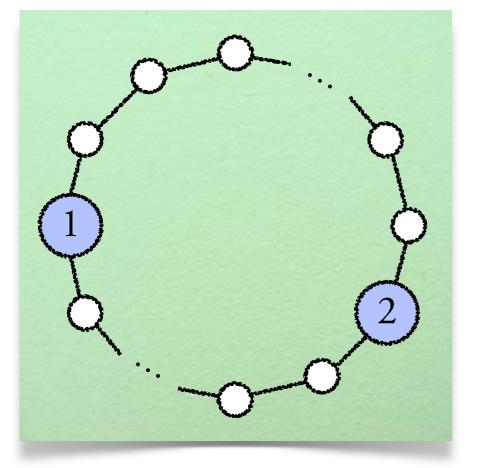
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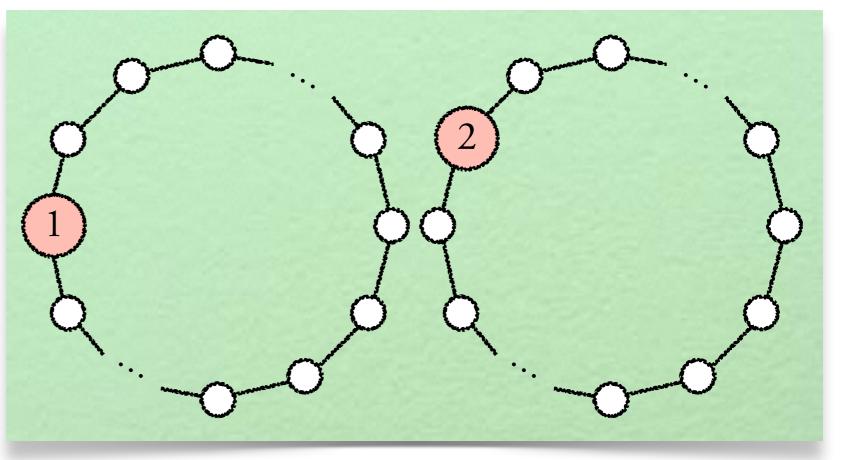
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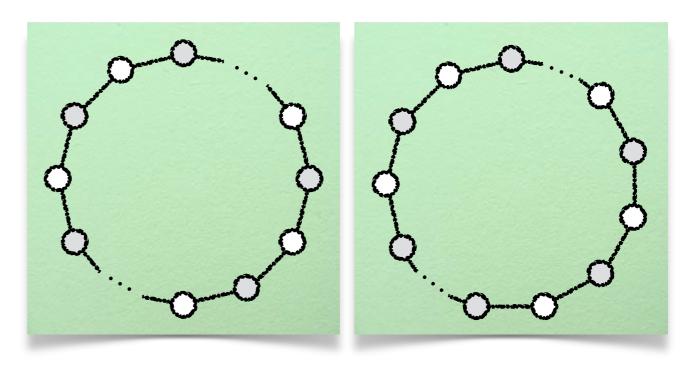
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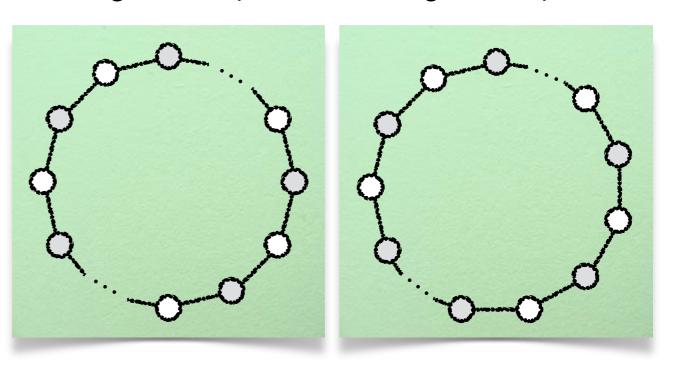
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## Some Bibliography

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