Spanning forests in regular planar maps

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joint work with Julien Courtiel

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A regular (4-valent) map

Definition Planar map = connected planar graph + embedding of this graph in the plane, taken up to continuous deformation









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Map enumeration: In combinatorics and statistical physics

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$$= \sum_{n \ge 0} \frac{2 \cdot 3^{n}}{(n+1)(n+2)} {2n \choose n} t^{n}$$
$$= \frac{(1 - 12t)^{3/2} - 1 + 18t}{54t^{2}}$$

Arquès Bauer Bédard Bender Bernardi Bessis Bodirsky Bousquet-Mélou Boulatov Bouttier Brézin Brown Canfield Chauve Cori Di Francesco Duplantier Eynard Fusy Gao Goupil Goulden Guitter t'Hooft Itzykson Jackson Jacquard Kazakov Kostov Krikun Labelle Lehman Leroux Liskovets Liu Machì Mehta Mullin Parisi Poulalhon Richmond Robinson Schaeffer Schellenberg Sportiello Strehl Tutte Vainshtein Vauquelin Visentin Walsh Wanless Wormald Zinn-Justin Zuber Zvonkine...

Planar maps (tend to) have algebraic generating functions

 $M(t_1,\ldots,t_k)$ map GF: there exists a polynomial Q such that $Q(t_1,\ldots,t_k;M(t_1,\ldots,t_k))=0.$

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Example:

$$M(t) = \frac{(1 - 12t)^{3/2} - 1 + 18t}{54t^2} \Rightarrow (54t^2M(t) + 1 - 18t)^2 = (1 - 12t)^3$$

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- the diameter behaves like $n^{1/4}$
- rescaled by n^{1/4}, a planar map converges to a (universal) limiting object, the Brownian map

And much more!

[Angel, Curien, Marckert, Le Gall, Miermont...]

Maps equipped with an additional structure

In combinatorics, but mostly in statistical physics

- How many maps
- equipped with...
- a spanning tree? [Mullin 67]
- a spanning forest?
 [Bouttier et al., Sportiello et al.]
- a self-avoiding walk?
 [Duplantier-Kostov 88]
- a proper *q*-colouring? [Tutte 74-83, Bouttier et al. 02]

• What is the expected

partition function of...

- the Ising model? [Boulatov,
 [Kazakov, MBM, Schaeffer,
 Bouttier et al.]
- the hard-particle model?

[MBM, Schaeffer, Jehanne,

Bouttier et al. 02, 07]

- the Potts model?
 - [Eynard-Bonnet 99, Baxter 01,
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- u = 1: unweighted spanning forests
- u = 0: unweighted spanning trees [Mullin 67]
- u = -1: root-connected acyclic orientations on (dual) quadrangulations [Las Vergnas 84]









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- Tutte polynomial $T_M(u+1,1)$
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- Limit $q \rightarrow 0$ of the *q*-state Potts model:

$$\lim_{q \to 0} \frac{1}{q} Z_M(q, u+1) = u^{\text{vertices}(M)} \sum_{F \text{ forest}} u^{\text{components}(F)-1}$$

with $Z_M(q, \nu)$ the partition function of the Potts model (q counts states, ν monochromatic edges)

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- Exact enumeration:
 - Generating function F(z, u) of forested maps
 - Nature of F: is it algebraic? D-finite? D-algebraic?
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- Asymptotic enumeration and phase transition(s):
 - For $u \ge -1$, asymptotic behaviour of

$$f_n(u) := [z^n]F(z, u)$$
Exact enumeration



Theorem [Mullin 67]

The generating function of 4-valent maps equipped with a spanning tree satisfies

$$F'(z,0) = 4 \sum_{i \ge 2} \frac{(3i-2)!}{(i-2)!i!^2} z^i$$

A D-finite, but not algebraic series

Theorem [MBM & Courtiel 13]

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where $R \equiv R(z, u)$ is the only series in z with constant term 0 such that

$$R = z + u \sum_{i \ge 2} \frac{(3i - 3)!}{(i - 1)!^2 i!} R^i.$$



Blocked edges on Eulerian maps and mobiles: application to spanning trees, hard particles and the Ising model, Bouttier, Di Francesco & Guitter (2008)













Translation into generating functions

Let $M(z; g_1, g_2, ...; h_1, h_2, ...)$ be the generating function of rooted Eulerian maps, with weight

- z per face,
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The generating function of forested maps is: $F(z, u) = M(z; ut_1^{\ell}, ut_2^{\ell}, \dots; t_1^{c}, t_2^{c}, \dots)$ with t_i^{ℓ} (resp. t_i^{c}) the number of 4-valent trees rooted at a leaf (resp. a corner) having 2i leaves: $t_i^{\ell} = \frac{(3i-3)!}{(i-1)!(2i-1)!}, \qquad t_i^{c} = 4 \frac{(3i-3)!}{(i-2)!(2i)!}$ Theorem [Bouttier & Guitter 12] The generating function of Eulerian maps satisfies $M'(z; g_1, g_2, ...; h_1, h_2, ...) = \sum_{i>1} h_i {2i \choose i} R^i$ where R is the unique series satisfying $R = z + \sum_{i>1} g_i \binom{2i-1}{i} R^i.$

[Tutte 62, Schaeffer 97, Bouttier-Di Francesco-Guitter 02]

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Corollary: nature of F(z, u)The series F(z, u) is D-algebraic (in z).

Proof: θ and Φ are D-algebraic (in fact, D-finite).

• The series F'(z, u) satisfies a differential equation of order 2.

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$$\begin{array}{l}9\,F'^2\,F''^5\,u^6+36\,F'^2\,F''^3\,F'''\,\,u^5z+144\,F'^2\,F''^4\,u^5-12\,(21\,z-1)F'\,F''^5\,u^5+432\,F'^2\,F''^2\,F'''\,u^4z\\ -48\,(24\,z-1)F'\,F''^3\,F'''\,\,u^4z+864\,F'^2\,F''^3\,u^4-96\,(27\,z-2)F'\,F''^4\,u^4\\ +4\,(27\,z-1)(15\,z-1)F''^5\,u^4+1728\,F'^2\,F''\,F'''\,u^3z-288\,(21\,z-2)F'\,F''^2\,F'''\,u^3z\\ +10368\,F'\,F'''^2\,u^2z^3+16\,(27\,z-1)(21\,z-1)F''^3\,F'''\,u^3z+2304\,F'^2\,F'''\,u^3z\\ -288\,(31\,z-4)F'\,F''^3\,u^3-64\,\left(6\,uz-162\,z^2+33\,z-1\right)F''^4\,u^3+2304\,F'^2\,F'''\,u^2z\\ -2304\,(6\,z-1)F'\,F''\,F'''\,u^2z-192\,\left(8\,uz-54\,z^2+29\,z-1\right)F''^2\,F'''\,u^2z\\ -768\,(2\,u+189\,z-7)F'''^2\,uz^3+2304\,F'^2\,F''\,u^2-3072\,(3\,z-1)F'\,F'''\,u^2\\ -192\,\left(24\,uz-27\,z^2+55\,z-2\right)F''^3\,u^2-1536\,(21\,z-2)F'\,F'''\,uz\\ -768\,(12\,uz+81\,z^2+24\,z-1)F''\,F'''\,uz+1536\,(9\,z+2)F'\,F'''\,u\\ -512\,\left(39\,uz+81\,z^2+51\,z-2\right)F'''^2\,u+36864\,F'\,z-1024\,\left(12\,uz-162\,z^2+33\,z-1\right)F'''\,z\\ -1024\,(36\,uz+27\,z-1)F''-24576\,z=0.\end{array}$$

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The generating function of planar maps M weighted by their size (edge number) and their Potts partition function $Z_M(q, \nu)$ is D-algebraic.

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Asymptotic results

Take $u \geq -1$, and estimate

$$f_n(u) := [z^n]F(z, u)$$

- exponential growth \prec radius of convergence ρ_u of F(z, u)
- correction terms ≺ nature of the singularities of F(z, u) at ρ_u and more generally on the circle |z| = ρ_u

Singularity analysis [Flajolet-Odlyzko 90], [Flajolet-Sedgewick 09]

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For $u \geq -1$, let ρ_u be the radius of convergence of F(z, u) $F' = \theta(R)$ with $R = z + u \Phi(R)$ • Implicit function theorem $\varrho_0 = \frac{1}{27} \qquad \begin{aligned} 1 &= u \, \Phi'(r_u) \\ r_u &= \rho_u + u \, \Phi(r_u) \end{aligned}$ • ρ is affine on [-1, 0] ρ_1 1 и

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Corollary

F(z, -1) cannot be D-finite.

Proof: it has integer coefficients but a transcendental radius.

The phase transition at u = 0

•
$$f_n(u) = [z^n]F(z, u)$$



- Generating function of *p*-valent forested maps, for any $p \ge 3$
- Asymptotic behaviour in the cubic case ⇒ universality
- Large random forested maps: $\simeq cn$ components, discrete limit law for the size of the root component
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Et encore...

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