# Fast, silent self-stabilizing distance-k independent dominating set construction<sup> $\frac{1}{3}$ </sup>

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# Abstract

We propose a fast, silent self-stabilizing protocol building a distance-k independent dominating set, named  $\mathcal{FID}$ . The convergence of the protocol  $\mathcal{FID}$  is established for any computation under the unfair distributed scheduler. The protocol  $\mathcal{FID}$  reaches a terminal (also legitimate) configuration in at most 4n+k rounds, where n is the network size; it requires (k+1)log(n+1) bits per node.

**keywords:** distributed computing, fault tolerance, self-stabilization, distance-k independent dominating set, distance-k dominating set, distance-k independent set

### 1. Introduction

In this paper, we consider the problem of computing a distance-k independent dominating set in a self-stabilizing manner in the case where k > 1. A set of nodes is a distance-k independent dominating set if and only if this set is a distance-k independent set and a distance-k dominating set. A set I of nodes is distance-k independent if every node in I is at distance at least k + 1 to any other node of I. A set of nodes D is distance-k dominating if every node not belonging to D is at distance at most k of a node in D. We propose a very simple and fast protocol, called  $\mathcal{FID}$ . The protocol  $\mathcal{FID}$  reaches a terminal

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configuration in at most 4n + k rounds, where *n* is the network size.  $\mathcal{FID}$  requires (k+1)log(n+1) bits per node. The obtained distance-*k* independent dominating set contains at most  $\lfloor 2n/(k+2) \rfloor$  nodes.

**Related Works.** Silent self-stabilizing protocols building distance-k dominating set are proposed in [1, 2]. These protocols do not build a k-independent set.

In [3, 4], Larsson and Tsigas propose self-stabilizing (l,k)-clustering protocols under various assupptions. These protocols ensure, if possible, that each node has l cluster-heads at distance at most k from itself.

In [5], a silent self-stabilizing protocol extracting a minimal distance-k dominating set from any distance-k dominating set is proposed. A minimal distancek dominating set has no proper subset being a distance-k dominating set. The protocol converges in O(n) rounds, it requires at least O(k.log(n)) bits per node. The paper [6] presents a silent self-stabilizing protocol building a small distancek dominating set : the obtained dominating set contains at most  $\lceil n/(k+1) \rceil$ . The protocol of [6] converges in O(n) rounds, it requires O(log(n) + k.log(n/k))bits per node. The protocol of [7] builds competitive k-dominating sets : the obtained dominating set contains at most  $1 + \lfloor (n-1)/(k+1) \rfloor$  nodes. The protocol of [7] converges in O(n) rounds; and it requires  $O(log(2k.2(\Delta + 1).2n.D))$  bits per node, where D is the network diameter, and  $\Delta$  is a bound on node degree. The protocols of [7, 6] use the hierachical collateral composition of several silent self-stabilizing protocols including a leader election protocol and a spanning tree construction rooted to the elected leader. So their convergence time are larger than 4n + k rounds.

The presented protocol is simple : no use of the hierarchical collateral composition, no need for a leader election process, neither for a spanning tree building. Therefore, the protocol  $\mathcal{FID}$  is fast.

### 2. Model and Concepts

A distributed system S is an undirected graph G = (V, E) where the vertex set, V, is the set of nodes and the edge set, E, is the set of communication links. A link  $(u, v) \in E$  if and only if u and v can directly communicate (links are bidirectional); so, the node u and v are neighbors.  $N_v$  denotes the set of v's neighbors:  $N_v = \{u \in V \mid (u, v) \in E\}$ . The distance between the nodes u and v is denoted by dist(u, v). The set of nodes at distance at most k to a node v is denoted by  $k\text{-neigborhood}(v) = \{u \in V \mid dist(u, v) \in [1, k]\}$ .

**Definition 1 (distance**-k independent dominating set). Let D be a subset of V; D is a distance-k dominating set if and only if  $\forall v \in V/D$  we have k-neigborhood $(v) \cap D \neq \emptyset$ . Let I be a subset of V; I is a distance-k independent set if and only if  $\forall u \in I$  we have k-neigborhood $(u) \cap I = \emptyset$ . A subset of V is a distance-k independent dominating set if this subset is a distance-k dominating set and a distance-k independent set.

To every node v in the network is assigned an identifier, denoted by  $id_v$ . Two distinct nodes have distinct identifiers. It is possible to order the identifier values. The symbol  $\perp$  denotes a value smaller than any identifier value in the network.

Each node maintains a set of shared variables. A node can read its own variables and those of its neighbors, but it can modify only its variables. The *state* of a node is defined by the values of its local variables. The cartesian product of states of all nodes determines the *configuration* of the system. The *program* of each node is a set of *rules*. Each rule has the form:  $Rule_i :< Guard_i > \longrightarrow <$  $Action_i >$ . The guard of a v's rule is a boolean expression involving the state of the node v, and those of its neighbors. The *action* of a v's rule updates v's state. A rule can be executed by a node v only if it is *enabled*, i.e., its guard is satisfied by the node v. A node is said to be enabled if at least one of its rules is enabled. A configuration is *terminal* if and only if no node can execute a rule. During a *computation step* from a configuration one or more enabled nodes simultaneously perform an action to reach another configuration. A *computation* e is a sequence of configurations  $e = c_0, c_1, ..., c_i, ...$ , where  $c_{i+1}$  is reached from  $c_i$  by a single computation step,  $\forall i \ge 0$ . A computation e is *maximum* if it is infinite, or if it reaches a terminal configuration.

**Definition 2 (Silent Self-Stabilization).** Let  $\mathcal{L}$  be a predicate on the configuration. A distributed system S is a silent self-stabilizing system to  $\mathcal{L}$  if and only if (1) all terminal configurations satisfy  $\mathcal{L}$ ; (2) all computations reach a terminal configuration.

**Stabilization time.** We use the *round* notion to measure the time complexity. The first round of a computation  $e = c_1, ..., c_j, ...$  is the minimal prefix  $e_1 = c_1, ..., c_j$ , such that every enabled node in  $c_1$  either executes a rule or is neutralized during a computation step of  $e_1$ . A node v is *neutralized* during a computation step of  $e_1$ .

Let e' be the suffix of e such that  $e = e_1 e'$ . The second round of e is the first round of e', and so on.

The stabilization time is the maximal number of rounds needed by any computation from any configuration to reach a terminal configuration.

#### 3. The protocol $\mathcal{FID}$

The protocol  $\mathcal{FID}$ , presented in protocol 1, builds a distance-k independent dominating set.

Notation 1. A node v is a head if dom $[0](v) = id_v$ ; otherwise it is an ordinary node.

Once the network is stabilized, any ordinary node v has in its k-neigborhood a head having an identifier larger than its own identifier. And, the set of heads is a distance-k independent set.

#### Shared variables

• dom[](v) is a table of k+1 members. A member is an identifier or  $\perp$ .

# Predicates

- resignation(v)  $\equiv id_v < max \{ dom[i](v) \mid 0 < i \leq k \}$
- toUpdate $(v) \equiv \exists i \in [1, k]$  such that

$$\operatorname{dom}[i](v) \neq \max \left\{ \operatorname{dom}[i-1](u) \mid u \in N_v \right\}$$

- ordinaryToUpdate(v) : dom[0](v)  $\neq \bot$
- headToUpdate(v) : dom[0](v)  $\neq id_v$

# Rules

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\begin{split} \mathbf{RU}(v): \mathtt{toUpdate}(v) &\longrightarrow \\ &\quad \text{for } i \in [1,k] \ \texttt{do dom[i]}(v) := max \left\{ \mathtt{dom[i-1]}(u) \mid u \in N_v \right\}; \\ &\quad \texttt{if resignation}(v) \ \texttt{then } \mathtt{dom[0]}(v) := \bot; \ \texttt{else } \mathtt{dom[0]}(v) := id_v; \\ &\quad \mathbf{RE}(v): \neg \mathtt{toUpdate}(v) \land \neg \mathtt{resignation}(v) \land \mathtt{headToUpdate}(v) \longrightarrow \\ &\quad \mathtt{dom[0]}(v) := id_v; \\ &\quad \mathbf{RR}(v): \neg \mathtt{toUpdate}(v) \land \mathtt{resignation}(v) \land \mathtt{ordinaryToUpdate}(v); \longrightarrow \\ &\quad \mathtt{dom[0]}(v) := \bot; \end{split}
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The value of dom[i](v) is  $\perp$  if there is no path of length i from a head to v. Otherwise, the value of dom[i](v) is the largest head identifier such that there is a path of length i from this head to v.

When an ordinary node v has no head in its k-neighborhood then the table dom[] in v does not contain any identifier. Notice that in this case, the predicates  $\neg resignation(v)$  and headToUpdate(v) are verified. So, the node v can perform the rule **RE** or the rule **RU**. Hence, the set of heads is a distance-k dominating set in a terminal configuration.



Figure 1: A terminal configuration of  $\mathcal{FID}$ 

The predicate resignation(v) is verified when the node v has in its k-neigborhood a head u having an identifier larger than v's identifier (i.e.  $id_v < id_u$ ). If the node v is a head then the predicate ordinaryToUpdate(v) is also verified. In this case, v can perform the rule **RR** or the rule **RU**. Therefore, the set of heads is a distance-k independent set in any terminal configuration.

The figure 3 presents a terminal configuration of  $\mathcal{FID}$  with k = 4. The color of a node is the color of the head in its k-neigborhood having the largest identifier; the head identifiers are underlined. On each node, for  $0 \leq i \leq k$ , the value of dom[i] is indicated unless it is  $\perp$ . So, the table dom[] of node 82 contains the values ( $\perp$ ,  $\perp$ , 70, 80, 90). Therefore, the node 78 has dom[3]  $\geq$  70 and dom[4]  $\geq$  80. As dom[4]  $\geq$  80, in the node 78; this node cannot become a head. The piece of information dom[3]  $\geq$  70, in the node 78 allows to the node 67 to be aware of the existence of the single head in its 4-neighborhood having an identifier larger than its own identifier.

# 4. Correctness of the protocol $\mathcal{FID}$

In this section, we prove that the set of heads is a distance-k independent dominating set, in every terminal configuration of the  $\mathcal{FID}$  protocol.

**Observation 1.** Let v be a node. In a terminal configuration, dom[0](v) =  $id_v \vee dom[0](v) = \bot$ 

**Definition 3.** (OrdinaryPr(i)). For all  $i \in [1, k]$ , the property OrdinaryPr(i) is defined as follow: if there is no path of length i from a head to the node v then dom[i] $(v) = \bot$ ; otherwise, dom[i] $(v) = id_u$  where  $id_u$  is the largest head identifier having a path to v of length i.

**Lemma 1.** In a terminal configuration, the property OrdinaryPr(1) is verified.

PROOF. According to observation 1, dom[0](u)  $\neq \perp$  if and only if u is a head  $(dom[0](u) = id_u)$ .

Let v be an ordinary node, in a terminal configuration. If v has no head in its neighborhood then dom[0] $(u) = \bot$ ,  $\forall u \in N_v$ . So dom[1] $(v) = \bot$ .  $\bot$  is smaller than any identifier value. So, if v has a head in its neighborhood then dom[1] $(v) = max \{ id_u \mid u \in N_v \text{ and } dom[0](u) = id_u \}.$ 

**Lemma 2.** Let *i* be a positive integer strictly smaller than *k*. In a terminal configuration, if the property OrdinaryPr(i) is verified then the property OrdinaryPr(i+1) is verified.

PROOF. Let v be an ordinary node, in a terminal configuration in which the property OrdinaryPr(i) is verified. There is no path of length i + 1 from a head to v if and only if no neighbor of v has a path of length i to a head. We have dom[i] $(u) = \bot$ ,  $\forall u \in N_v$ . So dom[i+1] $(v) = \bot$ .

Let w be the head having the largest identifier such that there is a path of length i + 1 from w to v. v has a neighbor, denoted by u, on its path to w. As OrdinaryPr(i) is verified, dom[i](u) =  $id_w$ , and dom[i](u')  $\leq id_w$  for any node  $u' \in N_v$ . So dom[i+1](v) =  $id_w$ . **Theorem 1.** Let c be a terminal configuration. In c, any ordinary node u has a head in its k-neigborhood.

PROOF. We will prove that if an ordinary node has no head in its k-neighborhood then the configuration c is not terminal.

In c, for all  $i \in [1, k]$ , the property OrdinaryPr(i) is verified by the lemma 1 and to the lemma 2. Let u be an ordinary node without any head in its kneighborhood. So there is no path of length lesser than k + 1 between u and a head. We have dom[i] $(u) = \bot, \forall i \in [0, k]$ . So the predicate  $\neg resignation(u) \land$ headToUpdate(u) is verified in c. The node u can perform the rule **RE** or the rule **RU**.

The following theorem establishes that the set of heads is a distance-k independent set.

**Theorem 2.** Let c be a terminal configuration. In c, a head has no head in its k-neighborhood.

PROOF. We will prove that if a head has a head in its k-neighborhood then the configuration c is not terminal.

Let wrongHeadSet be the set of heads having one or more heads are in their k-neigborhood. Assume that wrongHeadSet is not empty. Let v1 be the node of wrongHeadSet having the smallest identifier. Let v2 be the closest head to v1. Let d be the distance between v1 and v2. We have  $0 < d \leq k$ . According to the property OrdinaryPr(d),  $dom[d](v1) \geq id_{v2}$ . So, in the configuration c, the predicate  $resignation(v1) \land ordinaryToUpdate(v1)$  is satisfied. The node v1 can perform the rule **RR** or the rule **RU**.

## 5. Termination of the protocol $\mathcal{FID}$

In this section, we prove that all maximal computations of  $\mathcal{FID}$  protocol under the unfair distributed scheduler are finite by *reductio ad absurdam* arguments.

**Lemma 3.** Let e be a computation of FID protocol under any scheduler. The computation e has a suffix, named, e' where no node changes its dom[0]'s value.

PROOF. Assume that one or more nodes infinitely often modify their value of dom[0]. Let  $Set^+$  be the set of nodes that infinitely often modify their value of dom[0]. We denote by  $u^+$  the node of  $Set^+$  having the largest identifier.

Let e1 be the suffix of e in which no node having a larger identifier than  $u^+$ 's identifier modifies its value of dom[0].

According to the definition of predicate resignation, there is an integer *i* such that dom[i] $(u^+) > id_{u^+}$  infinitely often (at these times,  $u^+$  becomes ordinary) and dom[i] $(u^+) \le id_{u^+}$  infinitely often (at these times,  $u^+$  becomes head). So  $u^+$  has a neighbor named  $u_{i-1}$  such that (i) the value of dom[i-1] $(u_{i-1})$  is infinitely often greater than  $id_{u^+}$  and (ii) the value of dom[i-1] $(u_{i-1})$  is infinitely often smaller than  $id_{u^+}$ . It is possible only if there is a path of *i* nodes,  $u_{i-1}, u_{i-2}, u_{i-3}, ..., u_0$ , such that (i) the value of dom[i-j] $(u_{i-j})$  is infinitely often greater than  $id_{u^+}$  and (ii) the value of dom[i-j] $(u_{i-j})$  is infinitely often smaller than  $id_{u^+}$  and (ii) the value of dom[i-j] $(u_{0})$  is infinitely often smaller than  $id_{u^+}$  and (ii) the value dom[0] $(u_0)$  is infinitely often smaller than  $id_{u^+}$  and (ii) the value dom[0] $(u_0)$  is infinitely often greater than  $id_{u^+}$ ; and infinitely often smaller than  $id_{u^+}$ . dom[0] $(u_0)$  can only take two values:  $\bot$  or  $id_{u_0}$ . As  $\bot$  is smaller than  $id_{u^+}$ . dom[0] $(u_0)$  can only take two values:  $\bot$  or  $id_{u_0}$ . As  $\bot$  is smaller than any identifier value:  $u_0$  has a larger identifier than  $u^+$ , and  $u_0$  infinitely often changes its value of dom[0] during the computation  $e_1$ .

There is a contradiction. So, e1 has a suffix e' where no node changes its value of dom[0].

**Lemma 4.** Let e be a computation of FID protocol under any scheduler. The computation e has a suffix where no node changes any dom[i]'s values for  $0 \le i \le k$ .

PROOF. The computation e has a suffix e' where no node changes its value of dom[0] (Lemma 3).

For  $0 < i \leq k$ , let us name  $u_i$  a node that infinitely often modifies its value of dom[i] during the computation e'. It is possible only if there is a path of i

nodes,  $u_{i-1}$ ,  $u_{i-2}$ ,  $u_{i-3}$ , ...,  $u_0$ , such that the value of dom[i-j] $(u_{i-j})$  infinitely often changes, for  $1 \leq j \leq i$ . So, the value of dom[0] $(u_0)$  changes infinitely often during the computation e'.

There is a contradiction.

In Lemma 4, we have established that any computation e has a suffix where all tables dom[] have their final values. Any action by any node v modifies a value of its table dom[]. So, a terminal configuration is reached.

**Corollary 1.** Under any scheduler, all computations are finite.

## 6. Convergence time

In this section, we establish that the convergence time is at most 4n + k rounds.

**Lemma 5.** let M bet the integer value of  $max(n\lceil (k+1)/2\rceil^{-1}, 1)$ . The size of a distance-k independent set is at most M.

PROOF. Let I be a k-independent set such that |I| > 1. Let v be a node of I. We denote by closest(v) the set of nodes closer to v than any other node of I. Notice that  $\bigcup_{w \in I} \texttt{closest}(w) \subset V$  and  $\texttt{closest}(v) \cap \texttt{closest}(u) = \emptyset, \forall (u, v) \in I^2$ . Let u be the closest node to v that belongs to I. Let x be node on the path from v to u such that  $0 \leq dist(v, x) \leq \lfloor k/2 \rfloor$ . Let w be a node of I other than v. We have  $dist(w, x) > k - dist(v, x) \geq \lfloor k/2 \rfloor$  because  $k < dist(w, v) \leq dist(v, x) + dist(x, w)$ . So, closest(v) contains the first  $\lfloor k/2 \rfloor + 1$  nodes in the path from v to u. We conclude that  $1 \leq |I| \leq n \lceil (k+1)/2 \rceil^{-1}$ .

Notation 2.  $Set_0 = \emptyset$ ;  $V_i = V - Set_i$ ;  $vh_i$  is the node of  $V_i$  having the largest identifier;  $Set_{i+1} = Set_i \cup k\text{-neighborhood}(vh_i) \cup \{vh_i\}$ ;  $T_i = 2i(k+1)$ .

For all nodes u, after the first round, the value of dom[0](u) is the identifier of a node in V; this will stay true during the computation. For all nodes u, after the second round, the value of dom[1](u) is also the identifier of a node in V; this will stay true during the computation. So, for all nodes u, after the k+1 first rounds, the table dom[](u) contains only identifiers of nodes in V; this will stay true during the computation.

After one more round,  $vh_0$ , the node having the largest identifier, is a head. It will remain a head during the computation (because resignation( $vh_0$ ) is never verified). After k more rounds, all nodes of k-neighborhood( $vh_0$ ), are and will remain ordinary because on these nodes, the predicate resignation remains verified forever.

So after  $T_1 = 2(k+1)$  rounds, the nodes of  $Set_1$  have their final status (ordinary or head).

After  $T_i + k + 1$  rounds, for all  $l \in [0, k]$ , we have dom[1] $(u_i) \in V_i$  for any node  $u_i$  of  $V_i$ . This will stay true during the computation. So, after one more round,  $vh_i$  is a head; and it will remain a head.

After k more rounds, all nodes of k-neighborhood $(vh_i)$ , are and will stay ordinary (because, on these nodes, the predicate resignation remains verified forever).

So after  $T_{i+1} = 2(k+1) + T_i$  rounds, the nodes of  $Set_{i+1}$  have their final status (ordinary or head).

The set  $HX = \{v \mid \exists i \text{ such that } v = vh_i\}$  is a distance-k independent set. So  $V_M = \emptyset$ .

We conclude that after at most  $2n < T_M < 4n$  rounds, all nodes have their final status (ordinary or head). After k more rounds, in any node, the table dom[] has its final values.

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