



Recognizable sets of graphs : algebraic and logical aspects

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References:

B.C. and J. Engelfriet : *Graph structure and monadic second-order logic*,
Cambridge University Press, 2012.

B.C. and I. Durand: *Automata for the verification of monadic second-order
graph properties*. J. Applied Logic 10(4): 368-409 (2012)

Summary

1. Recognizable & equational sets :
an algebraic setting for language theory.
2. A graph algebra with infinitely many operations & clique-width.
3. Monadic second-order logic, recognizability & fixed-parameter tractable model-checking.
4. Constructing automata.

Two ways of considering graphs

A graph is a *logical structure* ;

graph properties can be expressed by logical formulas

(FO = first-order, MSO = monadic second-order)

A graph is an *algebraic object*,

an element of an algebra of graphs

(similar to words as elements of monoids)

Graph algebras (finite graphs, up to isomorphism, as algebraic objects):

Equational sets → context-free sets of graphs, without needing to define graph rewritings

Recognizable sets → defined without automata
(there is no “good” notion of graph automaton)

Algebraic understanding of combinatorial notions:
tree-width, path-width, rank-width.

Clique-width: a width measure defined algebraically.

The two graph algebras that fit to MSO logic yield:

Hyperedge-replacement *gragras*, *tree-width*, FPT MSO₂ model-checking.

Vertex-replacement *gragras*, *clique-width*, FPT MSO-model-checking.

Below: the algebra \mathbf{G} that yields *clique-width*.

The automata for model-checking MSO formulas on graphs of bounded clique-width are *easier to build* than those for graphs of bounded tree-width.

And : all results about model-checking of MSO₂ formulas (*with edge set quantifications*) for graphs of bounded *tree-width* follow from the ones for graphs of bounded clique-width : we replace a graph \mathbf{G} by its incidence graph $\text{Inc}(\mathbf{G})$, we use : $\text{cwd}(\text{Inc}(\mathbf{G})) \leq \text{twd}(\mathbf{G}) + 3$ (T. Bouvier, LaBRI) and we note that MSO₂ over \mathbf{G} reduces to MSO over $\text{Inc}(\mathbf{G})$.

1. Recognizable & equational sets :

an algebraic setting for Language Theory.

Equational sets (generalizing context-free languages)

Equation systems = Context-Free (Graph) Grammars

For words, the set of context-free rules

$$X \rightarrow a X Y ; \quad X \rightarrow b ; \quad Y \rightarrow c Y Y X ; \quad Y \rightarrow a$$

is equivalent to the system of two equations:

$$X = a X Y \cup \{ b \}$$

$$Y = c Y Y X \cup \{ a \}$$

where X is the language generated by X (idem for Y and Y).

In arbitrary algebras we consider equation systems like:

$$X = f(k(X), Y) \quad \cup \quad \{ b \}$$

$$Y = f(Y, f(g(Y), m(X))) \quad \cup \quad \{ a \}$$

where :

f is a binary operation,

g, k, m are unary operations,

a, b denote basic objects.

An *equational set* is a component of the least solution of such an equation system. This notion is *well-defined in any algebra*.

The general algebraic setting

F : a finite or *countably infinite* set of operation symbols with fixed arities, called *a signature*.

$\mathbf{M} = \langle M, (f_{\mathbf{M}})_{f \in F} \rangle$: an F -algebra.

All signatures and algebras are *finite or countable* and *effectively given* (that is : *encoded by finite words in a computable way*).

Examples : (*words, trees and graphs will be finite*).

Free monoid of words,

free algebra of terms,

algebra of terms with associative and/or commutative operations,

monoid of traces (words with *partial commutations*).

Recognizable sets : finite signatures

$\mathbf{M} = \langle M, (f_{\mathbf{M}})_{f \in F} \rangle$: an F-algebra where F is *finite*.

Definition : $L \subseteq M$ is *(\mathbf{M} -)recognizable* if it is a union of equivalence classes of a finite congruence \approx on \mathbf{M} .

Congruence = equivalence relation such that :

$$m \approx m' \text{ and } p \approx p' \Rightarrow f_{\mathbf{M}}(m, p) \approx f_{\mathbf{M}}(m', p').$$

Finite means that \approx has finitely many classes (M / \approx is finite).

Equivalently, $L = h^{-1}(D)$ for a homomorphism $h : \mathbf{M} \rightarrow \mathbf{A}$, where

\mathbf{A} is a *finite* F-algebra and $D \subseteq A$.

$\text{REC}(\mathbf{M})$ = the recognizable subsets of \mathbf{M} . This notion is relative to \mathbf{M} (not only to the underlying set M). Well-defined in every algebra, *without any automaton*.

<i>Property</i>	EQ (finite sig.)	REC (finite sig.)
closure for \cup	yes	yes
closure for $\cap, -$	no	yes
$L_{eq} \cap K_{rec} \in EQ$		yes
closure for hom, operations of F	yes	no
closure for hom^{-1}	no	yes
comparison		$\subseteq EQ$ (algebra generated by F)
membership (input given by a term)	undecidable	decidable
emptiness	decidable	decidable

For graphs : The **two robust** (in a precise logical and algebraic sense) graph algebras have ***countably infinite*** signatures.

No problem for **equational sets** because each equation system uses a finite signature, hence they are equational in a ***finitely generated subalgebra***.

Recognizability requires an adapted definition. Below in abstract form; the application to graphs will come soon.

Recognizable sets : infinite signatures

$\mathbf{M} = \langle M, (f_{\mathbf{M}})_{f \in F} \rangle$: an F -algebra where F is *countably infinite*.

F , M , the functions $f_{\mathbf{M}}$ are *effectively given* (encoded by integers in a computable way).

Each $m \in M$ has a *type* $\pi(m)$ in a countable, effectively given set.

π is a homomorphism :

$$\pi(f_{\mathbf{M}}(m, m')) = \pi_f(\pi(m), \pi(m')) \text{ for some function } \pi_f \text{ on types.}$$

For defining the recognizability of a set L , we require that \approx is

type preserving : $m \approx m' \Rightarrow \pi(m) = \pi(m')$,

locally finite : it has finitely many classes of each type,

and L is a union of classes (possibly of different types).

<i>Property</i>	EQ	REC finite sign.	REC infinite sign.
closure \cup	yes	yes	yes
closure $\cap, -$	no	yes	yes
$L_{eq} \cap K_{rec} \in EQ$		yes	yes
hom, ops of F	yes	no	no
hom^{-1}	no	yes	yes
comparison		$\subseteq EQ$	incomparable with EQ
membership (given by a term)	undecidable	decidable	decidable
emptiness	decidable	decidable	undecidable

2. A graph algebra & clique-width.

Cographs : Undirected graphs generated by:

\oplus (*disjoint union*) and \otimes (*complete join*) from

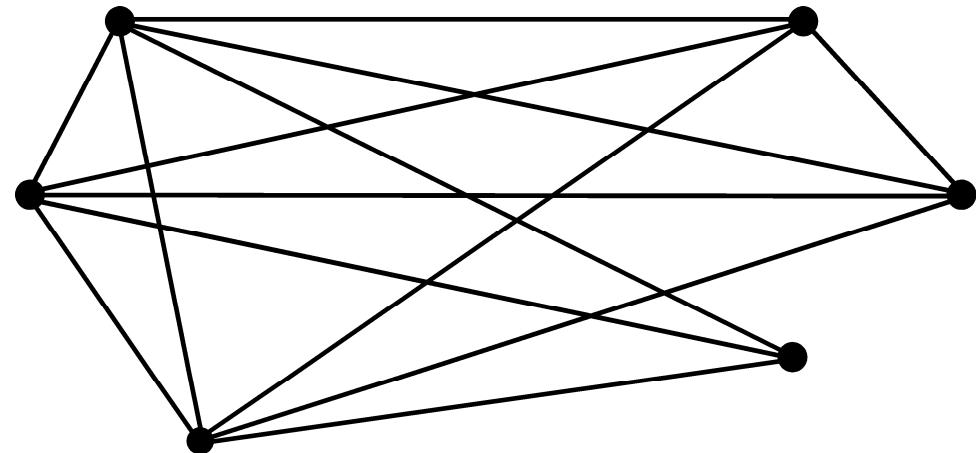
a : vertex without edges (*up to isomorphism*);

\otimes is defined by : $G \otimes H = G \oplus H$ plus all undirected edges between G and H ,

Cographs are defined by the equation : $C = C \oplus C \cup C \otimes C \cup \{a\}$

Example :

$$(a \otimes a \otimes a) \otimes ((a \otimes a) \oplus a)$$



The graph algebra **G** (that yields *clique-width*)

Graphs are *simple*, directed or not.

We use labels: *a, b, c, ..., d*.

Each vertex has one label ; the labelling defines a partition of the vertex set.

A vertex labelled by *a* is an *a-port*.

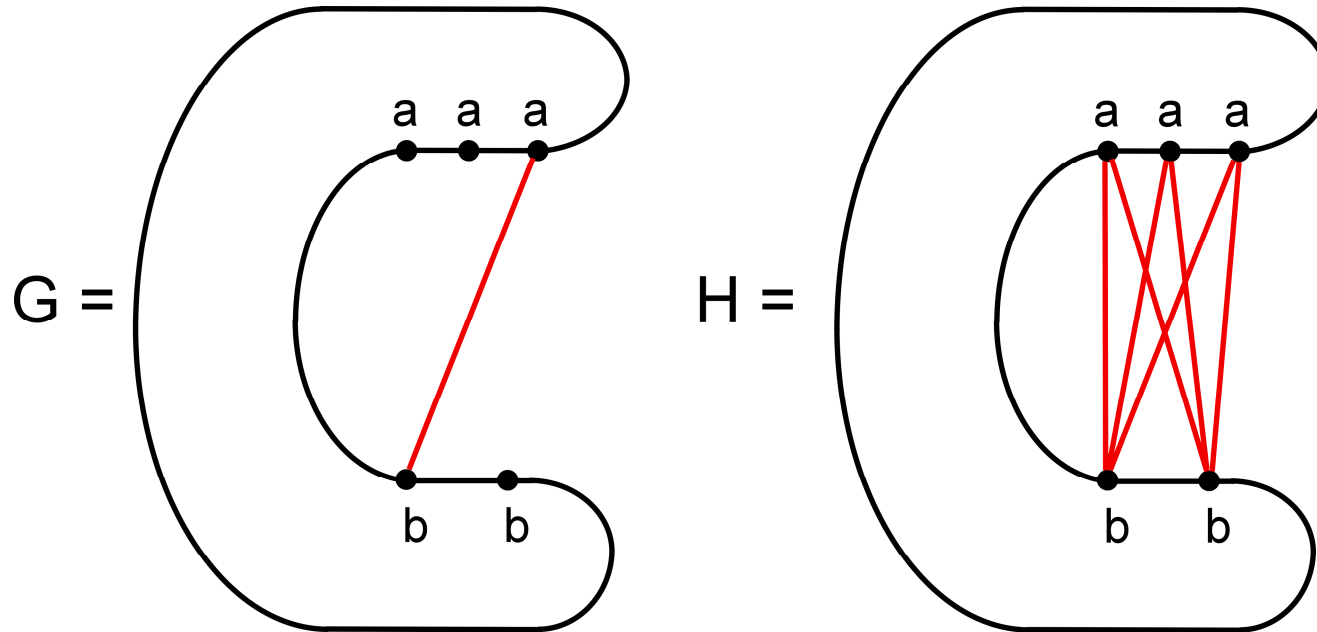
One binary operation : *disjoint union* : \oplus

If G and H are not disjoint, we replace H by an isomorphic disjoint copy to define $G \oplus H$. Hence $G \oplus H$ is well-defined *up to isomorphism*.

No such problem in a “decomposition approach”.

Unary operations: Edge-addition

Addition of undirected edges: $\text{Add}_{a,b}(G)$ is G augmented with edges *between* every a -port and every b -port.



$H = \text{Add}_{a,b}(G)$; only 5 edges added

The number of added edges depends on the argument graph.

Addition of directed edges: $\overrightarrow{Add}_{a,b}(G)$ is G augmented with edges *from* every a -port to every b -port.

Vertex relabellings :

$Relab_{a \rightarrow b}(G) := G$ where each a -port is made into a b -port.

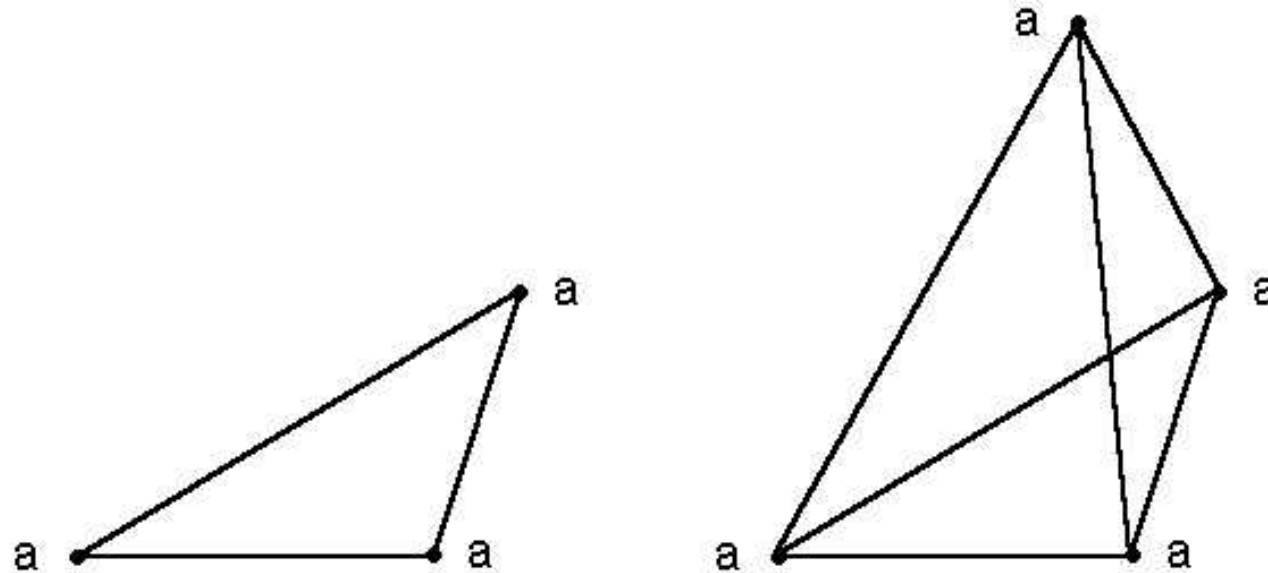
Nullary operations: **basic graphs** are those with a single vertex.

Every graph with n vertices is the value of a term using $\leq n$ labels.

A graph G has **clique-width** $\leq k \Leftrightarrow$ it can be constructed from basic graphs with the operations \oplus , $Add_{a,b}$ (or $\overrightarrow{Add}_{a,b}$) and $Relab_{a \rightarrow b}$ by using $\leq k$ labels.

Its clique-width $cwd(G)$ is the smallest such k .

Example : Cliques have clique-width 2 (and unbounded tree-width)



K_n is defined by t_n where $t_{n+1} = \text{Relabb} \rightarrow a(\text{Add}_{a,b}(t_n \oplus b))$

Cliques are defined by the equation :

$$K = \text{Relabb} \rightarrow a(\text{Add}_{a,b}(K \oplus b)) \cup a$$

Examples of graphs of bounded clique-width:

An undirected graph is a cograph \Leftrightarrow it has clique-width at most 2.

Distance hereditary graphs have clique-width at most 3.

Cactuses (or *cactii* ?) : biconnected components are cycles.

Examples of graphs of unbounded clique-width:

Planar graphs (even of degree 3),

Interval graphs.

Fact: Unlike tree-width, clique-width is sensible to edge directions :

Cliques have clique-width 2 but *tournaments* have *unbounded clique-width*.

3. Monadic second-order (MSO) logic & recognizability.

Graphs as *logical structures* :

$$G = (V_G, \text{edg}_G(.,.))$$

$\text{edg}_G(u,v) \iff$ there is an edge $u \rightarrow v$ (or $u - v$).

parallel edges are not distinguished.

Monadic second-order logic = First-order logic extended with (quantified) *set variables* denoting *sets of vertices*.

“A set of edges” is (here) a binary relation over V_G .

Typical MSO properties : k-colorability, transitive closure, properties of paths, connectivity, planarity

Examples : $G = (V_G, \text{edg}_G(.,.))$, undirected

Syntax is clear ; shorthands are used (example $X \cap Y = \emptyset$).

(1) G is 3-colorable : *NP-complete* property.

$$\begin{aligned} \exists X, Y (& X \cap Y = \emptyset \wedge \\ & \forall u, v \{ \text{edg}(u, v) \Rightarrow \\ & \quad [(u \in X \Rightarrow v \notin X) \wedge (u \in Y \Rightarrow v \notin Y) \wedge \\ & \quad (u \notin X \cup Y \Rightarrow v \in X \cup Y)] \\ & \quad \}) \end{aligned}$$

(2) G is *not* connected :

$$\exists Z (\exists x \in Z \wedge \exists y \notin Z \wedge (\forall u, v (u \in Z \wedge \text{edg}(u, v) \Rightarrow v \in Z)))$$

(3) *Transitive and reflexive closure* : **TC**(R ; x, y) :

$$\forall X \{ \text{"X is R-closed"} \wedge x \in X \Rightarrow y \in X \}$$

where "X is R-closed" is defined by :

$$\forall u,v (u \in X \wedge R(u,v) \Rightarrow v \in X)$$

The relation R can be defined by a formula. Here :

$$\forall x,y (x \in Y \wedge y \in Y \Rightarrow \mathbf{TC}(\text{"u} \in Y \wedge v \in Y \wedge \text{edg}(u,v)" ; x, y)$$

Y is free in R. This formula expresses that $G[Y]$ is connected.

(4) *Minors* : G contains a fixed graph H as a minor with $V_H = \{1, \dots, p\}$:

there exist disjoint sets of vertices X_1, \dots, X_p in G

such that each $G[X_i]$ is connected and,

whenever $i - j$ in H, there is an edge between X_i and X_j .

(5) *Planarity* is expressible : no minor K_5 or $K_{3,3}$ (Kuratowski - Wagner).

(6) *Has a cycle* (for a graph without loops) :

$\exists x,y,z [\text{edg}(x,y) \wedge \text{edg}(y,z) \wedge \text{“there is a path from } x \text{ to } z \text{ avoiding } y\text{”}]$

(7) *Is a tree* : connected without cycles.

Non-expressible properties

- G is isomorphic to $K_{p,p}$ for some p : checking equal cardinality of two sets “needs” quantification over binary relations in order to find a **bijection**.
- G has a **nontrivial automorphism**, or has all vertices of **same degree**.
- **Hamiltonicity** needs edge-set quantifications.

Remark : Adding an *equicardinality* set predicate would **spoil everything**.

Two problems for a class C of finite graphs and a logic

Decidability : does a given sentence hold in **some** (or **all**) graphs of C ?

Model-checking is decidable : what is its **time** or **space** complexity ?

Language, class	<i>Decidability</i>	<i>Model-checking</i>
FO, all graphs	undecidable	polynomial time
MSO, clique-width $\leq k$	decidable	cubic time
MSO, unbounded cwd.	undecidable	Conjecture : not FPT (*)

(*) A related fact is proved by S. Kreutzer (LICS 2010) for unbounded tree-width and MSO formulas with edge quantifications. (The exact statement is very technical.)

Fixed-Parameter Tractability (FPT) for model-checking

An algorithm is *FPT* if it takes time $f(k) \cdot n^c$ for some fixed function f and constant c . The size of the input is n . The value k is a *parameter* of the input :

degree, diameter, tree-width, clique-width, etc.

The “hard part” of the time complexity depends on some function f (arbitrary in the definition; in practice, it must be “limited”; the algorithm is then usable for small values of k).

Example : 3-colorability is NP-complete, even for planar graphs of degree ≤ 4 (Dailey, 1980). *Degree* is *not* a good parameter, but *tree-width* and *clique-width* are.

MSO logic & recognizability : main results

For a sentence φ , $\text{Mod}(\varphi)$ is the set of finite models of φ .

1. **Recognizability theorem** : For every MSO sentence φ , $\text{Mod}(\varphi)$ is recognizable in the graph algebra \mathbf{G} . The **type** $\pi(\mathbf{G})$ of \mathbf{G} is the set of its vertex labels.

2. **Weak recognizability theorem** : For every MSO sentence φ , for every k , $\text{Mod}(\varphi) \cap \text{CWD}(\leq k)$ is recognizable in $\mathbf{G}[F_k]$, the subalgebra of \mathbf{G} generated by the finite signature F_k of operations using labels $1, \dots, k$.

3. The recognizability theorem is *not a consequence* of the weak one. (The set K of *kytes*, the $n \times n$ grids with a “tail” of length n , is not recognizable but $K \cap \text{CWD}(\leq k)$ is finite for each k , hence, recognizable).

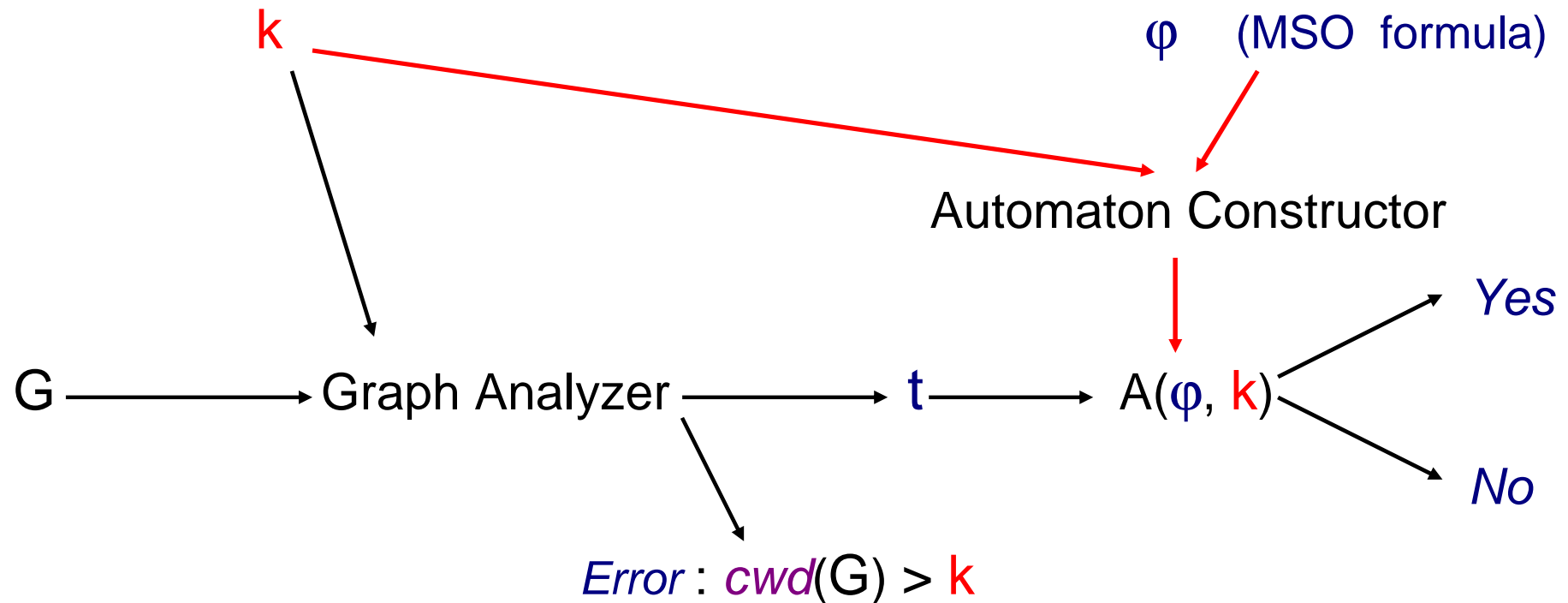
4. *Every* set of square grids is recognizable. As there are *uncountably* many such sets, there is *no characterization* of recognizable sets of graphs by logical formulas or automata.

5. For algorithmic purposes, the weak theorem is enough. Its “Büchi-style” proof is given below, in terms of finite, *implementable* automata.

6. Proofs of the full theorem:

- either a “Feferman-Vaught-Shelah-style” proof **manipulating** “theories” (the set of formulas of quantifier-height $\leq k$ valid in a graph) from which a **congruence** is defined (two graphs have same theory).
- either a “Büchi-style” proof constructing infinite, “fly-automata” (“automates programmés”) that are still **implementable**.

4. Construction and use of automata



Steps \longrightarrow are done “once for all”, independently of G

$A(\phi, k)$: finite automaton on terms t .

Construction of $A(\varphi, k)$ (“Büchi-style” proof).

k = the number of vertex labels = the bound on clique-width

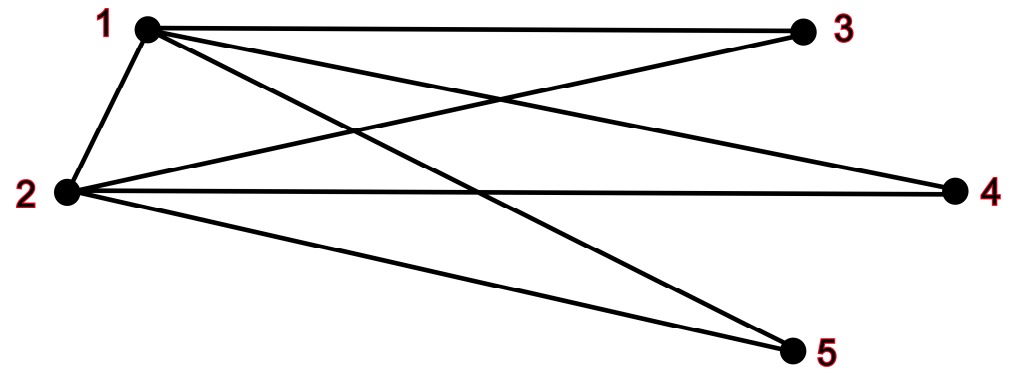
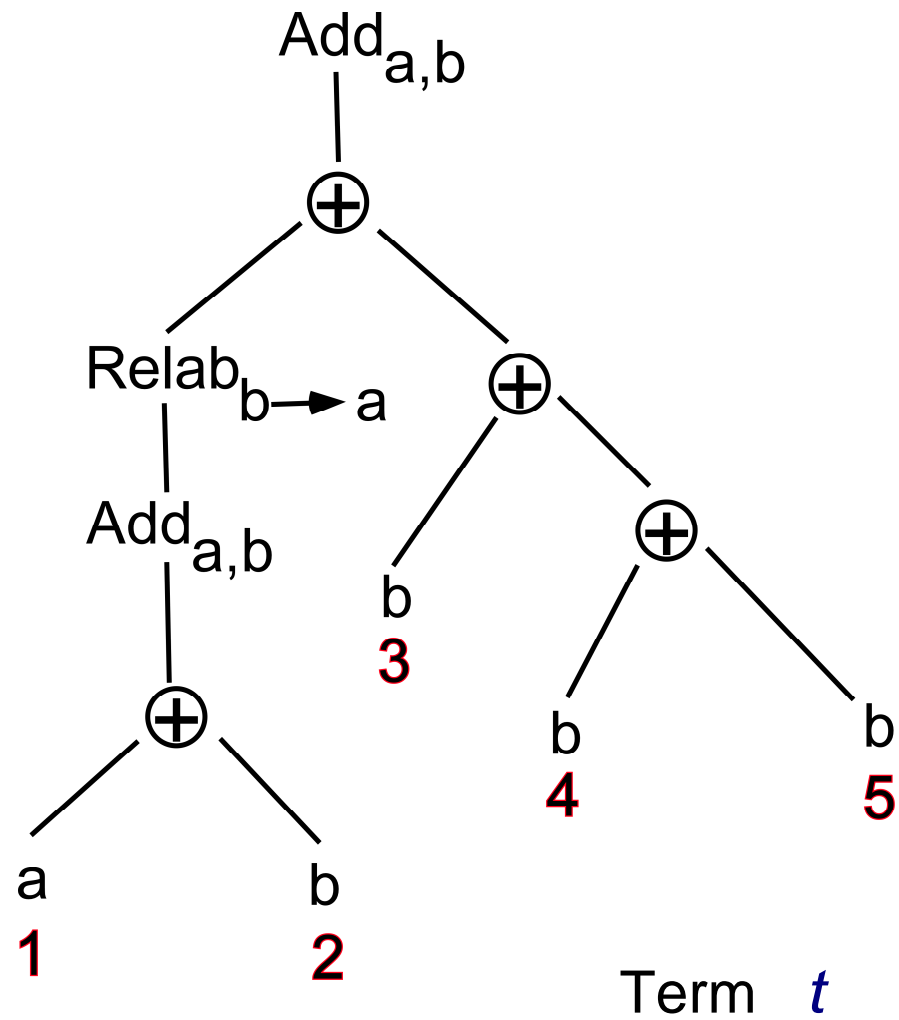
F ($= F_k$) = the corresponding set of operations and constants :

a , \emptyset , \oplus , $Add_{a,b}$, $\overrightarrow{Add}_{a,b}$, $Relab\ a \longrightarrow b$

$G(t)$ = the graph defined by a term t in $\mathbf{T}(F)$.

Its vertices are (in bijection with) the occurrences of the nullary symbols in t that are not \emptyset .

Example



Graph $G(t)$

Terms are equipped with **Booleans** that encode assignments of vertex sets V_1, \dots, V_n to the free set variables X_1, \dots, X_n of MSO formulas (*formulas are written without first-order variables*):

1) we replace in F each **a** by the nullary symbol

(a, (w₁, ..., w_n)) where $w_i \in \{0, 1\}$: we get $F^{(n)}$

(only nullary symbols are modified);

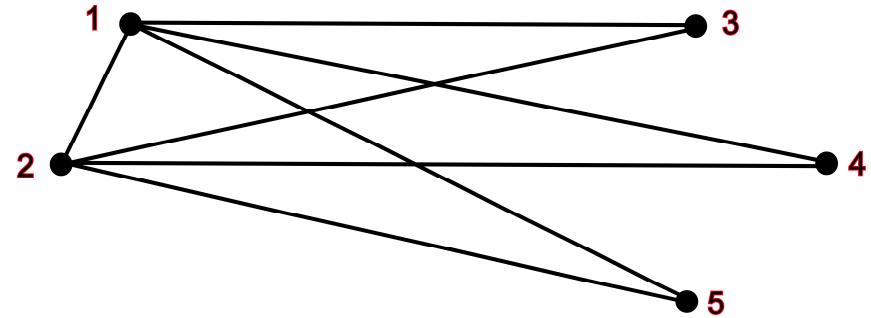
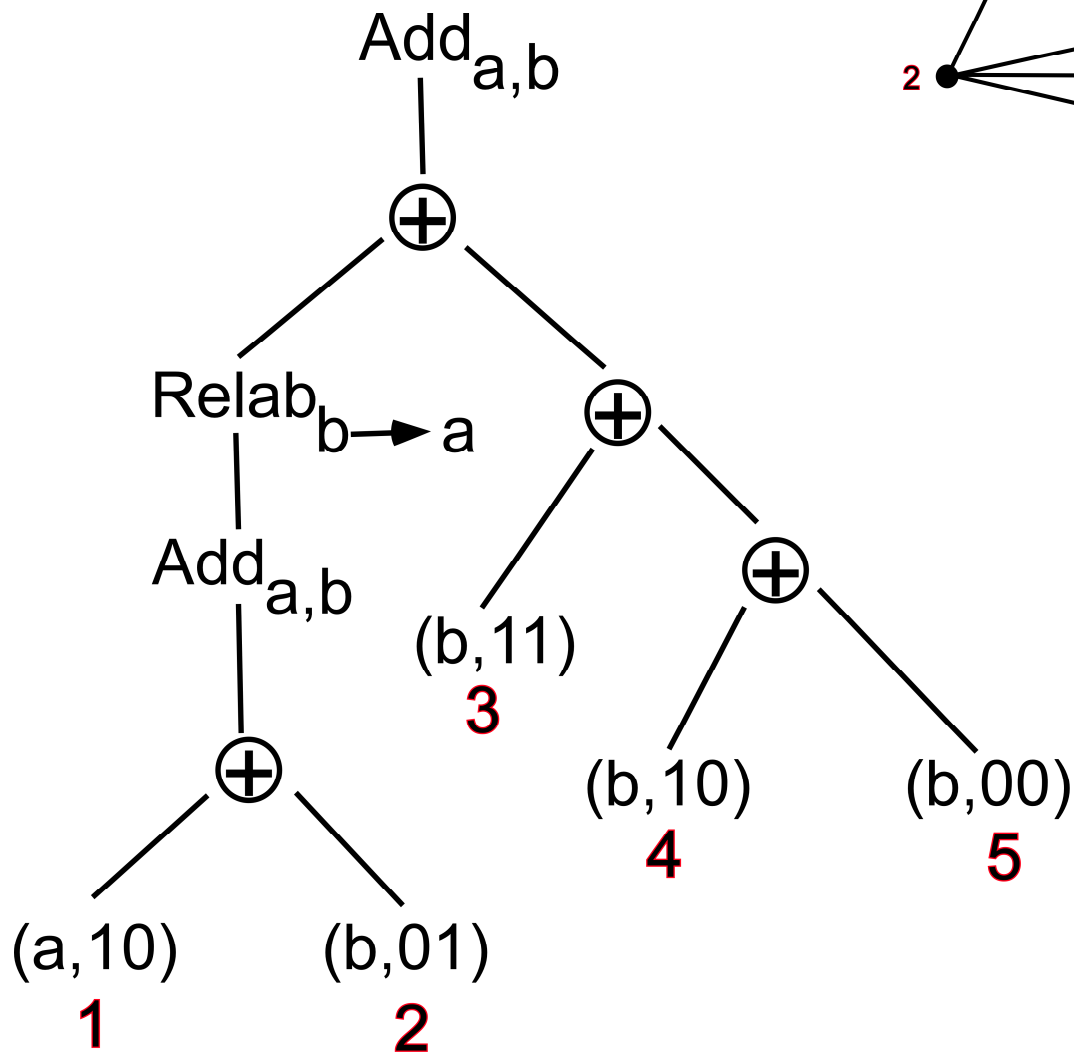
2) a term **s** in $\mathbf{T}(F^{(n)})$ encodes a term **t** in $\mathbf{T}(F)$ and an assignment of sets V_1, \dots, V_n to the set variables X_1, \dots, X_n :

if **u** is an occurrence of **(a, (w₁, ..., w_n))**, then

$w_i = 1$ if and only if **u** $\in V_i$.

3) **s** is denoted by **t** * (V_1, \dots, V_n)

Example (continued)



$$V_1 = \{1,3,4\}, \quad V_2 = \{2,3\}$$

Term $t^*(V_1, V_2)$

By an induction on φ , we construct for each $\varphi(X_1, \dots, X_n)$ a finite (bottom-up) deterministic automaton $A(\varphi(X_1, \dots, X_n), k)$ that recognizes:

$$L(\varphi(X_1, \dots, X_n)) := \{ t * (V_1, \dots, V_n) \in \mathbf{T}(F^{(n)}) \mid (G(t), V_1, \dots, V_n) \models \varphi \}$$

Theorem: For each sentence φ , the automaton $A(\varphi, k)$ accepts in time $f(\varphi, k) \cdot |t|$ the terms t in $\mathbf{T}(F)$ such that $G(t) \models \varphi$

It gives a *fixed-parameter linear* model-checking algorithm for input t , and a *fixed-parameter cubic* one if the graph has to be *parsed*.

The inductive construction of $A(\varphi, k)$

Atomic formulas : discussed below.

For \wedge : product of two automata (deterministic **or not**)

For \vee : union of two automata (or product of two **complete** automata; product preserves determinism)

For **negation** : exchange accepting / non-accepting states
for a complete **deterministic** automaton

Quantifications: Formulas are written without \forall

$$L(\exists X_{n+1} . \varphi(X_1, \dots, X_{n+1})) = \text{pr}(L(\varphi(X_1, \dots, X_{n+1})))$$

$$A(\exists X_{n+1} . \varphi(X_1, \dots, X_{n+1}), k) = \text{pr}(A(\varphi(X_1, \dots, X_{n+1}), k))$$

where *pr* is the *projection* that eliminates the last Boolean;
 \rightarrow a *non-deterministic* automaton.

Tools using *inverse homomorphisms* of automata:

from $A(\varphi(X_1, X_2), k)$, we get $A(\varphi(X_4, X_3), k)$,

from $A(\varphi(X_1, X_2), k)$, we get $A(\varphi(X_3, X_1 \cup (X_2 \setminus X_4)), k)$,

from $A(\varphi, k)$, we get $A(\varphi[X_1], k)$.

Skip 2 slides

Some tools for constructing automata

Substitutions and inverse images (*cylindrifications*).

1) If we know $A(\varphi(X_1, X_2), k)$, we get $A(\varphi(X_4, X_3), k)$ because :

$$L(\varphi(X_4, X_3)) = h^{-1}(L(\varphi(X_1, X_2))) \quad \text{where}$$

h maps $(a, (w_1, w_2, w_3, w_4))$ to $(a, (w_4, w_3))$. We take

$$A(\varphi(X_4, X_3), k) = h^{-1}(A(\varphi(X_1, X_2), k))$$

This construction preserves determinism and the number of states.

2) From $A(\varphi(X_1, X_2), k)$, we get $A(\varphi(X_3, \overbrace{X_1 \cup (X_2 \setminus X_4)}^{\text{Set term}}), k)$ by h^{-1}
with h mapping $(a, (w_1, w_2, w_3, w_4))$ to $(a, (w_3, w_1 \vee (w_2 \wedge \neg w_4)))$

Relativization to subsets by inverse images.

If φ is a closed formula expressing a graph property P , its relativization $\varphi[X_1]$ to X_1 expresses that the subgraph induced on X_1 satisfies P . To construct it, we replace recursively

$$\exists y. \theta \text{ by } \exists y. y \in X_1 \wedge \theta, \text{ etc...}$$

However, there is an easy transformation of automata :

Let h map $(\mathbf{a}, 0)$ to \emptyset and $(\mathbf{a}, 1)$ to \mathbf{a} .

$$L(\varphi[X_1]) = h^{-1}(L(\varphi))$$

Hence:

$$A(\varphi[X_1], \mathbf{k}) := h^{-1}(A(\varphi, \mathbf{k}))$$

The inductive construction (continued) :

For atomic formulas and basic graph properties $\varphi(X_1, \dots, X_n)$, we build *complete deterministic* automata over $F^{(n)}$ for recognizing the set of terms : $t^* (V_1, \dots, V_n)$ in $L(\varphi(X_1, \dots, X_n))$.

Intuition : in all cases, the *state* reached at node u represents a *finite information* $q(u)$ about the graph $G(t / u)$ and the restriction of V_1, \dots, V_n to the vertices below u (vertices = leaves)

1) if $u = f(v, w)$, we want that $q(u)$ is defined from $q(v)$ and $q(w)$ by a fixed function : \rightarrow the *transition function* ;

2) whether $(G(t), V_1, \dots, V_n)$ satisfies $\varphi(X_1, \dots, X_n)$ must be checkable from $q(\text{root})$: \rightarrow the *accepting states*.

Atomic and basic formulas :

$X_1 \subseteq X_2$, $X_1 = \emptyset$, $\text{Single}(X_1)$,

$\text{Card}_{p,q}(X_1)$: cardinality of X_1 is $= p \bmod q$,

$\text{Card}_{< q}(X_1)$: cardinality of X_1 is $< q$.

→ Easy constructions of automata with few states :
respectively 2, 2, 3, q , $q+1$ states.

Example : for $X_1 \subseteq X_2$, the term must have no constant (**a**, 10).

Atomic formula : $\text{edg}(X_1, X_2)$ for directed edges

$\text{edg}(X_1, X_2)$ means : $X_1 = \{x\} \wedge X_2 = \{y\} \wedge \text{edg}(x, y)$

Vertex labels belong to a set C of k labels.

k^2+k+3 *states* : $0, \text{Ok}, a(1), a(2), ab, \text{Error}$, for $a, b \in C, a \neq b$

Meaning of states (at node u of t ; its subterm t/u defines $G(t/u) \subseteq G(t)$).

0 : $X_1 = \emptyset, X_2 = \emptyset$

Ok *Accepting state* : $X_1 = \{v\}, X_2 = \{w\}, \text{edg}(v, w)$ in $G(t/u)$

$a(1)$: $X_1 = \{v\}, X_2 = \emptyset, v$ has label a in $G(t/u)$

$a(2)$: $X_1 = \emptyset, X_2 = \{w\}, w$ has label a in $G(t/u)$

ab : $X_1 = \{v\}, X_2 = \{w\}, v$ has label a, w has label b (hence $v \neq w$)
and $\neg \text{edg}(v, w)$ in $G(t/u)$

Error : all other cases

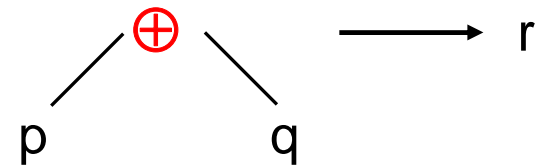
Transition rules

For the constants based on **a** :

(a,00) \rightarrow 0 ; **(a,10)** \rightarrow a(1) ; **(a,01)** \rightarrow a(2) ; **(a,11)** \rightarrow Error

For the binary operation \oplus :

(p,q,r are states)



If p = 0 then r := q

If q = 0 then r := p

If p = **a**(1), q = **b**(2) and **a** \neq **b** then r := **ab**

If p = **b**(2), q = **a**(1) and **a** \neq **b** then r := **ab**

Otherwise r := Error

For unary operations $\overrightarrow{Add}_{a,b}$

$$\begin{array}{ccc} \overrightarrow{Add}_{a,b} & \longrightarrow & r \\ | & & \\ p & & \end{array}$$

If $p = ab$ then $r := \text{Ok}$ else $r := p$

For unary operations $Relab_a \longrightarrow b$

If $p = a(i)$ where $i = 1$ or 2 then $r := b(i)$

If $p = ac$ where $c \neq a$ and $c \neq b$ then $r := bc$

If $p = ca$ where $c \neq a$ and $c \neq b$ then $r := cb$

If $p = \text{Error}$ or 0 or Ok or $c(i)$ or cd or dc where $c \neq a$
then $r := p$

We get **also** the Recognizability Theorem:

$A(\varphi, k)$ is a subautomaton of $A(\varphi, k+1)$. The deterministic automata $A(\varphi, k)$ can be merged into a single **infinite** deterministic automaton $A(\varphi)$ over F (the countable signature of all graph operations).

The state $q(t)$ reached by $A(\varphi)$ on any $t \in T(F)$ belongs to a finite set built from $\pi(G(t))$, the type of the graph $G(t)$ defined by t .

Example of such a set : $\{Ok, Error\} \cup P(\pi(G(t)) \times \pi(G(t)))$.

If t and t' define isomorphic graphs, then $q(t) = q(t')$.

The (global) congruence proving recognizability can be taken:

$$G \approx G' \iff q(t) = q(t') \text{ where } G = G(t) \text{ and } G' = G(t')$$

Practical difficulties and remedies.

Parsing : 1. Checking if a graph has clique-width $\leq k$ is NP-complete (with k in the input ; Fellows *et al.*)

2. The *cubic approximate* parsing algorithm (by Oum *et al.*) based on *rank-width* is difficult to implement.

3. Szeider and Heule reduce to SAT the computation of clique-width and get exact values (and the corresponding terms) for graphs with at most 30 vertices and clique-width at most 12.

4. For certain classes of graphs of bounded *clique-width* defined by forbidden induced subgraphs, optimal clique-width terms can be constructed in polynomial time, by using, in many cases, *modular decomposition*.

5. Heuristics remain to be found.

Sizes of automata :

1. The number of states of $A(\varphi, k)$ is bounded by an h -iterated exponential where h is the number of quantifier alternations of φ .
2. There is no alternative construction giving a fixed bound on nestings of exponentiations (Meyer & Stockmeyer, Frick & Grohe).
3. The construction by induction on the structure of φ may need intermediate automata of huge size, even if the *unique minimal deterministic* automaton equivalent to $A(\varphi, k)$ has a manageable number of states.

An issue : *Fly-automata* (to be presented by Irène Durand)

States and transitions are not listed in huge tables :
they are *specified* (in uniform ways for all k) by “small” programs. These automata can be nondeterministic.

Example of a state for connectedness :

$$q = \{ \{a\}, \{a,b\}, \{b,c,d\}, \{b,d,f\} \},$$

a,b,c,d,f are vertex labels; q is the set of *types* of the connected components of the current graph. ($\text{type}(H)$ = set of labels of its vertices)

Some transitions :

$$\text{Add}_{a,c} : \quad q \longrightarrow \{ \{a,b,c,d\}, \{b,d,f\} \},$$

$$\text{Relab}_{a \rightarrow b} : q \longrightarrow \{ \{b\}, \{b,c,d\}, \{b,d,f\} \}$$

Transitions for \oplus : union of sets of types.

Using fly-automata works for formulas without quantifier alternation but that can use “new” atomic formulas for “basic” properties

Examples : **p**-acyclic colorability

$$\exists X_1, \dots, X_p \text{ (Partition}(X_1, \dots, X_p) \wedge \text{NoEdge}(X_1) \wedge \dots \wedge \text{NoEdge}(X_p) \wedge \dots \\ \dots \wedge \text{NoCycle}(X_i \cup X_j) \wedge \dots)$$

(all $i < j$; set terms $X_i \cup X_j$ avoid some quantifications).

Minor inclusion : **H** simple, loop-free. $\text{Vertices}(\mathbf{H}) = \{v_1, \dots, v_p\}$

$$\exists X_1, \dots, X_p \text{ (Disjoint}(X_1, \dots, X_p) \wedge \text{Conn}(X_1) \wedge \dots \wedge \text{Conn}(X_p) \wedge \dots \\ \dots \wedge \text{Link}(X_i, X_j) \wedge \dots)$$

Existence of “holes” : odd induced cycles (to check *perfectness* ; one checks “anti-holes” on the edge-complement of the given graph).

Skip : Only for questions.

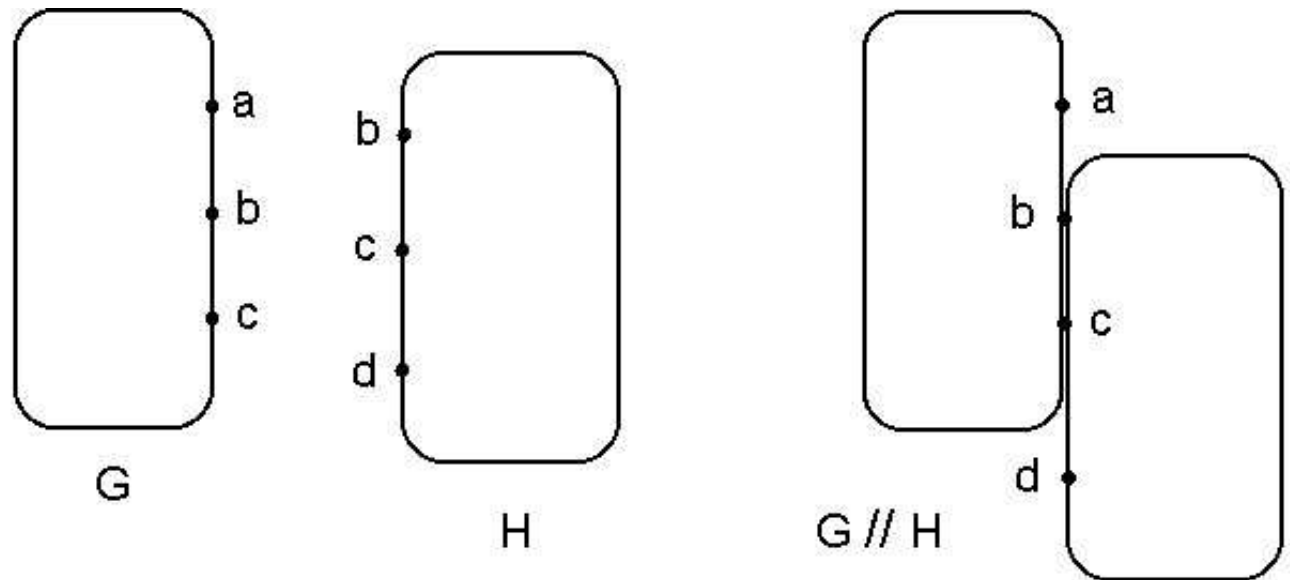
Appendix : Graph operations that characterize **tree-width**

Graphs have distinguished vertices called **sources**, (or **terminals** or **boundary vertices**) pointed to by **source labels** from a finite set : $\{a, b, c, \dots, d\}$.

Binary operation(s) : *Parallel composition*

$G // H$ is the disjoint union of G and H and sources with same label are **fused**.

(If G and H are not disjoint, we use a copy of H disjoint from G).



Unary operations :

Forget a source label

Forget_a(G) is G without *a*-source: the source is no longer distinguished (it is made "internal").

Source renaming :

Rena_a ↔ b(G) exchanges source labels *a* and *b*

(replaces *a* by *b* if *b* is not the label of any source)

Nullary operations denote *basic graphs* : 1-edge graphs, isolated vertices.

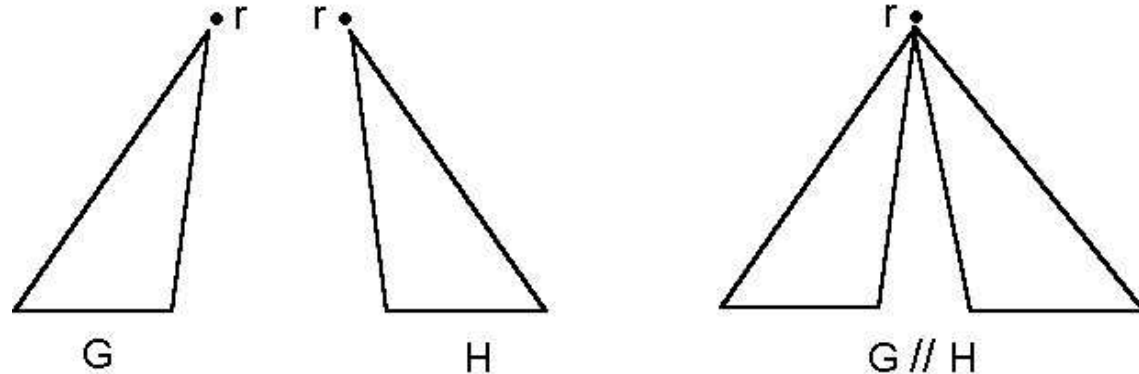
Terms over these operations *define* (or *denote*) graphs (with or without sources). They can have parallel edges.

Example : Trees

Constructed with two source labels, r (root) and n (new root).

Fusion of two trees

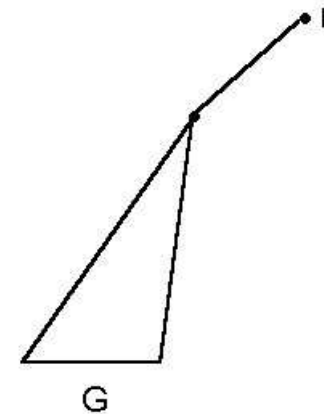
at their roots :



Extension of a tree by parallel composition with a new edge, forgetting the old root, making the "new root" as current root :

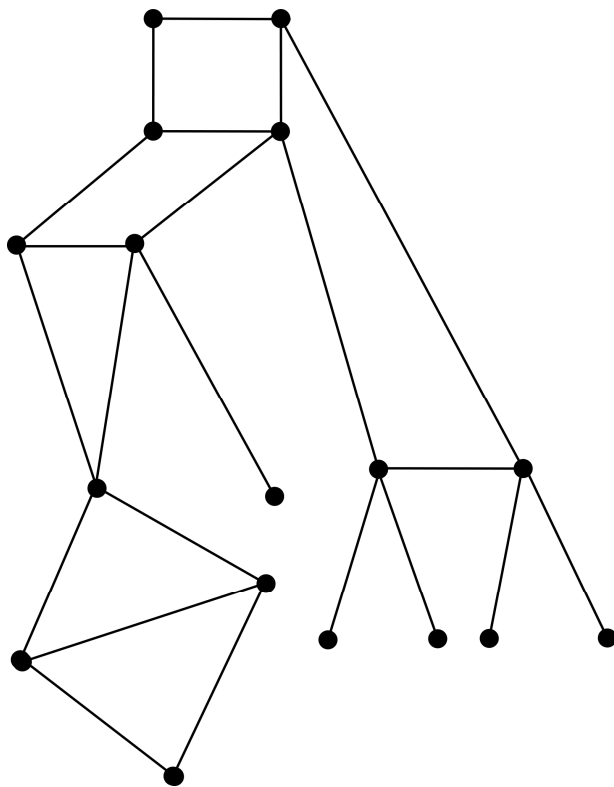
$$e = r \bullet \text{---} \bullet n$$

$$\text{Ren}_n \longleftrightarrow r (\text{Forget}_r (G // e))$$

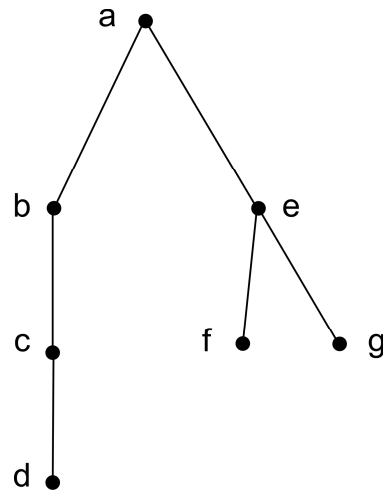


Trees are defined by : $T = T // T \cup \text{extension}(T) \cup r$

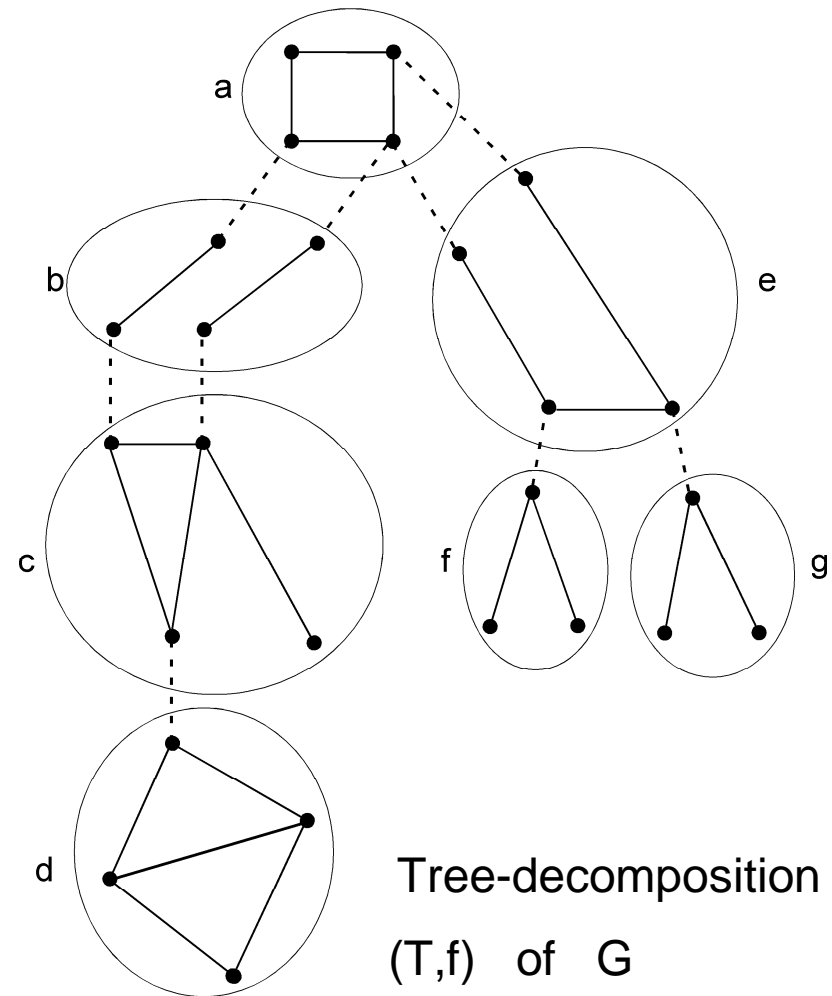
Relation to tree-decompositions and tree-width



Graph G



Tree T



Tree-decomposition
(T,f) of G

Dotted lines - - - link *copies* of a same vertex.

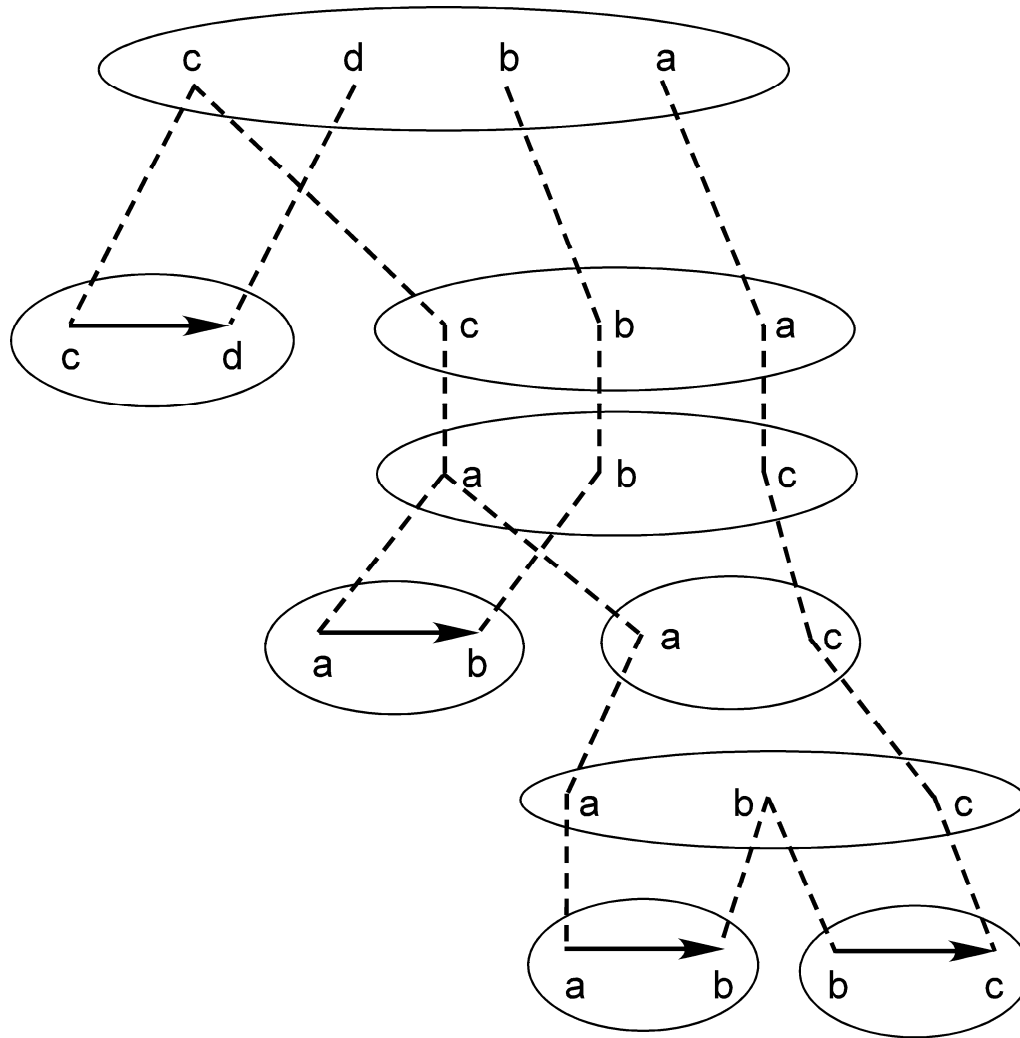
Width = max. size of a box -1. **Tree-width** = min. width of a tree-dec.

Proposition: A graph has **tree-width** $\leq k$ \Leftrightarrow it can be constructed from edges by using the operations **//**, **Ren** $a \leftrightarrow b$ and **Forget** a with $\leq k+1$ labels a, b, \dots

Proposition : Bounded tree-width implies bounded clique-width
 $(\text{cwd}(G) \leq 2^{2\text{tw}(G)+1} \text{ for } G \text{ directed}), \text{ but not conversely.}$

From an algebraic expression to a tree-decomposition

Example : $cd // \text{Ren}_{a \leftrightarrow c} (ab // \text{Forget}_b(ab // bc))$ (ab denotes an edge from a to b)



The tree-decomposition associated with this term.