# Tutorial #1 - Type systems and derivations

**OCaml** (https://ocaml.org) is a functional programming language developed at INRIA, distributed with a compiler ocamlc and an interaction loop ocaml.

In order to write OCaml code, the most direct way consists in launching emacs on a .ml file, and then running M-x tuareg-mode. Then, start an interaction loop using the c-c c-s shortcut, and using the default interpreter name (ocaml).

Execute every expression in the interaction loop using the c-x c-e shortcut.

## Exercice 1: Playing with OCaml

The evaluation of an expression in OCaml consists in :

Expression evaluated : 3 + 4 ;; Expression Terminator



Each of the following expression is meant to be executed with the OCaml interpreter and related to the expressions of the  $\lambda$ -calculus studied during the class. Afterwards, this list can be considered as a cheat sheet for finding the syntax of expressions.

```
true;; (* Booleans *)
false;;
0;; (* Integers *)
65535;;
if true then 7 else 14;; (* Conditional *)
fun x \rightarrow x + 1;; (* Abstraction *)
(fun x \rightarrow x + 1) 8;; (* Application *)
"abcde";; (* Strings *)
"meta"^"for";; (* String concatenation *)
```

To bind a name to a value at the toplevel : To bind a name to a value as a local expression :  $let y = "a" in y ^ y;;$ 

The following code is provided as an example of the infamous factorial function :

#### Exercice 2: Algebraic data types

In this exercise, we introduce a particular sort of types named *algebraic data types*, also called *sum types* in OCaml. Such datatypes are defined using the following construction :

```
type (type_name) =
  | (Constr_1) of (Type_1)
  | (Constr_2) of (Type_2)
  ...
  | (Constr_n) of (Type_n)
```

Example :

In order to manipulate sum types, **OCaml** contains a construct named *pattern-matching*, working as follows :



Example :

```
let red_component c = match c with

| Red → 255

| Gray g → g

| RGB (r,g,b) → r;;
```

The following lines explain how to construct and use such values :

```
let c1 = Gray 100;;
red_component c1 ;; (* \rightarrow 100 *)
let c2 = RGB (50,150,250);;
red_component c2 ;; (* \rightarrow 50 *)
```

For further reading on the subject, one can find at the address https://caml.inria.fr/ pub/docs/oreilly-book/html/book-ora016.html some documentation and examples about the definition of types and the pattern-matching in OCaml.

- 1. Write a sum type weight for the manipulation of weights in kilos, in pounds and in carats.
- 2. Write the translation function that can convert any element of type weight in kilos. (*Cultural hint* : 1kg = 2.205 lbs = 5000 carats)

#### Exercice 3: Simple untyped $\lambda$ -calculus

Consider the following definition of a language based on the untyped  $\lambda$ -calculus discussed during the class :

Syntax		Evaluation rules
t ::= x	expressions variable	$\frac{\mathtt{t}_1 \rightarrow_\beta \mathtt{t}'_1}{(\mathtt{t}_1 \ \mathtt{t}_2) \rightarrow_\beta (\mathtt{t}'_1 \ \mathtt{t}_2)}$
$\lambda$ x.t $(tt)$	abstraction application	$\frac{t \to_{\beta} t'}{(v_{-}t) \to_{\beta} (v_{-}t')}$
v ::= $\lambda_{x.t}$	values abstraction value	$(\lambda \mathbf{x}.\mathbf{t}_1 \mathbf{x}_2) \rightarrow_{\beta} [\mathbf{x} \mapsto \mathbf{t}_2] \mathbf{t}_1$

Let us translate this definition into OCaml.

▷ First, retrieve the code given in the sources, *compile it* with make and load the main.ml file in your editor. Start the interaction loop (c-c c-s) with the command : ocaml syntax.cma (you'll need to give the absolute path to syntax.cma if the file is not in the same directory you started emacs with).
Execute (c-x c-e) the three first lines (open Syntax;; and its siblings). After that, it becomes possible to copy-paste the examples (*not* the type declarations) into the buffer and test them.

Consider the following definition in the file syntax.ml, that corresponds to a sum type describing the grammar of the language :

<pre>type id = string</pre>	(* Identifiers *)	(* do NOT copy-paste in the interpreter *)
<pre>type term =       TmVar of id       TmAbs of id * term       TmApp of term * term</pre>	(* Variable *) (* Abstraction *) (* Application *)	

This code defines a type and a set of constructors for building  $\lambda$ -expressions : TmVar, TmAbs and TmApp. The following are examples of  $\lambda$ -expressions in OCaml :

```
TmAbs ("x", TmVar "x");;(* fun x \to x *)TmAbs ("y", TmAbs ("z", TmVar "z"));;(* fun y \to fun z \to z *)TmApp (TmAbs ("x", TmVar "x"), TmVar "z");;(* ((fun x \to x) z) *)
```

The sources also provide a parser to simplify the writing of complex expressions, given with the parse function :

parse "fun\_x\_ $\rightarrow$ \_x";;

 $(* \rightarrow TmAbs ("x", TmVar "x") *)$ 

The syntax of the language is (as much as possible) the same as in OCaml. To understand this code, it is possible to read the function term\_to\_string that transforms a  $\lambda$ -expression into a string acceptable by LATEX :

```
let rec term_to_string t =
  match t with
  | TmVar v → "$"^(blue_string v)^"$"
  | TmAbs (v,e) → "$\\lambda_"^(blue_string v)^"$_.._"^(term_to_string e)
  | TmApp (a,b) → (term_to_string a)^"_"^(term_to_string b)
```

Finally, the syntax.ml file contains functions for the substitution (substitute), the  $\alpha$ -renaming (rename) and the  $\beta$ -reduction (reduce\_one and reduce) of expressions, but most of these functions are not written.

- 1. Write the code for substitute and rename. The definition for these functions appears on the slides of the course.
- 2. Write the code for reduce\_one. This function should return a  $\lambda$ -expression where only one  $\beta$ -reduction step has been applied.
- 3. Test your code with different examples. In particular, write a term whose reduction is not finite.

### **Exercice 4: Simple extensions**

In this exercise, we propose to extend the language so as to contain rules for booleans and integers. For the record, these rules are the following :

Syntax		Evaluation rules
t ::= true, false zero, succ t if t then t else iszero t	expressions booleans naturals t if-then-else zero-equality	$\frac{t_1 \rightarrow_\beta t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow_\beta}$ $\text{if } t'_1 \text{ then } t_2 \text{ else } t_3$ $\text{if true then } t_2 \text{ else } t_3 \rightarrow_\beta t_2$ $\text{if false then } t_2 \text{ else } t_3 \rightarrow_\beta t_3$
<pre>v ::= true, false nv nv ::= zero succ nv</pre>	values boolean value numeric value numeric values zero value successor value	$\frac{t \rightarrow_{\beta} t'}{\text{iszero } t \rightarrow_{\beta} \text{iszero } t'}$ iszero zero $\rightarrow_{\beta} true$ iszero (succ t) $\rightarrow_{\beta}$ false

The first objective consists in extending the language with the boolean expressions (true, false and if .. then .. else). For the sake of safety, copy your entire code in a new directory.

- 1. Extend the OCaml grammar for term with boolean expressions (4 constructors).
- 2. Modify the parser file parser.mly so as to use these constructors (this mostly consists in adapting the file comments to your code).
- 3. Extend the printer term\_to\_string and the matcher is\_value.
- 4. Extend in order : substitute, rename and reduce\_one (the reduce function should continue to work as before).

The goal now is to keep this version of your code safe (possibly by making a copy into a new directory), and apply the same operations to handle the natural numbers.

Syntax		Evaluation rules
<pre>t ::=     zero, succ t     iszero t v ::=     nv</pre>	expression naturals zero-equality values numeric value	$\frac{t \rightarrow_{\beta} t'}{\text{iszero } t \rightarrow_{\beta} \text{iszero } t'}$ iszero zero $\rightarrow_{\beta} true$ iszero (succ t) $\rightarrow_{\beta} \text{false}$
nv ::= zero	numeric values zero value	
succ nv	successor value	

- 5. Extend the OCaml grammar for term with integer expressions (2 constructors).
- 6. Modify the parser file parser.mly so as to use these constructors (this mostly consists in adapting the file comments to your code), term\_to\_string and is\_value.
- 7. Extend in order : substitute, rename and reduce\_one (the reduce function should continue to work as before).