Monadic second-order logic for graphs.
Algorithmic and language theoretical applications
Exercises, open questions, appendix

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Reference: Graph structure and monadic second-order logic, book to be published by Cambridge University Press, readable on :
http://www.labri.fr/perso/courcell/ActSci.html

## Exercises: 1. Equational sets of graphs

1.1) Prove that $\left\{a^{n} b^{n} c^{n} \mid n>0\right\}$ and the set of square words (ww) are HR-equational.
1.2) Construct HR equation systems for outerplanar and Halin graphs.
1.3) Construct an HR equation system for series-parallel graphs having an even number of vertices.
1.4) Construct a VR equation system for trees having a number of nodes multiple of 3 .
1.5) Construct a VR equation system for cographs having an even number of edges.
1.6) Prove that the non-context-free language $\left\{a^{n} \mid n=2^{p}\right.$ for some $\left.p \geq 0\right\}$ is HR-equational for some appropriate algebra extending the monoid of words.
1.7) Complete the proof of the algebraic characterization of tree-width: transform a treedecomposition into a term of the HR algebra defining the same graph.

## Exercises : 2. Recognizable sets

2.1) Construct congruences proving that the set of connected graphs is HR- and VRrecognizable.
2.2) Prove that the image of a recognizable language under an alphabetical homomorphism $h$ (replacement of letter a by $h(a)$ ) is recognizable, by constructing a congruence for the image from one for the given language.
2.3) Prove the Filtering Theorem.

Hint : Let $\left(L_{1}, \ldots, L_{n}\right)$ be the least solution of a system $S$ in $P(\mathbf{M})$ and $h: \mathbf{M} \rightarrow \mathbf{A}$ be a homomorphism with A finite. Construct a system $\mathrm{S}^{\prime}$ with unknowns $\mathrm{x}_{\mathrm{i}, \mathrm{a}}$ for all $\mathrm{i}=1, \ldots, \mathrm{n}$ and $a$ in $A$, such that the component of the least solution of $S$ ' corresponding to $x_{i, a}$ is $L_{i} \cap h^{-1}(a)$.
2.4) Prove "inductively" that every series-parallel graph is 3-colorable.

Exercises : 3. Monadic second-order logic
3.1) Write an MS-sentence expressing that the considered graph is a tree.
3.2) Write an MS-formula with free variables $x, y, z$ expressing that, in a tree (undirected and unrooted), if one takes $x$ as root, then $y$ is an ancestor of $z$.
3.3) Write an $\mathrm{MS}_{2}$-sentence expressing that a graph has a Hamiltonian cycle.
3.4) A nonempty word over alphabet $\{a, b\}$ can (also) be considered as a directed path given with unary relations $l a b_{a}$ and $l a b_{b}$ representing the sets of occurrences of letters $a$ and $b$. Prove that every regular language over $\{a, b\}$ is MS-definable.
3.5) A complete bipartite graph $\mathrm{K}_{\mathrm{n}, \mathrm{m}}$ has a Hamiltonian cycle iff $\mathrm{n}=\mathrm{m}$. Construct such a graph "over" any word in $\{a, b\}^{+}$having at least one a and at least one b. Deduce from 4) that Hamiltonicty is not MS-expressible.
3.6) Write an $\mathrm{MS}_{2}$-sentence expressing that a graph has a spanning tree of degree
$\leq 3$. Show as in 3.5 ) that this property is not MS-expressible.

Exercises: 4. Monadic second-order transductions
4.1) Construct an MS-transduction that associates with a directed graph $G$ the directed acyclic graph D of its strongly connected components. (The vertices of D are chosen among those of G).
4.2) Let $G$ be undirected. For each $k, G(k)$ is the graph with same vertices and an edge $x-y$ iff $x$ and $y$ are at distance at most $k$. Define an MS-transduction that transforms $G$ into $G(k)$.
4.3) If $G$ has clique-width $\leq d$, then $G(k)$ has clique-width $\leq f(k, d)$ for some function f. Try to prove this (without looking at the next section). No such function exists for tree-width.
4.4) Prove that the transformation of a graph $G$ into its incidence graph $\operatorname{Inc}(G)$ is a MS-transduction on trees, and also on graphs of degree $\leq d$, for each fixed $d$.

## A few open questions

Question 1 : What should be the clique-width or rank-width of hypergraphs (or relational structures)?
Question 2 : Which graph operations, quantifier-free definable or not, yield extensions of the signatures $\mathrm{VR}, \mathrm{HR}$ that are equivalent to them, i.e., that define the same recognizable and equational sets?
(some answers already given by A. Blumensath, B.C., P. Weil)
Or that yield larger classes of equational sets for which MS logic is decidable? Question 3: Is it true that the decidability of the MS (and not of the $\mathrm{C}_{2} \mathrm{MS}$ ) satisfiability problem for a set of graphs implies bounded clique-width, as conjectured by Seese ?

More important (personal opinion):
Question 4: What about Question 3 for sets of hypergraphs or relational structures ? Other questions (and answers) are in the proceedings of ICALP 2008

Appendix : The "Büchi" style proof of the Recognizability Theorem.

The case of terms in $\mathrm{T}(\mathrm{F})$, for F finite signature.
Logical representation of terms: Nodes of the syntactic tree, son binary relation, labels saying "my label is $f$ " and "I am the $i$-th brother".

Construction: automaton $A(\varphi)$ for sentence $\varphi$ that defines Models $(\varphi) \cap T(F)$ by induction on sentences (without first-order variables and $\forall$ ).

For the case $\exists \mathrm{X} . \varphi(\mathrm{X})$, we need $\mathrm{A}(\varphi(\mathrm{X}))$, and more generally $\mathrm{A}\left(\varphi\left(\mathrm{X}_{1}, . ., \mathrm{X}_{\mathrm{n}}\right)\right)$ : $f$ in F is replaced by $\left(f,\left(\mathrm{w}_{1}, . ., \mathrm{w}_{\mathrm{n}}\right)\right)$ in $\mathrm{Fx}\{0,1\}^{\mathrm{n}}$ of same arity, a term $t$ in $T\left(F x\{0,1\}^{n}\right)$ encodes $p r o r^{(t)}$ in $T(F)$ and an assignment $v(\mathrm{t}):\left\{\mathrm{X}_{1}, . ., \mathrm{X}_{\mathrm{n}}\right\} \rightarrow P(\operatorname{Nodes}(\mathrm{t}))$
(if $u$ is an occurrence of $\left(f,\left(w_{1}, . ., w_{n}\right)\right)$, then $w_{i}=1$ iff $\left.u \in X_{i}\right)$

We construct $A\left(\varphi\left(X_{1}, . ., X_{n}\right)\right)$ that defines

$$
\left\{t \in T\left(\operatorname{Fx}\{0,1\}^{\mathrm{n}}\right) / \quad\left(\operatorname{pr}_{0}(\mathrm{t}), v(\mathrm{t})\right) \mid=\varphi\right\}
$$

Then: $L\left(A\left(\exists X_{n+1} \cdot \varphi\left(X_{1}, . ., X_{n+1}\right)\right)\right)=\operatorname{pr}\left(L\left(A\left(\varphi\left(X_{1}, . ., X_{n+1}\right)\right)\right)\right.$ where pr is the projection that eliminates the last Boolean.

One obtains a nondeterministic automaton; the case of negation needs determinization. The case of atomic formulas is easy.

The number of states is an h-iterated exponential, where $\mathrm{h}=$ maximum nesting of negations. This is not avoidable.

## The case of graphs: $V R_{k}$-recognizability

We fix k, a bound on clique-width of the considered graphs and $\mathrm{F}_{\mathrm{k}}=$ the binary operation $\oplus$ and $\mathrm{O}\left(\mathrm{k}^{2}\right)$ unary operations (add-edg $\mathrm{a}_{\mathrm{a}, \mathrm{b}}$, relab $\mathrm{a}_{\mathrm{a}, \mathrm{b}}$ )

We use a single constant 1 defining one vertex labelled by 1.
(1 can be changed into another label).
A term $t$ in $T\left(F_{k} \cup\{1\}\right)$ defines a graph $G(t)$ with vertex set $\mathrm{V}_{\mathrm{G}(\mathrm{t})}=$ the set of occurrences of 1 in $t$. For representing assignments

$$
v:\left\{X_{1}, \ldots, X_{n}\right\} \quad \rightarrow \quad P\left(V_{G(t)}\right)
$$

we replace 1 by the constants $\left(1,\left(w_{1}, \ldots, w_{n}\right)\right)$ where $w_{i} \in\{0,1\}$.
A term $t$ in $T\left(F_{k} \cup\left(\{1\} \times\{0,1\}^{n}\right)\right)$ encodes the graph $G\left(\operatorname{pr}_{0}(\mathrm{t})\right)$ and some assignment $v(\mathrm{t})$.

As in the case of terms, we can construct for all relevant $\mathrm{k}, \mathrm{n}$ and $\varphi$
a finite deterministic automaton $A\left(\varphi\left(X_{1}, \ldots, X_{n}\right)\right)$ that defines

$$
\left\{t \in T\left(F_{k} \cup\left(\{1\} \times\{0,1\}^{n}\right)\right) \quad /\left(G\left(\operatorname{pr}_{0}(t)\right), v(t)\right) \mid=\varphi\right\}
$$

Inductive steps:
$\varphi \wedge \psi, \varphi \vee \psi$ : product of two automata
$\neg \varphi$ : exchange of accepting/non-accepting states of a deterministic automaton
$\exists X_{n+1} \varphi$ : "projection" pr : makes automata non-deterministic

Basic cases : atomic formulas.

Example : the automaton $\mathrm{A}\left(\operatorname{edg}\left(\mathrm{X}_{1}, \mathrm{X}_{2}\right)\right)$ with $\mathrm{k}^{2}+\mathrm{k}+3$ states Graph labels are in $[k]=\{1, \ldots, k\}$.

States : 0, Ok, a(1), a(2), ab, Error, for $a, b$ in $[k], a \neq b$ Meanings of states (at occurrence $u$ in term $t$ that defines a graph G) $0 \quad: \mathrm{X}_{1}=\varnothing, \mathrm{X}_{2}=\varnothing$

Ok : $X_{1}=\{v\}, X_{2}=\{w\}$, edg $(v, w)$ true in $G(t / u)$ defined by subterm $t / u$
$a(1): X_{1}=\{v\}, X_{2}=\varnothing, v$ has label $a$ in $G(t / u)$
$a(2): X_{1}=\varnothing, X_{2}=\{w\}, w$ has label $a$ in $G(t / u)$
ab $\quad: X_{1}=\{v\}, X_{2}=\{w\}, v$ has label $a, w$ has label $b$ and $\operatorname{edg}(v, w)$ not true, all that in $G(t / u)$,

Error : all other cases.
Accepting state : Ok

## Transition rules

For the constant 1:

$$
(1,00) \rightarrow 0 ;(1,10) \rightarrow 1(1) ;(1,01) \rightarrow 1(2),(1,11) \rightarrow \text { Error }
$$

For the binary operation $\oplus$ :


If $p=0$ then $r=q$
If $q=0$ then $r=p$
If $p=a(1)$ and $q=b(2), a \neq b$ then $r=a b$
If $p=b(2)$ and $q=a(1), a \neq b$ then $r=a b$
Otherwise r = Error

For unary operations add-edge ${ }_{a, b}$


If $p=a b$ then $r=O k$ else $r=p$

For unary operations relaba $\longrightarrow \mathrm{b}$

$$
\begin{array}{ll}
\text { If } p=a(i) \quad \text { where } i=1 \text { or } 2 & \text { then } r=b(i) \\
\text { If } p=a c \text { where } c \neq a \text { and } c \neq b & \text { then } r=b c \\
\text { If } p=c a \text { where } c \neq a \text { and } c \neq b & \text { then } r=c b \\
\text { If } p=0 \text { or Ok or Error } c(i) \text { or } c d \text { or dc where } c \neq a \\
\text { then } r=p
\end{array}
$$

We have only proved that for all $k$ and MS sentence $\varphi$

1) $\left\{t \in T\left(F_{k} \cup\{1\}\right) \quad / G(t) \mid=\varphi\right\}$ is $T\left(F_{k} \cup\{1\}\right)$-recognizable
2) The set of models of $\varphi$ of clique-width $\leq k$, is $V R_{k}$-recognizable

This is NOT the Recognizability Theorem :it needs a type-preserving, locally finite congruence on ALL graphs (of unbounded clique-width).

VR ${ }_{k}$-recognizability for each $k$ does not imply VR-recognizability.
The set of duplicated square grids $G_{m \times m} \oplus G_{m \times m}$ is not VR-recognizable but each of its restrictions to graphs of clique-width at most $k$ is finite hence ${V R_{k}-}^{-}$ recognizable (by the proved special case).

However this proof is sufficient for FPT algorithms and for the Filtering Theorem because in these cases only graphs of clique-width at most some k are concerned.

