



# Logical Descriptions of Graph Hierarchies and of many other things

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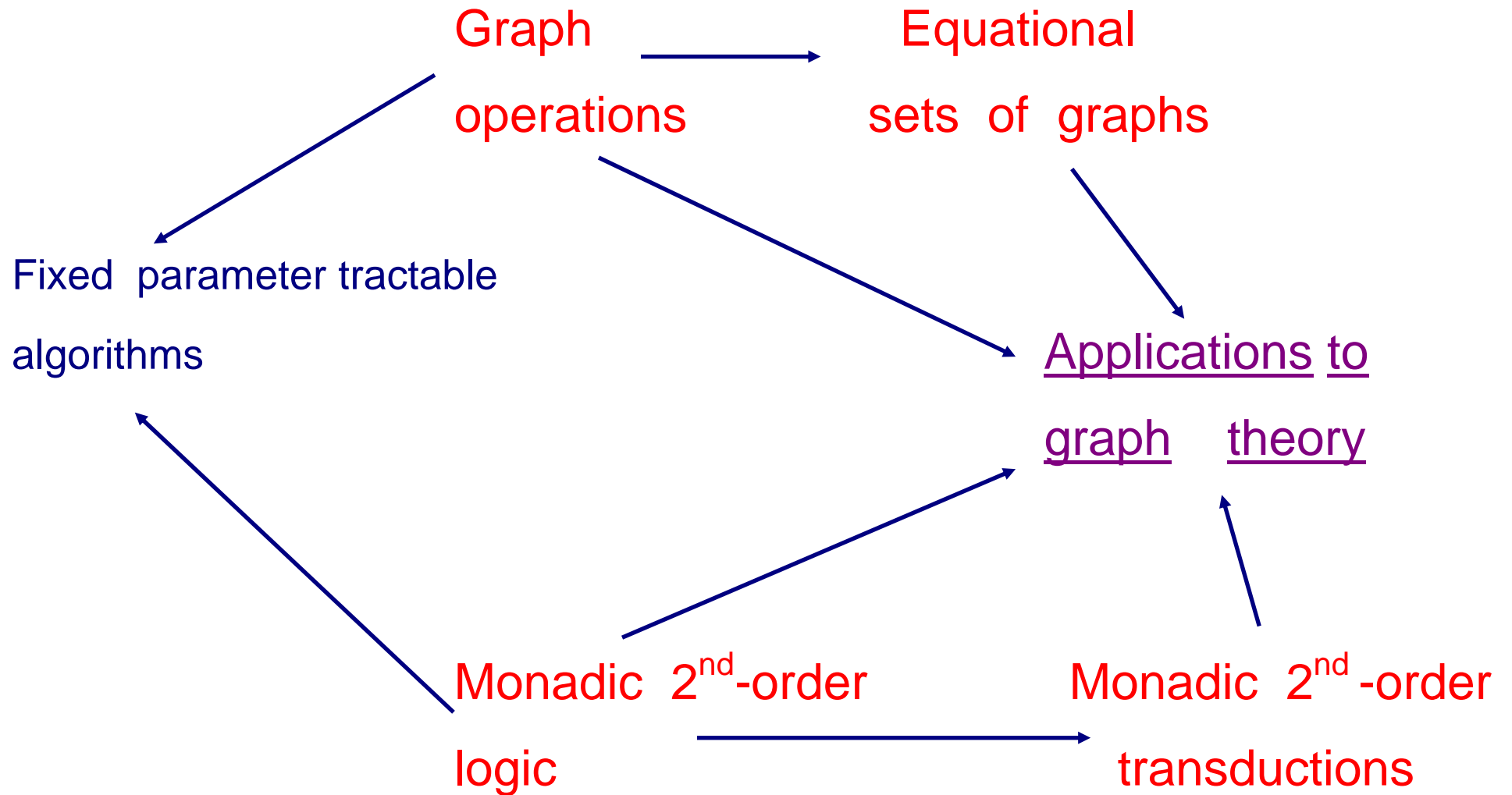
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*Reference* : Graph structure and monadic second-order logic,  
Book to be published by Cambridge University Press, readable on :

<http://www.labri.fr/perso/courcell/ActSci.html>

# A chart of main notions



Logic = Monadic Second-Order Logic (MS)

→ Formal expression of graph properties

→ And of graph transformations (MS transductions)

- 1) Descriptions and algorithmic constructions of sets of minimal excluded minors and minimal induced subgraphs
- 2) Logical characterizations of the graph hierarchies based on tree-width or clique-width (or rank-width)
  - short proofs of tree-width or clique-width (un)boundedness
- 3) A linear hierarchy of graph classes based on MS transductions

# Monadic Second-Order Logic (quick review)

- = First-order logic on power-set structures
- = First-order logic extended with (quantified) variables denoting subsets of the domains.

MS (expressible) properties : transitive closure, properties of paths, connectivity, planarity (via Kuratowski, uses connectivity), *k*-colorability.

*Examples of formulas for*  $G = (V_G, \text{edg}_G(.,.))$ , undirected

*3-colorability :*

$\exists X, Y$  ("X, Y are disjoint"  $\wedge \forall u, v \{ \text{edg}(u, v) \Rightarrow [(u \in X \Rightarrow v \notin X) \wedge (u \in Y \Rightarrow v \notin Y) \wedge (u \in V - (X \cup Y) \Rightarrow v \notin V - (X \cup Y))] \}$  )

*Non connectivity :*

$$\exists X ( \exists x \in X \wedge \exists y \notin X \wedge \forall u,v (u \in X \wedge \text{edg}(u,v) \Rightarrow v \in X) )$$

*Transitive and reflexive closure :*  $\text{TC}(R ; x, y) :$

$$\forall X \{ \text{"X is R-closed"} \wedge x \in X \Rightarrow y \in X \}$$

where "X is R-closed" is defined by :  $\forall u,v (u \in X \wedge R(u,v) \Rightarrow v \in X)$

R can be defined by a formula  $\varphi_R$  as in :

$$\forall x,y (x \in Y \wedge y \in Y \Rightarrow \text{TC}(\text{"u} \in Y \wedge v \in Y \wedge \text{edg}(u,v)"; x, y)$$

expressing that  $G[Y]$  is connected ( Y is free in  $\varphi_R$ ).

*Application :* G contains (fixed) H as a minor where  $V_H = \{1, \dots, k\} :$

there exist pairwise disjoint vertex sets  $X_1, \dots, X_k$

in G such that each  $G[X_i]$  is connected and, whenever

if  $i \text{--} j$  in H, there is an edge between  $X_i$  and  $X_j$  in G.

*Consequence :* planarity is MS-expressible (no minor  $K_5$  or  $K_{3,3}$ ).

Edge set quantifications *increase* the expressive power

*Incidence graph of*  $G$  undirected,  $\mathbf{Inc}(G) = (V_G \cup E_G, \mathbf{inc}_G(\dots))$

$\mathbf{inc}_G(v,e) \Leftrightarrow v$  is a vertex of edge  $e$ .

Monadic second-order formulas written with  $\mathbf{inc}$  can use **quantifications on sets of edges** : they define  $\mathbf{MS}_2$ -expressible graph properties.

The existence of a *perfect matching* or of a *Hamiltonian circuit* or of a spanning tree of degree  $\leq 3$  is  $\mathbf{MS}_2$ -expressible but **not** MS-expressible.

*Definition* : A set graphs  $L$  is  $\mathbf{MS}_1$ -definable if  $L = \{ G \text{ finite} / G \models \varphi \}$ .

It is  $\mathbf{MS}_2$ -definable if  $L = \{ G \text{ finite} / \mathbf{Inc}(G) \models \varphi \}$ .

(for a fixed MS *sentence* (formula without free variables)  $\varphi$ ).

## Two descriptions of graph properties

$MS_1$  : MS sentences interpreted on structure  $(V_G, \text{edg}_G(.,.))$  (allows only vertex set quantifications)

FPT verification  
parameter clique-width

$MS_2$  : MS sentences interpreted on incidence graphs :  $(V_G \cup E_G, \text{inc}_G(.,.))$  (allows vertex and **edge** set quantifications)

FPT verification  
parameter **tree-width**

MS logic is interesting with conditions like **bounded tree-width** or **bounded clique-width** (3-colorability is MS but NP-complete).

# Algebraic view of graph decompositions

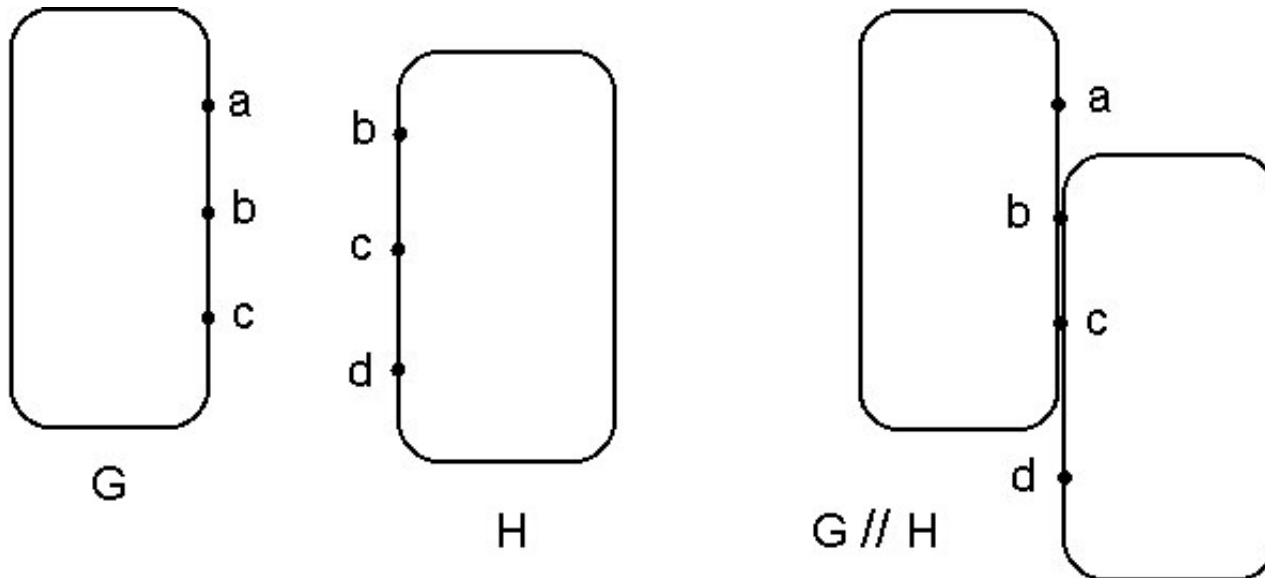
## Graph operations **characterizing** tree-width

Graphs have distinguished vertices called **sources** (or **terminals** or **boundary vertices**) pointed to by **labels** from a finite set :  $\{a, b, c, \dots, h\}$ .

*Binary operation(s) :* **Parallel composition**

$G // H$  is the disjoint union of  $G$  and  $H$  ; sources with same label are **fused**.

(If  $G$  and  $H$  are not disjoint, one first makes a copy of  $H$  disjoint from  $G$ ).





*Unary operations* :

*Forget a source label*

$Forget_a(G)$  is  $G$  without  $a$ -source : the source is no longer distinguished ;  
(it is made "*internal*").

*Source renaming* :

$Ren_{a \leftrightarrow b}(G)$  exchanges source labels  $a$  and  $b$   
(replaces  $a$  by  $b$  if  $b$  is not the label of a source)

*Constant symbols* denote *basic graphs* : the connected graphs with at most  
one edge.

An *algebra* of graphs the "tree-width" algebra

**Proposition** : A graph has tree-width  $\leq k \Leftrightarrow$  it is defined by a term  
that uses  $\leq k+1$  source labels.

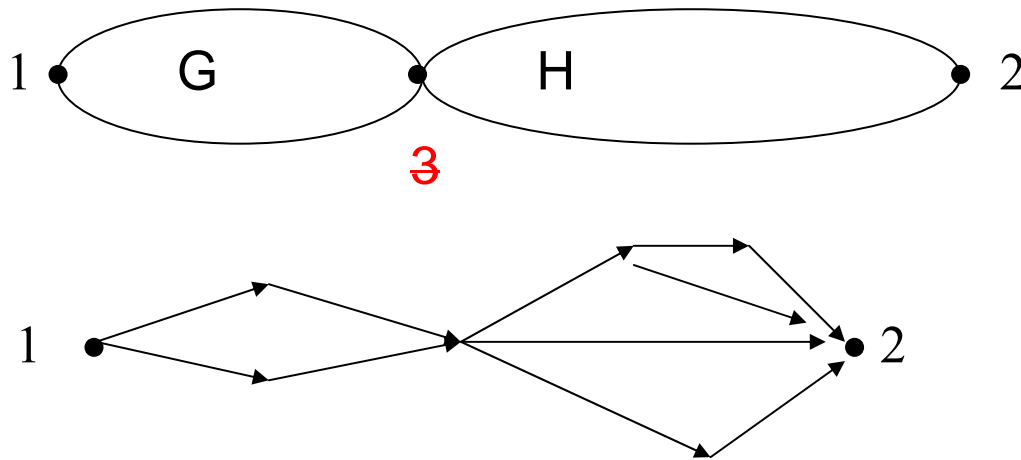
## Example : Directed series-parallel graphs

They are generated by the constant  $e = 1 \longrightarrow 2$ ,

// (parallel-composition) and series-composition defined from other operations by :

$$G \bullet H = \text{Forget}_3(\text{Ren}_{2 \leftrightarrow 3}(G) // \text{Ren}_{1 \leftrightarrow 3}(H))$$

Example :



The defining equation (S is the set of series-parallel graphs) :

$$S = S // S \cup S \bullet S \cup e$$

# Graph operations **defining** clique-width

Graphs are simple, directed or not.

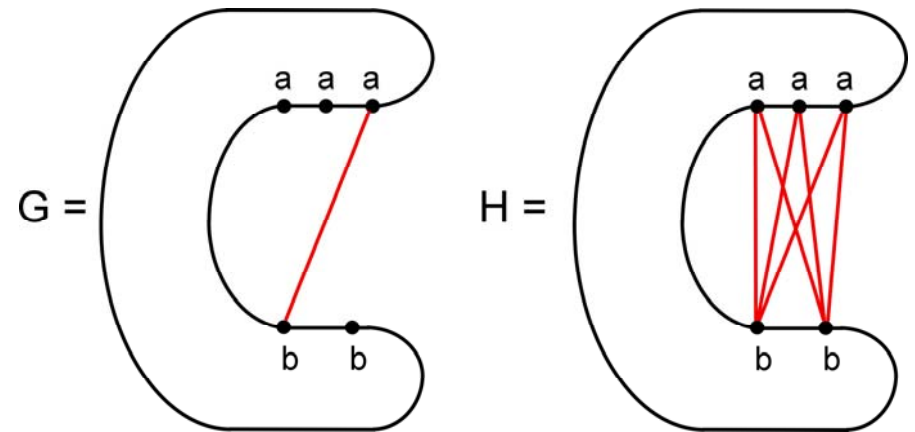
$k$  labels :  $a, b, c, \dots, h$ . Each vertex has one and only one label ;

a label  $p$  may label several vertices, called the  **$p$ -ports**.

*One binary operation: disjoint union :  $\oplus$*

*Unary operations: Edge addition denoted by  $Add-edg_{a,b}$*

**$Add-edg_{a,b}(G)$**  is  $G$  augmented with directed or undirected edges from every  **$a$ -port** to every  **$b$ -port**. The number of added edges depends on the argument graph.



$H = Add-edg_{a,b}(G)$  ; only 5 new edges added

Vertex relabellings :

$Relab_{a \rightarrow b}(G)$  is  $G$  with every  $a$ -port made into a  $b$ -port

Basic graphs are those with a single vertex.

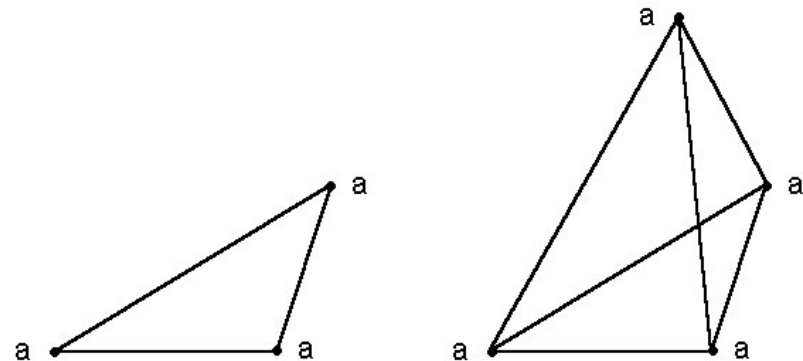
Another algebra of graphs : the “clique-width” algebra.

Definition : A graph has clique-width  $\leq k \Leftrightarrow$  it is defined by a term that uses  $\leq k$  labels.

Example : Cliques have clique-width 2.

$K_n$  is defined by  $t_n$  where  $t_{n+1} =$

$Relab_{b \rightarrow a}(Add-edge_{a,b}(t_n \oplus \mathbf{b}))$



The problem of checking if  $G$  has clique-width  $\leq k$  is

**NP-complete** (Fellows *et al.*) (input is  $(G,k)$  )

The equivalent notion of *rank-width* has good combinatorial and algorithmic properties; it has also an algebraic characterization with more complicated operations (compositions of clique-width operations).

Defined first for undirected graphs (Oum and Seymour), but extended to directed ones (Kanté).

From both algebras, we get :

1) **linear notations** for finite graphs,

2) **finite descriptions** of (certain) infinite sets of finite graphs and **compact descriptions** of (certain) finite sets of finite graphs, by means of **Equation Systems** (defining the **equational sets** of the corresponding algebras).

## Examples of equational sets of graphs

In the “tree-width” algebra :

$$\text{Series-parallel} : S = S // S \cup S \bullet S \cup e$$

Biconnected outerplanar :  $(u = \text{undirected edge})$

$$B = \text{fg}_1(\text{fg}_2(u // Q)), \quad Q = u // Q \cup Q \bullet Q \cup u$$

In the “clique-width” algebra :

$$\text{Cographs} : C = C \oplus C \cup C \otimes C \cup \mathbf{1}$$

$$G \otimes H = \text{Relab}_{2 \rightarrow 1}(\text{Add-edg}_{1,2}(G \oplus \text{Relab}_{1 \rightarrow 2}(H))) \quad (\text{complete join})$$

$$\text{Threshold graphs} : T = T \oplus \mathbf{1} \cup T \otimes \mathbf{1} \cup \mathbf{1}$$

## Equational sets in algebras in general

We consider systems of equations where  $S, T$  define sets of graphs or ...

$$S = f(k(S), T) \cup \{b\}$$

$$T = f(T, f(g(T), m(T))) \cup \{a\}$$

and :

$f$  is a binary operation,

$g, k, m$  are unary operations,

$a, b$  denote basic objects (e.g. graphs up to isomorphism).

An *equational set* is a component of the least solution of such an equation system.

This is *well-defined in any algebra* : Least Fixed Point Theorem.



For graphs, some facts **do not hold as we could wish**:

1) The set of all (finite) graphs is not equational (both algebras).

2) Neither are the sets of planar graphs and of square grids.

3) Parsing is sometimes NP-complete

(checking clique-width, cyclic band-width at most 2)

*Theorem* : (1) For each  $k$ , the set  $TWD(\leq k)$  of graphs of tree-width  $\leq k$  is equational in the “tree-width”-algebra, and every “tree-width”-equational set has bounded (“boundable”) tree-width.

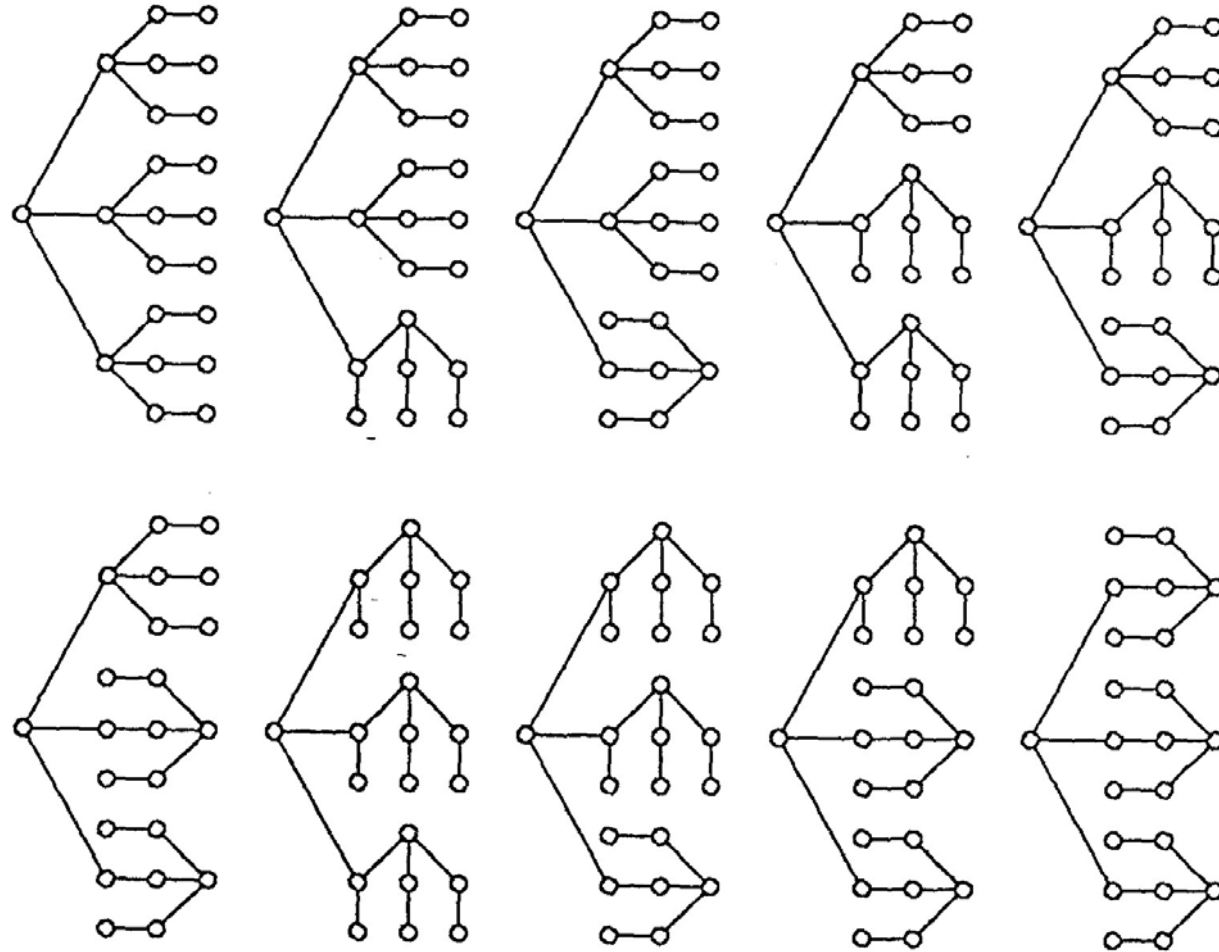
(2) Analogous facts for clique-width.

**Filtering Theorems** : (1) If  $L$  is “tree-width”-equational, and  $K$  is  $MS_2$ -definable, then  $L \cap K$  is (effectively) “tree-width”-equational.

(2) If  $L$  is “clique-width”-equational, and  $K$  is  $MS_1$ -definable, then  $L \cap K$  is (effectively) “clique-width”-equational.

# Examples of compact descriptions of finite sets

Set  $T_2$

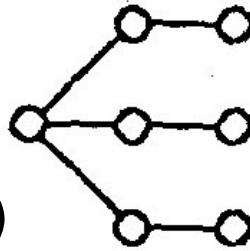


What do they come from ?

$T_2$  = the *trees* that are the minimal excluded minors for the class of graphs of *path-width*  $\leq 2$ .

$T_k$  is the corresponding set for *path-width*  $\leq k$  where (Kajitani et al.) :

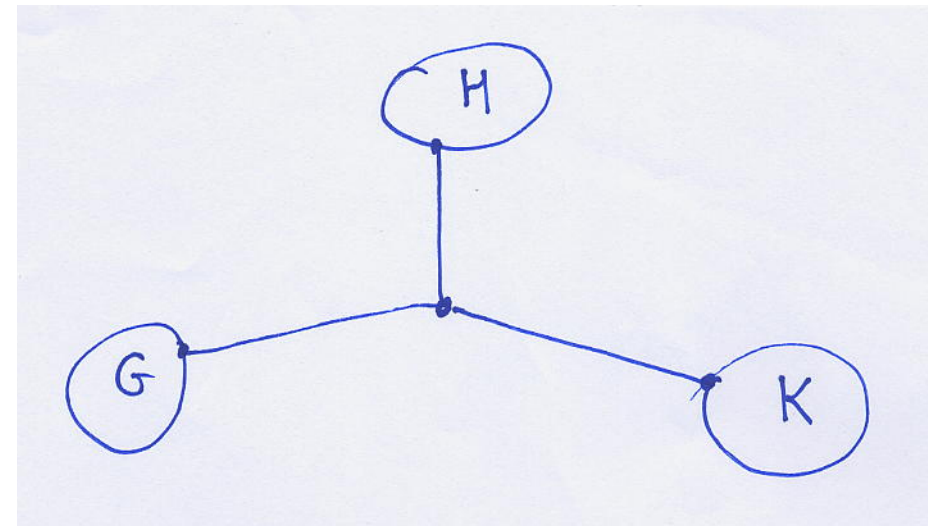
$T_1$  consists of



$T_{k+1} = S(T_{k+1}, T_{k+1}, T_{k+1})$

$S(A,B,C)$  = set of *star-compositions* :

for all  $G \in A, H \in B, K \in C$ .



Each set  $T_k$  has more than  $(k!)^2$  graphs, all with  $(5/2) \cdot (3^k - 1)$  vertices, but has an equation system over the “tree-width”-algebra of size  $O(k)$

The obstruction set of each minor-closed class is finite (Graph Minor Theorem) but in many (most ?) cases, very large and difficult to compute.

Graphs on the **torus** : *thousands* of graphs in the obstruction.

They are **not** random sets.

A list of 10 000 graphs produced by a computer is of **little use**.

**Grammars** should be able to enlighten the regularities.

## Logical and equational description of obstructions

- 1) Let  $\mathcal{C}$  be minor-closed and characterized by an  $MS_2$  sentence  $\varphi$  (**not constructed from the obstructions**), then the obstruction set  $\Omega(\mathcal{C})$  is characterized by the  $MS_2$  sentence  $\psi$  saying that :

$G \models \neg \varphi$  and for every vertex  $u$ ,  $G - u \models \varphi$  and

for every edge  $e$ ,  $G - e \models \varphi$  and

for every edge  $e$ ,  $G / e \models \varphi$  ( $G / e =$  contraction of  $e$ ).

- 2) Although we know that  $\psi$  characterizes **finitely many** graphs, **no** algorithm can list them just from the input  $\psi$ .

3) For each  $k$ , one can construct (using  $\psi$ ) the finite set  $\Omega(\mathcal{C}) \cap \text{TWD}(\leq k)$ .

4) From an upper bound to the tree-width of  $\Omega(\mathcal{C})$ , we can compute this set

5) At 3) one can construct an equation system for  $\Omega(\mathbf{C}) \cap \text{TWD}(\leq k)$ .

But we have no guarantee it will be readable.

**Applications** (remain “theoretical” because computations are intractable) :

(AGK = Adler, Grohe, Kreutzer 2008)

For each  $k, n$  :

Graphs of path-width  $\leq k$  (Kabanets 1997, AGK)

Graphs of tree-width  $\leq k$  (AGK)

Graphs of tree-depth  $\leq k$  (below)

Graphs of  $n$ -depth tree-width  $\leq k$  (below)

Graphs embeddable on a surface (AGK)

Apex graphs over a minor-closed class with known obstruction set (AGK)

Union of 2 minor-closed classes with known obstruction sets. (AGK)

# Induced subgraph obstructions

Hereditary classes  $\mathcal{C}$  (closed under induced subgraphs) may have **infinite** induced subgraph obstruction sets  $\Sigma(\mathcal{C})$  showing some “regularities”

<i>Examples</i>	<i>bounds on the corresponding sets <math>\Sigma</math></i>	
Chordal graphs	tree-width $\leq 2$	“twd”-equational
Perfect graphs	clique-width $\leq 4$	“cwd”-equational
Interval graphs	tree-width $\leq 3$	“twd”-equational
Comparability graphs	clique-width $\leq ? \leq 10$	“cwd”-equational

→ Equation systems are able to capture their regularities.



Theorem : Let  $C$  be a hereditary class of graphs.

- 1) If  $C$  is  $MS_1$ -definable, then  $\Sigma(C) \cap CWD(\leq k)$  is “cwd”-equational.
- 2) If  $C$  is  $MS_2$ -definable, then  $\Sigma(C) \cap TWD(\leq k)$  is “twd”-equational.

As for minor-closure, from an  $MS_1$  or  $MS_2$  sentence that characterizes  $C$ , one can build an  $MS_1$  or  $MS_2$  sentence that characterizes  $\Sigma(C)$ .

One uses then the **Filtering Theorems** : equational sets filtered by MS properties.

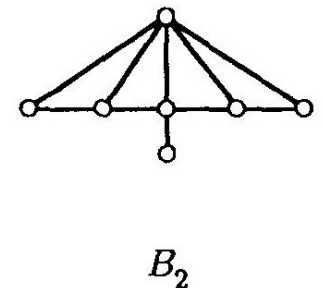
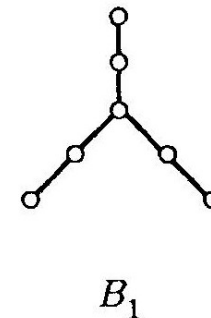
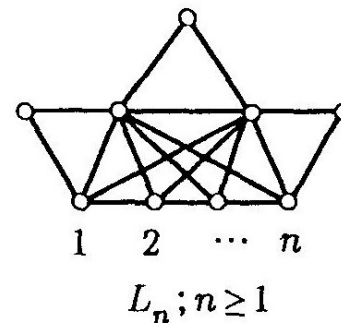
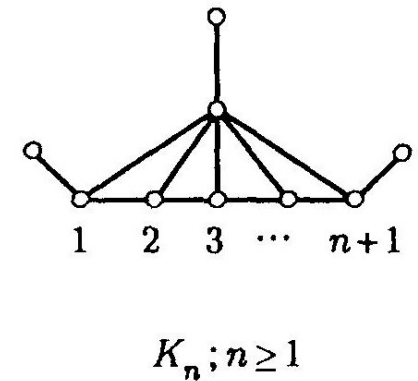
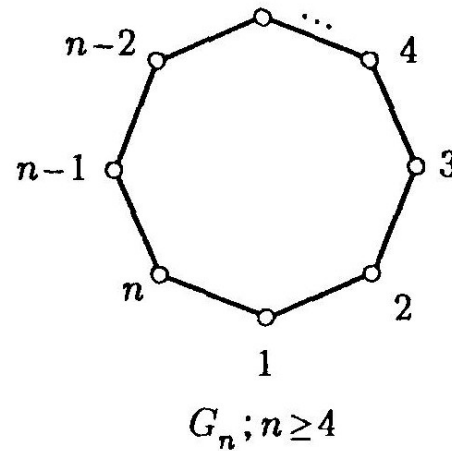
Application to interval graphs (the intersection graph of a set of intervals of integers)

B. & L. characterize them as “chordal with no asteroidal triple”:

this is  $MS_1$  expressible.

From this characterization, the obstructions have tree-width  $\leq 5$

In principle, one could construct a “twd” - equation system defining these graphs, known from B.&L. and of tree-width  $\leq 3$



## Other applications

An algorithm can construct the *finite* set  $\Sigma(C)$  if  $C$  is a hereditary and  $MS_1$  - definable class of *cographs*.

*Finite* because cographs are well-quasi-ordered for induced subgraph inclusion (Damaschke, 1990)

Cographs have clique-width 2, hence  $\Sigma(C)$  has  $cwd \leq 3$ .

*Examples* : 1) Threshold graphs ( $\Sigma = \{ P_4, C_4, K_2 \oplus K_2 \}$ )

2) Cographs with “modular decomposition tree” of height  $\leq k$ .

3) “Semi-threshold” :  $T = T \oplus T \cup T \otimes \mathbf{1} \cup \mathbf{1}$

## Open problems :

- (1) Find in such a way the set  $\Sigma(\textit{Comparability Graphs})$ , an infinite set identified by Gallai that is “cwd”-equational.
- (2) Treat related classes of partially ordered sets.
- (3) Design systematic methods to construct “small” “twd”- or “cwd”-equation systems, (or equation systems of other types) to represent finite and infinite obstruction sets.

**Tools** : Monadic second-order logic + algebraic notions (equational and recognizable sets ) + graph theoretic arguments.

# Monadic second-order transductions

Transformations of graphs (more generally of relational structures) specified by  $MS_1$  (or  $MS_2$ ) formulas.

There are 2 representations for an input graph and 2 for the output, hence 4 types of graph transductions, denoted by :

$MS_{1,1}$  (or  $MS$  to simplify),  $MS_{1,2}$ ,  $MS_{2,1}$  and  $MS_{2,2}$

$MS_{i,o}$  means  $i$  = type of representation of input,  $o$  = type of repres. of output.

I will mainly compare  $MS$ -transductions, for graphs  $G$  handled as  $(V_G, \text{edg}_G)$  and  $MS_{2,2}$ -transductions, for graphs  $G$  represented by their **incidence graphs** =  $(V_G \cup E_G, \text{inc}_G)$

*Main Results (to be made more precise) :*

(1) MS-transductions preserve bounded clique-width and “clique-width”-equational sets

(2)  $MS_{2,2}$ -transductions preserve bounded tree-width and “tree-width”-equational sets

*Meaning :* Robustness of the graph hierarchies based on clique-width and tree-width.

The word “transduction” comes from Formal Language Theory ; My aim is to extend FLT to graphs and other combinatorial objects.

## Definitions

$\Sigma$  = finite set of relation symbols (  $R$  ) with fixed arities (  $\rho(R)$  ).

$\text{STR}(\Sigma)$ : finite  $\Sigma$ -relational structures  $S = \langle D_S, (R_S)_{R \in \Sigma} \rangle$ ,  
 $R_S$  relation on  $D_S$  of arity  $\rho(R)$

An **MS transduction** is a partial function

$\tau : \text{STR}(\Sigma) \times \text{“data”} \rightarrow \text{STR}(\Gamma)$  specified by MS formulas.

Basic case :  $\tau : \text{STR}(\Sigma) \rightarrow \text{STR}(\Gamma)$  ;  $T = \tau(S)$  is defined “inside”  $S$  by MS formulas.

*Examples* : The edge complement ; the transitive closure of a directed graph.

Next case :  $T = \tau (S, \text{“data”})$  ; the “data” is a tuple  $X_1, \dots, X_p$  of subsets of the domain of  $S$  ; these sets are called the **parameters**. Parameters  $X_1, \dots, X_p$  are constrained to satisfy an MS property.

*Examples* :  $(G, \{u\}) \longmapsto$  the connected component containing  $u$ .

$(G, X, Y, Z) \longmapsto$  the *minor* of  $G$  having vertex set  $X$ , resulting from the contraction of the edges of  $Y$  and the deletion of the edges and vertices of  $Z$ . (It is of type **MS<sub>2,2</sub>**).

In the second example, no two vertices of  $X$  should be linked by a path of edges in  $Y$ .

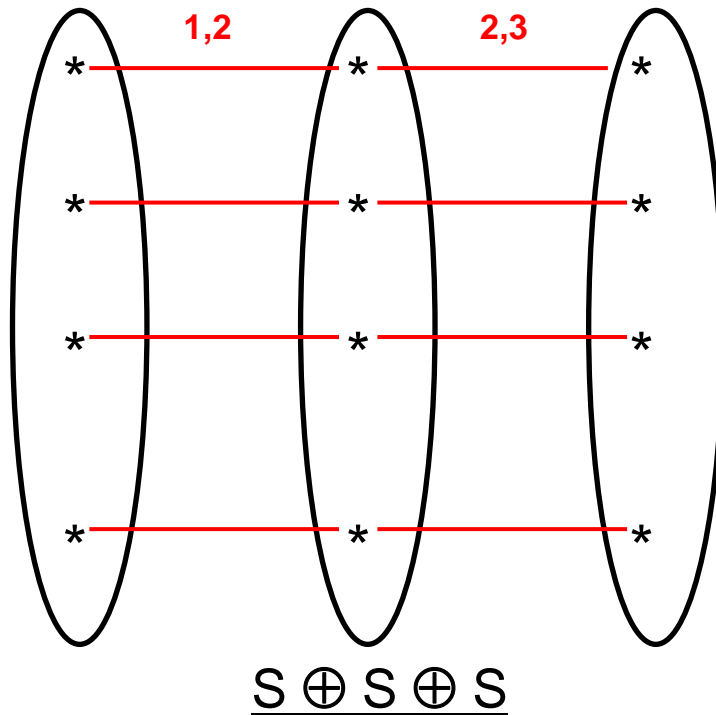
$\tau (S) :=$  the set of all  $T = \tau (S, X_1, \dots, X_p)$

for all “good” tuples of parameters.



General case : T is defined as above inside

$S \oplus S \oplus \dots \oplus S$  : disjoint copies of S with "marked" equalities of copied elements



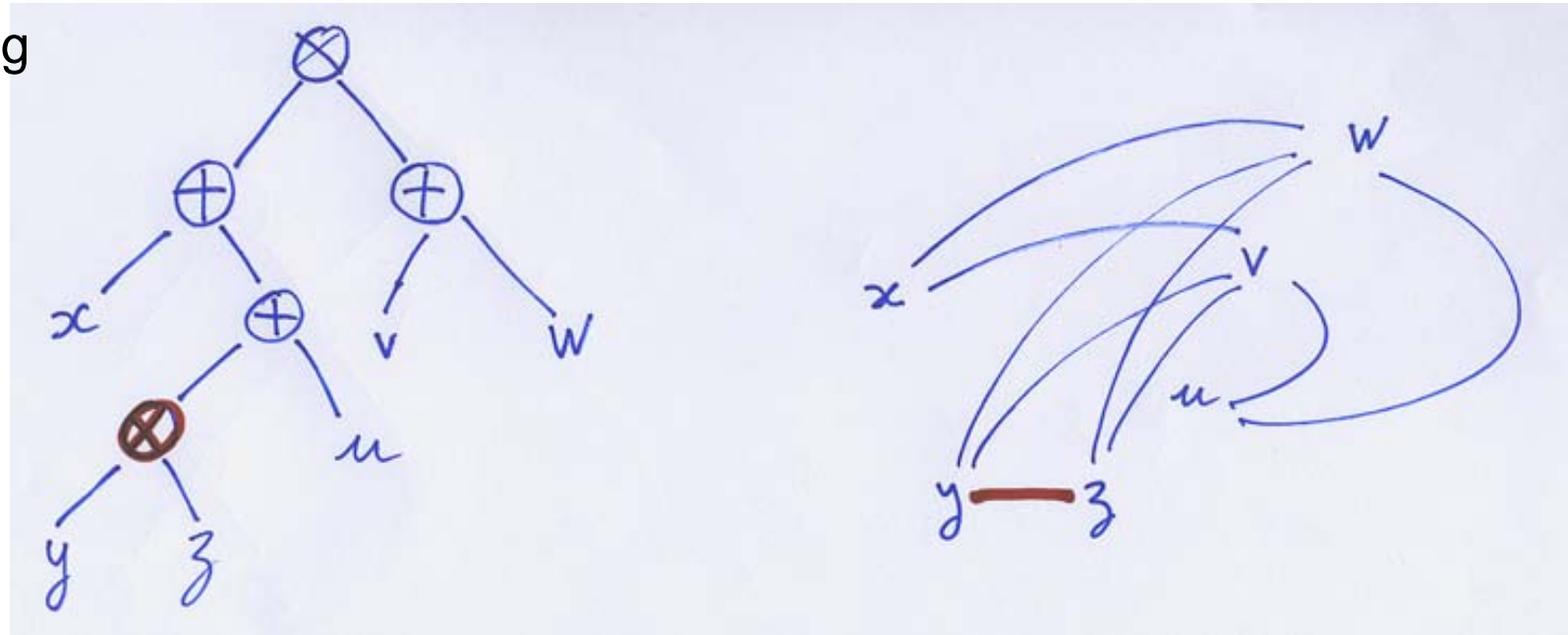
*Composition Theorem* : The composition of two MS transductions is an MS transduction.

### Example 1 : From a term to a cograph

Terms are written with  $\oplus$  (disjoint union),  $\otimes$  (complete join) and constants

$x, y, z, \dots$  denoting

vertices  $x, y, z, \dots$

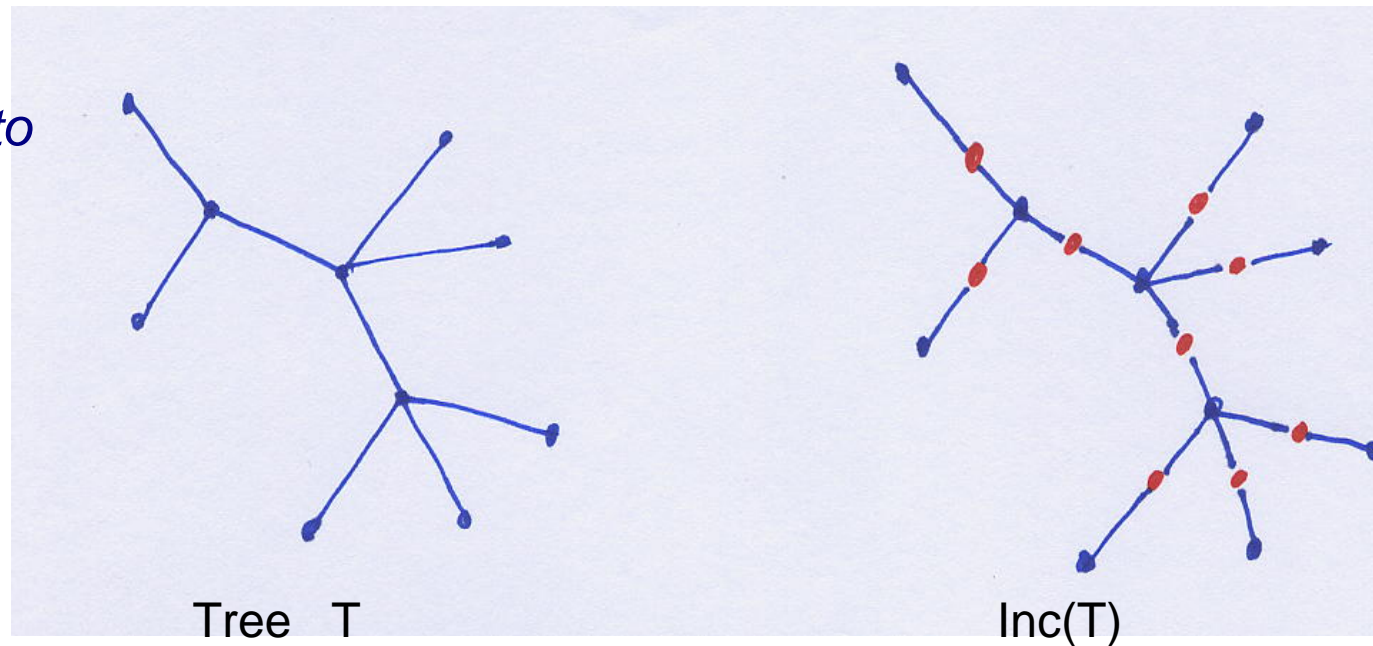


Vertices =  $\{x, y, z, u, v, w\}$  = occurrences of constants in the term.

Two vertices are adjacent if and only if their *least common ancestor* is labelled by  $\otimes$  (like  $y$  and  $z$ , or  $u$  and  $w$ ).

These conditions can be expressed by MS formulas on the labelled tree.

Example 2 : From a tree to its incidence graph (also a tree)



$T = \langle N, \text{edg} \rangle$  ; we use parameter  $\{ \mathbf{r} \}$  to make  $T$  rooted and directed

$\tau(T, \{ \mathbf{r} \} ) = \langle N \cup (N - \{ \mathbf{r} \} ) \times \{ 1 \} , \text{inc}(\dots) \rangle$

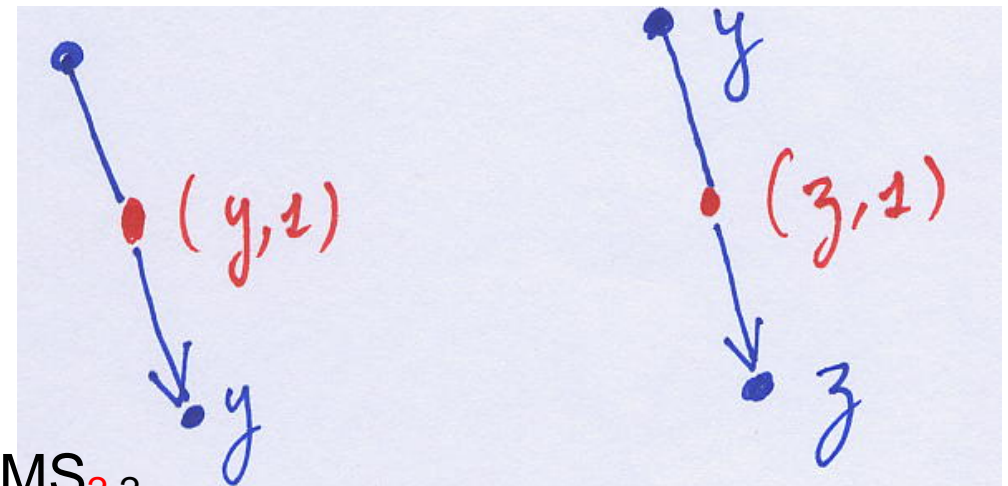
$\text{inc}(x,y)$  is defined by :

$x = (y,1) \vee \exists z [ x = (z,1) \wedge \text{edg}(y,z)$

$\wedge$  "y is on the path from  $\mathbf{r}$  to z" ]

From trees ( or terms ) to graphs :

$MS_{1,1} = MS_{2,1}$  and  $MS_{1,2} = MS_{2,2}$  .



$MS_{1,1}$  - transductions and  $MS_{2,2}$  - transductions are **incomparable**

*Why?* For expressing graph properties,  $MS_2$  logic is more powerful than  $MS_1$  logic (the “ordinary” MS logic).

For building graphs with  $MS_{2,2}$  - transductions, we have **more** possibilities of using the input graph, but we want **more** for the output : to **specify each edge** as a copy of some vertex or some edge of the input graph.

**Transitive closure** is  $MS_{1,1}$  but **not**  $MS_{2,2}$

**Edge subdivision** is  $MS_{2,2}$  but **not**  $MS_{1,1}$

*Proofs* : Easy since, if  $S$  is transformed into  $T$  by an MS-transduction :

$$|D_T| \leq k \cdot |D_S| \quad \text{for fixed } k$$

## Robustness results : Preservation of widths

For every class of graphs  $\mathcal{C}$  :

- 1) If  $\mathcal{C}$  has tree-width  $\leq k$  and  $\tau$  is an  $MS_{2,2}$  – transduction, then  $\tau(\mathcal{C})$  has tree-width  $\leq f_\tau(k)$

*Follows from :*

$\mathcal{C}$  has bounded tree-width  $\Leftrightarrow \mathcal{C} \subseteq \tau(\text{Trees})$  for some  $MS_{2,2}$  – transduction  $\tau$  (the proof is constructive in both directions)

- 2) If  $\mathcal{C}$  has clique-width  $\leq k$  and  $\tau$  is an  $MS_{1,1}$  – transduction, then  $\tau(\mathcal{C})$  has clique-width  $\leq g_\tau(k)$ .

*Follows from :*

$\mathcal{C}$  has bounded clique-width  $\Leftrightarrow \mathcal{C} \subseteq \tau(\text{Trees})$  for some  $MS_{1,1}$  – transduction  $\tau$  (the proof is constructive)

## *Proof sketch for the logical characterization of bounded clique-width*

- 1) A ***k*-clique-width** term is a rooted binary tree with each node labelled by one of the finitely many operations symbols using labels  $1, \dots, k$ .
- 2) For each  $k$ , an MS-transduction can construct the defined graph from this labelled tree. (Extension of the proof given for cographs.)

*Hence* : If a graph class  $\mathcal{C}$  has **clique-width**  $\leq k$  , then  $\mathcal{C} \subseteq \tau_k(\mathit{Trees})$   
for some **MS**-transduction  $\tau_k$ .

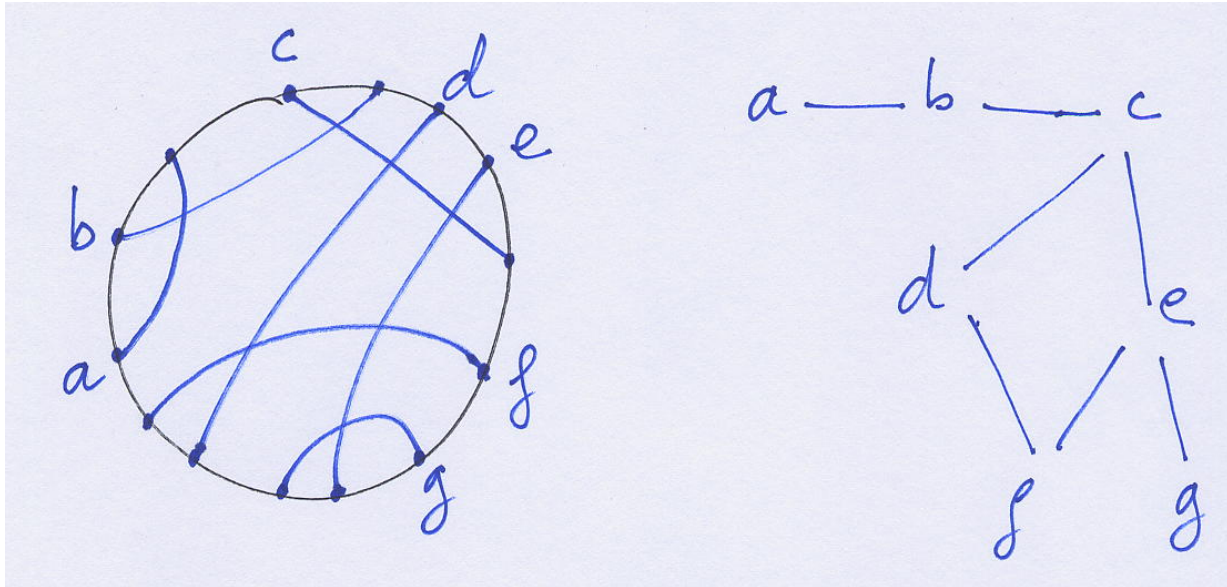
The converse uses technical tools from model theory (Fefermann-Vaught)

*The proofs for tree-width are similar.*

Gives easy proofs (but no good bounds) of facts like :

- 1) If  $C$  has bounded tree-width, its **line graphs** have bounded clique-width.
- 2) If  $C$  (directed graphs) has bounded tree-width or clique-width, the **transitive closures** of its graphs have bounded clique-width.
- 3) If  $C$  (directed graphs) has bounded clique-width, the **transitive reductions** of its graphs have bounded clique-width.  
(Not trivial because clique-width is not monotone for subgraph inclusion).
- 4) The set of **chordal graphs** has *unbounded* clique-width  
(because an MS transduction can define all graphs from chordal graphs, and graphs have *unbounded* clique-width).
- 5)  $k$ -leaf powers and similar “power” graphs of trees have bounded *cwd*

## 6) Circle graphs



Chord diagram  $\Delta$

Circle graph  $G(\Delta)$

Thm: Graphs  $\Delta$  have bounded tree-width  $\Leftrightarrow G(\Delta)$  have bounded clique-width

- 1)  $MS_{1,1}$  transduction from  $G(\Delta)$  to  $\Delta$ ;
- 2) Use “*split decomposition*” (Cunningham);  $MS_{1,1}$  transduction from *prime* circle graphs to their unique chord diagrams.



## Logical characterizations of equational sets

$C$  is “tree-width”-equational  $\Leftrightarrow C = \tau(\text{Trees})$  for some

$MS_{2,2}$ -transduction  $\tau$  (For bounded tree-width we have  $\subseteq$ )

$C$  is “clique-width”-equational  $\Leftrightarrow C = \tau(\text{Trees})$  for some

$MS_{1,1}$ -transduction  $\tau$

*Consequences* : Closure of equational sets under the corresponding transductions.

(Extend robustness results for bounded widths).

## Encoding powers of graph classes via MS transductions

An MS-transduction  $\tau$  defines a graph  $H$  inside a graph  $G$  with help of parameters (sets of vertices or edges of  $G$ ).

Say  $H$  is **encoded** in  $G$  : the encoding is represented by the parameters and  $\tau$  is the **decoding** function.

The **encoding powers** of graph classes  $C$  and  $D$  can be compared as follows :

$$C \leq D \quad \text{if} \quad C \subseteq \tau(D) \quad \text{for some MS transduction } \tau$$

We get a *quasi-order on graph classes*.

We consider **MS<sub>2,2</sub> - transductions** : (formulas use edge set quantifications and must construct incidence graphs as outputs.)

For graph classes  $C$  and  $D$  we let :

$C \leq D$  if  $C \subseteq \tau(D)$  for some MS<sub>2,2</sub> -transduction  $\tau$

$C \equiv D$  if  $C \leq D$  and  $D \leq C$

$C < D$  if  $C \leq D$  and  $C \not\equiv D$

$C <_c D$  if  $C < D$  and there is no  $E$  with  $C < E < D$

*What is the structure of  $<_c$  (the covering relation of  $\leq$ ) ?*

With help of “Graph Minors 1 and 5” :

$$\{\bullet\} < \text{Paths} <_c \text{Trees} <_c \text{Grids}$$

These classes **encode** respectively :

finite sets,

sets of graphs of bounded path-width,

sets of graphs of bounded tree-width,

all sets of graphs .

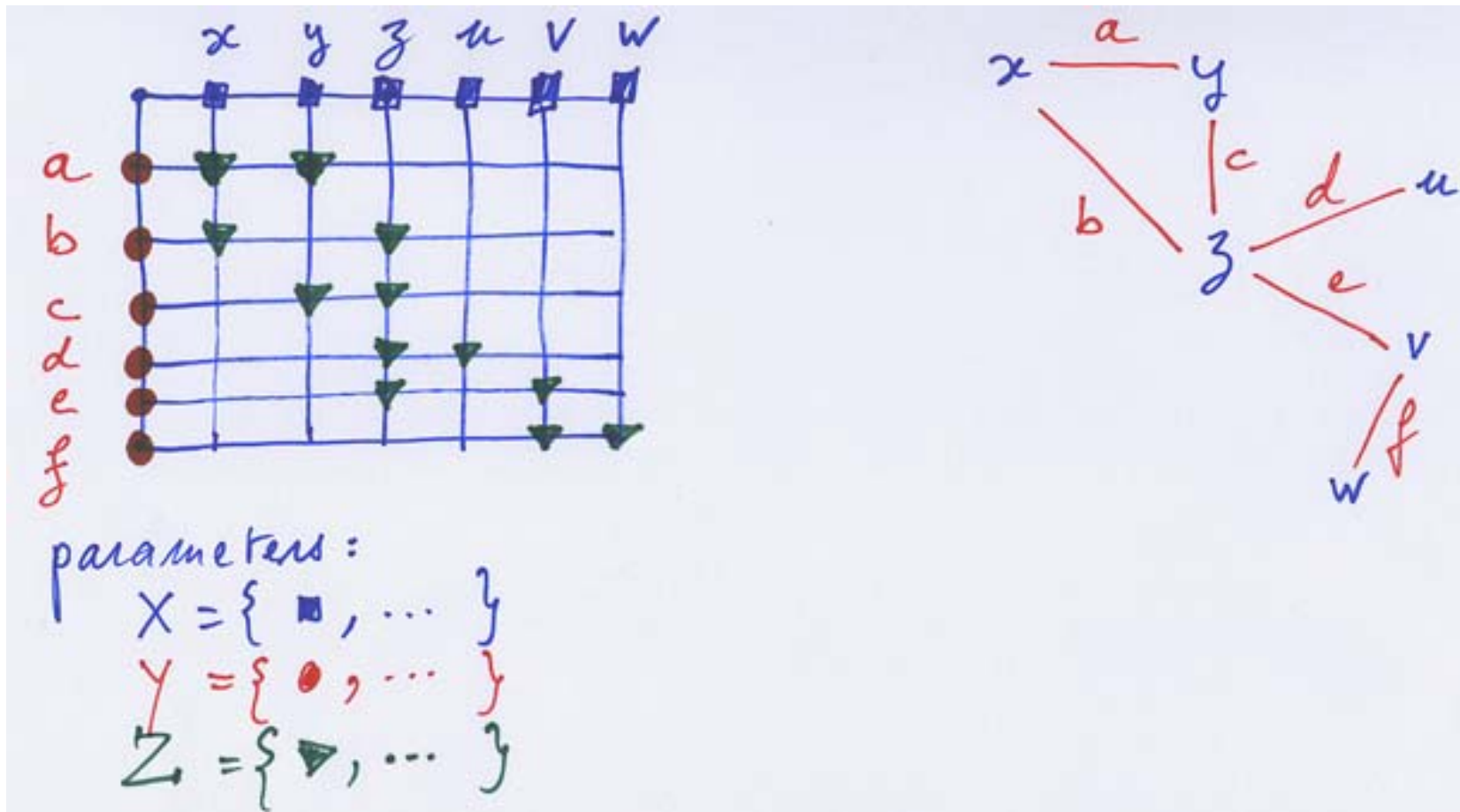
Proof :  $\text{Trees} <_c \text{Grids}$ .

If a graph class  $C$  has bounded tree-width, it is  $\leq \text{Trees}$ .

If  $C$  has unbounded tree-width, it contains all grids as minors,

hence :  $\text{Grids} \leq C$  and  $\text{Grids} \equiv C$ , because  $\text{Graphs} \leq \text{Grids}$

Proof : All graphs  $\leq$  Grids



A monadic second-order transduction using parameters  $X, Y, Z$  can transform all grids into all incidence graphs  $\text{Inc}(G)$ .

*More difficult*: What is below Paths ?

*Answer*

(A. Blumensath and B. C., Logic Colloquium 2008)

$\{\bullet\} <_c T_2 <_c \dots T_n <_c T_{n+1} <_c \dots < \text{Paths} <_c \text{Trees} <_c \text{Square grids}$

where  $T_n$  is the class of rooted trees of height at most  $n$  (and unbounded degree).

*Idea*:  $T_n$  encodes the classes of graphs having tree-decompositions of height at most  $n$  and width at most  $k$  (for all  $k$ ).

**Definition :** *n-depth tree-width of G* =  $\text{twd}_n(G)$  = minimal width of a tree-decomposition of G of height at most n.

Related notion : *tree-depth* (Nesetril , Osona de Mendez).

$\text{td}(G)$  = minimal k such that each conn. comp. of G has a depth-first (normal) spanning tree of height at most k.

**Some properties of these variants of tree-width :**

1)  $\text{pwd}(G) \leq n \cdot (\text{twd}_n(G) + 1)$

2) If G is a minor of H :  $\text{twd}_n(G) \leq \text{twd}_n(H)$  ,  $\text{td}(G) \leq \text{td}(H)$

3)  $\text{td}(G) \leq n$  implies  $\text{twd}_n(G) \leq n$ ,

4)  $\text{twd}_n(G) \leq k$  implies  $\text{td}(G) \leq n \cdot k$

## Excluded Path Theorem

(cf. Excluded Tree and Grid Theorems of GM1 and GM5)

A class of graphs  $C$  excludes some path as a minor  
(equivalently, as a subgraph)

$\Leftrightarrow$  for some  $n$ ,  $C$  has bounded  $n$ -depth tree-width

$\Leftrightarrow C$  has bounded tree depth.

We use  $n$ -depth tree-width rather than tree-depth to characterize the graph classes encoded by trees of **each height**



## Logical properties of $n$ -depth tree-width.

*Proposition* : For each  $n$  and  $k$ , there exists an  $MS_{2,2}$ -transduction that maps every graph of  $n$ -depth tree-width at most  $k$  *to all its strict* tree-decompositions of height at most  $n$  and width at most  $k$

(*strict* = with certain connectivity properties ; every tree-decomposition can be made strict without increasing height and width).

*Remark* : The obstruction sets of graphs for  $n$ -depth tree-width  $\leq k$  are computable from each pair  $n, k$  because we have monadic second-order characterizations of these classes and bounds on the tree-widths of the obstruction sets.

The same holds for the property “tree-depth  $\leq k$ ”.

In the hierarchy :

$$\{\bullet\} <_c T_2 <_c \dots <_c T_n <_c \dots < \text{Paths} <_c \text{Trees} <_c \text{Grids}$$

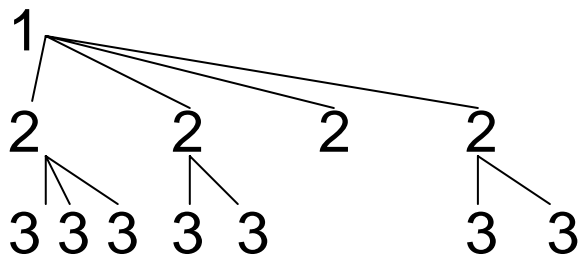
each level  $T_n$  encodes the sets of graphs of bounded  $n$ -depth tree-width.

Proofs to be done :

1)  $T_n \leq \text{Paths}$

Trees of height  $n$  can be encoded as sequences over  $[n]$  and decoded by MS-transductions.

1 2 333 2 33 2 2 33 encodes the tree :



$$2) T_n < T_{n+1}$$

One cannot define by an MS-transduction all trees of height  $n+1$  from all trees of height  $n$ .

The (**technical**) proof uses analysis of MS definable relations on trees and some counting arguments.

Case  $n = 2$ .

Trees of height 2 correspond (via MS transductions) to sets (without relations).

If a **k-copying** MS-transduction with **p parameters** transforms sets into trees, these trees have less than  $k \cdot 2^p$  internal nodes. We cannot get all trees of height 3 from sets by a single MS-transduction.

3) Hence, we cannot have  $T_n \equiv \text{Paths}$

## “Dichotomy arguments” :

1) Let  $C$  be a set of bounded pathwidth (i.e.,  $C \leq \text{Paths}$ ):

Either : it contains all paths as minors, then  $C \equiv \text{Paths}$

Or : (Excluded Path Thm)  $\text{twd}_n(C)$  is bounded and  $C \leq T_n$  for some  $n$

2) Let  $C$  be a set of  $n$ -depth tree-width  $\leq k$  ( $C \leq T_n$ ):

Either : for all  $m$ , there is  $G$  in  $C$  s.t., for each  $n$ -depth tree-dec.  $U$  of width  $k$  of  $G$ , the tree  $U$  contains  $T(n,m)$  ( $T(n,m)$  = the  $m$ -ary complete tree of height  $n$ ) and then  $T_n \leq C$  (because  $n$ -depth tree-decompositions of width  $k$  are definable by MS transductions)

Or : for some  $m$ , every  $G$  in  $C$  has an  $n$ -depth tree-dec.  $U$  of width  $k$ , s.t.  $U$  does not contain  $T(n,m)$ . By contracting some edges of  $U$ , one gets an  $(n-1)$ -depth tree-dec. of  $G$  of width  $m \cdot (k+1)$ , hence  $C \leq T_{n-1}$ .

*Open question*: What about the hierarchy based for  
 $MS_{1,1}$  – transduction ?

Theorem (B.C. & Oum, 2007) :

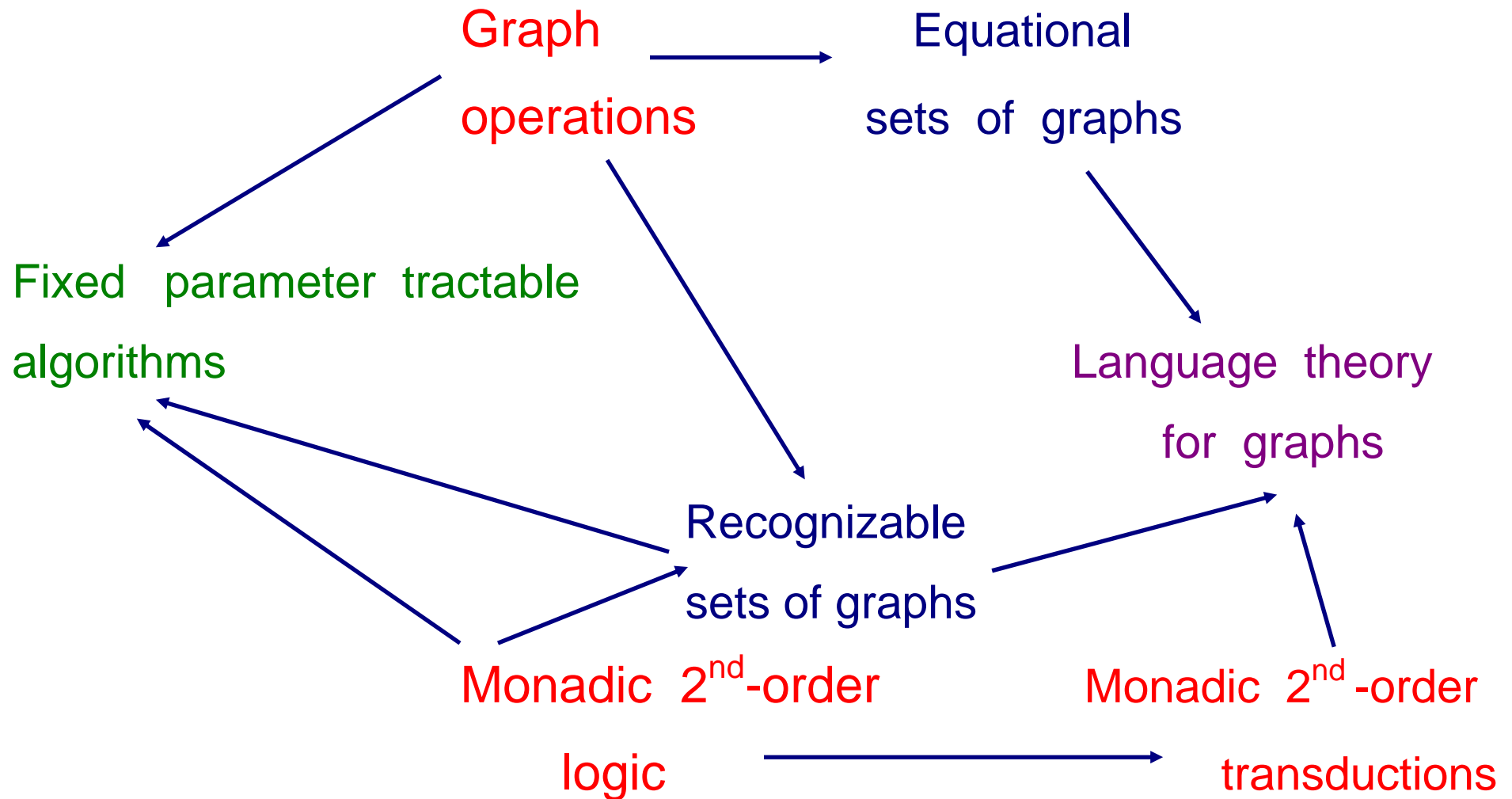
There exists an  $MS_{1,1}$  - transduction (using *even cardinality set predicates*) that transforms every set of undirected graphs of unbounded rank-width into the set of all square grids.

(Uses *vertex-minors* instead of minors)

We need a result corresponding to GM1 about “linear rank-width” and excluding a forest as a *vertex-minor*.

We need also something like “n-depth rank-width” and constructions by MS transductions of appropriate rank-decompositions.

# Conclusion : The overview chart



## Appendix : The fundamental property of MS transductions :

$$S \longrightarrow \tau(S)$$

$$\tau\#(\psi) \longleftarrow \psi$$

Every MS formula  $\psi$  has an effectively computable

*backwards translation*  $\tau\#(\psi)$ , an MS formula, such that :

$$S \models \tau\#(\psi) \text{ if and only if } \tau(S) \models \psi$$

The verification of  $\psi$  in the **object structure**  $\tau(S)$  **reduces** to the **verification of**  $\tau\#(\psi)$  in the given structure  $S$  (because  $S$  contain all the necessary information to describe  $\tau(S)$  ; the MS properties of  $\tau(S)$  are expressible by MS formulas in  $S$  ).