

Weighted and counter systems

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Let's begin with the end

Thank you!

(Pot de départ fin juin)

Recipe: How to ask for a survey

1. Ask kindly for a talk (don't be too demanding)

P. Would you like to give a talk for Barbizon?

M. Sure. I'll be short in time, may I reuse an already prepared talk?

P. Perfect!

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P. Would you like to give a talk for Barbizon?

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P. Perfect!

2. Wait 10 days

P. A survey would be *much* better, can you please give a title?

M. ...

Counter and Weighted Systems

	Counter (mainly ∞)	Weighted (∞ , Tempo, Mexico)
What for?	Represent runs of machines	Resources/functions
Motivation	MC for ∞ systems, complexity	Quantitative MC

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This talk: presentation of weighted automata on **words**.

Two popular types of Weighted Automata

1. Probabilistic automata.
2. Min-+ automata.

Can be presented **uniformly**.

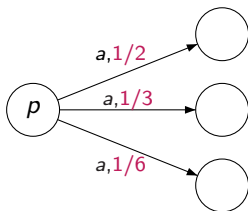
No **uniform** algorithms for typical questions.

Minsky machines vs. Probabilistic Automata

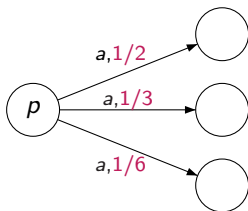
- ▶ **CruX**: Counters affect runs in Minsky machines, not in probabilistic automata.
- ▶ Runs are **aggregated** in probabilistic automata to **compute a function**.

	Minsky	Prob. Automaton
Guards	$= 0?$	None
Updates along transition	$+1, -1$	$\times \alpha$
Semantic of nondeterminism	Boolean	Sum

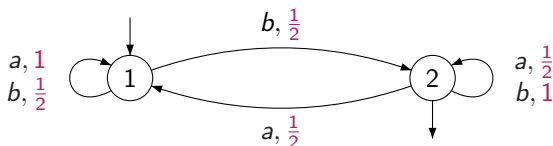
Probabilistic Automata



Probabilistic Automata



- ▶ Weight (probability) of a run: **product** of all transition weights.
word: **sum** of weights of all successful runs.



- ▶ $\llbracket \mathcal{A} \rrbracket (ba) = 1/4$
- ▶ Interpreting (a, b) as $(0, 1)$: $\llbracket \mathcal{A} \rrbracket (a_1 \cdots a_n) = 0.a_n \cdots a_1$

3 Questions for Probabilistic Automata

1. Equality: $\llbracket \mathcal{A} \rrbracket = \llbracket \mathcal{B} \rrbracket$?

Decidable (Schützenberger'61, Tzeng'92)

Minimal automaton.

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2. Boundedness: $\llbracket \mathcal{A} \rrbracket < 1/2$?

Undecidable (Paz'71)

From morphisms $f, g : \{0, 1\}^* \rightarrow \{0, 1\}^*$, compute $1/2[1 - (\overline{f(w)} - \overline{g(w)})]$ PCP

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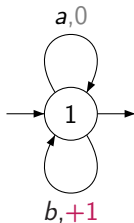
3. 0 value: are there words accepted with arbitrarily small probability?

Undecidable (Gimbert & Oualhadj'10)

Min-+ Automata

- ▶ Costs in $\mathbb{N} \cup \{\infty\}$.
- ▶ Weight (cost) of a run: **sum** of all transition weights.
word: **min** of weights of all successful runs.

Weight of a word $u =$ **minimal cost** for going from **Init** to **Final** reading u .

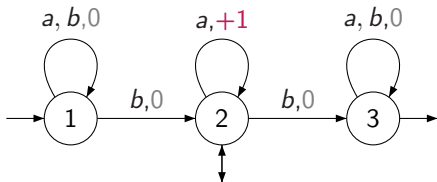


$$[[\mathcal{A}]](u) = |u|_b$$

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$$[[\mathcal{B}]](aaaababaaa) = 2$$

$[[\mathcal{B}]](u)$: min length of block of a 's

From Probabilistic Automata to min-+ Automata

1. Transform product into sum: apply $\log \rightsquigarrow$ high probabilities yield small distances

$$([0, 1], +, \times) \xrightarrow{-\log} (\mathbb{R}_{\geq 0} \cup \infty, \oplus, +)$$

$$xy \rightsquigarrow -\log(xy) = -\log(x) + -\log(y)$$

$$x + y \rightsquigarrow -\log(x + y)$$

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The 3 Questions after log-transforming

Probabilistic	Min-+
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$\llbracket \mathcal{A} \rrbracket > \alpha$ U	$\llbracket \mathcal{A} \rrbracket \leq k$
0-value U	Is $\llbracket \mathcal{A} \rrbracket$ bounded?

- ▶ Limitedness (refinement of boundedness): Is $\llbracket \mathcal{A} \rrbracket$ bounded over its support?

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Problems **very sensitive** to underlying semiring.

Min-+ Automata: Results

- ▶ Equality $\llbracket \mathcal{A} \rrbracket = \llbracket \mathcal{B} \rrbracket$: **Undecidable!** [Krob'94].
New and simple proof by Th. Colcombet (Minsky machines).
- ▶ Given k , is $\llbracket \mathcal{A} \rrbracket \leq k$ **decidable** (easy).
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Much work, eg [Hashigushi'81, Simon, Leung, Kirsten, Colcombet]

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$$\text{VASS: } \exists B \forall w \forall \rho \in \text{Runs}(w) : \text{Val}(\rho) \leq B.$$

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Limitedness: Motivation

Finite power property

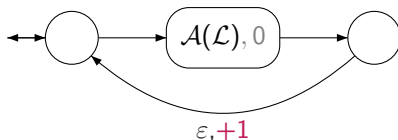
▶ **Given** L regular,

Decide if there exists $n \geq 0$ such that $L^* = (\varepsilon + L)^n$.

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- ▶ Thomson's construction for star:

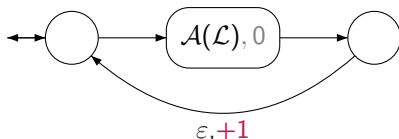


New automaton is limited iff. L has the finite power property.

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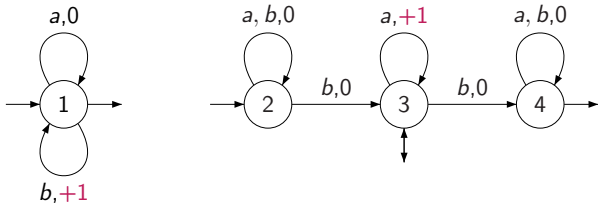
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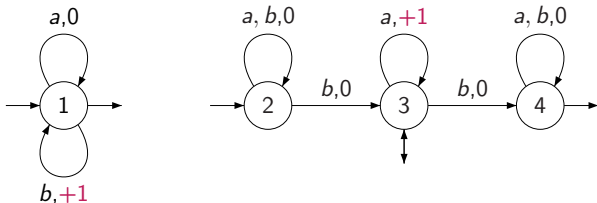
- ▶ Generalization to multiple counters (Kirsten) \rightsquigarrow star-height problem.
- ▶ Note: universality is a particular case of limitedness
(\implies limitedness is PSPACE-hard).

Limitedness is decidable



- ▶ Transformation monoid: $a = \begin{pmatrix} 0 & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \\ \infty & \infty & 1 & \infty \\ \infty & \infty & \infty & 0 \end{pmatrix}$, $b = \begin{pmatrix} 1 & \infty & \infty & \infty \\ \infty & 0 & 0 & \infty \\ \infty & \infty & \infty & 0 \\ \infty & \infty & \infty & 0 \end{pmatrix}$
- ▶ Problem: the monoid generated is infinite.
- ▶ Solution: Abstract by projecting onto $\{0, 1, \infty\}$: $0 \mapsto 0$, $n \mapsto 1$, $\infty \mapsto \infty$.

Limitedness is decidable



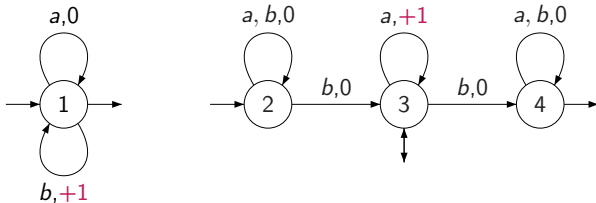
- ▶ Loss of precision in the abstraction: $aa = a = \begin{pmatrix} 0 & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \\ \infty & \infty & 1 & \infty \\ \infty & \infty & \infty & 0 \end{pmatrix}$.

- ▶ Add a new value ω meaning “unbounded”.

- ▶ New operation $\#$ “iterate a large number of times”: $a^\# = \begin{pmatrix} 0 & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \\ \infty & \infty & \omega & \infty \\ \infty & \infty & \infty & 0 \end{pmatrix}$

- ▶ Think of $(a^\# b)^\#$ as $(a^n b)^n$ for large n .

Limitedness is decidable



- ▶ Algorithm: compute the $(\#, \cdot)$ monoid generated by letter matrices.
- ▶ If for some matrix M , $\min(\text{Init}, M, \text{Final}) = \omega$: witness of non-limitedness.

$$\text{Example: } (a\#b)\#a\# = \begin{pmatrix} \omega & \infty & \infty & \infty \\ \infty & 0 & \omega & \omega \\ \infty & \infty & \infty & \omega \\ \infty & \infty & \infty & 0 \end{pmatrix}$$

- ▶ Otherwise: this shows that “regular behaviors” à la $(a^n b)^n$ are limited.

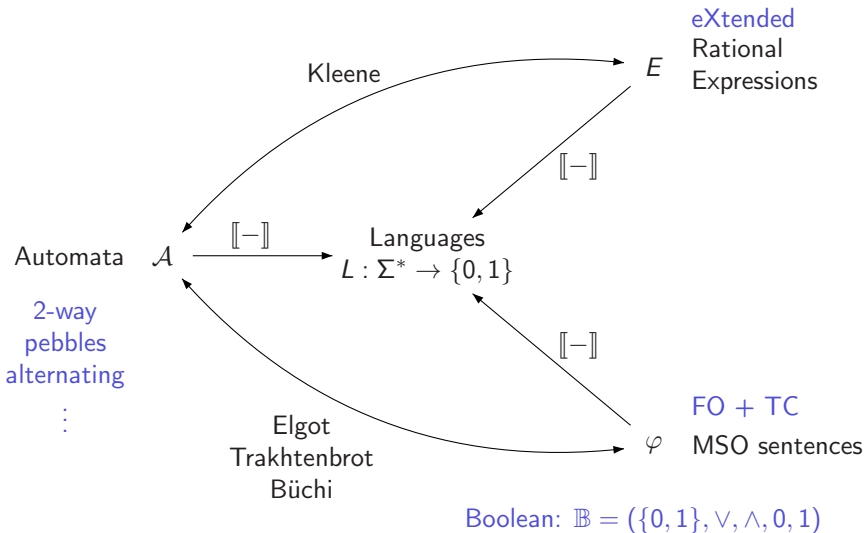
Difficult: show that **all behaviors** are also limited. **Algebraic tools of I. Simon**

Specifying quantitative properties

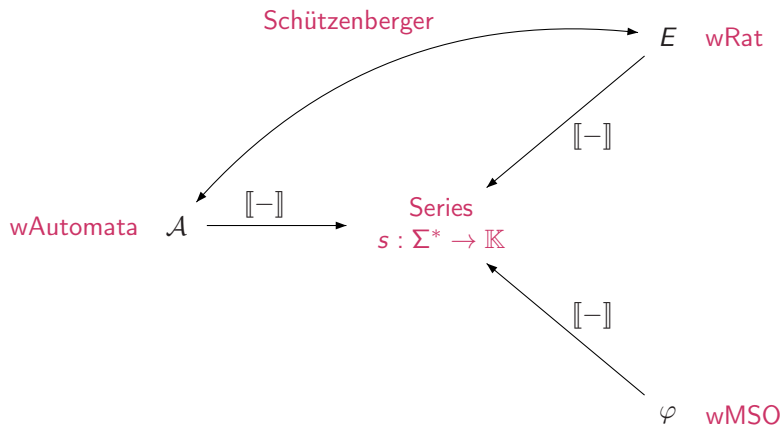
Develop high-level denotational formalism

- ▶ to express easily **quantitative** properties on words/trees,
- ▶ should allow us to compute **arithmetic expressions** (possibly guarded by logical conditions written in a standard language (eg., FO or XPath),
- ▶ should have an **equivalent operational model**.

On words: what remains true for weights?

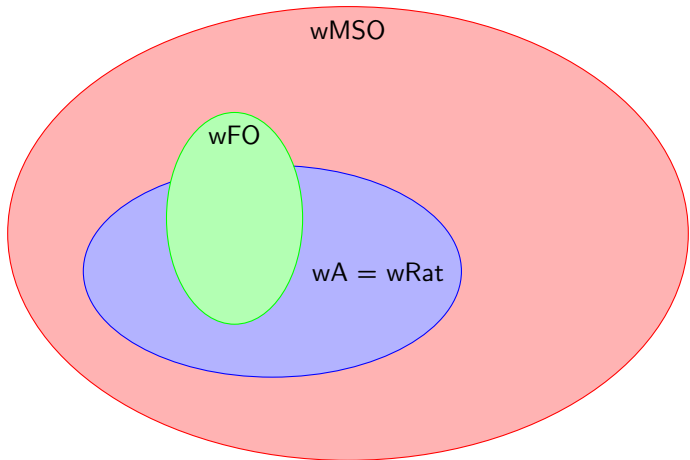


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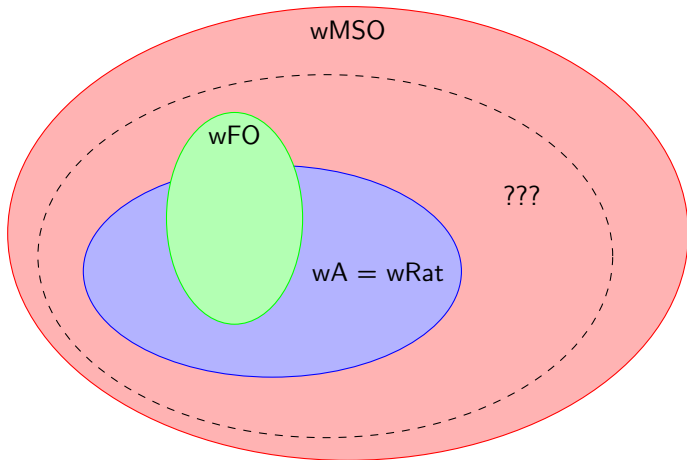


Quantitative: $\mathbb{K} = (K, +, \times, 0, 1)$

Expressiveness in the weighted setting



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Find a robust class containing both wFO and $wAutomata$.

Weighted Expressions

Syntax of $WE(\mathcal{L})$

Fix \mathcal{L} a logic (eg, MSO, $FO(<)$).

$$E ::= \varphi \mid k \mid E \oplus E \mid E \otimes E \mid \bigoplus_x E \mid \bigotimes_x E$$

where $\varphi \in \mathcal{L}$, $k \in K$, x is a first-order variable.

Semantics

- ▶ An expression E without free variables defines a mapping $\llbracket \varphi \rrbracket : \Sigma^+ \rightarrow K$.
- ▶ For $\varphi \in \mathcal{L}$, we have $\llbracket \varphi \rrbracket(w) \in \{0, 1\}$ (in the chosen semiring).

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- ▶ $\bigoplus_x \varphi$ interpreted as a **sum** over all positions.
- ▶ $\bigotimes_x \varphi$ interpreted as a **product** over all positions.

Weighted expressions: examples

On $(\mathbb{N}, +, \times)$:

▶
$$\llbracket \bigoplus_x a(x) \rrbracket(u) = \sum_{i \in \text{pos}(u)} \llbracket a(x) \rrbracket(u, i) = |u|_a$$

recognizable by wA

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w-Automata are not closed under \bigotimes .

Capturing Weighted Automata

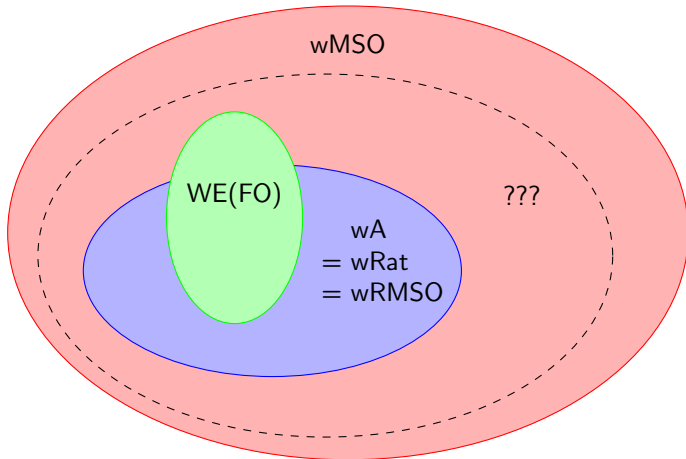
Theorem (Droste & Gastin'05)

$$\text{wAutomata} = \text{wRMSO}$$

wRMSO consists of weighted expressions with

- ▶ \otimes_x **restricted** to $\vee \wedge$ of constants and boolean formulae.
- ▶ A new second order weighted operator, \oplus_x , **restricted** to boolean formulae.

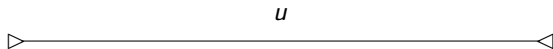
Extending instead of Restricting ?



Aim: robust class extending both $WE(FO)$ and $wAutomata$.

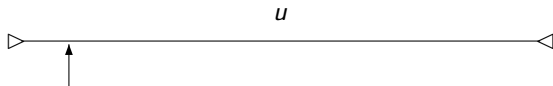
(2-way) Pebble weighted automata

- ▶ Automaton with 2-way mechanism and pebbles $\{1, \dots, r\}$.



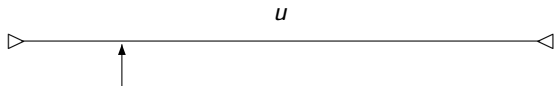
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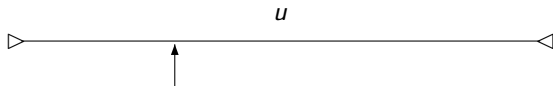
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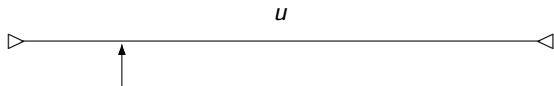
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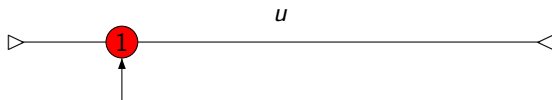
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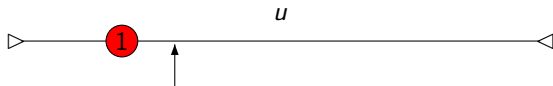
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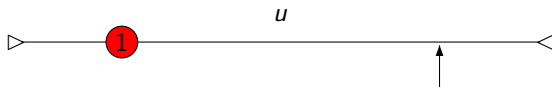
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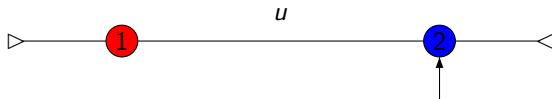
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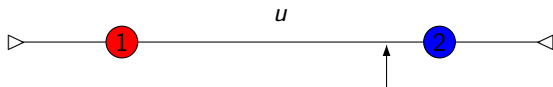
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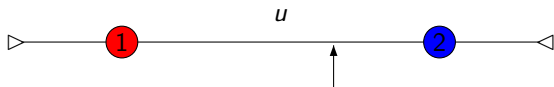
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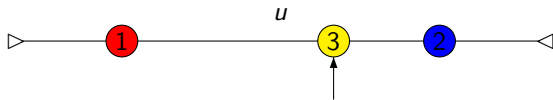
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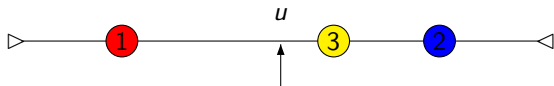
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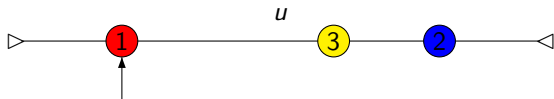
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- ▶ Applicable transitions depend on current (state, letter, pebbles).
 $(p, a, k, \text{Pebbles}, D, q)$, where $D \in \{\leftarrow, \rightarrow, \text{lift}, \text{drop}\}$.

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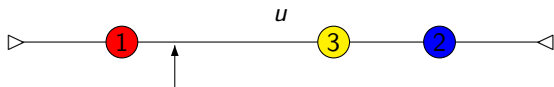
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 $(p, a, k, \text{Pebbles}, D, q)$, where $D \in \{\leftarrow, \rightarrow, \text{lift}, \text{drop}\}$.
- ▶ **Stack policy**: only the most recently dropped pebble may be lifted

(2-way) Pebble weighted automata

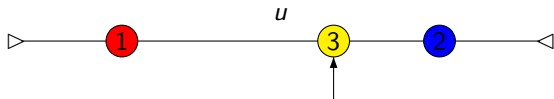
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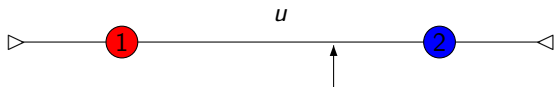
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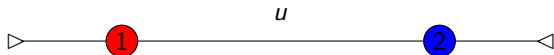
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- ▶ **Note**. For Boolean word automata, this does not add expressive power.

Pebble weighted automata: semantics

Recall from the classical setting:

- ▶ Value of a word: sum of all weights of runs on this word.

$$\llbracket \mathcal{A} \rrbracket(w) = \sum_{\rho \text{ run of } \mathcal{A} \text{ on } w} \text{weight}(\rho)$$

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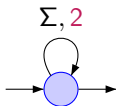
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(Other solution would be to restrict to suitable semirings)

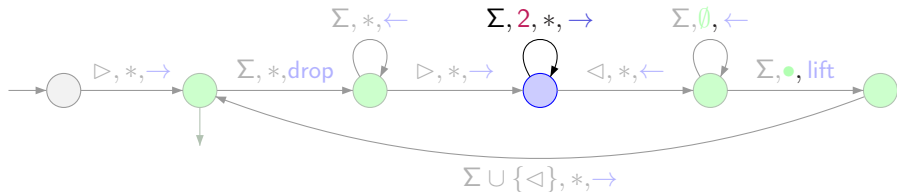
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- ▶ Pebbles can be used to encode a first-order variable (its position).



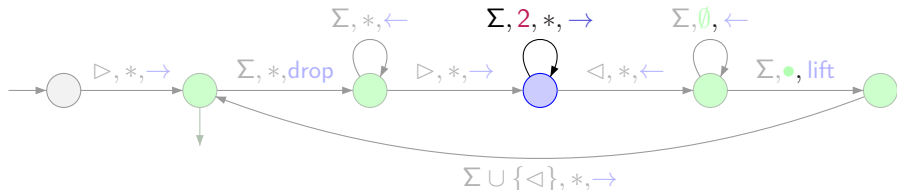
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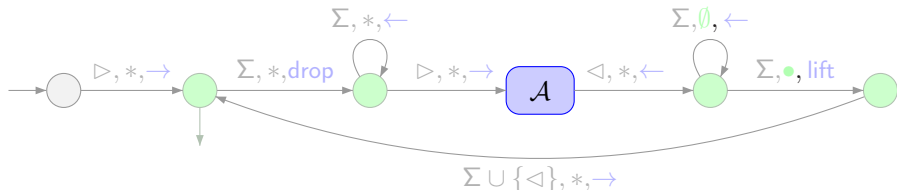
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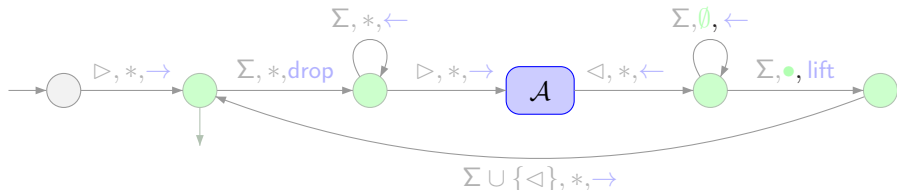
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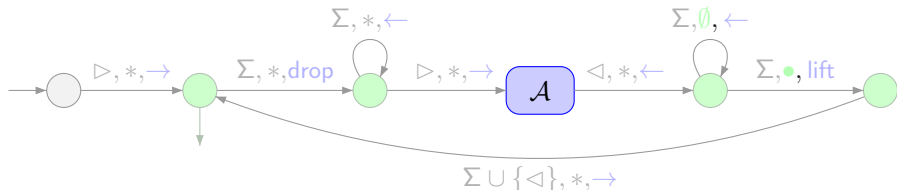
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by dropping **nondeterministically** the pebble instead.
- ▶ Summary: pebble wA easily bring **closure under \bigotimes_x and \bigoplus_x** .

1-way pebble weighted automata

The construction for closure under \bigoplus_x and \bigotimes_x uses specific automata.

- ▶ After a **drop**, go to the end of the word and **reset**.
- ▶ No other use of \leftarrow move.

1-way pebble automata with ℓ -resets

A 1-way pebble automaton is a 2-way pebble automaton st.

- ▶ no \leftarrow move. Replaced by new move: **reset**.
- ▶ no **lift** can be immediately followed by a **drop**,
- ▶ each time a pebble is dropped, it gets a credit for ℓ resets (recursively).

Similar to 1-way automata used by Neven, Schwentick, Vianu 04 for data words.

Closure properties of 1 way pwA

- ▶ 1-way pebble automata are nonlooping (pebble are “progressing”).
- ▶ Semantics well-defined as $\llbracket \mathcal{A} \rrbracket(w) = \sum_{\rho \text{ run of } \mathcal{A} \text{ on } w} \text{weight}(\rho)$.
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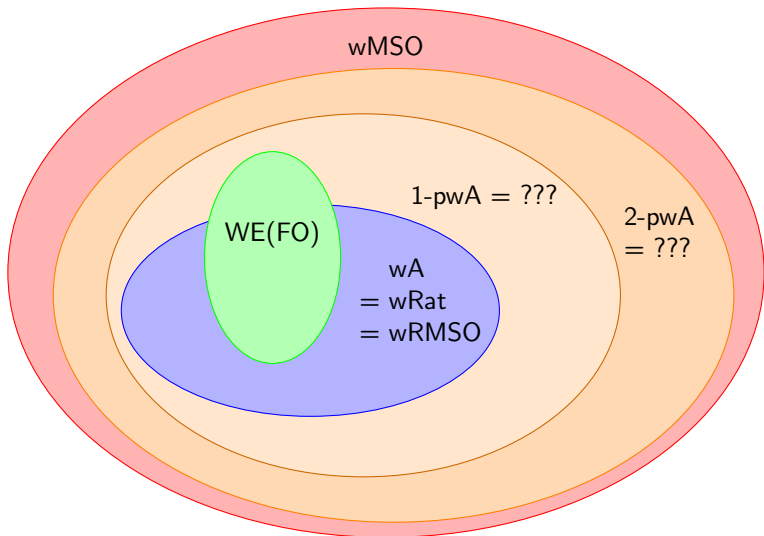
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Corollary

1-way pebble weighted automata capture WE(MSO).

For the converse, need to enrich the weighted expressions

Pebble weighted Automata vs WE(MSO)



Characterization of 1-way and 2-way pebble wA in terms of expressions?

Weighted transitive closure: TC and BTC

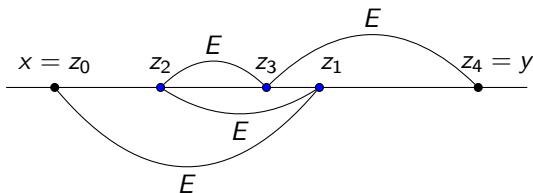
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$$E^n(x, y) = \bigoplus_{x=z_0, z_1, \dots, z_n=y} \left(\bigotimes_{1 \leq \ell \leq n} E(z_{\ell-1}, z_\ell) \right)$$

where the sum ranges over seq. of pairwise distinct positions z_0, \dots, z_n .



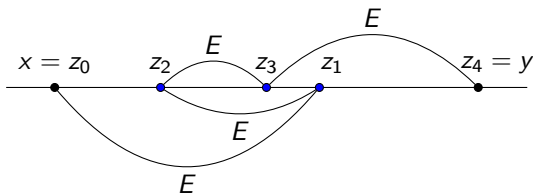
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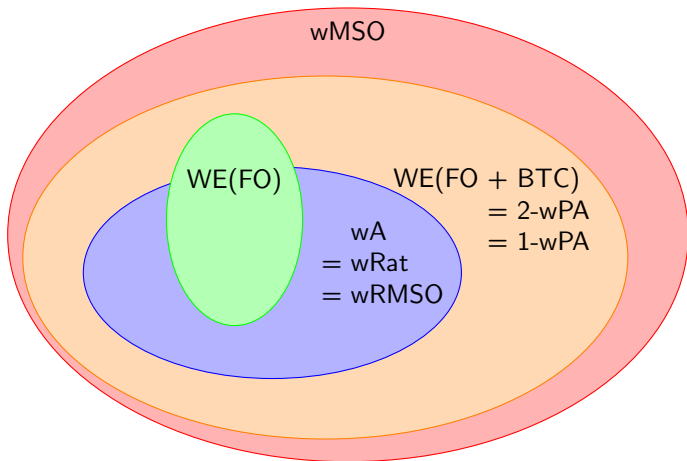


- ▶ The transitive closure operator is defined by $\text{TC}_{xy}E = \bigoplus_{n \geq 1} E^n$.
- ▶ Bounded transitive closure BTC: $N\text{-TC}_{xy}E = \text{TC}_{xy}(E \wedge |x - y| \leq N)$

Expressiveness

Theorem: $\text{WE}(\text{FO} + \text{BTC}) = 2\text{-way pebble } wA = 1\text{-way pebble } wA.$

(On commutative semirings)



Related and Further Work

- ▶ Other nice framework in min-+: cost functions, S/B -automata
[Th. Colcombet, M. Bojańczyk]

Logics \longleftrightarrow Automata

- ▶ Lack of results for proving **non-expressiveness**.
- ▶ **Unbounded** steps in transitive closure?
- ▶ **Weak** pebbles vs. **strong** pebbles?
- ▶ Extensions to other structures: Trees (\leadsto Benjamin's talk)