

Separation by First-Order Logic

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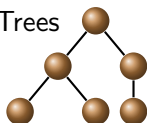
Objects we consider

Structure

Words

ababcbaa

Trees



Descriptive Formalism

First-Order Logic (**FO**)

Piecewise Testable ($\mathcal{B}\Sigma_1$)

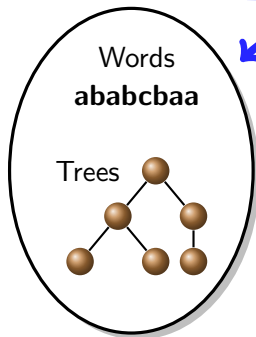
2-Variables **FO** (**FO**₂)

Fragments $\Sigma_i, \mathcal{B}\Sigma_i$

Locally Testable (**LT**)

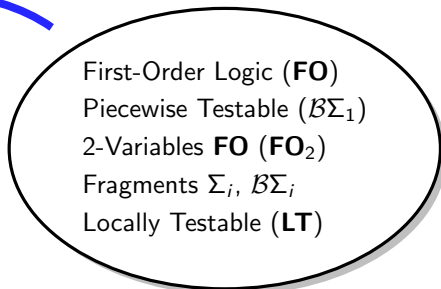
Objects we consider

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Express Properties

Descriptive Formalism



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First-Order Logic (FO)

Piecewise Testable ($\mathcal{B}\Sigma_1$)

2-Variables FO (FO_2)

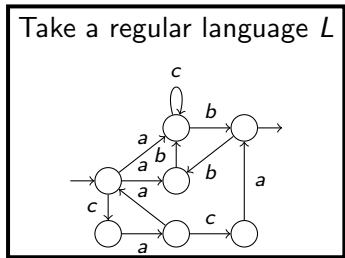
Fragments $\Sigma_i, \mathcal{B}\Sigma_i$

Locally Testable (LT)

For this talk

A Reduced Problem: Decidable Characterizations

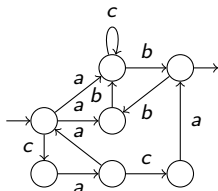
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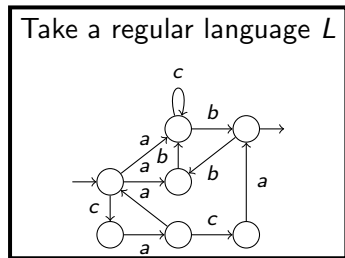
Take a regular language L



Can it be defined
with a **FO** formula ?

A Reduced Problem: Decidable Characterizations

Decide the following problem:



Can it be defined
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Schützenberger'65, McNaughton and Papert'71

For L a regular language, the following are equivalent:

- L is **FO**-definable.
- The syntactic monoid of L satisfies $u^{\omega+1} = u^{\omega}$.

Why we want more than decidable characterizations

If the characterization answer is yes for L :

- All subparts of the minimal automaton of L are **FO**-definable.

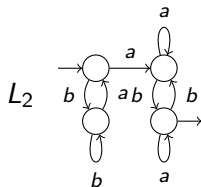
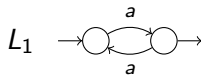
If the characterization's answer is no for L :

- We have little information.
- Defining L would require differentiating some u^ω and $u^{\omega+1}$.
- Yet: the logic can still express facts on L .

Separation

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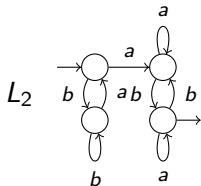
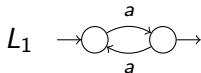
Take two regular languages L_1, L_2



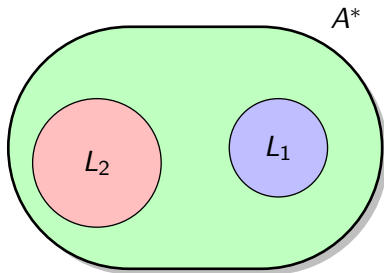
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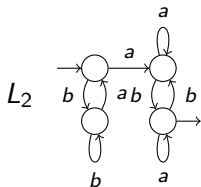
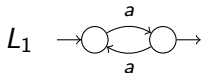
Can L_1 be separated from L_2 with a **FO** formula ?



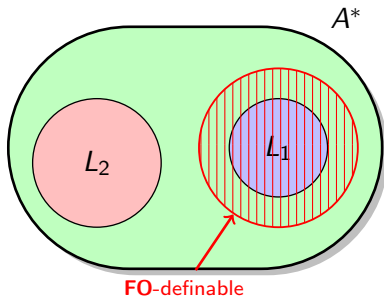
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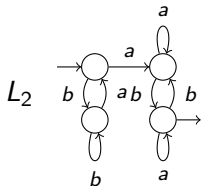
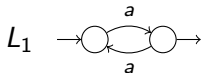
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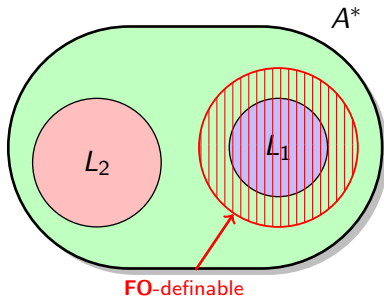
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More general than getting a decidable characterization.

Motivations for Separation

- More general: need **FO** techniques applying to **all** languages.

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Pointlike sets: the finest aperiodic cover of a finite semigroup

The research in this paper is motivated by the open question: “Is the complexity of a finite semigroup S decidable?”

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Pointlike sets: the finest aperiodic cover of a finite semigroup

The research in this paper is motivated by the open question: “Is the complexity of a finite semigroup S decidable?”

- **Difficult algebraic techniques.** Following the lead of the Presentation Lemma (Rhodes), we describe the finest cover on S that can be computed using an aperiodic semigroup and give an explicit relation. The central idea of the proof is that an aperiodic computation can be described by a new blow-up operator HW. The proof also relies on the Rhodes expansion of S and on Zeiger coding.

An already known result: Henckell '88

Guide to the paper

Chapter 1. Elementary definitions and notation should be omitted on first reading and used as a reference as needed.

Chapter 2. The Pl-functor defines pointlike sets in a general setting and shows by an abstract compactness argument that $\text{Pl}(S)$ can be computed by an aperiodic semigroup.

Chapter 3. Definition of $C^\omega(S)$ and H^ω defines $C^\omega(S)$, a collection of pointlike sets, in a constructive manner. H^ω is the ‘blow-up-operator’ that we will use in Chapter 5 to show $C^\omega(S) = \text{Pl}(S)$. It has some examples in the end.

Chapter 4. The Rhodes-expansion defines the tools needed in Chapter 5.

Chapter 5. $C^\omega(S) = \text{Pl}(S)$ shows the main result by actually constructing a relation $S \xrightarrow{R} \text{CP}(S)$ computing $C^\omega(S)$ with $\text{CP}(S)$ aperiodic. It uses H^ω , generalized to \hat{H}^ω on $\hat{C}^\omega(S)$ ‘to get rid of groups by blowing up’.

Alternate formulations

Several formulations of separation [Almeida'96]

The following are equivalent:

1. L_1 and L_2 are not FO-separable.
2. For all k , there exist $w_1 \in L_1, w_2 \in L_2$ with $w_1 \cong_k w_2$.
3. For all aperiodic T and morphism $\beta : A^* \rightarrow T$,

$$\beta(L_1) \cap \beta(L_2) \neq \emptyset.$$

4. $\bar{L}_1 \cap \bar{L}_2 \neq \emptyset$ (closures taken in the pro-aperiodic semigroup).
5. $\bar{L}_1 \cap \bar{L}_2$ contains an ω -term.

Actually, 5 may be exploited to prove decidability of separation.

FO is hard, let's make it easy: Quantifier Rank

Quantifier rank of a formula: Nested depth of quantifiers.

$\forall x \exists y (a(x) \implies \exists z (x < z < y \wedge b(y)))$ has quantifier rank 3

If k fixed: finitely many **FO** properties of rank $k \implies$ Separation is easy
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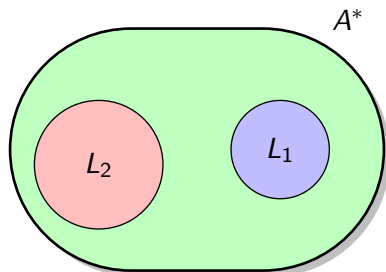
k -equivalence for **FO**

Let w_1, w_2 be words:

$w_1 \cong_k w_2$ iff w_1, w_2 satisfy the same formulas of rank k

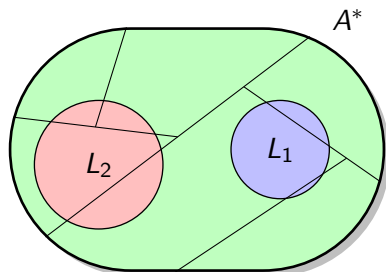
*All **FO** properties of rank k are unions of classes of \cong_k .*

Fixed Quantifier Rank k



Let's add the \cong_k -classes

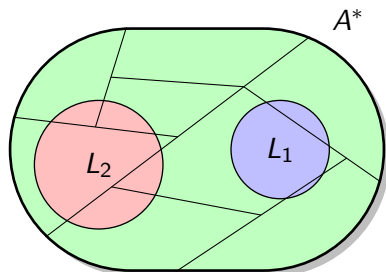
Fixed Quantifier Rank k



Separable with rank k iff no \cong_k -class intersects both languages

For full **FO** we want to know if there exists such a k
 \Rightarrow Compute a 'limit' for \cong_k .

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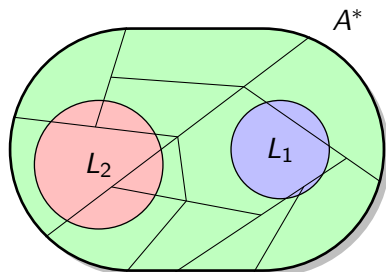


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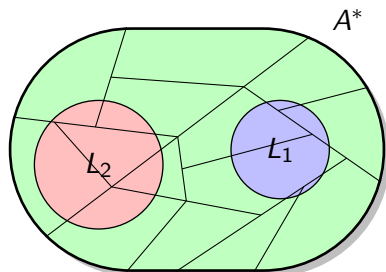


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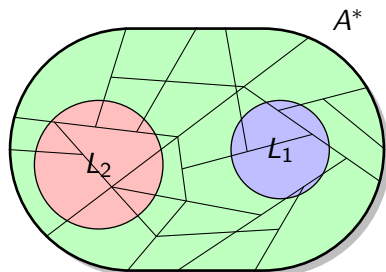


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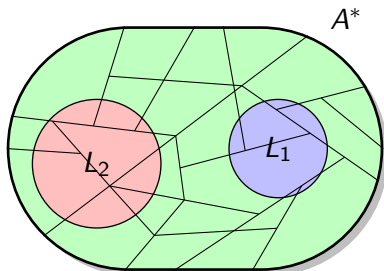


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Idea. Abstract \cong_k on a monoid recognizing both L_1 and L_2 .

“Pair” analysis

Recall from Thomas’ talk:

FO-indistinguishable **pairs** for $\alpha : A^* \rightarrow M$

$(s_1, s_2) \in I_k[\alpha]$ if

$$\exists \begin{array}{ccc} w_1 & \cong_k & w_2 \\ \alpha \downarrow & & \alpha \downarrow \\ s_1 & & s_2 \end{array}$$

- Smaller and smaller sets: $I_{k+1}[\alpha] \subseteq I_k[\alpha]$
- Limit set: $I[\alpha] = \bigcap_k I_k[\alpha]$.
- Computing these pairs solves separation:

$$(s_1, s_2) \in I[\alpha] \iff \alpha^{-1}(s_1) \text{ and } \alpha^{-1}(s_2) \text{ not separable}$$

The Separation Criterion

Separation Criterion

L_1, L_2 recognized by $\alpha : A^* \rightarrow M$ are **not** separable
iff

there are accepting elements $s_1, s_2 \in M$ for L_1, L_2 s.t. $(s_1, s_2) \in I[\alpha]$.

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Computing $I[\alpha]$ suffices to solve separation.

Two approaches

2 approaches to compute the relation $I[\alpha]$:

Brute-force:

- Computing $I_k[\alpha]$ easy for fixed k .
- $I[\alpha] = I_k[\alpha]$ for some k depending on α .
- \Rightarrow Prove a bound $k = f(\alpha)$ and compute $I_k[\alpha]$.

Algorithm:

Find an algorithm that bypasses the bound k and computes $I[\alpha]$ directly.

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We use approach 2.

A first (non complete) Algorithm computing $I[\alpha]$

Idea. Start with trivial pairs and add more pairs via a fixpoint algorithm.

1st Property of **FO**

$$w \cong_k w$$

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2nd Property of **FO**

$$w_1 \cong_k w_2 \text{ and } u_1 \cong_k u_2 \quad \Rightarrow \quad w_1 u_1 \cong_k w_2 u_2$$

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$$\forall k \exists n \forall w_1, w_2 \in A^* \quad w_1 \cong_k w_2 \Rightarrow (w_1)^n \cong_k (w_2)^{n+1}$$

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Correct by definition but not complete

Why it does not work

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Why it does not work

Not general enough

$$\text{3rd Property of FO}$$
$$w_1 \cong_k w_2 \Rightarrow (w_1)^n \cong_k (w_2)^{n+1}$$

Needs to be replaced

$$w_1 \cong_k w_2 \cong_k \cdots \cong_k w_m$$

All large concatenations of words in $\{w_1, \dots, w_m\}$ are \cong_k -equivalent.

Need for better analysis

A Generalization: FO-indistinguishable **Sets** for $\alpha : A^* \rightarrow S$:

- $\{s_1, s_2, \dots, s_n\} \in I_k[\alpha]$ if

$$\begin{array}{ccccccc} \exists & & w_1 & \cong_k & w_2 & \dots & \cong_k & w_n \\ & & \downarrow \alpha & & \downarrow \alpha & & \downarrow \alpha & \\ & & s_1 & & s_2 & \dots & & s_n \end{array}$$

- Limit set: $I[\alpha] = \bigcap_k I_k[\alpha]$.
- Computing these **sets** is more general than computing pairs.
 \Rightarrow also solves separation (and gives much more).

New Objective

We want to compute the set $I[\alpha] \subseteq 2^M$ such that:

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Remark

- With our new definition, we have $I[\alpha] \subseteq 2^M$.
- 2^M is a monoid for the operation $S_1 \cdot S_2 = \{s_1 s_2 \mid s_1 \in S_1, s_2 \in S_2\}$.

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Correct by definition (e.g., use EF games)

Can be proved to be complete

Alternate algorithms

- The algorithm reflects the equation $x^\omega = x^{\omega+1}$
- 2 other equivalent ways to characterize **FO**-definability:
 - All groups are trivial.
 - All \mathcal{H} -classes are trivial.
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 - Operation: \mathcal{G} a subgroup of $I[\alpha] \Rightarrow (\bigcup_{S \in \mathcal{G}} S) \in I[\alpha]$
- Works also for \mathcal{H} -classes (similar to Henckell's algorithm).

Alternate algorithms

3 variations of the 3rd operation.

- Operation ω : $S \in I[\alpha] \Rightarrow (S^\omega \cup S^{\omega+1}) \in I[\alpha]$.
- Operation H : \mathcal{H} an \mathcal{H} -class of $I[\alpha] \Rightarrow (\bigcup_{S \in \mathcal{H}} S) \in I[\alpha]$.
- Operation G : \mathcal{G} a subgroup of $I[\alpha] \Rightarrow (\bigcup_{S \in \mathcal{G}} S) \in I[\alpha]$.

Theorem

All variations compute FO-indistinguishable sets, and **all maximal ones**.

Alternate algorithms

3 variations of the 3rd operation.

- Operation ω : $S \in I[\alpha] \Rightarrow (S^\omega \cup S^{\omega+1}) \in I[\alpha]$.
- Operation H : \mathcal{H} an \mathcal{H} -class of $I[\alpha] \Rightarrow (\bigcup_{S \in \mathcal{H}} S) \in I[\alpha]$.
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- From G to ω : if $\mathcal{G} = \{T_1, \dots, T_n\}$, then

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- From ω to H : S^ω and $S^{\omega+1}$ are \mathcal{H} -equivalent.
- From H to G : every \mathcal{H} -class is of the form $R.\mathcal{G}$ with \mathcal{G} a group.

Completeness

- ① How to prove completeness?
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- 1 How to prove completeness?
⇒ Generalizing well-known characterization proof by Wilke
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Completeness

- ① How to prove completeness?
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- ② Does this work for all logics?
⇒ No, this works only for **FO** (deeply linked to the proof)

Completeness: What we need to prove

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What we prove

For $\ell = |M|(2^{|M|})!$, the algorithm computes **all maximal subsets of $I_\ell[\alpha]$** . In particular, we get the bound of the “brute-force” approach for free.

Proof technique

To every $w \in A^*$, one can associate $Gen_k(w) \in I_k[\alpha]$:

$$Gen_k(w) = \{s \in M \mid \exists w' \cong_k w \text{ s.t. } \alpha(w') = s\}$$

We prove that for all $w \in A^*$, $Gen_\ell(w)$ is computed by the algorithm.

\Rightarrow We start with a $w \in A^*$, we need a way to decompose it in a way that respects the operations of our algorithm.

Wilke Proof of the **FO** characterization

We have $\alpha : A^* \rightarrow M$ with M satisfying $x^\omega = x^{\omega+1}$.
Let $w \in A^*$, how does **FO** proceeds to detect $\alpha(w)$?

$w = \dots\dots\dots$

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Two Cases:

- For all $a \in A$, $\alpha(a)M = M$
and $M\alpha(a) = M$
- There exists $a \in A$ such that
 $\alpha(a)M \subsetneq M$ or $M\alpha(a) \subsetneq M$

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In that Case:

$$x^\omega = x^{\omega+1} \Rightarrow M = \{1_M\}$$

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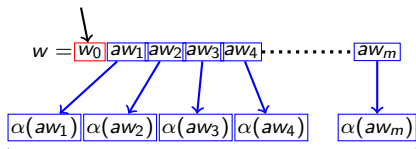
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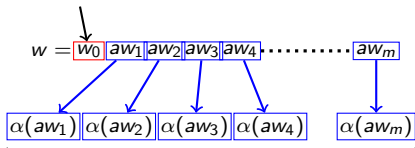
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- Adapt induction: the algorithm works for smaller alphabets and smaller semigroups.
- Aperiodicity used only in the base case.

Summary

We have

- ① An algorithm for computing $I[\alpha]$. Therefore, we can answer yes/no to the separation problem for **FO**.
- ② A bound on the size of the separator: it is possible to compute a separator (in a very non-efficient way).

Conclusion

We have the following results:

- Separation by **FO** is decidable (in EXPTIME).
- Computing an actual separator formula can be done in an elementary way (but still with high complexity).
- Results can be (easily) generalized to infinite words.

Thank you!

Computing a Separator

Assume $I[\alpha] = \{S_1, \dots, S_n\}$. By reversing the completeness proof, it is possible to compute n **FO** formulas $\varphi_1, \dots, \varphi_n$ of rank $k = |M|(2^{|M|})!$ such that:

- The associated languages are covering: $\{w \mid w \models \varphi_1 \vee \dots \vee \varphi_n\} = A^*$.
- For all i , $w \models \varphi_i \Rightarrow \alpha(w_i) \in S_i$.
- The computation is inductive and elementary.

\Rightarrow All information that can be expressed with **FO** as stated in $I[\alpha]$ is a union of these formulas.