Framework Policies Complexity LP Heuristics Experiments Extensions Conclusion

Strategies for Replica Placement in Tree Networks

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October 2006



Intro

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- Replica placement in tree networks
- Set of clients (tree leaves): requests with QoS constraints, known in advance
- Internal nodes may be provided with a replica; then nodes become servers, able to process requests (up to their capacity limit)

How many replicas required? Which locations? Total replica cost?



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Rule of the game

- Handle all client requests, and minimize cost of replicas
- ullet ightarrow Replica Placement problem
- Several policies to assign replicas



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Major contributions

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Theory New access policies
Problem complexity
LP-based lower bound to cost of REPLICA
PLACEMENT

Practice Heuristics for each policy

Experiments to assess impact of new policies

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- Framework
- 2 Access policies
- Complexity results
- 4 Linear programming formulation
- 5 Heuristics for the Replica Cost problem
- 6 Experiments
- Extensions
- 8 Conclusion



Outline

- Framework
- Complexity results
- Heuristics for the REPLICA COST problem



Definitions and notations

- Distribution tree \mathcal{T} , clients \mathcal{C} (leaf nodes), internal nodes \mathcal{N}
- Client $i \in C$
 - Sends r; requests per time unit (number of accesses to a single
 - Quality of service q; (response time)
- Node $i \in \mathcal{N}$:
 - Can contain the object database replica (server) or not
 - Processing capacity W_i
 - Storage cost sc;
- Tree edge: $l \in \mathcal{L}$ (communication link between nodes)
 - Communication time comm₁
 - Bandwidth limit BW₁

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ree notations

- r: tree root
- children(j): set of children of node $j \in \mathcal{N}$
- parent(k): parent in the tree of node $k \in \mathcal{N} \cup \mathcal{C}$
- link $l: k \to parent(k) = k'$. Then succ(l) is the link $k' \rightarrow parent(k')$ (when it exists)
- Ancestors(k): set of ancestors of node k
- If $k' \in Ancestors(k)$, then $path[k \to k']$: set of links in the path from k to k'
- subtree(k): subtree rooted in k, including k.



Problem instances

- Goal: place replicas to process client requests
- Client $i \in C$: Servers $(i) \subseteq \mathcal{N}$ is the set of servers responsible for processing its requests
- $r_{i,s}$: number of requests from client i processed by server s $(\sum_{s \in Servers(i)} r_{i,s} = r_i)$
- $R = \{ s \in \mathcal{N} | \exists i \in C, s \in Servers(i) \}$: set of replicas

Constraints

- Server capacity $\neg \forall s \in R, \sum_{i \in C | s \in Servers(i)} r_{i,s} \leq W_s$
- QoS $\forall i \in \mathcal{C}, \forall s \in \mathsf{Servers}(i), \sum_{l \in \mathsf{path}[i \to s]} \mathsf{comm}_l \leq \mathsf{q}_i$.
- Link capacity $\forall I \in \mathcal{L} \sum_{i \in \mathcal{C}, s \in Servers(i) | I \in path[i \to s]} r_{i,s} \leq \mathsf{BW}_I$



- Min $\sum_{s \in R} sc_s$
- Restrict to case where $sc_s = W_s$
- REPLICA COST problem: no QoS nor bandwidth constraints; heterogeneous servers
- REPLICA COUNTING problem: idem, but homogeneous platforms

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Single server vs Multiple servers

Framework

Single server – Each client i is assigned a single server server(i), that is responsible for processing all its requests.

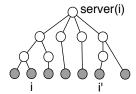
Multiple servers – A client i may be assigned several servers in a set Servers(i). Each server $s \in Servers(i)$ will handle a fraction $r_{i,s}$ of the requests.

In the literature: single server policy with additional constraint.



Intro

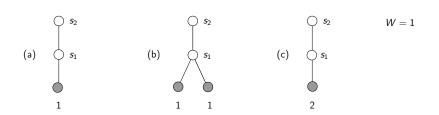
- Closest: single server policy
- Server of client i is constrained to be first server found on the path that goes from i upwards to the root of the tree
- Consider a client i and its server server(i): $\forall i' \in \text{subtree}(\text{server}(i)), \quad \text{server}(i') \in \text{subtree}(\text{server}(i))$
- Requests from i' cannot "traverse" server(i) and be served higher



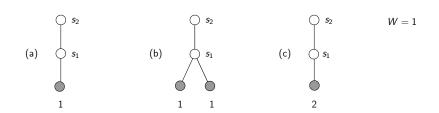


Upwards and Multiple policy

- New policies not studied in the literature
- *Upwards*: *Closest* constraint is relaxed
- Multiple: relax single server restriction
- Expect more solutions with new policies, at a lower cost
- QoS constraints may lower difference between policies

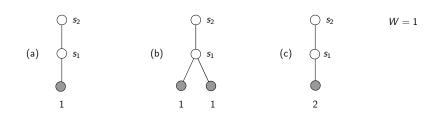


- (a): solution for all policies
- (b): no solution with Closest
- (c): no solution with Closest nor Upwards



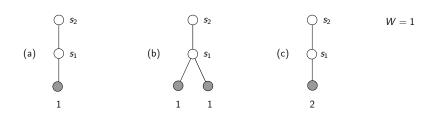
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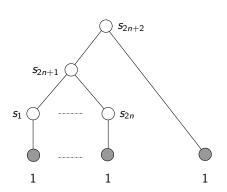
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Upwards versus Closest



$$W = n$$

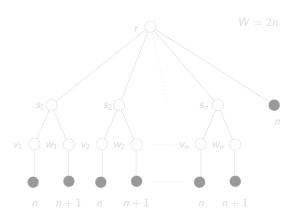
- Upwards: 3 replicas in s_{2n} , s_{2n+1} and s_{2n+2}
- Closest: at least n + 2 replicas (replica in s_{2n+1} or not)



Multiple versus Upwards

Framework

- REPLICA COUNTING: *Multiple* twice better than *Upwards*.
- Performance ratio: open problem.

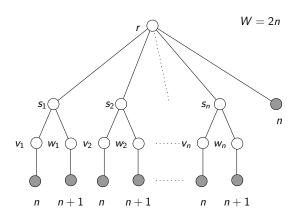


Multiple: n+1 replicas / Upwards: 2n replicas,

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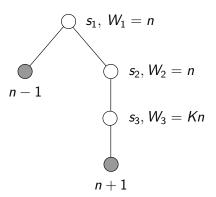
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Multiple versus Upwards

• Replica Cost: Multiple arbitrarily better than Upwards

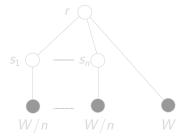


Multiple: cost 2n / Upwards: cost (K + 1)n



Lower bound for the REPLICA COUNTING problem

Obvious lower bound: $\left\lceil \frac{\sum_{i \in \mathcal{C}} r_i}{W} \right\rceil = 2$

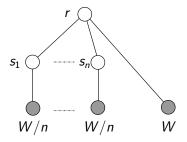


All policies require n + 1 replica (one at each node).



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Framework

	Homogeneous	Heterogeneous
Closest	polynomial [Cidon02,Liu06]	
Upwards		
Multiple		

Table: Complexity results for the different instances of the problem

 Closest/Homogeneous is the only known result (Cidon et al. 2002, Liu et al. 2006)



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Multiple	polynomial algorithm	

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- Multiple/Homogeneous: nice algorithm to prove the polynomial complexity of the problem
- Upwards/Homogeneous: surprisingly, NP-complete
- All instances for the Heterogeneous case are NP-complete



Multiple/Homogeneous: greedy algorithm

3-pass algorithm:

- Select nodes which can handle W requests
- Select some extra servers to fulfill remaining requests
- Decide which requests are processed where

Example to illustrate algorithm (informally)

Proof of optimality: any optimal solution can be transformed into a solution similar to the one of the algorithm (moving requests from one server to another)



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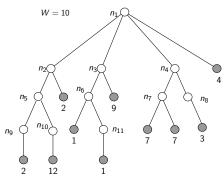
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ro Framework Policies **Complexity** LP Heuristics Experiments Extensions Conclusion

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Multiple/Homogeneous: example



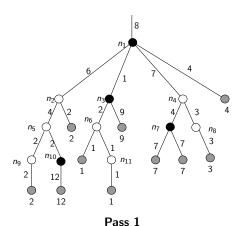
Initial network

The example network



tro Framework Policies **Complexity** LP Heuristics Experiments Extensions Conclusio

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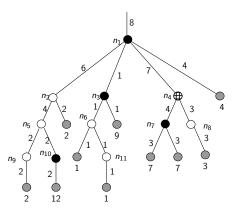
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Placing saturated replicas



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Multiple/Homogeneous: example



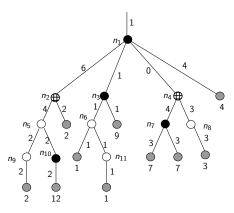
Pass 2

Placing extra replicas: n_4 has maximum useful flow



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Multiple/Homogeneous: example

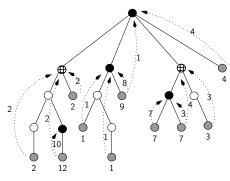


Pass 2

Placing extra replicas: n_2 is of maximum useful flow 1



Multiple/Homogeneous: example



Pass 3

Deciding where requests are processed



Upwards/Homogeneous

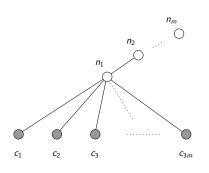
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- Reduction from 3-PARTITION





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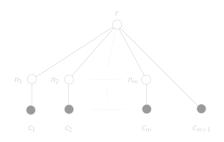
$$W = B$$

$$\sum_{i=1}^{3m} a_i = mB$$



Heterogeneous network: REPLICA COST problem

- All three instances of the REPLICA COST problem with heterogeneous nodes are NP-complete
- Reduction from 2-PARTITION



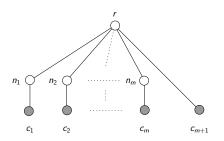
$$\sum_{i=1}^{m} a_i = S$$
, $a_{m+1} = 1$, $W_j = a_i$, $W_r = S/2 + 1$

Solution with total storage cost S + 1?



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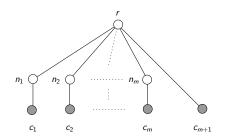
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Linear programming

- General instance of the problem
 - Heterogeneous tree
 - QoS and bandwidth constraints
 - Closest, Upwards and Multiple policies
- Integer linear program: no efficient algorithm
- Absolute lower bound if program solved over the rationals (using the GLPK software)
- Closest / Upwards LP formulation



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Framework

- x_j : boolean variable equal to 1 if j is a server (for one or several clients)
- $y_{i,j}$: boolean variable equal to 1 if j = server(i)
 - If $j \notin Ancests(i)$, $y_{i,j} = 0$
- $z_{i,l}$: boolean variable equal to 1 if link $l \in \text{path}[i \to r]$ used when i accesses server(i)
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Objective function: $\sum_{j \in \mathcal{N}} \operatorname{sc}_j x_j$



Linear program: variables

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- Servers: $\forall i \in \mathcal{C}, \sum_{j \in \mathsf{Ancestors}(i)} y_{i,j} = 1$
- Links: $\forall i \in \mathcal{C}, z_{i,i \rightarrow \mathsf{parent}(i)} = 1$
- Conservation: $\forall i \in \mathcal{C}, \forall l : j \to j' = \operatorname{parent}(j) \in \operatorname{path}[i \to r],$ $z_{i,\operatorname{succ}(l)} = z_{i,l} y_{i,j'}$
- Server capacity: $\forall j \in \mathcal{N}, \sum_{i \in \mathcal{C}} r_i y_{i,j} \leq W_j x_j$
- Bandwidth limit: $\forall I \in \mathcal{L}, \sum_{i \in \mathcal{C}} r_i z_{i,I} \leq \mathsf{BW}_I$
- QoS constraint: $\forall i \in C, \forall j \in \text{Ancestors}(i), \text{dist}(i,j)y_{i,j} \leq q_i$
- Closest constraint: $\forall i \in \mathcal{C}, \forall j \in \mathsf{Ancestors}(i) \setminus \{r\},\ \forall i' \in \mathcal{C} \cap \mathsf{subtree}(j), y_{i,j} + z_{i',j \to \mathsf{parent}(j)} \leq 1$



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- Bandwidth limit: $\forall I \in \mathcal{L}, \sum_{i \in \mathcal{C}} r_i z_{i,l} \leq \mathsf{BW}_I$
- QoS constraint: $\forall i \in C, \forall j \in Ancestors(i), dist(i, j)y_{i,j} \leq q_i$
- Closest constraint: $\forall i \in \mathcal{C}, \forall j \in \mathsf{Ancestors}(i) \setminus \{r\},\ \forall i' \in \mathcal{C} \cap \mathsf{subtree}(j), y_{i,j} + z_{i',j \to \mathsf{parent}(j)} \leq 1$



Framework

- Servers: $\forall i \in \mathcal{C}, \sum_{i \in \mathsf{Ancestors}(i)} y_{i,j} = 1$
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IΡ

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Multiple formulation

Multiple

- Similar formulation, with
 - y_{i,j}: integer variable = nb requests from client i processed by node j
 - z_{i,l}: integer variable = nb requests flowing through link l
- Constraints are slightly modified



- Solving over the rationals: solution for all practical values of the problem size
 - Not very precise bound
 - Upwards/Closest equivalent to Multiple when solved over the rationals
- Integer solving: limitation to $s \le 50$ nodes and clients
- - Improved bound: if a server is used only at 50% of its capacity,



An ILP-based lower bound

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- Mixed bound obtained by solving the Upwards formulation over the rational and imposing only the x_j being integers
 - Resolution for problem sizes $s \le 400$
 - Improved bound: if a server is used only at 50% of its capacity, the cost of placing a replica at this node is not halved as it would be with $x_i = 0.5$.



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Outline

- Framework
- 2 Access policies
- Complexity results
- 4 Linear programming formulation
- 5 Heuristics for the REPLICA COST problem
- 6 Experiments
- Extensions
- Conclusion



- Polynomial heuristics for the Replica Cost problem
 - Heterogeneous platforms
 - No QoS nor bandwidth constraints
- Experimental assessment of the relative performance of the
- Traversals of the tree, bottom-up or top-down
- In the worst case complexity $O(s^2)$, where $s = |\mathcal{C}| + |\mathcal{N}|$ is

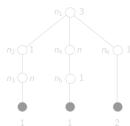
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Framework

Closest Top Down All CTDA

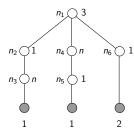
- Breadth-first traversal of the tree
- When a node can process the requests of all the clients in its subtree, node chosen as a server and exploration of the subtree stopped
- Procedure called until no more servers are added
- Choosing n_2 , n_4 and then n_1



Framework

Closest Top Down All CTDA

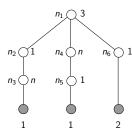
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- Closest Top Down All CTDA
- Closest Top Down Largest First CTDLF



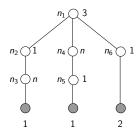
- Closest Top Down All CTDA
- Closest Top Down Largest First CTDLF
 - Traversal of the tree, treating subtrees that contains most requests first
 - When a node can process the requests of all the clients in its subtree, node chosen as a server and traversal stopped
 - Procedure called until no more servers are added
 - Choosing n_2 and then n_1



- Closest Top Down All CTDA
- Closest Top Down Largest First CTDLF
- Closest Bottom Up CBU



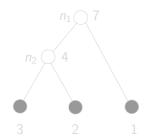
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- Closest Bottom Up CBU
 - Bottom-up traversal of the tree
 - When a node can process the requests of all the clients in its subtree, node chosen as a server
 - Choosing n_3, n_5, n_1



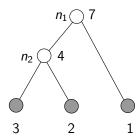
Framework

Upwards Top Down UTD

- 2-pass algorithm
- Select first saturating nodes, then extra nodes
- Choosing n_2 (for c_1) and in second pass n_1 (for c_2 , c_3)



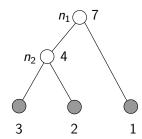
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- Upwards Top Down UTD
- Upwards Big Client First UBCF



- Upwards Top Down UTD
- Upwards Big Client First UBCF
 - Sorting clients by decreasing request numbers, and finding the server of minimal available capacity to process its requests.
 - Choosing n_2 for c_1 , n_1 for c_2 and n_1 for c_3





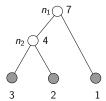
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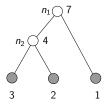
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- MG affects 4 requests to n_2 , and then the remaining 2 requests to n_1
- CTDLF better on this example: selects n_1 only



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- Heuristic MixedBest MB which picks up best result over all heuristics: solution for the Multiple policy

Outline

Framework

- Complexity results
- 6 Heuristics for the REPLICA COST problem
- 6 Experiments



Plan of experiments

- Assess the impact of the different access policies
- Assess the performance of the polynomial heuristics
- Important parameter:

$$\lambda = \frac{\sum_{i \in \mathcal{C}} r_i}{\sum_{j \in \mathcal{N}} W_i}$$

- 30 trees for each $\lambda = 0.1, 0.2, ..., 0.9$
- Problem size $s = |\mathcal{C}| + |\mathcal{N}|$ such that 15 < s < 400
- Computation of the LP lower bound for each tree

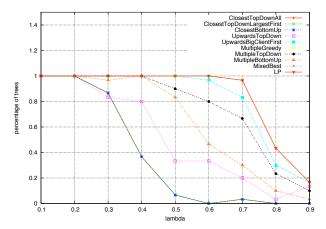
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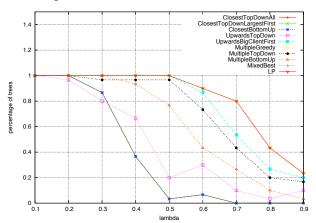
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- Number of solutions for each lambda and each heuristic
- No LP solution → No solution for any heuristic
- Homogeneous case



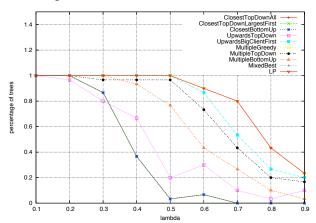
Heterogeneous trees: similar results



- Striking impact of new policies
- MG and MB always find the solution



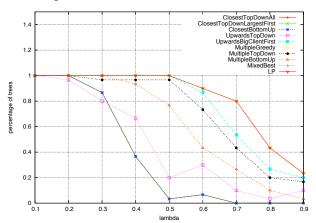
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Results - Solution cost

- Distance of the result (in terms of replica cost) of the heuristic to the lower bound
- T_{λ} : subset of trees with a solution

$$rcost = \frac{1}{|T_{\lambda}|} \sum_{t \in T_{\lambda}} \frac{cost_{LP}(t)}{cost_{h}(t)}$$

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- $cost_h(t)$: heuristic cost on tree t; $cost_h(t) = +\infty$ if h did not

Results - Solution cost

- Distance of the result (in terms of replica cost) of the heuristic to the lower bound
- T_{λ} : subset of trees with a solution
- Relative cost:

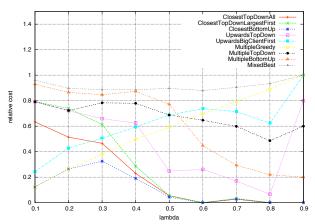
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Framework Policies Complexity LP Heuristics **Experiments** Extensions Conclusion OOOOO OOOO OOOO OOOO OOOO OOOO OOOO

Results - Solution cost

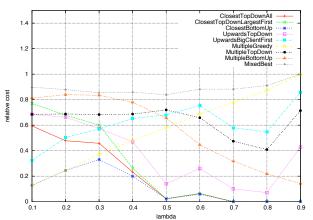
Homogeneous results





Results - Solution cost

• Heterogeneous results - similar to the homogeneous case





Summary

- Striking effect of new policies: many more solutions to the REPLICA PLACEMENT problem
- Multiple
 \(\sum_{\text{observed}} \) Upwards
 \(\sum_{\text{closest}} \): hierarchy observed within our heuristics
- Best Multiple heuristic (MB) always at 85% of the lower bound: satisfactory result



Framework Outline

- Framework
- Complexity results
- Heuristics for the REPLICA COST problem
- Extensions



Extensions

- Simplified problem instance for this work
- Possible generalizations:
 - Several objects
 - More complex objective function



- We considered a single object: all replicas are identical
- Different types of objects need to be accessed: clients have requests of different types
- New parameters:
 - Requests per object r_i^k , and $q_i^{(k)}$
 - Size of the object, computation time involved, storage cost, ...
- Constraints and objective function slightly modified
- Constraints/Objective function add up linearly for different objects: LP-formulation easily extended.
- Efficient heuristics in this case: challenging problem



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Extensions - Objective function

Intro

Cost of replica – What we considered in this work

Communication cost - This cost is the read cost

Update cost – The *write* cost is the extra cost due to an update of the replicas

Linear combination – A quite general objective function can be obtained by a linear combination of the three different costs

$$\alpha \sum_{\text{servers, objects}} \textit{replica cost} + \beta \sum_{\text{requests}} \textit{read cost} + \gamma \sum_{\text{updates}} \textit{write cost}$$

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Outline

- Framework
- Complexity results
- Heuristics for the REPLICA COST problem

- 8 Conclusion



Related work

- Several papers on replica placement, but...
- ...all consider only the *Closest* policy
- REPLICA PLACEMENT in a general graph is NP-complete
- Wolfson and Milo: impact of the write cost, use of a minimum
- Cidon et al (multiple objects) and Liu et al (QoS constraints):
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- Karlsson et al: comparison of different objective functions and several heuristics. No QoS, but several other constraints.
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Conclusion

- Introduction of two new policies for the REPLICA PLACEMENT problem
- Upwards and Multiple: natural variants of the standard Closest approach → surprising they have not already been considered

- Comparison of their performance
- Striking impact of the policy on the result



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Theoretical side – Complexity of each policy, for homogeneous and heterogeneous platforms

Practical side

- Design of several heuristics for each policy
- Comparison of their performance
- Striking impact of the policy on the result
- Use of a LP-based lower bound to assess the absolute performance, which turns out to be quite good.



o Framework Policies Complexity LP Heuristics Experiments Extensions Conclusion
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Future work

Short term

- More simulations for the REPLICA COST problem: shape of the trees, distribution law of the requests, degree of heterogeneity of the platforms
- Designing heuristics for more general instances of the REPLICA PLACEMENT problem (QoS and bandwidth constraints): these constraints may lower the difference between policies

Longer term

- Consider the problem with several object types
- Extension with more complex objective functions

Still a lot of challenging algorithmic problems ©



Framework Policies Complexity LP Heuristics Experiments Extensions Conclusion

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