Memory efficient Self-Stabilizing k-Independent Dominating Set Construction* Labri Technical Report RR-1473-13

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November 6, 2013

Abstract

We propose a memory efficient self-stabilizing protocol building k-independent dominating sets. A k-independent dominating set is a k-independent set and a kdominating set. A set of nodes, I, is k-independent if the distance between any pair of nodes in I is at least k + 1. A set of nodes, D, is a k-dominating if every node is within distance k of a node of D.

Our algorithm, named SID, is silent; it converges under the unfair distributed scheduler (the weakest scheduling assumption).

We established that any k-independent sets contains at most $\lfloor (2n)/(k+2) \rfloor$ nodes, n being the network size.

The protocol SID is memory efficient : it requires only 2log((k+1)n+1) + 1 bits per node.

The correctness and the termination of the protocol SID is proven.

The computation of the convergence time of the protocol SID is opened question.

keywords distributed computing, fault tolerance, self-stabilization, k-dominating set, k-independent set, k-independent dominating set, memory efficient

1 Introduction

The clustering of networks consists of partitioning network nodes into non-overlapping groups called clusters. Each cluster has a single head, called leader, that acts as local coordinator of the cluster, and eventually a set of standard nodes. In 1-hop clusters, the standard nodes are neighbor (at distance 1) of their leader. Clustering is found very attractive in infrastructure-less networks, like ad-hoc networks, since it limits the responsibility of network management only to leaders, and it allows the use of hierarchical routing. This is why numerous self-stabilizing 1-hop clustering protocols were proposed in the literature [9, 10, 8, 11, 13, 14, 15, 16, 19, 20].

^{*}This work was partially supported by the ANR project Displexity.

Silent self-stabilizing protocols building k-hops clustering set are proposed for k > 1. In k-hop clusters, the distance between a standard node and its leader is at most k [1, 2, 3, 7]. The sets of cluster heads built by these protocols are not k-independent. The protocol of [1] is designed for k = 2. Routing tables are maintained by the cluster heads to store routing information to nodes both within and outside the cluster. The goal of the protocol in [2] is to build bounded size clusters (each cluster has at most $Cluster_Max$ nodes). The protocol of [3] is designed for weighted edges networks; it requires $O(log(k^4.\Delta^2.D^2.n^6))$ bits per node, where Δ is a bound on node degree and D is the network diameter. The protocol of [7] requires at least 2(k + 1)log(n) bits per node.

In [17, 18], Larsson and Tsigas propose self-stabilizing (l,k)-clustering protocols under various assumptions. These protocols ensure, if possible, that each node has l cluster-heads at distance at most k.

Related Works. In [4], a silent self-stabilizing protocol extracting a minimal k-dominating set from any k-dominating set is proposed. A minimal k-dominating set has no proper subset which also a k-dominating set. The protocol requires at least O(k.log(n))bits per node.

The paper [6] presents a silent self-stabilizing protocol building a small k-dominating set : the obtained dominating set contains at most $\lceil n/(k+1) \rceil$ nodes. The protocol of [6] requires O(log(n) + k.log(n/k)) bits per node. The protocol of [5] builds competitive k-dominating sets : the obtained dominating set contains at most $1+\lfloor (n-1)/(k+1) \rfloor$ nodes. The protocol of [5] requires $O(log(2k.(\Delta + 1)^3.n^3))$ bits per node. These both protocols use the hierachical collateral composition of several silent self-stabilizing protocols whose a leader election protocol and a spanning tree construction rooted to the elected leader. So they require more memory space than our protocol.

In [12], a fast silent self-stabilizing protocol building a k-independent dominating set is proposed the protocol converges in 4n + k rounds and it requires (k + 1)log(n + 1) bits per node. The computation of the convergence time of the protocol SID is an opened question.

Contribution. In this paper, we consider the problem of computing a k-independent dominating set in a self-stabilizing manner in case where k > 1. A nodes set is k-independent dominating set (also called maximal k-independent set) if and only if this set is a k-independent set and a k-dominating set. A set of nodes, I is k-independent if the distance between any pair of I's nodes is at least k + 1. A set of nodes D is k-dominating if every node is within distance k of a node of D.

The presented protocol, named SID, is simple : no use of the hierachical collateral composition, no need of leader election process, neither the building of spanning tree. The protocol SID converges under the unfair distributed scheduler (the weakest scheduling assumption). The algorithm SID is silent. The protocol SID is memory efficient : it requires only 2log((k+1)n+1) + 1 bits per node. In section 2, we establish that any k-independent sets contain at most $\lfloor (2n)/(k+2) \rfloor$ nodes, n being the network size. So the protocol of [12] and the protocol SID have the same upper bound on the size of built k independent dominating sets : $\lfloor (2n)/(k+2) \rfloor$ nodes.

Paper outline. The rest of the paper is organised as follows. In section 2, communication and computation models are defined. The protocol SID is presented in section 3. In the section 4, the correctness of the SID protocol is proven. The termination of the SID protocol is established in the section 5.

2 Model and Concepts

A distributed system S is an undirected graph G = (V, E) where vertex set V is the set of nodes and edge set E is the set of communication links. A link $(u, v) \in E$ if and only if u and v can directly communicate (links are bidirectional); so, u and v are neighbors. We denote by N_v the set of v's neighbors: $N_v = \{u \in V \mid (u, v) \in E\}$. The distance between the nodes u and v is denoted dist(u, v). k-neigborhood $(v) = \{u \in V \mid dist(u, v) \in [1, k]\}$.

Definition 1 (k-independent dominating set)

Let D be a subset of V; D is a k-dominating set if and only if $\forall v \in V/D$ we have k-neigborhood $(v) \cap D \neq \emptyset$.

Let I be a subset of V; I is a k-independent set if and only if $\forall u \in I$ we have k-neigborhood $(u) \cap I = \emptyset$.

A subset of V is a distance-k independent dominating set if this subset is a distance-k dominating set and a distance-k independent set.

Lemma 1 The size of a k-independent set is at most max(|(2n)/(k+2)|, 1).

Proof 1 Let I be a k-independent set such that |I| > 1. Let v be a node of I. We denote by closer(v) the set of nodes closer to v than any other node of I.

Notice that $\bigcup_{w \in I} \operatorname{closer}(w) \subset V$ and $\operatorname{closer}(v) \cap \operatorname{closer}(u) = \emptyset, \forall (u, v) \in I^2$. Let u be the closest node to v that belongs to I. Let x be node on the path from v to u such that $0 \leq \operatorname{dist}(v, x) \leq \lfloor k/2 \rfloor$. Let w be a node of I other than v. We have $\operatorname{dist}(w, x) > k - \operatorname{dist}(v, x) \geq \lfloor k/2 \rfloor$ because $k < \operatorname{dist}(w, v) \leq \operatorname{dist}(v, x) + \operatorname{dist}(x, w)$. So, $\operatorname{closer}(v)$ contains the first $\lfloor k/2 \rfloor + 1$ nodes in the path from v to u. We conclude that $|I| \leq \lfloor (2n)/(k+2) \rfloor$.

The protocol SID builds k-independent sets. So, the obtained k-independent dominating set contains at most $\lfloor (2n)/(k+2) \rfloor$ nodes.

Furthermore, at every node v in the network is assigned an identifier, denoted by id_v . Two distinct nodes have different identifier. It is possible to order the identifier values. The symbol \perp denotes a value smaller than any identifier value in the network. Each node v maintains a set of shared variables such that v can read its own variables and those of its neighbors, but it can modify only its variables. The *state* of a node is defined by the values of its local variables. The cartesian product of states of all nodes determines the *configuration* of the system. The *program* of each node is a set of *rules*. Each rule has the form: $Rule_i :< Guard_i > \longrightarrow < Action_i >$. The *guard* of a v's rule is a boolean expression involving the state of the node v, and those of its neighbors. The *action* of a v's rule updates v's state. A rule can be executed only if it is *enabled*, i.e., its guard evaluates to true. A node is said to be enabled if at least one of its rules is enabled. In a *terminal configuration*, no node is enabled.

During a computation step $c_i \to c_{i+1}$, one or several enabled nodes perform an enabled action and the system reaches the configuration c_{i+1} from c_i . A computation e is a sequence of configurations $e = c_0, c_1, ..., c_i, ...$, where c_{i+1} is reached from c_i by one computation step: $\forall i \ge 0, c_i \to c_{i+1}$. We say that a computation e is maximal if it is infinite, or if it reaches a terminal configuration. We note by C the set of all possible configurations, and by \mathcal{E} the set of all maximal computations. The set of maximal computations starting from a particular configuration $c \in C$ is denoted \mathcal{E}_c . \mathcal{E}_A denotes the set of all maximal computations where the initial configuration belongs to the set of configurations $A \subset \mathcal{C}$.

Definition 2 (Attractor) Let B_1 and B_2 be subsets of C. B_2 is an attractor from B_1 , if and only if the following conditions hold:

- Convergence: $\forall c \in B_1$, If $(\mathcal{E}_c = \emptyset)$ then $c \in B_2$ otherwise $\forall e \in \mathcal{E}_{B_1}(e = c_1, c_2, ...), \exists i \ge 1, c_i \in B_2;$
- Closure: $\forall e \in \mathcal{E}_{B_2}(e = c_1, ...), \forall i \ge 1 : c_i \in B_2.$

Definition 3 (Self-stabilization) A distributed system S is self-stabilizing for the specification SP (a predicate on configurations) if and only if there exists a non-empty set $\mathcal{L} \subseteq C$, called set of legitimate configurations, such that the following conditions hold:

- \mathcal{L} is an attractor from \mathcal{C} ;
- Configurations of \mathcal{L} satisfied \mathcal{SP} .

A self-stabilizing protocol is *silent* if all maximal computations are finite.

Stabilization time. We use the *round* notion to measure the time complexity. The first round of a computation $e = c_1, ..., c_j, ...$ is the minimal prefix $e_1 = c_1, ..., c_j$, such that every enabled node in c_1 either executes a rule or it is neutralized during a computation step of e_1 . A node v is *neutralized* during a computation step $cs c_i \rightarrow c_{i+1}$, if v is enabled in c_i and disabled in c_{i+1} , but it did not execute any action during cs.

Let e_2 be the suffix of e such that $e = e_1e_2$. The second round of e is the first round of e_2 , and so on.

The stabilization time is the number of rounds of a computation reaching a legitimate configuration from any initial one.

3 The protocol SID

In the following subsection, we gives the notation used by the protocol \mathcal{SID} .

3.1 *k*-augmentedID type

Definition 4 k-augmentedID type An k-augmentedID value, $a, is \perp or an n$ -tuple (d, x) such that d is integer with $0 \leq d \leq k$, and x is a node identifier. Let a = (d, x) be k-augmentedID value. We use the following notation a.dist = d and a.id = x. Let v be a node of V, id_v^+ is the following k-augmentedID value: $(0, id_v)$.

Definition 5 The total order relation dom on k-augmentedID

- dom(a,b) = a if b =⊥, a.id < b.id or a.id = b.id ∧ a.dist < b.dist, otherwise dom(a,b) = b.
- The k-augmented value a1 dominates the k-augmented value a2 if and only if dom(a1, a2) = a1.
- Let X be a finite set of k-augmentedID values. dom(X) is the k-augmentedID value belonging to X such that any value of X is dominated by dom(X) (i.e. $\forall y \in X$ we have dom(dom(X), y) = dom(X)).

Definition 6 The total order relation min on k-augmentedID

- min(a,b) = a if b =⊥, a.dist < b.dist or a.dist = b.dist ∧ a.id < b.id otherwise min(a,b) = b.
- The k-augmented value a1 is larger than the k-augmented value a2 if and only if min(a1, a2) = a2.
- Let X be a finite set of k-augmentedID values. min(X) is the k-augmentedID value belonging to X such that any value of X is larger than min(X) (i.e. $\forall y \in X$ we have min(min(X), y) = min(X)).

The node u1 is closer to the node v than the node u2 iff dist(u1, v) < dist(u2, v) or $id_{u1} < id_{u2}$. We have $min((dist(u1, v), id_{u1}), (dist(u2, v), id_u)) = (dist(u1, v); id_{u1})$.

Definition 7 The operation +1 on k-augmentedID is defined as follow : a + 1 = aif $a = \bot$ or if a.dist = k otherwise a + 1 = (a.dist + 1, a.id) **Protocol 1** : Variables, Predicates and Rules of the Protocol SID on the node v

Shared variables

• firstHead(v) and secondHead(v). They take value in k-augmentedID.

Internal variable

• *beReal* is a boolean variable used by some macros.

Notation

- firstAugmentedIdSet $(v) = \{a + 1 \in k \text{-augmentedID} \mid$
- $a = \texttt{firstHead}(u) \lor a = \texttt{secondHead}(u) \text{ with } u \in N_v \land a.dist < k \land a.id \neq id_v$
- secondAugmentedIdSet $(v) = \{a \in \texttt{firstAugmentedIdSet} | a.id \neq \texttt{firstHead}(v).id \}$

Macros

- isDefended(v) returns true iff firstAugmentedIdSet(v) $\neq \emptyset$.
- isDominated(v) returns true iff $id_v^+ \neq dom(\texttt{firstAugmentedIdSet}(v) \cup id_v^+)$.
- correctFirstHead(v) returns true iff

firstHead(v) == min(firstAugmentedIdSet(v)).

- computingFirstHead(v) returns the value of min(firstAugmentedIdSet(<math>v)).
- correctSecondHead(v) returns true iff

 $secondHead(v) == min(secondAugmentedIdSet(v) \cup \bot).$

• computingsSecondHead(v) returns the value of $min(\texttt{secondAugmentedIdSet}(v) \cup \bot)$.

Predicates

- $\operatorname{Head}(v) \equiv \operatorname{firstHead}(v) == (0, id_v)$
- $toResign(v) \equiv isDominated(v)$
- $toElect(v) \equiv \neg isDefended(v)$
- headToUpdate $(v) \equiv \texttt{firstHead}(v) \neq (0, id_v) \lor \texttt{secondHead}(v) \neq \bot$
- ordinaryToUpdate(v) $\equiv \neg$ correctFirstHead(v) $\lor \neg$ correctSecondHead(v)

Rules

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\begin{split} \mathbf{RE}(v): \neg \mathrm{Head}(v) \wedge \mathrm{toElect}(v) & \longrightarrow \mathrm{firstHead}(v) := (0, id_v); \, \mathrm{secondHead}(v) := \bot; \\ \mathbf{RU}(v): \neg \mathrm{Head}(v) \wedge \neg \mathrm{toElect}(v) \wedge \mathrm{ordinaryToUpdate}(v) & \longrightarrow \\ & \mathrm{computingFirstHead}(v); \, \mathrm{computingSecondHead}(v); \\ \mathbf{RR}(v): \mathrm{Head}(v) \wedge \mathrm{toResign}(v) & \longrightarrow \\ & \mathrm{computingFirstHead}(v); \, \mathrm{computingSecondHead}(v); \\ \mathbf{RC}(v): \mathrm{Head}(v) \wedge \neg \mathrm{toResign}(v) \wedge \mathrm{headToUpdate}(v) & \longrightarrow \\ & \mathrm{firstHead}(v) := (0, id_v); \, \mathrm{secondHead}(v) := \bot; \end{split}
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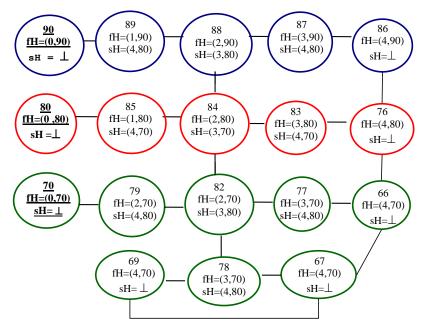
3.2 Code of the protocol SID

A node v is said to be a head if firstHead $(v) = id_v^+$; otherwise it is an ordinary node. The heads set built by the protocol SID (defined in protocol 1) is a k-independent dominating set. The code of the macros are defined in the protocols 2 to 7.

The variable firstHead(v) contains the identifier of the closest head to v (with its distance to v).

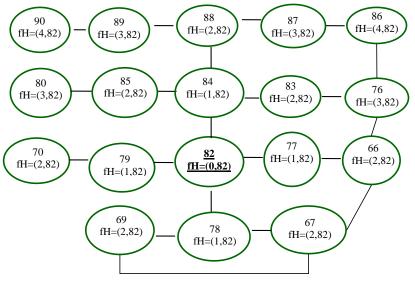
The variable secondHead(v) contains the identifier of the second closest head to v (with its distance to v) inside its k-neighborhood. If a node v does not have two heads in its k-neighborhood then secondHead(v) is set to \bot . The execution of the rule **RU** or the rule **RC** updates the two variables firstHead(v), and secondHead(v) without changing the status of v (i.e. v stays ordinary or head).

In the figure 1 is presented a terminal configuration of SID in the case where k = 4. In each node, it is indicated the value of firstHead, denoted by fH, and the value of secondHead denoted by sH. The terminal configuration has three heads. On the same network with k being equal to 4, is presented another terminal configuration having a single head in the figure 2.

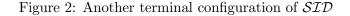


The head identifiers are underlined. The color of a node is the color of its closest head.

Figure 1: A terminal configuration of SID



The head identifier is underlined.



The macro isDefended(v) (defined in protocol 2) returns true if the set firstAugmentedIdSet(v) is not empty otherwise the macro returns false.

Protocol 2 : macro isDefended(v)

for $u \in N_v$ do if firstHead $(u).dist < k \land firstHead(u).id \neq id_v$ then return true; if secondHead $(u).dist < k \land$ secondHead $(u).id \neq id_v$ then return true; done; return false;

The macro isDominated(v) (defined in protocol 3) returns true if a value x of firstAugmentedIdSet(v) dominates the value id_v^+ ; otherwise the macro returns false.

The macro correctFirstHead(v) (defined in protocol 4) returns true if the value of firstHead(v) is min(firstAugmentedIdSet(<math>v)); otherwise the macro returns false. Notice that if the set firstAugmentedIdSet(v)) is empty the macro returns false;

The macro computingFirstHead(v) (defined in protocol 5) returns min(firstAugmentedIdSet(v)) if the set firstAugmentedIdSet(v) is not empty; otherwise the macro returns \perp .

Protocol 3 : macro isDominated(v)

for $u \in N_v$ do if firstHead $(u).dist < k \land firstHead(u).id < id_v$ then return true; if secondHead $(u).dist < k \land$ secondHead $(u).id < id_v$ then return true; done; return false;

Protocol 4 : Macro correctFirstHead(v)

 $\begin{array}{l} beReal := {\rm false};\\ {\rm for}\ u \in N_v\ {\rm do}\\ {\rm if\ firstHead}(u).id \neq id_v \wedge {\rm firstHead}(u).dist < k\ {\rm then}\\ {\rm if\ firstHead}(v) \neq min({\rm firstHead}(v), {\rm firstHead}(u)+1)\ {\rm then\ return\ false};\\ {\rm if\ firstHead}(v) == ({\rm firstHead}(u)+1)\ {\rm then\ } beReal := {\rm true};\\ {\rm if\ secondHead}(u) \neq \bot \wedge {\rm secondHead}(u).id \neq id_v \wedge {\rm secondHead}(u).dist < k\ {\rm then}\\ {\rm if\ firstHead}(v) \neq min({\rm firstHead}(v), {\rm secondHead}(u)+1)\ {\rm then\ return\ false};\\ {\rm if\ firstHead}(v) = = ({\rm secondHead}(u)+1)\ {\rm then\ return\ false};\\ {\rm if\ firstHead}(v) = = ({\rm secondHead}(u)+1)\ {\rm then\ return\ false};\\ {\rm done;\ return\ } beReal; \end{array}$

The macro correctsecondHead(v) (defined in protocol 6) returns true if the value of secondHead(v) is $min(firstAugmentedIdSet(v) \cup \bot)$; otherwise the macro returns false.

The macro computingSecondHead(v) (defined in protocol 7) returns min(secondAugmentedIdSet(v)) if the set secondAugmentedIdSet(v) is not empty; otherwise the macro returns \perp .

Once the system is stabilized, the set firstAugmentedIdSet(v) contains some heads in k-neighborhood of v. More precisely, this set contains the closest and second closest head to v if there are in the k-neighborhood of v. If the k's neighborhood of a node v does not contain any head then the set firstAugmentedIdSet(v) is empty. So the predicate isDefended(v) is not verified. If v is an ordinary node then v is enabled (the rule **RE** or the rule **RU** is enabled). Therefore, the heads set is a k-dominating set, in a terminal configuration.

If toResign(v) is verified then v has in its k-neighborhood a head u having a smaller identifier than v's identifier (i.e. $id_v > id_u$). In this case, if v is a head, it is enabled. So, the set of heads is a k-independent set, in any terminal configuration.

The proof of the protocol SID has two parts.

• In the section 4, we prove that a terminal configuration of *SID* protocol is legitimate : the set of heads is a k-independent dominating set.

Protocol 5 : Macro computingFirstHead(v)

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\begin{array}{l} \texttt{firstHead}(v) := \bot; \\ \texttt{for } u \in N_v \; \texttt{do} \\ & \texttt{if firstHead}(u).id \neq id_v \land \texttt{firstHead}(u).dist < k \; \texttt{then} \\ & \texttt{firstHead}(v) := min(\texttt{firstHead}(v),\texttt{firstHead}(u) + 1); \\ & \texttt{if secondHead}(u) \neq \bot \land \texttt{secondHead}(u).id \neq id_v \land \texttt{secondHead}(u).dist < k \; \texttt{then} \\ & \texttt{firstHead}(v) := min(\texttt{firstHead}(v),\texttt{secondHead}(u) + 1); \\ & \texttt{done}; \end{array}
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Protocol 6 : Macro correctSecondHead(v)

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\begin{array}{ll} beReal := {\rm false};\\ {\rm for}\ u \in N_v\ {\rm do}\\ {\rm if}\ {\rm firstHead}(u).id \neq id_v\ \wedge\ {\rm firstHead}(u).id \neq {\rm firstHead}(v).id\ \wedge\\ {\rm firstHead}(u).dist < k\ {\rm then}\\ {\rm if}\ {\rm secondHead}(v) \neq (min({\rm secondHead}(v),{\rm firstHead}(u)+1)\ {\rm then}\\ {\rm return}\ {\rm false};\\ {\rm if}\ {\rm secondHead}(v) == {\rm firstHead}(u)+1\ {\rm then}\ beReal := {\rm true};\\ {\rm if}\ {\rm secondHead}(u) \neq \bot\ \wedge\ {\rm secondHead}(u).id \neq id_v\ \wedge\\ {\rm secondHead}(u).id \neq {\rm firstHead}(v).id\ \wedge\ {\rm secondHead}(u).dist < k\ {\rm then}\\ {\rm if}\ {\rm secondHead}(v) \neq min({\rm secondHead}(v),{\rm secondHead}(u)+1)\ {\rm then}\\ {\rm return}\ {\rm false};\\ {\rm if}\ {\rm secondHead}(v) == {\rm secondHead}(v),{\rm secondHead}(u)+1)\ {\rm then}\\ {\rm return}\ {\rm false};\\ {\rm if}\ {\rm secondHead}(v) == {\rm secondHead}(u)+1\ {\rm then}\ beReal := {\rm true};\\ {\rm done};\\ {\rm if}\ \neg beReal\ {\rm and}\ ({\rm secondHead}(v)\neq \bot)\ {\rm then}\ {\rm return}\ {\rm false};\\ {\rm return}\ {\rm true};\\ \end{array}
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Protocol 7 : Macro computingSecondHead(v)

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\begin{split} \texttt{secondHead}(v) :=& \bot; \\ \texttt{for } u \in N_v \texttt{ do} \\ \texttt{if firstHead}(u).id \neq id_v \land \texttt{firstHead}(u).id \neq \texttt{firstHead}(v).id \land \\ \texttt{firstHead}(u).dist < k \texttt{ then} \\ \texttt{secondHead}(v) := (min(\texttt{secondHead}(v),\texttt{firstHead}(u)+1); \\ \texttt{if secondHead}(u) \neq \bot \land \texttt{secondHead}(u).id \neq id_v \land \\ \texttt{secondHead}(u).id \neq \texttt{firstHead}(v).id \land \texttt{secondHead}(u).dist < k \texttt{ then} \\ \\ \texttt{secondHead}(v) := min(\texttt{secondHead}(v),\texttt{secondHead}(u)+1); \\ \texttt{done}; \end{split}
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• In the section 5, we prove that all maximal computations under any unfair distributed scheduler are finite by *reductio ad absurdam* arguments.

4 Correctness of the protocol SID

In this section, we prove that all terminal configuration of SID protocol are legitimate: the set of heads is a k-independent dominating set.

Definition 8 The property $\operatorname{OrdinaryPr}(i)$ defined for all $i \in [1, k]$ is verifierd if the two following statements are satisfied:

- OrdinaryPrFirst(i): for all ordinary node v, firstHead(v) = (i, id_u) if and only if u is the closest head to v and i is the distance between u and v.
- OrdinaryPrSecond(i): for all node v, secondHead(v) = (i, id_w) if and only if w is the second closest head to v and i is the distance between w and v.

Observation 1 In a terminal configuration,

- 1. An ordinary node v does not verify OrdinaryToUpdate(v); so firstHead(v) = min(firstAugmentedIdSet(v)) and secondHead(v) = $min(\texttt{secondtAugmentedIdSet}(v) \cup \bot)$.
- 2. A head u does not verify HeadToUpdate(u);
- 3. Let w be a node (head or ordinary), firstHead(w) $\neq \perp$;
- 4. if v is an ordinary node then firstHead(v).dist > 0;
- 5. if secondHead(v) $\neq \perp$ then secondHead(v).dist > 0 ;
- 6. if $secondHead(v) \neq \perp$ then $secondHead(v).dist \geq firstHead(v).dist$ because $secondAugmentedIdSet(v) \subset firstAugmentedIdSet(v).$

Lemma 2 In a terminal configuration of protocol SID, the property OrdinaryPr(1) is verified.

Proof 2

Let v be an ordinary node, in a terminal configuration of protocol SID, named c.

Assume that $(1,x) \in \texttt{firstAugmentedIdSet}(v)$. So v has a neighbor u such that firstHead(u) = (0,x) or secondHead(u) = (0,x).

According to observation 1.4 secondHead(u).dist > 0 or secondHead $(u) = \bot$. So v has a neighbor u such that firstHead(u) = (0, x). According to observation 1.3 u is a head; so $x = id_u$.

Notice that $\forall a \in \texttt{firstAugmentedIdSet}(v)$, we have a.dist > 0, in c.

Proof of OrdinaryPrFirst(1). If v has a head at distance 1 then v has a neighbor u such that firstHead(u) = $(0, id_u)$. So, we have firstHead(v) = $(1, id_u)$ with u being the head in v's neighborhood having the smallest identifier.

If v has not a head at distance 1 then for any u neighbor, we have firstHead(u).dist > 0. and secondHead(u).dist > 0 or $secondHead(u) = \perp$ (according to observation 1.4). In this case, firstHead(v).dist > 1.

Proof of OrdinaryPrSecond(1). If v has several heads at distance 1 then v has a neighbor w such that firstHead(w) = $(0, id_w)$ with $id_w \neq$ firstHead(v).id. So, secondHead(v) = $(1, id_w)$ with w being the head in v's neighborhood having the second smallest identifier. If v has at most one head at distance 1 then v has not a neighbor w such that firstHead(w) = $(0, id_w)$ with $id_w \neq$ firstHead(v).id. In this case, secondHead(v).dist is larger than 1 or secondHead(v) = \bot .

Lemma 3 Let *i* be a positive integer smaller than *k*. In a terminal configuration of protocol SID, if the properties OrdinaryPr(j) are verified for all $j \in [1, i]$ then the property OrdinaryPr(*i*+1) is verified.

Proof 3 Let us assume that the properties OrdinaryPr(j) are verified for all $j \in [1, i]$ in any terminal configuration of protocol SID.

In a terminal configuration c, $(j, x) \in \texttt{firstAugmentedIdSet}(v)$ iff v has a neighbor u such that firstHead(u) = (j - 1, x), or secondHead(u) = (j - 1, x). If j = 1 then u is a head in c, according to Observation 1. If $1 < j \le i + 1$ then x is the identifier of a head in c at distance j - 1 of u, according to the property OrdinaryPr(j - 1). So x is the identifier of a head at distance at most j of v, in c.

Proof of OrdinaryPrFirst(*i*+1). Let v' be the closest head to v and d' the distance from v' to v in the terminal configuration c. Assume that $0 < d' \le i + 1$. v has a neighbor u at distance d' - 1 to v'. In c, the node v' is the closest head of u; so firstHead(u) = $(d' - 1, id_{v'})$, according to the properties OrdinaryPr(d' - 1). According to the properties OrdinaryPr(j) $\forall j \in [1, i]$, in c, we have the following properties,

- if $(l, id) \in \texttt{firstAugmentedIdSet}(v)$ then $l \ge d'$; and
- $if(d', id) \in \texttt{firstAugmentedIdSet}(v)$ then $id \geq id_{v'}$. In c,

We conclude that $firstHead(v) = (d', id_{v'})$, in c.

Proof of OrdinaryPrSecond(*i*+1). Assume that the network has several heads. Let v" be the second closest head to v and d" the distance from v" to v, in a terminal configuration c. v has a neighbor u at distance d" -1 to v" in c. (we have d" > 0). v" is the first or second closest head to u, in c. Assume that d" $\leq i + 1$. According to the property OrdinaryPr(d" -1), firstHead(u) = (d" -1, id_{v} ") \lor secondHead(u) = (d" -1, id_{v} "), in c. According to the properties OrdinaryPr(j) $\forall j \in [1, i)$, in c, we have the following properties,

• if $(l, id) \in \texttt{secondAugmentedIdSet}(v)$ then $l \ge d$ ";

• $if(d^{"}, id) \in \texttt{secondAugmentedIdSet}(v) \ then \ id \geq id_{v^{"}}.$

We conclude that $secondHead(v) = (d^{"}, id_{v^{"}}).$

The following corollary is a direct result of lemmas 2 and 3. It establishes that the set of heads is a k-dominating set.

Corollary 1 Let v be a ordinary node, in a terminal configuration of protocol SID. firstHead(v).id is the closest head to v; their distance is firstHead(v).dist $\leq k$. If secondHead(v) = \perp then v has a single head in its k-neigborhood; otherwise secondHead(v).id is the second closest head to v; their distance is secondHead(v).dist.

The following theorem establishes that the set of heads is a k-independent set.

Theorem 1 Let v be a head, in a terminal configuration of protocol SID, named c. v has not head in its k-neighborhood.

Proof 4 We will prove that if a head has another head in its k-neighborhood then the configuration c is not terminal.

Let wrongHeadSet the set of heads having one or several heads in their k-neigborhood. Assume that wrongHeadSet is not empty. We denoted by v1 the node of wrongHeadSet having the largest identifier. We denote by v2, the closest head to v1 and by d the distance between v1 and v2. We have $0 < d \leq k$ and $id_{v2} < id_{v1}$.

The node v1 has a neighbor u at distance d-1 of v2. The node v2 is the first or the second closest head to u. According to corollary 1, $(d-1, id_{v2}) = \texttt{firstHead}(u)$ or $(d-1, id_{v2}) = \texttt{secondHead}(u)$. v1 is enabled because v1 satisfied the predicate toResign(v1).

5 Termination of the protocol SID

In this section, we prove that all maximal computations of protocol SID under any unfair distributed scheduler are finite by *reductio ad absurdam* arguments.

Let e be a maximal computation starting from a configuration, named c0. In a configuration c reached by e, for all node v, firstHead $(v)_c.id$ is either the identifier of an node or this value appears in the initial configuration (i.e. there is a node u, such that firstHead $(v)_c.id = \texttt{firstHead}(u)_{c0}.id \lor \texttt{firstHead}(v)_c.id = \texttt{secondHead}(u)_{c0}.id$). So, the value taken by a variable firstHead in e belongs to a bounded set. Similary we prove also that the value taken by a variable <code>secondHead</code> in e belongs to a bounded set.

5.1 RR and RE rules

Along any computation, a node performs at most one time the rule **RC**.

Assume that a or several nodes perform infinitely often the action **RE** or the action **RR**. Between two consecutive actions **RE** by a node u, this node has performed on time the action **RR**. So a node u that infinitely often perform the action **RE** or the action **RR** changes its status infinitely often. We name u^+ the node the smallest identifier among nodes that changes their status infinitely often. e has a suffix e1 where only nodes having a identifier larger than id_{u^+} changes their status (i.e. to perform the action **RE** or the action **RE** or the action **RR**).

As the set of value taken by firstHead (u^+) is bounded; along e1, infinitely often after the action $\mathbf{RR}(u^+)$, firstHead (u^+) has the same value, denoted (l+1, id). Notice that $id < id_{u^+}$ and 0 < l < k. So u^+ has a neighbor u_l such that, infinitely often before the action $\mathbf{RR}(u^+)$, u_l verfies firstHead $(u_l) = (l, id)$ or secondHead $(u_l) = (l, id)$. At time, where u^+ becomes head, we have firstAugmentedIdSet $(u^+) = \emptyset$. So, the

values of u_l variables are infinitely often larger than (l, id): So u_l gives infinitely often to one of its variables the value (l, id), but also gives a larger value to the same variable.

Assume that l > 0. At time where u_l gives the value (l, id) to one of its variable : u_l has a neighbor u_{l-1} , having the value (l-1, id). At time where u_l gives a larger value than (l, id) to the same variable : u_{l-1} has a larger value than (l-1, id). We conclude that there is a series of l + 1 nodes : $u_l, u_{l-1}, ... u_0$ such that u_i has infinitely often has the value (i, id) and infinitely often does not have this value along e1.

Along e1, u_0 performs infinitely often the action **RR** and the action **RE**. We have $id = id_{u_0} < id_{u^+}$: there is a contradiction.

So e has a suffix, named e2, in which the only rule performed is **RU**.

5.2 RU rule

Assume that a node or several nodes changing infinitely often their value firstHead or their value secondHead along e2. We named min^+ the smallest value infinitely often allocates to the variable firstHead or to the variable secondHead of one of these nodes. Let e3 be the suffix of e2 in which no variable firstHead and no variable secondHead gets a value smaller than min^+ . Along e3, infinitely often, a node, named u^+ , performs **RU** action to set the value min^+ to its variable firstHead or its variable secondHead; and infinitely often, u^+ performs **RU** action to set to the same variable a value larger than min^+ .

Let $c \to c'$ be a computation step of e3 where u^+ performs **RU** action to set a value larger than min^+ to its variable firstHead or to its variable secondHead. In c, min^+ is smaller than $min(firstAugmentedIdSet(u^+))$ or min^+ is smaller than

 $min(\texttt{secondAugmentedIdSet}(u^+))$. This property stays verified along $e3: u^+$ never sets the value min^+ to its variable <code>firstHead</code> (resp. to its variable <code>secondHead</code>). There is a contradiction.

We have established that e^2 has a suffix e^4 where no rule is executed. A terminal configuration is reached.

6 Conclusion

A simple and silent self-stabilizing protocols building k-independent dominating sets is presented. The obtained k-independent dominating set contains at most $\lfloor (2n)/(k+2) \rfloor$ nodes. The protocol converges under the unfair distributed scheduler (the weakest scheduling assumption). The protocol is memory efficient : it requires only 2log((k+1)n+1) + 1 bits per node.

The computation of the convergence time of the protocol SID is opened question.

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