Algebraic Recognizability of Languages

Pascal Weil

LaBRI, Université Bordeaux-1 and CNRS

MFCS Conference, Prague, August 2004

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Algebraic recognizability?

The word case

How do we extend algebraic recognizability beyond words?

Posets, trees and graphs

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The general problem

Problem: to specify and analyse infinite sets by finite means

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- Problem: to specify and analyse infinite sets by finite means
- These are sets of discrete structures, arising from the modeling of computations, data structures,...
- ▶ Since the origins of TCS, words = finite sequences of letters
- ▶ Need to discuss more models: trees, traces, pomsets, graphs

The theory was first developped for words. The class of recognizable (regular) languages has the best algorithmic properties, and is expressive enough.

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In the case of finite words, the situation is *ideal*. 4 formalisms are effectively, and sometimes efficiently equivalent (Kleene, Myhill, Nerode, Büchi, Elgot, Mezei, Schützenberger,...)

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- rational expressions

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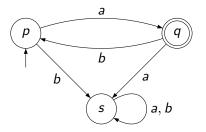
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- algebraic recognizability, by finite monoids

Outline Algebraic recognizability? The word case

How do we extend algebraic recognizability beyond words? Posets, trees and graphs

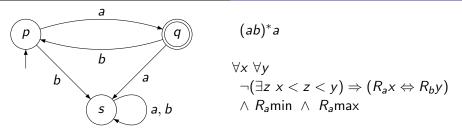


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Image: A matrix

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analyzing the word case

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- analyzing the word case
- discussing what we can expect in other settings

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- analyzing the word case
- discussing what we can expect in other settings
- and reviewing a number of situations where interesting results have emerged

Outline Algebraic recognizability? The word case Around FO-definability How do we extend algebraic recognizability beyond words? Locally testable languages Posets, trees and graphs Efficient decision procedures

Syntactic monoid vs. minimal automaton Star-free languages and FO-definability

The word case

Syntactic monoid vs. minimal automaton Star-free languages and FO-definability Around FO-definability Locally testable languages Efficient decision procedures

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Syntactic monoid vs. minimal automaton

L, recognizable language: 2 computable invariants

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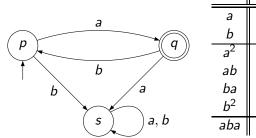
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S(L) is the transition monoid of $\mathcal{A}(L)$

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Syntactic monoid vs. minimal automaton



	р	q	S	
а	q	5	S	
b	5	р	S	
a^2	s	S	s	
ab	р	5	s	
ba	5	q	s	
b ²	s	s	s	$b^2 = a^2 = 0$
aba	q	5	S	$\mathit{aba} = \mathit{a}, \ \mathit{bab} = \mathit{b}$
$S((ab)^*a) = \{a, b, ab, ba, 0\}$				

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Classification of recognizable languages: characterize and decide significant classes

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Star-free languages

L is *star-free* if it is obtained from the letters using Boolean operations and concatenations

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 $A^* = a \cup a^c$

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Star-free languages vs. FO-definability

Theorem (Schützenberger, McNaughton, Pappert, Kamp) *TFAE*

► L is star-free

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- L is PTL-definable

PTL, propositional temporal logic, modal logic using modalities next, eventually, until

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 \mathcal{A} counter-free: if $q \cdot u^n = q$, then $q \cdot u = q$

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S aperiodic: if $s^n = s$, then $s^2 = s$

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essentially the same property; provides decidability

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Extending FO with modulo quantifiers

FO+MOD: allow quantification $\exists x \ \varphi(x)$ and $\exists \ ^{\text{mod}} \ ^{q}x \ \varphi(x)$, *i.e.*, card{ $x \mid \varphi(x)$ holds} is a multiple of q.

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 $\exists mod ^{2}x R_{a}x = all words with an even number of a (parity)$

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Theorem (Straubing, Thérien, Thomas) L is FO+MOD-definable iff the subgroups of S(L) are solvable

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a decidable property

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Restricting FO

FO is equivalent to FO_3 (use only 3 variables) but not to FO_2

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Theorem (Etessami, Pin, Schütz, Thérien, Weil, Wilke) *TFAE*

- L is in FO_2
- L is in $\Sigma_2 \cap \Pi_2$

 Σ_2 : $\exists x_1 \cdots \exists x_n \forall y_1 \cdots \forall y_m \varphi$ where φ is quantifier-free Π_2 : $\forall x_1 \cdots \forall x_n \exists y_1 \cdots \exists y_m \varphi$ where φ is quantifier-free

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- L is in FO_2
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- L is PTL-definable without until

$$\begin{split} \Sigma_2 &: \exists x_1 \cdots \exists x_n \; \forall y_1 \cdots \forall y_m \; \varphi \; \text{where} \; \varphi \; \text{is quantifier-free} \\ \Pi_2 &: \forall x_1 \; \cdots \; \forall x_n \; \exists y_1 \; \cdots \; \exists y_m \; \varphi \; \text{where} \; \varphi \; \text{is quantifier-free} \end{split}$$

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Theorem (Etessami, Pin, Schütz, Thérien, Weil, Wilke) *TFAE*

- L is in FO₂
- L is in $\Sigma_2 \cap \Pi_2$
- L is PTL-definable without until
- L can be obtained from the letters using only disjoint unions and unambiguous concatenations

$$\begin{split} \Sigma_2 &: \exists x_1 \ \cdots \ \exists x_n \ \forall y_1 \ \cdots \ \forall y_m \ \varphi \ \text{where} \ \varphi \ \text{is quantifier-free} \\ \Pi_2 &: \forall x_1 \ \cdots \ \forall x_n \ \exists y_1 \ \cdots \ \exists y_m \ \varphi \ \text{where} \ \varphi \ \text{is quantifier-free} \end{split}$$

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- ▶ *S*(*L*) *is in DA*

S is in DA if, whenever xyx = x for some y, then $x^2 = x$

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- L is PTL-definable without until
- L can be obtained from the letters using only disjoint unions and unambiguous concatenations
- S(L) is in DA

S is in DA if, whenever xyx = x for some y, then $x^2 = x$ provides decidability

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Dot-depth hierarchy and quantifier alternation

 Σ_n , Π_n and Bool(Σ_n) are also characterized algebraically and in terms of the complexity of a star-free expression (dot-depth),

 Σ_n : FO formulas in normal prenex form, with *n* alternations of quantifiers, starting with \exists

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Dot-depth hierarchy and quantifier alternation

 Σ_n , Π_n and Bool(Σ_n) are also characterized algebraically and in terms of the complexity of a star-free expression (dot-depth),

... but for $n \ge 2$ or 3, we do not know any decision algorithm

 Σ_n : FO formulas in normal prenex form, with *n* alternations of quantifiers, starting with \exists

Syntactic monoid vs. minimal automaton Star-free languages and FO-definability Around FO-definability Locally testable languages Efficient decision procedures

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Locally testable languages

Locally testable languages: determined by a Boolean combination of conditions on the presence of a finite number of prefixes, factors and suffixes

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that is, if $e = e^2$, then $(ese)^2 = ese$ and esete = etese: a decidable property

 Outline
 Syntactic monoid vs. minimal automaton

 Algebraic recognizability?
 Star-free languages and FO-definability

 The word case
 Around FO-definability

 How do we extend algebraic recognizability beyond words?
 Locally testable languages

 Posets, trees and graphs
 Efficient decision procedures

This approach is systematized in Eilenberg's variety theorem

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- Sometimes with significant benefit: given A(L), local testability, Σ₂, FO₂ are decided in PTIME
- but deciding FO is PSPACE-complete (Cho, Huynh)

Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

Algebraic recognizability?

The word case

How do we extend algebraic recognizability beyond words? Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

Posets, trees and graphs

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Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

Σ -labeled trees, where Σ is a ranked alphabet: a node labeled σ has rank(σ) linearly ordered children

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L is accepted by a bottom-up tree automaton

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- L is MSO-definable

MSO-formulas, interpreted on the nodes, using the parent-child predicate, the order between siblings, and the Σ -labeling

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consider morphisms from T_{Σ} into finite Σ -algebras, or equivalently, finite-index congruences on T_{Σ}

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- L is recognizable by a finite Σ-algebra
- L is equational

i.e., L is a component of a solution of a system of equations in the Σ -algebra T_{Σ} (can be expressed also in terms of context-free grammars)

Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

- bottom-up tree automaton
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Positive points:

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Positive points:

 there is an equivalent notion of generalized rational expressions

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Positive points:

- there is an equivalent notion of generalized rational expressions
- deterministic bottom-up tree automata provide an efficient implementation

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Disappointing points:

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Disappointing points:

 deterministic bottom-up tree automata and finite Σ-algebras are essentially the same thing

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Disappointing points:

- deterministic bottom-up tree automata and finite Σ-algebras are essentially the same thing
- no classification results: in particular, we do not know how to characterize or decide FO-definable tree languages

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Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

Infinite words

Infinite words, $A^{\mathbb{N}}$ A^{∞}

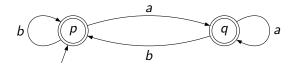
$$A^{\infty} = A^* \cup A^{\mathbb{N}}$$

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This Büchi automaton accepts all words in $A^{\mathbb{N}}$ with infinitely many a and infinitely many b

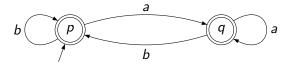
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Büchi automata, Muller automata, Rabin automata, are equivalent to MSO-definability, and to ω -regular expressions, *i.e.*, finite unions of KL^{ω} (K, L recog. languages of finite words)



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Infinite words: using many-sorted algebras

 The proper algebraic setting, called ω-semigroups, emerged more recently (Wilke, Pin, after work by Arnold, Pécuchet, Perrin)

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- Algebraic recognizability is equivalent to rational expressions, Büchi automata and MSO-definability, and
- an Eilenberg-style variety theorem holds, with many interesting classes characterized (Perrin, Pin, Carton)

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Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

To study sets of structures (words, trees, posets, graphs, etc)

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- but algebraic recognizability depends on the choice of an algebraic structure

Usually, the structures are given, and we need to invent the abstract algebraic structure: monoids for words, Σ -algebras for Σ -trees, ω -semigroups for A^{∞} , etc

Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

What is a good choice of algebraic structure?

Not always clear (posets, graphs)... A *good* algebraic structure should

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On A^* , consider the usual concatenation product, and the unary mirror operation $a_1 \cdots a_{n-1} a_n \longmapsto a_n a_{n-1} \cdots a_1$, then the class of recognizable sets is unchanged

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On A^* , consider the usual concatenation product, and the unary mirror operation $a_1 \cdots a_{n-1} a_n \longmapsto a_n a_{n-1} \cdots a_1$, then the class of recognizable sets is unchanged On the other hand, if we add the unary shift operation $a_1 \cdots a_{n-1} a_n \longmapsto a_n a_1 \cdots a_{n-1}$, then a^*b is not recognizable

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Too few operations give too many recognizable languages: if there are no operations, then every partition is a congruence, every subset is recognizable

Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

And what is a good choice of logic?

Obvious candidate: monadic second order logic (MSO)

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Trees Infinite words Choosing an algebraic structure Logical definability vs. recognizability

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Once all the ingredients are in place,

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Appropriate conditions:

the algebra S must be *finitely generated*, so there is a finite Σ s.t. every $s \in S$ is described by a Σ -term (Σ -tree) and the valuation map val: Terms $\rightarrow S$ must be *MS*-definable

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Converse:

if we have a *MS*-definable parsing mapping, parse: $S \rightarrow$ Terms such that val(parse(x)) = x, then recognizability implies CMSO-definability

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Poset models Graphs Revisiting trees

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Traces

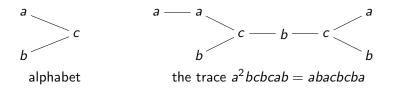
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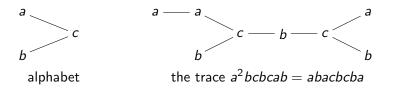


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Poset models Graphs Revisiting trees

Traces

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- the algebraic structure is the so-called partially commutative monoid

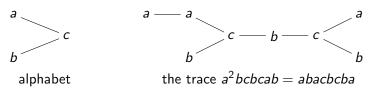


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Poset models Graphs Revisiting trees

Traces

- The alphabet is equipped with a dependence relation, and a trace is a dependence graph
- the algebraic structure is the so-called partially commutative monoid
- Recognizability is equivalent to MSO-definability, but not to rationality ((*ab*)* is not recognizable)



Poset models Graphs Revisiting trees

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- but few other classes of recognizable trace languages have been characterized that way...

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Poset models Graphs Revisiting trees

Series-parallel pomsets and Message Sequence Charts

 sp-posets are obtained from singletons by using parallel and sequential products – characterized as the N-free posets

Poset models Graphs Revisiting trees

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Poset models Graphs Revisiting trees

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- FO-definability is characterized algebraically, but only for bounded-width languages (Kuske)
- an automaton model is known to match recognizability under the same hypothesis (Lodaya, Weil)

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Poset models Graphs Revisiting trees

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 For MSCs, recognizability has been considered only with reference to a monoid structure (i.e. considering linearizations), and several logics have been proposed

Poset models Graphs Revisiting trees

An algebraic structure for the set of finite graphs?

No obvious choice!

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Poset models Graphs Revisiting trees

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Image: Image:

Poset models Graphs Revisiting trees

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VR comes from vertex-replacement grammars

Image: A matrix

Poset models Graphs Revisiting trees

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- VR comes from vertex-replacement grammars
- ► HR comes from hyperedge replacement grammars

- ► HR and VR are infinite signatures, defining an infinitely-sorted algebra.
- HR and VR admit many technical variants, but the corresponding notions of recognizability are stable

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- \blacktriangleright \mathcal{F}_∞ is also an infinite signature, and it defines a one-sorted algebra

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 CMSO[E] – the domain is V (vertices), and E is the binary edge predicate

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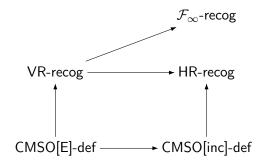
- CMSO[E] the domain is V (vertices), and E is the binary edge predicate
- CMSO[inc] the domain is 2-sorted (V, E), and inc is the incidence predicate

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Outline
Algebraic recognizability?
How do we extend algebraic recognizability beyond words?
Posets, trees and graphs
Posets, trees and graphs

General implications

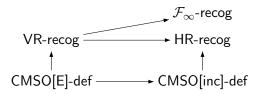
The following implications are known, and strict (Courcelle, Weil)



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Poset models Graphs Revisiting trees

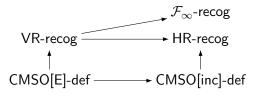
Finiteness conditions yield equivalence results



Under certain finiteness conditions, which can be interpreted in terms of finitely generated algebras, we get equivalence results

Poset models Graphs Revisiting trees

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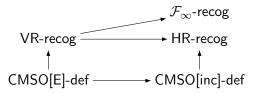


► For bounded tree-width, CMSO[inc] ↔ HR-recog (Lapoire)

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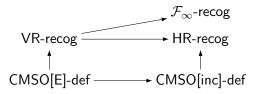
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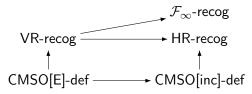


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Poset models Graphs Revisiting trees

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- ▶ VR-recog $\iff \mathcal{F}$ -recog (Courcelle, Weil)
- ▶ and if *F* is weakly rigid, this is equivalent to CMSO[E]-definability (Weil)

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Poset models Graphs Revisiting trees

Revisiting trees

 Σ-algebras have not given a tool to characterize or decide FO-definability for languages of Σ-trees, so

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Poset models Graphs Revisiting trees

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Poset models Graphs Revisiting trees

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- FO-definable sets of trees are now characterized algebraically, by their syntactic preclone
- the characterization does not yet prove decidability...

Poset models Revisiting trees

Conclusion

In the case of word languages, monoid theory is a great tool for classification and decision

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Poset models Graphs Revisiting trees

Conclusion

- In the case of word languages, monoid theory is a great tool for classification and decision
- For languages of other discrete objects, automata are not always available, it is a tool to systematically discuss the decidability of logically defined and other classes.

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Outline Algebraic recognizability? How do we extend algebraic recognizability beyond words? Posets, trees and graphs Posets, trees and graphs

Conclusion

- In the case of word languages, monoid theory is a great tool for classification and decision
- For languages of other discrete objects, automata are not always available, it is a tool to systematically discuss the decidability of logically defined and other classes.
- Problem: to identify the appropriate algebraic structure; must match a natural logic or automaton model, and allow the characterization of significant subclasses

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- For languages of other discrete objects, automata are not always available, it is a tool to systematically discuss the decidability of logically defined and other classes.
- Problem: to identify the appropriate algebraic structure; must match a natural logic or automaton model, and allow the characterization of significant subclasses
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- Wherever it works (e.g. infinite words), it provides a clarification of complex algorithms or difficult results
- Unavoidably very complex if we want to discuss graph or poset languages without restrictions, but adding finiteness conditions often helps

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Thank you for your attention!

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