



## Monadic second-order logic for graphs Language and Graph Theoretical applications (Part 3) Bruno Courcelle

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 Reference :
 Graph structure and monadic second-order logic,

 book to be published by Cambridge University Press, readable on :

 http://www.labri.fr/perso/courcell/ActSci.html

#### An overview chart



## Summary

- 6. Monadic second-order transductions (extension of a classical concept in language theory).
- Robustness results : preservation of classes under direct and inverse monadic second-order transductions.
   Short proofs in graph theory.
- 8. Logic and *graph structure theory*: Comparing encoding powers of graph classes *via* monadic second-order transductions
- 9. Graph classes on which monadic second-order logic is *decidable*
- 10. Some open questions

## 6. Monadic second-order transductions

 $\Sigma$  = finite set of relation symbols with fixed arities ( $\rho(R)$  = arity of R).

STR( $\Sigma$ ): finite  $\Sigma$ -relational structures S = < D<sub>S</sub>, (R<sub>S</sub>)<sub>R  $\in \Sigma$ </sub> >, R<sub>S</sub> relation on D<sub>S</sub> of arity  $\rho(R)$ 

An MS-transduction is a partial function  $\tau$  : STR( $\Sigma$ ) X "data"  $\rightarrow$  STR( $\Gamma$ ) specified by MS formulas.

<u>Basic case</u> :  $\tau$  : STR( $\Sigma$ )  $\rightarrow$  STR( $\Gamma$ ) ; T =  $\tau$  (S) is defined "inside" S by MS formulas.

*Examples* : The edge -complement ; the transitive closure of a directed graph.

<u>Next case</u> :  $T = \tau (S, "data")$ ; the "data" is a tuple  $X_1, ..., X_p$  of subsets of the domain of S; these sets are called the parameters. Parameters  $X_1, ..., X_p$  are constrained to satisfy an MS property.

*Examples* :  $(G, \{u\}) \mapsto$  the connected component containing **u**.

(G,X,Y,Z) → the *minor* of G having vertex set X, resulting from the contraction of the edges of Y and the deletion of the edges and vertices of Z. (This transduction is MS<sub>2.2</sub>; see below.)

> In the second example, no two vertices of X should be linked by a path of edges in Y.

 $\tau$  (S) := the set of all T =  $\tau$  (S, X<sub>1</sub>, ..., X<sub>p</sub>)

for all "good" tuples of parameters.



### The fundamental property of MS transductions

If 
$$S \mapsto \tau(S)$$
  
then  $\tau^{\#}(\psi) \longleftarrow \psi$ 

Every MS formula  $\psi$  has an effectively computable backwards translation  $\tau \#(\psi)$ , an MS formula such that :

S 
$$|= \tau \#(\psi)$$
 if and only if  $\tau(S) |= \psi$ 

The verification of  $\psi$  in the object structure  $\tau(S)$  reduces to the verification of  $\tau \#(\psi)$  in the given structure S (because S contain all the necessary information to describe  $\tau(S)$ ; the MS properties of  $\tau(S)$  are expressible *in* S by MS formulas).

Theorem : The composition of two MS-transductions is an MS-transduction.

*Example 1* (without parameters): The square mapping  $\delta$  on words :  $u \rightarrow uu$ 

In  $\delta(S)$ , we redefine Suc (i.e.,  $\rightarrow$ ) as follows:

We also remove the "marker" predicates p1, p2.

#### *Example 2*: From a term to a cograph



Vertices = {x,y,z,u,v,w } = occurrences of constants in the term. Two vertices are adjacent if and only if their *least common ancestor* is labelled by  $\otimes$  (like y and z, or u and w).

These conditions can be expressed by MS formulas on the labelled tree.

#### Edge quantification and edge description

There are 2 representations for an input graph and 2 for the output: type 1 :  $G = (V_G, edg_G)$  and type 2 :  $Inc(G) = (V_G \cup E_G, in_G)$ . Hence 4 types of graph transductions, denoted by :  $MS_{1,1}$  (or MS to simplify),  $MS_{1,2}$ ,  $MS_{2,1}$  and  $MS_{2,2}$ 

 $MS_{i,o}$  means i = type of input, o = type of output.

For sparse graphs, type 2 is equivalent to type 1.

*Example 3 :* From a tree to its incidence graph (also a tree)



 $T = \langle N, edg \rangle; \text{ we use parameter } \{r\} \text{ to make T rooted and directed}$   $\tau(T, \{r\}) = \langle N \cup (N - \{r\}) \times \{1\}, \text{inc}(.,.) \rangle$ in(x,y) is defined by :  $x = (y,1) \lor \exists z \ [x = (z,1) \land edg(y,z)]$   $\land \text{ "y is on the path from r to z" ]}$ From trees (or terms) to graphs :  $MS_{1,1} = MS_{2,1} \text{ and } MS_{1,2} = MS_{2,2}.$  Main Results (will be made more precise):

(1) MS-transductions preserve bounded clique-width and the (corresponding) class of VR-equational sets.

(2)  $MS_{2,2}$ -transductions preserve bounded tree-width and the (corresponding) class of HR-equational sets.

*Meaning :* Robustness of the *two* graph hierarchies based on clique-width and tree-width.

#### MS - transductions and MS<sub>2,2</sub> - transductions are incomparable

*Why* ? For expressing graph properties,  $MS_2$  logic is more powerful than  $MS_1$  logic (the "ordinary" MS logic).

For building graphs with  $MS_{2,2}$  - transductions, we have *more* possibilities of using the input graph, but we want *more* for the output : to specify each edge as a copy of some vertex or some edge of the input graph.

Transitive closure is  $MS = MS_{1,1}$  but not  $MS_{2,2}$ 

Edge subdivision is MS<sub>2,2</sub> but not MS

*Proofs*: Easy since, if S is transformed into T by an MS-transduction :

 $|D_T| \leq k. |D_S|$  for fixed k

#### Exercises

- 1) Construct an MS-transduction that associates with a simple directed graph G the *directed acyclic graph* D of its strongly connected components. (The vertices of D are chosen among those of G).
- 2) Let G be undirected. For each k, G(k) is the simple graph with same vertices and an edge x—y iff x and y are at distance at most k. Define an MS-transduction that transforms G into G(k).
- 3) If G has clique-width  $\leq$  d, then G(k) has clique-width  $\leq$  f(k,d) for some function f. Try to prove this (without looking at the next section). No such function does exist for tree-width.
- Prove that the transformation of a simple graph G into its *incidence* graph Inc(G) is a MS-transduction on graphs of maximal degree d, for each fixed d.

7. Robustness results: Preservation of widths

For every class of graphs C:

1) If C has tree-width  $\leq$  k and  $\tau$  is an  $MS_{2,2}$  – transduction, then  $\tau$  (C) has tree-width  $\leq f_{\tau}(k)$ 

Follows from :

*C* has bounded tree-width  $\Leftrightarrow C \subseteq \tau$ (Trees) for some  $MS_{2,2}$  – transduction  $\tau$  (the proof is constructive in both directions)

2) If C has clique-width  $\leq$  k and  $\tau$  is an MS – transduction, then  $\tau$  (C) has clique-width  $\leq g_{\tau}(k)$ .

Follows from :

C has bounded clique-width  $\Leftrightarrow C \subseteq \tau$ (Trees) for some MS – transduction  $\tau$  (the proof is constructive)

Proof sketch for the logical characterization of bounded clique-width

1) A *k*-clique-width term is a rooted binary tree with each node labelled by one of the finitely many operations symbols using labels 1,...,k.

2) For each k, an MS-transduction can construct the defined graph from this labelled tree. (Extension of the proof for cographs, cf. page 9.) *Hence* : If a graph class *C* has clique-width  $\leq k$ , then  $C \subseteq \tau_k(Trees)$ for some MS-transduction  $\tau_k$ .

The converse uses technical tools from model theory (Feferman-Vaught)

The proofs for tree-width are similar.

Gives easy proofs (but no good bounds) of facts like :

1) If C has bounded tree-width, its line graphs have bounded clique-width.

2) If C (directed graphs) has bounded tree-width or clique-width, the transitive closures of its graphs have bounded clique-width.

3) If C (directed graphs) has bounded clique-width, the transitive reductions of its graphs have bounded clique-width.

(Not trivial because clique-width is not monotone for subgraph inclusion).

4) The set of chordal graphs has unbounded clique-width (because an MS transduction can define all graphs from chordal graphs, and graphs have unbounded clique-width).

5) k-leaf powers and similar "power" graphs of trees have bounded cwd.



Theorem: Graphs  $\Delta$  have bounded tree-width  $\Leftrightarrow$  G( $\Delta$ ) have bounded clique-width.

- 1) MS transduction from  $G(\Delta)$  to  $\Delta$ ;
- 2) use "*split decomposition*" (Cunningham) and an MS-transduction from *prime* circle graphs to their unique chord diagrams.

#### Encoding a directed graph into a vertex-labelled undirected graph

Each vertex of G is split into 3 vertices labelled by 1,2,3 in B(G):



The clique-widths of G and B(G) are related by fixed functions. (Because the mapping B and its inverse are MS-transductions, hence they preserve bounded clique-width.)

Algorithms for checking *rank-width of undirected graphs* can be transformed into approximation algorithms for clique-width of directed graphs because rank-width and clique-width are related by fixed functions. (Oum, Hlineny, Seymour, Kanté)

#### Logical characterizations of equational sets

*C* is HR-equational  $\Leftrightarrow$  *C* =  $\tau$ (*Trees*) for some  $MS_{2,2}$ -transduction  $\tau$  (for bounded tree-width we have  $\subseteq$ ).

C is VR-equational  $\Leftrightarrow$  C =  $\tau$ (*Trees*) for some

**MS** - transduction  $\tau$  (for bounded clique-width we have  $\subseteq$ ).

*Consequences* : Closure of equational sets under the corresponding transductions.

## Robustness results for HR- and VR-equational sets



VR-equational  $\Rightarrow$  bounded clique-width.

(1) : A. Blumensath - B.C. (2) : J. Engelfriet.

Robustness results: Preservation and generation (2)



VR-equational  $\Rightarrow$  bounded clique-width.

HR-equational  $\Rightarrow$  bounded tree-width.

(1): A. Blumensath - B.C. (2): J. Engelfriet. (3): B.C.- J. Engelfriet

Recognizability is preserved under inverse monadic second-order transductions. (A.Blumensath - B.C., 2004)

Recognizability of sets of relational structures is relative to graph operations consisting of :

disjoint union and

unary operations expressed by quantifier-free formulas (QF)

Examples of QF operations: Edge-complement, relabellings,

Adda,b (cf. the definition of clique-width).

*Proof sketch :* Every MS transduction is the composition of MS transductions of 3 particular types :  $-Copy_k$ 

- Parameterless and noncopying transduction

- Guessing unary relations

Recognizability is preserved by inverse transductions of each type.

Copy<sub>k</sub> :

#### $S \rightarrow \underline{S \oplus S \oplus \dots S}$ (*k* times)

Disjoint union with binary relations  $Y_{i,j}$  for  $1 \le i < j \le k$  defined as  $\{(x,y) / x \text{ is the } i\text{-copy}, y \text{ is the } j\text{-copy} \text{ of some } u \text{ in } D_S\}$ 

Facts : a)  $Copy_k(S \oplus T) = Copy_k(S) \oplus Copy_k(T)$ 

b) For **f** quantifier-free, there is a quantifier-free operation **g** such that :  $Copy_k(f(S)) = g(Copy_k(S))$ 

 $Copy_k$  is "almost" a homomorphism, and recognizability is preserved under inverse homomorphisms.

8. Encoding powers of graph classes via MS transductions

An MS-transduction  $\tau$  defines a graph H *inside* a graph G with help of parameters (sets of vertices or edges of G): say that H is *encoded* in G : the encoding is represented by the parameters and  $\tau$  is the *decoding* function.

The encoding powers of graph classes C and D can be compared as follows :

 $C \leq D$  if  $C \subseteq \tau(D)$  for some MS transduction  $\tau$ We get a *quasi-order on graph classes*. We consider  $MS_{2,2}$  - transductions : (formulas use edge set quantifications and must construct incidence graphs as outputs.)

For graph classes C and D we let:

 $C \leq D$  if  $C \subseteq \tau(D)$  for some MS<sub>2,2</sub> -transduction  $\tau$ 

 $C \equiv D$  if  $C \leq D$  and  $D \leq C$ 

C < D if  $C \leq D$  and  $C \neq D$ 

 $C \leq_{c} D$  if C < D and there is no E with C < E < D

What is the structure of  $<_{c}$  (the covering relation of  $\leq$ )?

With help of Robertson & Seymour : Graph Minors I and V :

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\{\bullet\} < Paths <<sub>c</sub> Trees <<sub>c</sub> Grids
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These classes encode respectively : finite sets, sets of graphs of bounded path-width, sets of graphs of bounded tree-width, all sets of graphs.

Proof : Trees <<sub>c</sub> Grids.

If a graph class C has bounded tree-width, it is  $\leq$  Trees.

If C has unbounded tree-width, it contains all grids as minors,

hence: Grids  $\leq$  C and Grids  $\equiv$  C, because Graphs  $\leq$  Grids





A monadic second-order transduction using parameters X,Y,Z can transform all grids into all incidence graphs Inc(G).

#### More difficult: What is below Paths?

Answer: (A. Blumensath-B. C., LMCS 2010)

 $\{\bullet\} <_{C} T_{2} <_{C} \dots T_{n} <_{C} T_{n+1} <_{C} \dots < Paths <_{C} Trees <_{C} Square grids$ 

where  $T_n$  is the class of rooted trees of height at most n (and unbounded degree).

*Idea :*  $T_n$  encodes the classes of graphs having tree-decompositions of *height* at most n and width at most k (for all k).

Definition : *n*-depth tree-width of  $G = twd_n(G) = minimal width of a tree-decomposition of G of height at most$ **n**.

Related notion : *tree-depth* (Nesetril, Ossona de Mendez). td(G) = minimal k such that each connected component of G has a depth-first (normal) spanning tree of height at most k.

Some properties of these variants of tree-width :

1)  $pwd(G) \leq n.(twd_n(G) + 1)$ 

2) If G is a minor of H:  $twd_n(G) \leq twd_n(H)$ ,  $td(G) \leq td(H)$ 

3) td(G)  $\leq$  n implies twd<sub>n</sub>(G)  $\leq$  n,

4)  $twd_n(G) \leq k$  implies  $td(G) \leq n.k$ 

#### **Excluded Path Theorem**

(cf. the Excluded Tree and Grid Theorems of GM1 and GM5)

- A class of graphs C excludes some path as a minor (equivalently, as a subgraph)
  - $\Leftrightarrow$  for some n, C has bounded n-depth tree-width
  - $\Leftrightarrow$  *C* has bounded tree depth.

We use n-depth tree-width rather than tree-depth to characterize the graph classes encoded by trees of *each height* n Logical properties of n-depth tree-width.

*Proposition* : For each n and k, there exists an MS<sub>2,2</sub> -transduction that maps every graph of n-depth tree-width at most k *to all its strict* tree-decompositions of height at most n and width at most k

(*strict* = with certain connectivity properties ; every tree-decomposition can be made strict without increasing height and width).

*Remark :* The obstruction sets of graphs for n-depth tree-width  $\leq$  k are computable from each pair n, k because we have monadic second-order characterizations of these classes and bounds on the tree-widths of the obstruction sets. The same holds for the property "tree-depth  $\leq$  k".

In the hierarchy:

## $\{\bullet\} <_{c} T_{2} <_{c} \dots <_{c} T_{n} <_{c} \dots < Paths <_{c} Trees <_{c} Grids$

each level  $T_n$  encodes the sets of graphs of bounded n-depth tree-width.

Proofs to be done :

1) T<sub>n</sub> ≤ Paths

Trees of height n can be encoded as sequences over [n] and decoded by MS-transductions.

1 2 333 2 33 2 2 33 encodes the tree :



## 2) $T_n < T_{n+1}$

One cannot define by an MS-transduction all trees of height n+1 from all trees of height n.

The (technical) proof uses an analysis of MS definable relations on trees and some counting arguments.

Case n = 2.

Trees of height 2 correspond (via MS transductions) to sets (without relations).

If a k-copying MS-transduction with p parameters transforms sets into trees, these trees have less than  $k.2^{p}$  internal nodes.

We cannot get all trees of height 3 from sets by a single MS-transduction.

3) Hence, we cannot have  $T_n \equiv$  Paths

"Dichotomy arguments" :

1) Let *C* be a set of bounded pathwidth (i.e.,  $C \leq Paths$ ): *Either* : it contains all paths as minors, then  $C \equiv Paths$ *Or* : (Excluded Path Thm) twd<sub>n</sub> (*C*) is bounded and  $C \leq T_n$  for some n

2) Let C be a set of n-depth tree-width ≤ k (C ≤ T<sub>n</sub>): *Either*: for all m, there is G in C s.t., for each n-depth tree-dec. U of width k of G, the tree U contains T(n,m) (T(n,m) = the m-ary complete tree of height n) and then T<sub>n</sub> ≤ C (because n-depth tree-decompositions of width k are definable by MS transductions)
Or: for some m, every G in C has an n-depth tree-dec. U of width k, s.t. U does not contain T(n,m). By contracting some edges of U, one gets an (n-1)-depth tree-dec. of G of width m.(k+1), hence C ≤ T<sub>n-1</sub>. Open question: What about the hierarchy based for

MS – transduction ?

Theorem (B.C. & Oum, 2007) :

There exists an MS - transduction (using *even cardinality set predicates*) that transforms every set of undirected graphs of unbounded rank-width into the set of all square grids.

(Uses *vertex-minors* instead of minors)

We need a result corresponding to Graph Minors 1 about "linear rank-width" and excluding a forest as a *vertex-minor*.

We need also something like "n-depth rank-width" and constructions by MS tranductions of appropriate rank-decompositions.

# 9. Graph classes with decidable *MS theories* (or *MS satisfiability problems*)

*Theorem* (Seese 1991): If a set of graphs has a decidable  $MS_2$ -satisfiability problem, it has bounded tree-width.

*Theorem* (B.C.-Oum 2007): If a set of graphs has a decidable  $C_2MS$  satisfiability problem, it has bounded clique-width.

Answering a question by Seese: If a set of graphs has a decidable MS satisfiability problem, is it the image of a set of trees under an MS transduction, equivalently, has it bounded clique-width?

 $MS_2 = MS$  logic *with* edge quantifications ;  $C_2MS = MS$  logic with the even cardinality set predicate. A set C has a decidable *L*-satisfiability problem if one can decide whether any given formula in *L* is satisfied by some graph in *C* 

Proof of the result on  $MS_2$ -satisfiability and tree-width :

- A) If a set of graphs C has unbounded tree-width, the set of its minors includes
   all k x k -grids (Robertson & Seymour)
- B) If a set of graphs contains all k x k -grids, its MS<sub>2</sub> satisfiability problem is undecidable
- C) If C has decidable  $MS_2$ -satisfiability problem, so has Minors(C),

because  $C \longrightarrow Minors(C)$  is an  $MS_{2,2}$  transduction.

Hence, if *C* has unbounded tree-width and a decidable  $MS_2$ -satisfiability problem, we have a contradiction for the decidability of the  $MS_2$ -satisfiability problem of *Minors*(*C*).

#### Proof of the result on $C_2MS$ -satisfiability and clique-width :

D) Equivalence between the cases of all (directed and undirected) graphs and bipartite undirected graphs (with an encoding of directed graphs as labelled bipartite undirected ones; cf. page 15).

A') If a set of bipartite graphs *C* has unbounded clique-width, the set of its *vertex-minors* contains all " $S_k$ " graphs.

C') If C has a decidable C<sub>2</sub>MS-satisfiability problem, so has Vertex-Minors(C), because  $C \longrightarrow Vertex-Minors(C)$  is a C<sub>2</sub>MS-transduction.

E) An MS-transduction transforms  $S_k$  into the kxk-grid.

Hence A' + B + C' + E gives the result for bipartite undirected graphs. The general result follows with the encoding D.

## Definitions and facts

Local complementation of G at vertex v

G \* v = G with edge-complementation of  $G[n_G(v)]$ ,

the subgraph induced by the neighbours of v

Local equivalence ( $\approx_{loc}$ ) = transitive closure of local complementation (at all vertices)

Vertex-minor relation :

 $H \leq_{VM} G : \Leftrightarrow H$  is an induced subgraph of some G'  $\approx_{loc} G$ .

**Proposition** (B.C.-Oum, 2004) : The mapping that associates with G its locally equivalent graphs is a  $C_2MS$ -transduction.

The even cardinality set predicate is necessary :



Consider G \* X for  $X \subseteq Y$ :

u is linked to v in G \* X

 $\Leftrightarrow$  Card(X) is even

G Y

(G \* X = composition of local complementations at all vertices from X)

**Definition** of  $S_k$ , bipartite : A = {1,...,(k+1)(k-1)}, B = {1,...,k(k-1)} From  $S_k$  to  $Grid_{k \times k}$  by an MS transduction



The orderings of A and B:x, y are consecutive  $\Leftrightarrow$  Card(n<sub>G</sub>(x)  $\Delta$  n<sub>G</sub>(y)) = 2.

One recognizes the edges from  $i \in B$  to  $i \in A$ , and from  $i \in B$  to  $i+k-1 \in A$  (thick edges on the left drawing).

One creates edges (e.g. from  $1 \in A$  to  $2 \in A$ , from  $2 \in A$  to  $3 \in A$  etc...and similarly for B, and from  $1 \in B$  to  $4 \in A$ , etc...) one deletes others (from  $4 \in B$  to  $6 \in A$ etc...), and vertices like 7,8 in A, to get a grid containing  $Grid_{kxk}$ 

#### 10. A few open questions

*Question 1* : What should be the clique-width or rank-width of hypergraphs (or relational structures) ?

Question 2 : Is it true that the decidability of the MS- (and not of the  $C_2MS$ -) satisfiability problem for a set of graphs implies bounded clique-width, as conjectured by Seese ?

More important :

*Question* **3** : What about Question 3 for sets of hypergraphs or relational structures ?