



# Special tree-width and the verification of monadic second-order graph properties with edge quantifications

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References : Graph structure and monadic second-order logic, book to be published by  
*Cambridge University Press*, see : <http://www.labri.fr/perso/courcell/ActSci.html>

On the model-checking of monadic second-order formulas  
with edge set quantifications. *Discrete Applied Maths*, to appear

## Main topics of the lecture

Fixed-parameter tractable model-checking algorithms  
for monadic second-order (MS) sentences on graphs  
with respect to clique-width and tree-width.

Review of the method and introduction of *fly-automata*.

Introduction of *special tree-width*, a variant of tree-width,  
motivated by the case of MS sentences using  
edge quantifications.

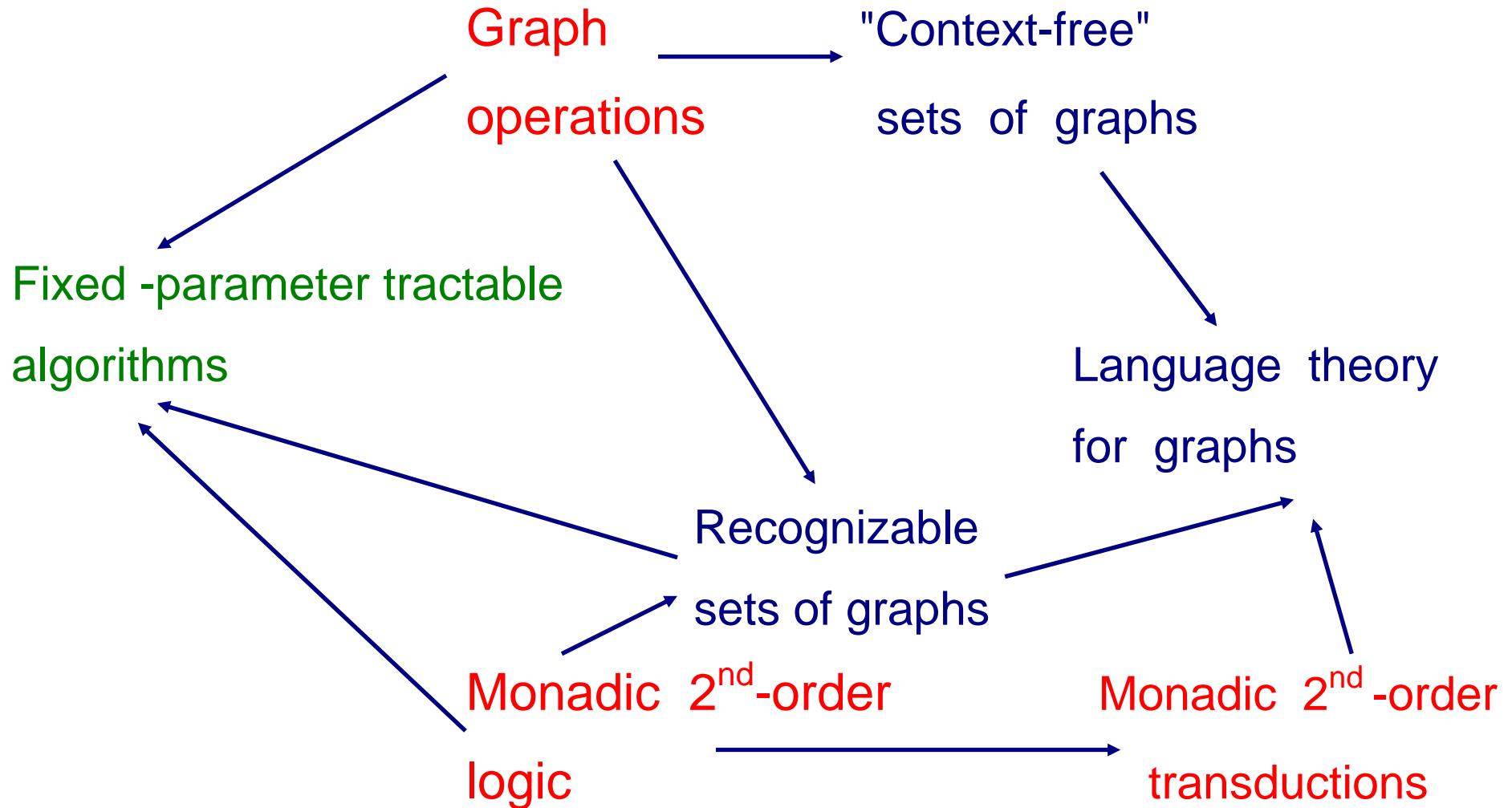
## Two ways of considering graphs

- 1) A graph (finite, up to isomorphism) is an *algebraic object*,  
an element of an algebra of graphs  
(Similar to words, elements of monoids)
- 2) A graph is a *logical structure* ;  
graph properties can be expressed by logical formulas  
(FO = first-order, MS = monadic second-order, SO = second-order)

Consequences:

- a) *Language Theory* concepts extend to graphs
- b) *Algorithmic meta-theorems*

# An overview chart



# Some algorithmic meta-theorems

<i>Language</i>	<i>Graphs</i>	<i>Complexity class</i>
FO	All	P
FO	Bounded expansion	Linear (2010)
$\exists$ SO	All	NP
MS <sub>2</sub> (edge quantif.)	Bounded tree-width	Linear
	Bounded tree-width	LogSpace (2010)
MS	Bounded clique-width	Cubic

Other meta-theorems based on MS logic :

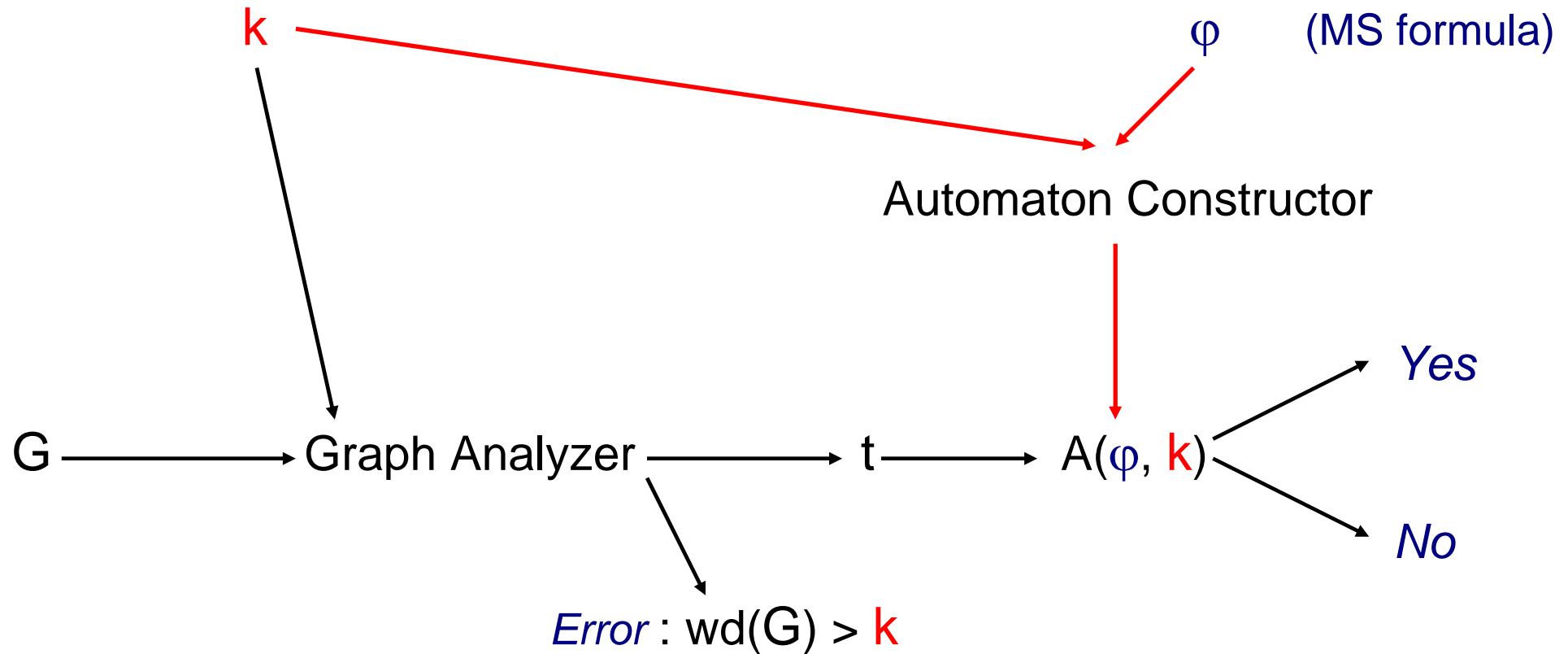
*Labelling schemes (or indexing), Enumeration*

*Kernelization (for FPT algorithms)*

# Summary of lecture

- 1) Monadic second-order (MS) model checking;  
Expressive power of monadic second-order logic
- 2) Two graph algebras, tree-width and clique-width
- 3) Special tree-width (*new*)
- 4) Automata constructed from MS formulas
  - Case of clique-width
  - Case of special tree-width
  - Difficulties with tree-width
- 5) Experiments with *fly*-automata      (joint work with Irène Durand)

# 1. MS model-checking : the general scheme



Steps → done “once for all”, independent of  $G$

$A(\varphi, k)$ : finite automaton on terms ( $wd$  = tree-width or clique-width or equivalent)

# FPT model-checking algorithms

MS formulas

$\text{MS}_2$  formulas

using edge quantifications

$G = (V_G, \text{edg}_G(.,.))$

$\text{Inc}(G) = (V_G \cup E_G, \text{inc}_G(.,.))$

for  $G$  undirected :  $\text{inc}_G(e, v) \Leftrightarrow$

$v$  is a vertex (in  $V_G$ ) of edge  $e$  (in  $E_G$ )

FPT for clique-width

FPT for tree-width

# Expressive powers of logical languages

(Typical examples)

FO : maximal degree = 4 , diameter  $\leq 6$  , outdegree  $\leq 3$ .

MS properties that are *not FO* : *3-colorability*

$\exists X, Y ("X, Y \text{ are disjoint"} \wedge \forall u, v \{ \text{edg}(u, v) \Rightarrow [ (u \in X \Rightarrow v \notin X) \wedge (u \in Y \Rightarrow v \notin Y) \wedge (u \notin X \cup Y \Rightarrow v \in X \cup Y) ] \})$

*Connectedness*, negation of :

$\exists X (\exists x \in X \wedge \exists y \notin X \wedge \forall u, v (u \in X \wedge \text{edg}(u, v) \Rightarrow v \in X))$

*Planarity* (via two forbidden minors  $K_5$  and  $K_{3,3}$ )

*Perfectness* (via forbidden holes and anti-holes)

For a word or a term, membership in a fixed *regular language*

(FO property in certain cases)

## Expressive powers of logical languages (continued)

$\text{MS}_2$  property that is *not MS* : has a *perfect matching* or a *Hamiltonian circuit* or a *spanning tree of degree  $\leq 3$*

SO property that is *not  $\text{MS}_2$*  : has a *nontrivial automorphism*

For a word, is  $= a^n b^n$  for some  $n$  (*nonregular language*).

## 2. Graph algebras and widths of graphs

Two (*not one*) and only two *robust* (in a precise sense) graph algebras:

the “HR” algebra → algebraic characterization of tree-width,

the “VR” algebra → definition of clique-width.

*Note* : “HR” refers to the “Hyperedge-Replacement (context-free) graph grammars”; they generate the equational sets of the “HR” algebra;

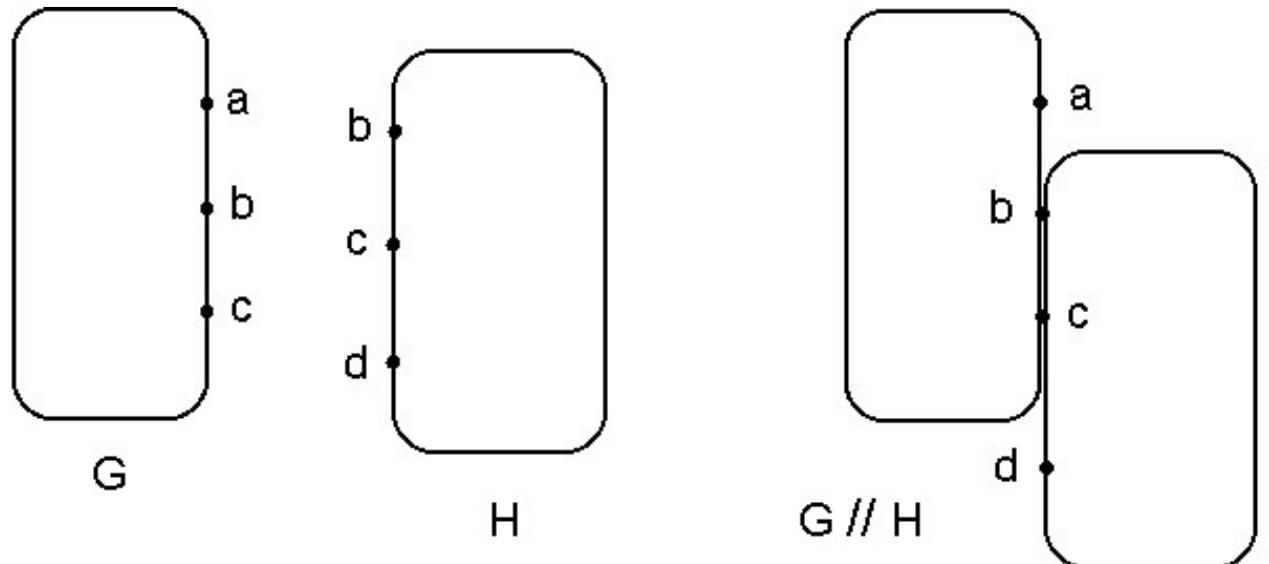
“VR” refers to the “context-free Vertex-Replacement graph grammars”; they generate the equational sets of the “VR” algebra

# The “HR” algebra and tree-width

Graphs *with multiple edges*, equipped with distinguished vertices called *sources* (or *boundary vertices* or *terminals*) pointed to by *source labels* from finite sets  $\{a, b, \dots, d\}$ .

*Binary operation : Parallel composition*

$G // H$  is the disjoint union of  $G$  and  $H$ , where sources with same name are *fused* (If  $G$  and  $H$  are not disjoint, one takes a copy of  $H$  disjoint from  $G$ ).



## *Unary operations : Forget a source label*

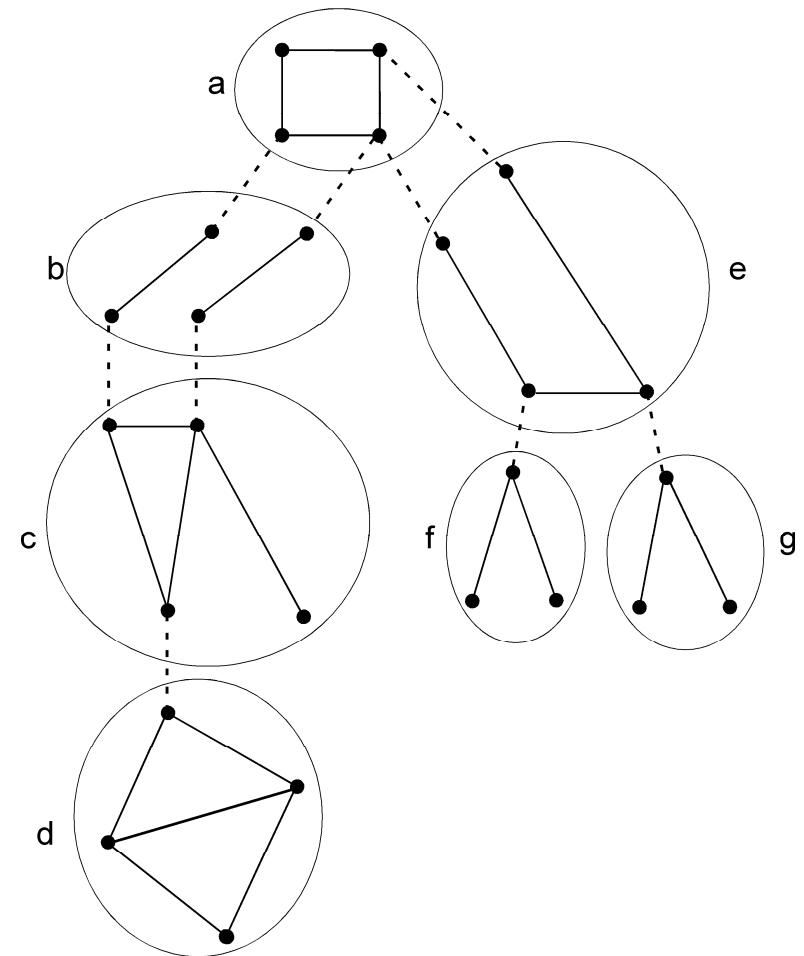
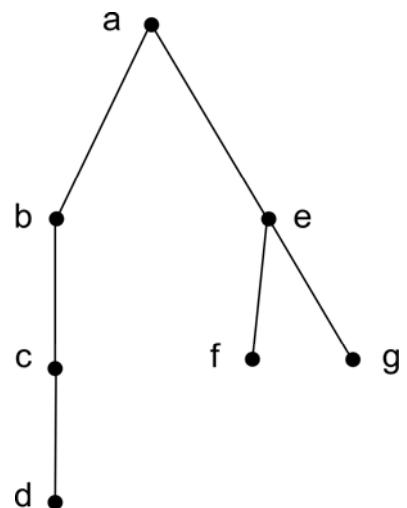
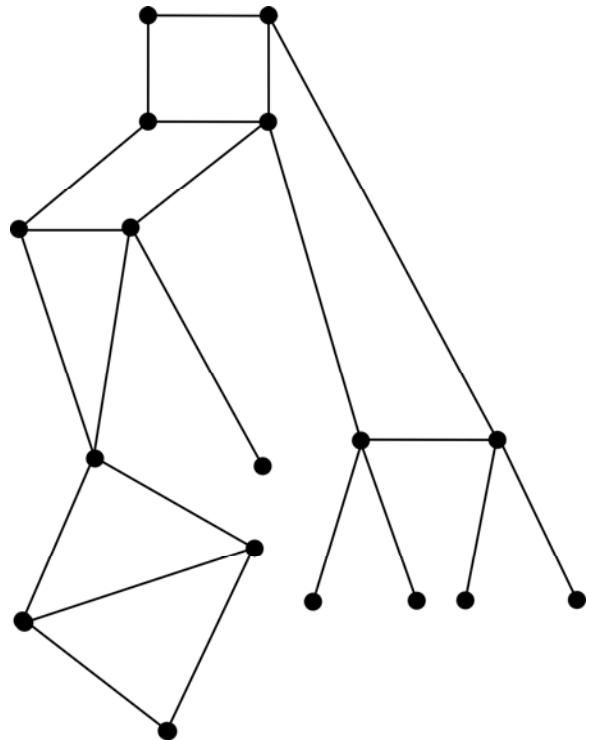
$\text{Forget}_a(G)$  is  $G$  without  $a$ -source: the source is no longer a distinguished vertex : it is made "internal".

## *Source renaming :*

$\text{Ren}_a \longleftrightarrow b(G)$  exchanges source labels  $a$  and  $b$   
(replaces  $a$  by  $b$  if  $b$  is not the label of a source)

*Nullary operations* denote the most *elementary graphs*:  
the connected graphs with at most one edge.

## Tree-decompositions



a decomposition of width 3

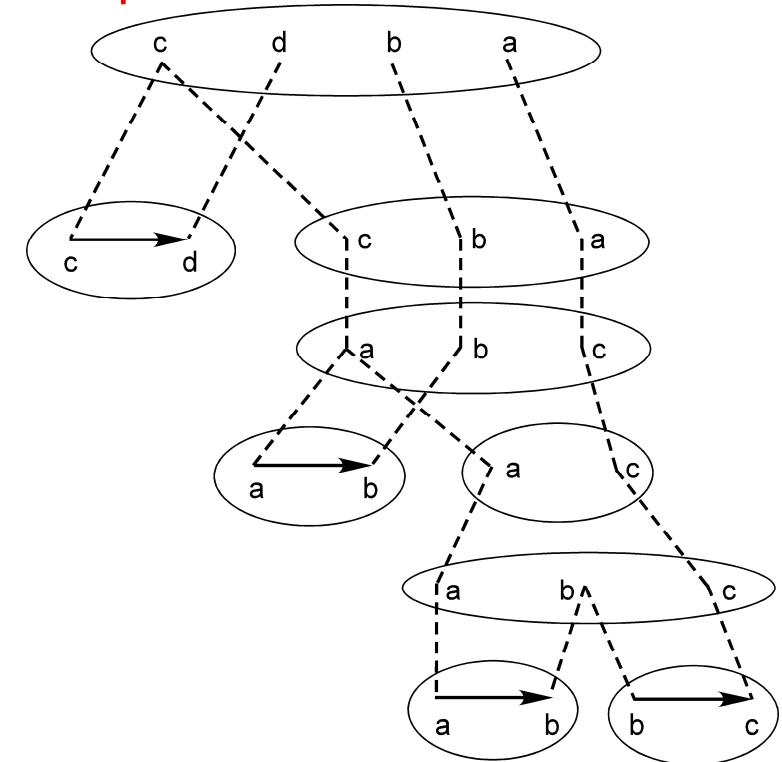
*Proposition:* A graph has tree-width  $\leq k \Leftrightarrow$  it can be constructed from basic graphs with  $\leq k+1$  labels by using the operations  $//$ , *Rena*  $\leftrightarrow b$  and *Forget* $_b$

From an algebraic expression to a tree-decomposition

Example:  $cd // \text{Ren}_{a \leftrightarrow c}(ab // \text{Forget}_b(ab // bc))$

(the constant  $ab$  denotes an edge from source  $a$  to source  $b$ )

The tree-decomposition  
associated with this term



## The “VR” algebra and clique-width.

Clique-width was originally defined for *simple* graphs, but we extend the definitions to graphs with *multiple edges*.

Graphs are loop-free (just to simplify notation).

They have vertex labels :  $a, b, c, \dots$  Each vertex has a single label, and each label designates a *set of vertices* (not a unique one as in HR)

*Binary operation* : *disjoint union* :  $\oplus$

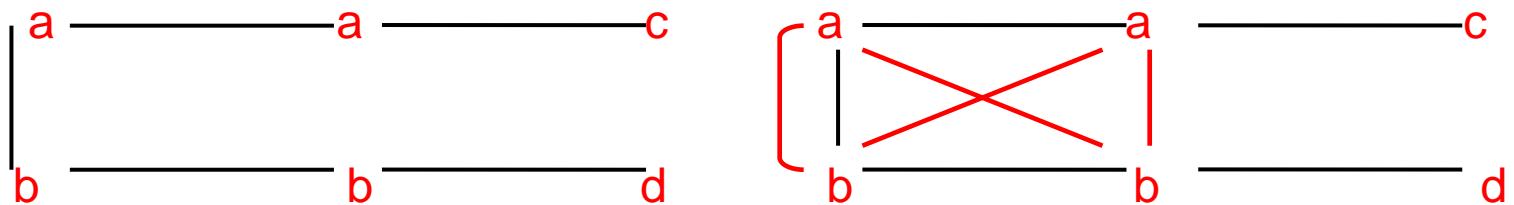
Well-defined up to isomorphism; one takes disjoint copies

→  $G \oplus G$  is *not* equal to  $G$

*Unary operations: Edge addition* denoted by  $Add_{a,b}$  :

$Add_{a,b}(G)$  is  $G$  augmented with undirected edges between every  $a$ -labelled vertex and every  $b$ -labelled vertex.

*Multiple edges may be created.*



The directed version of  $\overset{\longrightarrow}{Add_{a,b}}$  adds directed edges *from* every  $a$ -labelled vertex *to* every  $b$ -labelled vertex.

## *Vertex relabellings*

*Relab $a \rightarrow b$ (G)* is G with every label  $a$  changed into  $b$

*Variant : Relab $h$ (G)* is G with every label  $a$  changed into  $h(a)$  for some function  $h : C \rightarrow C$ ;  $C$  is the *finite* set of labels.

## *Basic graphs*

$\mathbf{a}$  : one vertex labelled by  $a$ , for each  $a$  in  $C$

$\emptyset$  : the empty graph (yes, it will be useful ! )

*Definition :* A graph  $G$  (*not necessarily simple*) has clique-width  $\leq k$   $\Leftrightarrow$  it can be constructed from basic graphs with the operations  $\oplus$ ,  $\overrightarrow{Add}_{a,b}$ ,  $Add_{a,b}$ ,  $Rela_{a,b} \longrightarrow b$  and constants  $a$  with labels  $a, b$  in a set  $C$  of  $k$  labels.

Its (exact) clique-width  $cwd(G)$  is the smallest such  $k$ .

*Note :* It is NP-complete to check if  $cwd(G)=k$  (input :  $(G,k)$ ) (Fellows et al.)  
Cubic approximation algorithms have been given (Oum, Hlineny, Seymour).

*Bounded clique-width* : cliques, cographs, distance hereditary graphs, every class of bounded tree-width

*Unbounded clique-width* : tournaments, planar graphs (even square grids).

## Comparison with tree-width

For  $G$  undirected (Corneil and Rotics) :

$$\text{cwd}(G) \leq 3 \cdot 2^{\text{twd}(G)-1}$$

For  $G$  directed :

$$\text{cwd}(G) \leq 7 \cdot 4^{\text{twd}(G)-1} - 3^{\text{twd}(G)} < 2^{2 \cdot \text{twd}(G) + 1}$$

No polynomial bound :  $\text{cwd}(G) \leq \text{poly}(\text{twd}(G))$

In both cases :

$$\text{cwd}(G) \leq \text{pwd}(G) + 2$$

$\text{pwd} = \text{path-width} = \text{tree-width}$  with paths instead of trees

## FPT model-checking algorithms

For **MS** properties, the parameter is clique-width.

For **MS<sub>2</sub>** properties, the parameter is tree-width and cannot be clique-width.

By Kreutzer, Makowsky *et al.* **MS<sub>2</sub>** model-checking *needs* restriction to bounded tree-width unless P=NP, ETH, Exptime=NExptime etc...

*The case of **MS<sub>2</sub>** formulas reduces to that of **MS** ones:*

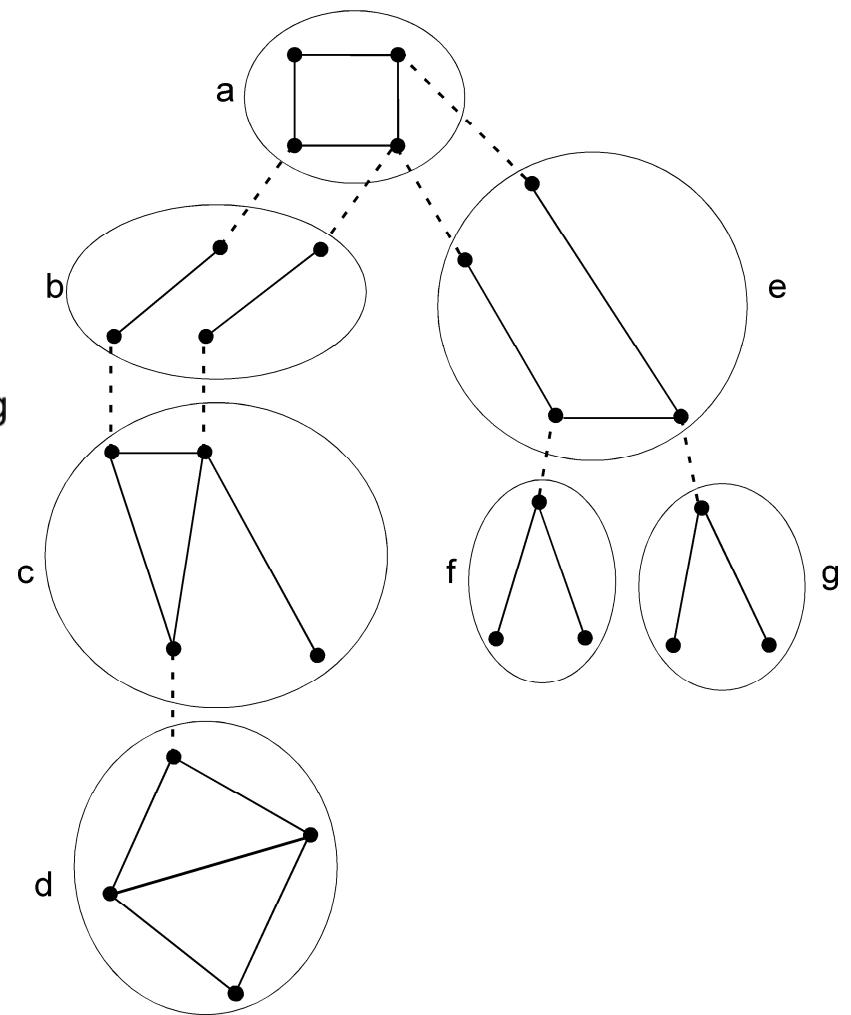
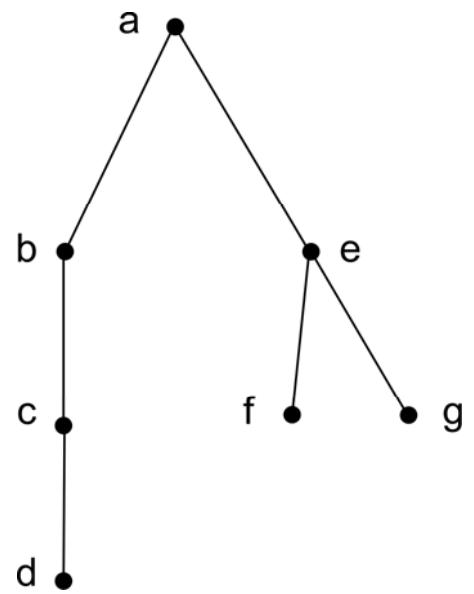
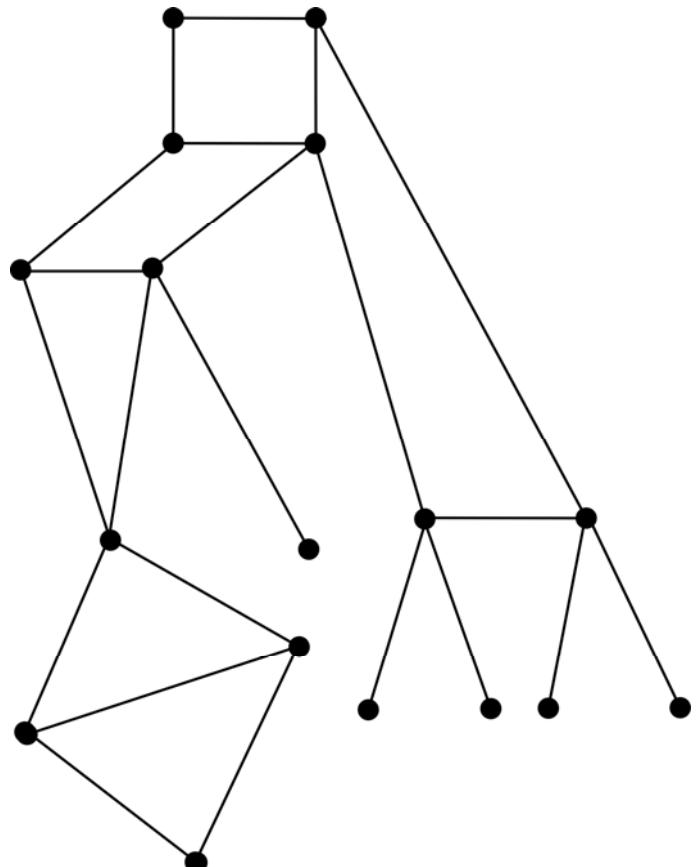
$G$  of tree-width  $k \geq 2 \rightarrow \text{Inc}(G)$  has tree-width  $k$ ,

hence, clique-width  $\leq 2^{O(k)}$  (exponential blow-up)

every **MS<sub>2</sub>** property of  $G$  is an **MS** property of  $\text{Inc}(G)$

### 3. Special tree-width

Tree-decompositions



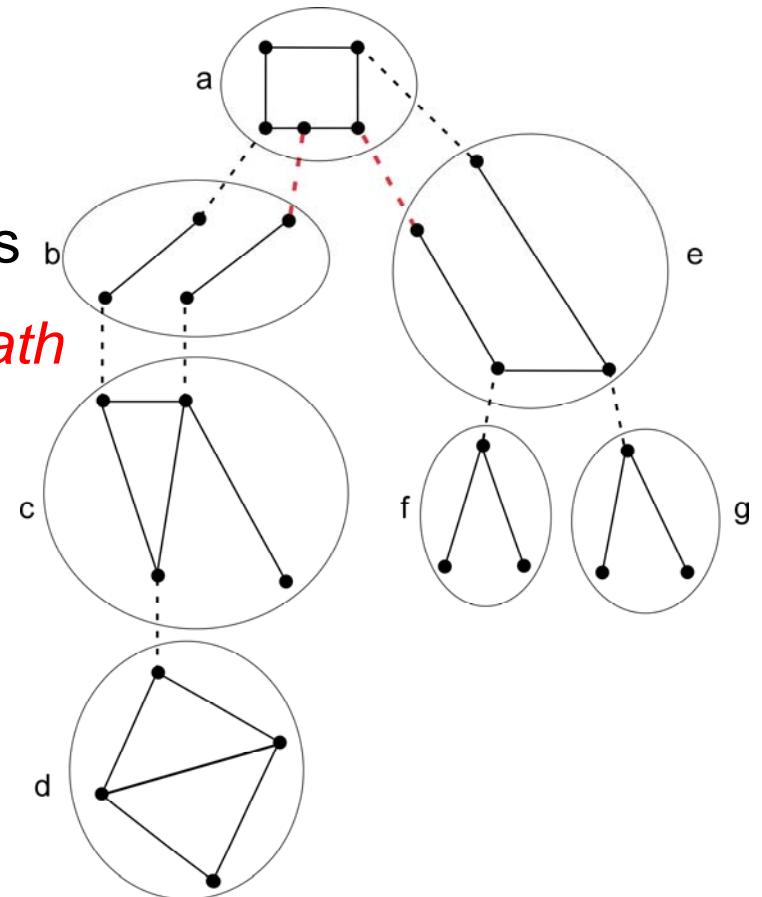
a decomposition of width 3 ( $= 4 - 1$ ).

*Definition:* **Special tree-width** is the minimal width of a *special tree-decomposition*  $(T, f)$  where :

- (a)  $T$  is a rooted tree,
- (b) the set of nodes whose boxes contain any vertex is a *directed path*

*Motivations* : (1) Comparison with clique-width (no exp. blow-up)

(2) The automata for checking adjacency are exponentially smaller than for bounded tree-width



## Properties of special tree-width

$\text{twd} = \text{tree-width}$  ;  $\text{pwd} = \text{path-width}$  ;  $\text{sptwd} = \text{special tree-width}$  ;  
 $\text{cwd} = \text{clique-width}$ .

1)  $\text{twd}(G) \leq \text{sptwd}(G) \leq \text{pwd}(G)$

2)  $\text{ cwd}(G) \leq \text{sptwd}(G) + 2$  (for  $G$  simple).

whereas  $\text{ cwd}(G) \leq 2^{2 \cdot \text{twd}(G) + 1}$  (exponential is not avoidable)

3)  $\text{sptwd}(G) \leq 20 (\text{twd}(G)+1) \cdot \text{MaxDegree}(G)$

(for a set of graphs of bounded degree, bounded special tree-width  
is equivalent to bounded tree-width).

- 4) Trees have special tree-width 1 (= tree-width) but graphs of tree-width 2 have *unbounded special tree-width*.
- 5) The class of graphs of special tree-width  $\leq k$  is closed under:
  - reversals of edge directions,
  - taking *topological minors* (subgraphs and smoothing vertices)  
but *not under taking minors*.

Graphs of tree-width 2 have *unbounded special tree-width*

*Proof sketch:* If  $G \otimes *$  ( $= G$  augmented with a universal vertex  $*$ ) has special tree-width  $k$ , then it has path-width  $\leq k$ .

Let  $G$  be any tree :  $G \otimes *$  has tree-width 2.

If  $G \otimes *$  has special tree-width  $\leq k$ , then  $G$  has path-width  $\leq k$ .

But trees have *unbounded path-width*, hence graphs of tree-width 2 have unbounded special tree-width.

# Terms that characterize special tree-width; and construction of automata for $\text{MS}_2$ properties.

## *Definition: Special terms*

They use the graph operations that define clique-width for *graphs with multiple edges* (Key point : no “vertex fusion” is needed)

- 1) The set  $C$  of labels contains  $\perp$  (to mean “terminated vertex”)
- 2) Operations  $\text{Relab } a \longrightarrow c$  and  $\text{Add } a, b$  only if  $a, b \neq \perp$
- 3) Subterms define graphs with  $\leq 1$  vertex labelled by  $a$  if  $a \neq \perp$
- 4)  $\text{Add } a, b (t)$  allowed as subterm only if  $G(t)$  has one vertex  $x$  labelled by  $a$  and one vertex  $y$  labelled by  $b$ . Similar definitions for directed graphs.

Edges are added “one by one” and are in bijection with the occurrences of the operations  $\text{Add } a, b$ , that can define *multiple edges*.

*Proposition:* (1)  $G$  has *special tree-width*  $\leq k \Leftrightarrow$  it is defined by a *special term* using  $\leq k + 2$  labels (including the particular label  $\perp$ )

$$(2) \text{ cwd}(G) \leq \text{sptwd}(G) + 2$$

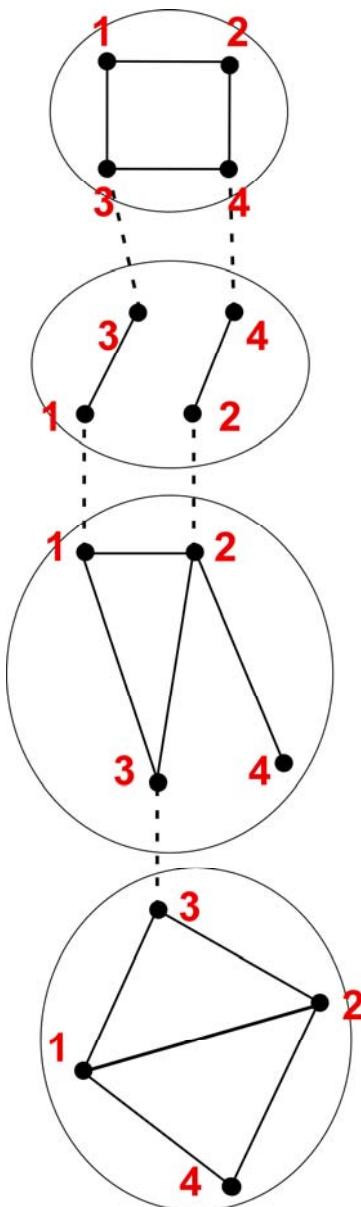
We will compare:

path-width and clique-width,

tree-width and clique-width,

special tree-width and clique-width

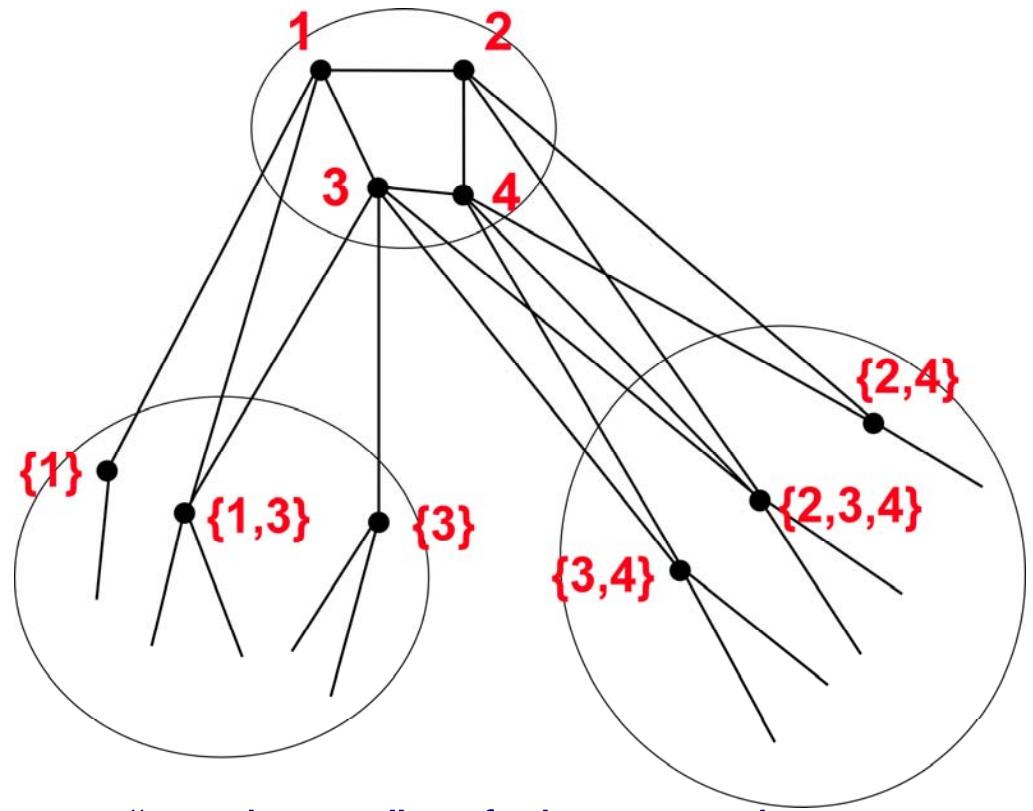
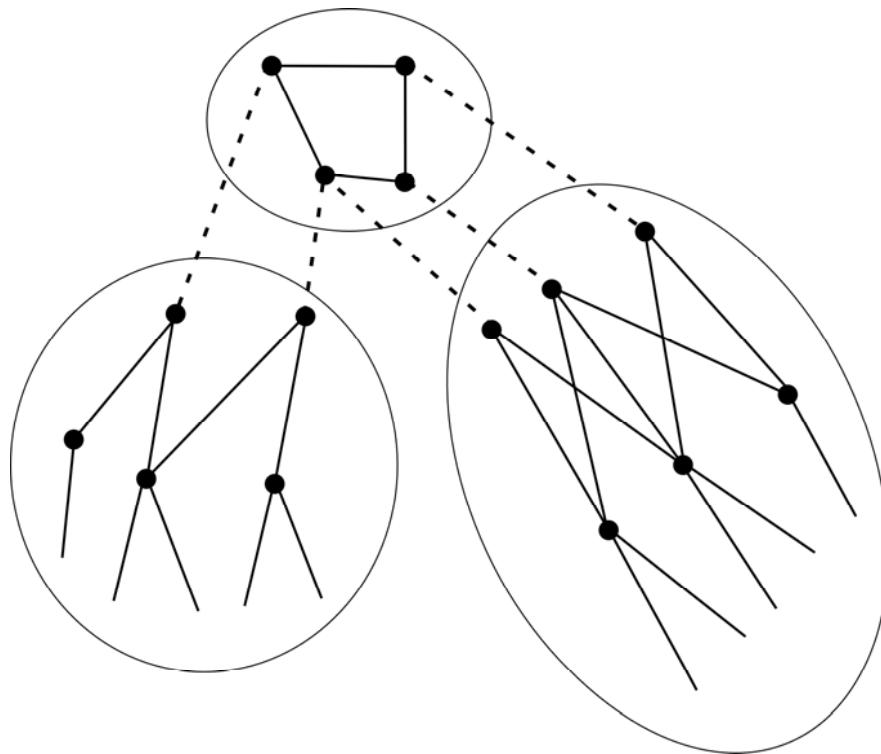
## Comparing path-width and clique-width :



$$\text{cwd}(G) \leq \text{pwd}(G) + 2$$

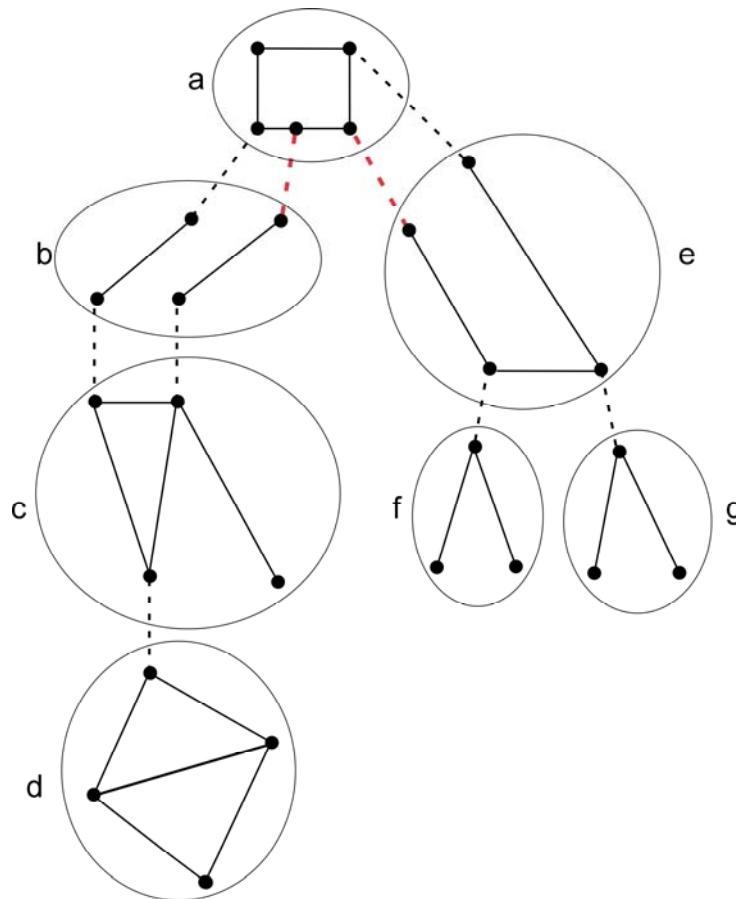
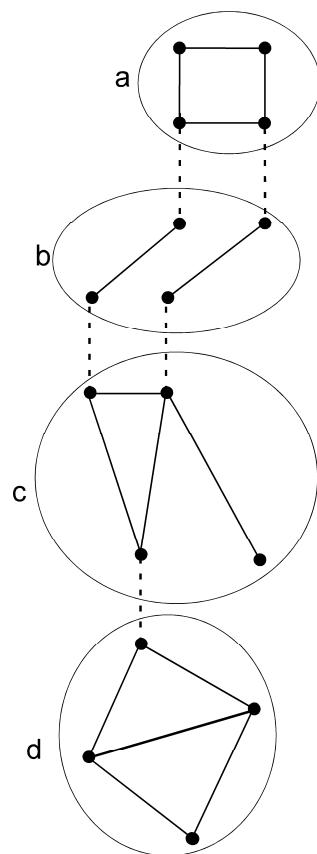
*Idea* : By traversing bottom-up the path decomposition, by using 4 colors +  $\perp$ , the clique-width operations can add, *one by one*, new vertices (using  $\oplus i$ ) and new edges (using  $Adda,b$  or  $\overrightarrow{Adda,b}$ ).  
 $\perp$  is for “terminated vertices”.

For tree-width :  $cwd(G) \leq 2^{2 \cdot twd(G) + 1}$



Because of vertex **3**, common to two “son boxes”, of the tree-dec,  
the previous method does not work. (It **does not allow fusion** of vertices).  
If a box of the tree-decomposition has  $k$  vertices, then  $2^k - 1$  labels are  
necessary to specify how the vertices below it are linked to its vertices.  
( $2^{2k} - 1$  for directed graphs).

For special tree-width (as for path-width) :  $\text{cwd}(G) \leq \text{sptwd}(G)+2$



The red dotted edges  
are **not** incident.

Two “brother” boxes  
(*b, e*) are disjoint.  
This is  
the characteristic  
property of *special*  
*tree-decompositions*

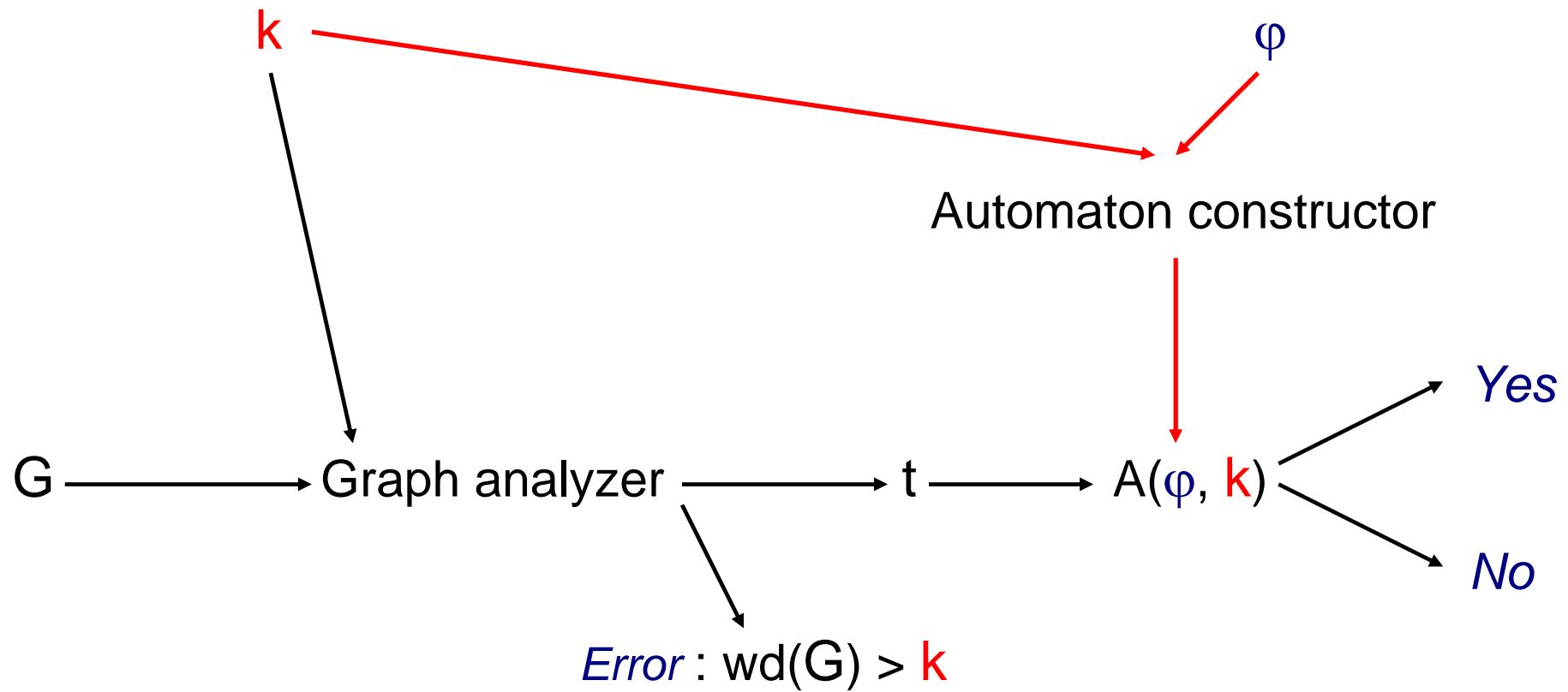
Special tree-width is interesting for model-checking of  $\text{MS}_2$  properties (as we will see) but the *parsing* problem is **open**:

Can one find an  $O(n^{g(k)})$  algorithm ?:

- that reports that the input graph  $G$  (with  $n$  vertices) has special tree-width more than  $k$  or
- outputs a special tree-decomposition witnessing that the special tree-width of  $G$  is  $\leq f(k)$  (for a fixed function  $f$  hopefully not exponential).

*Note:* We can use the algorithms producing path-decompositions

## 4. Automata for MS model-checking



Steps → done “once for all”, independent of  $G$

$A(\varphi, k)$ : automaton on terms (wd = tree-width or clique-width or equivalent)

## 4.1 Construction of $A(\varphi, k)$ for “clique-width” terms

$k$  = the number of vertex labels = the bound on clique-width

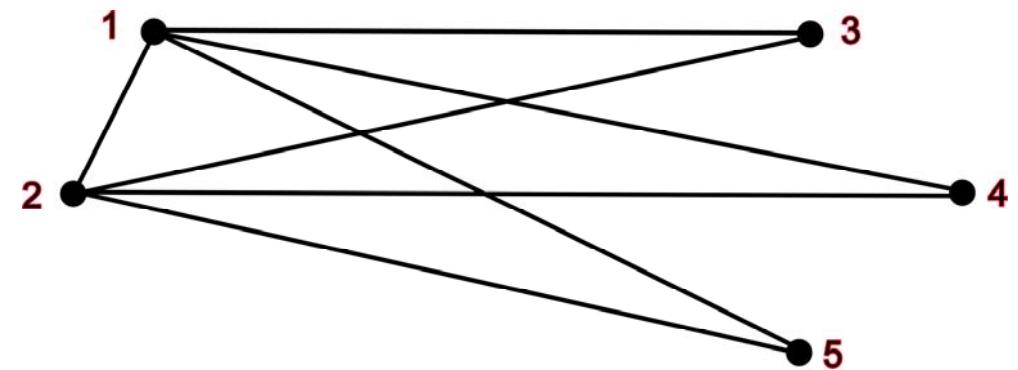
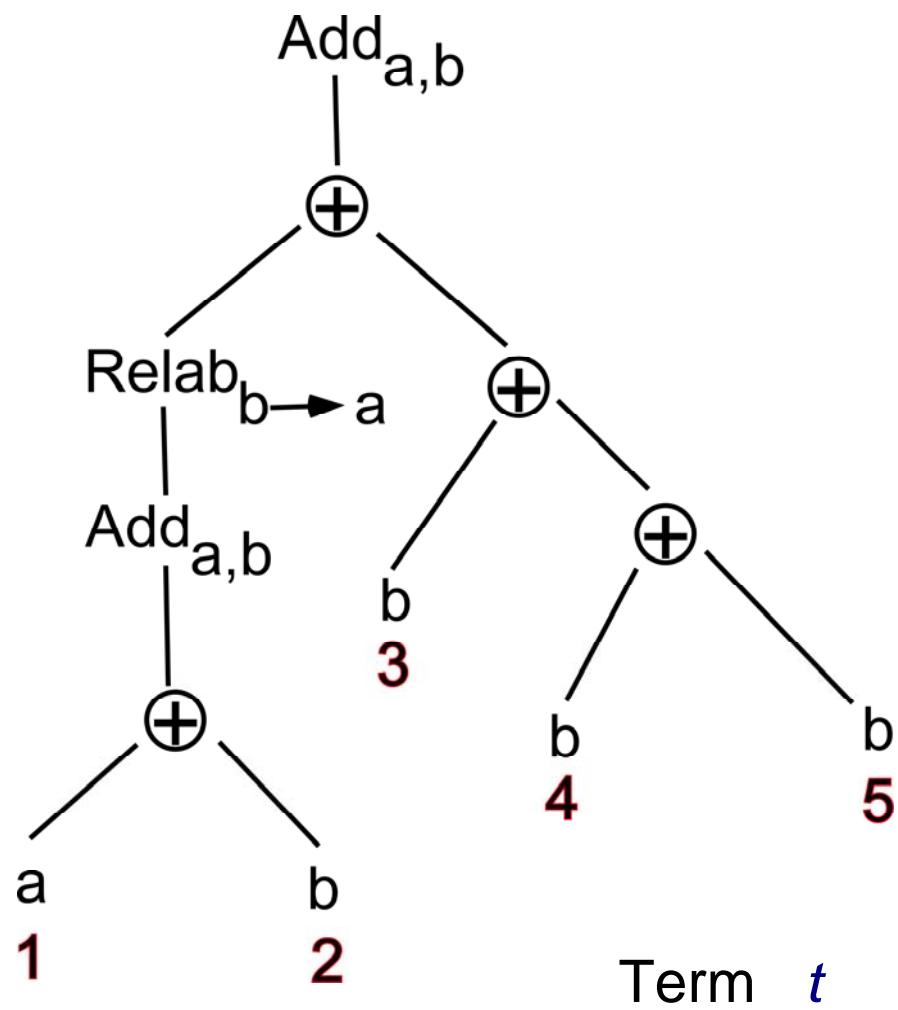
$F$  = the corresponding set of operations and constants :

$a$  ,  $\emptyset$  ,  $\oplus$  ,  $Adda,b$  ,  $Relab\ a \longrightarrow b$

$G(t)$  = the graph defined by a term  $t$  in  $T(F)$ .

Its vertices are (in bijection with) the occurrences of the constants in  $t$  that are not  $\emptyset$

*Example :*



Graph  $G(\textcolor{blue}{t})$

Term  $t$

Terms are equipped with Booleans that encode assignments of vertex sets  $V_1, \dots, V_n$  to the free set variables  $X_1, \dots, X_n$  of MS formulas (*formulas are written without first-order variables*):

1) we replace in  $F$  each constant  $\mathbf{a}$  by the constants

$(\mathbf{a}, (w_1, \dots, w_n))$  where  $w_i \in \{0,1\}$  : we get  $F^{(n)}$

(only constants are modified);

2) a term  $s$  in  $T(F^{(n)})$  encodes a term  $t$  in  $T(F)$  and an

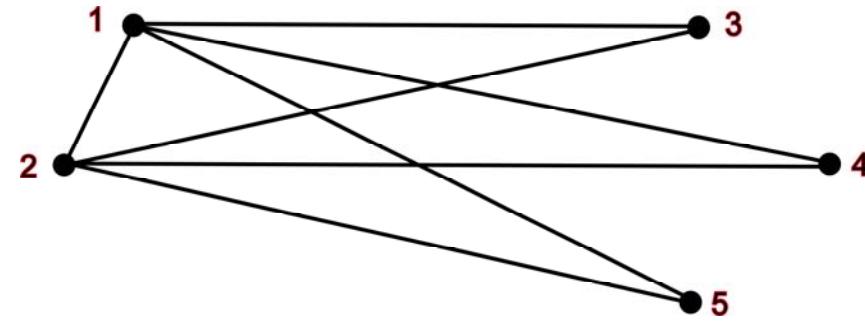
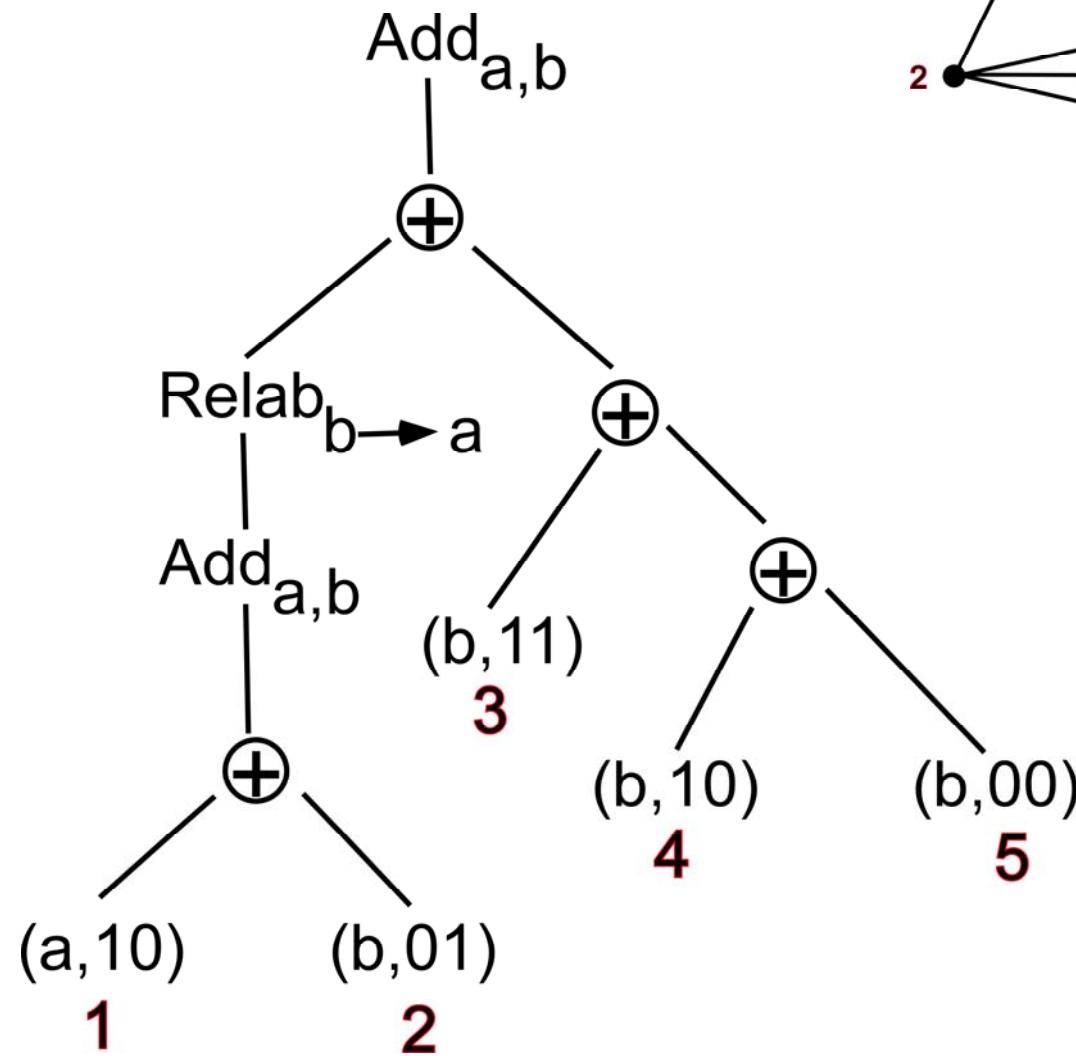
assignment of sets  $V_1, \dots, V_n$  to the set variables  $X_1, \dots, X_n$ :

if  $u$  is an occurrence of  $(\mathbf{a}, (w_1, \dots, w_n))$ , then

$w_i = 1$  if and only if  $u \in V_i$ .

3)  $s$  is denoted by  $t * (V_1, \dots, V_n)$

*Example (continued) :*



$$V_1 = \{1, 3, 4\}, V_2 = \{2, 3\}$$

Term  $t * (V_1, V_2)$

By an induction on  $\varphi$ , we construct for each  $\varphi(X_1, \dots, X_n)$  a finite (bottom-up) deterministic automaton  $A(\varphi(X_1, \dots, X_n), k)$  that recognizes:

$$L(\varphi(X_1, \dots, X_n)) := \{ t * (V_1, \dots, V_n) \in T(F^{(n)}) / (G(t), (V_1, \dots, V_n)) \mid = \varphi \}$$

*Theorem* : For each sentence  $\varphi$ , the automaton  $A(\varphi, k)$  accepts in time  $f(\varphi, k) \cdot |t|$  the terms  $t$  in  $T(F)$  such that  $G(t) \mid = \varphi$

It gives a *fixed-parameter linear* model-checking algorithm for input  $t$ , and a *fixed-parameter cubic* one if the graph has to be parsed. (The parameter is clique-width, or, for undirected graphs, the equivalent graph complexity measure *rank-width*)

## The inductive construction of $A(\varphi, k)$ :

Atomic formulas : discussed below.

For  $\wedge$  and  $\vee$  : product of two *complete* automata

(deterministic or not)

For *negation* : exchange accepting / non-accepting states

for a complete *deterministic* automaton

**Quantifications:** Formulas are written without  $\forall$

$$L(\exists X_{n+1} \cdot \varphi(X_1, \dots, X_{n+1})) = \text{pr}(L(\varphi(X_1, \dots, X_{n+1})))$$

$$A(\exists X_{n+1} \cdot \varphi(X_1, \dots, X_{n+1})) = \text{pr}(A(\varphi(X_1, \dots, X_{n+1})))$$

where  $\text{pr}$  is the “*projection*” that eliminates the last Boolean.  
One obtains a *nondeterministic* automaton.

oOo

The number of states is an **h-iterated exponential**,  
where  $h = \text{maximum nesting of negations.}$

## Tools for constructing automata

Substitutions and inverse images (“cylindrifications”).

1) If we know  $A(\varphi(X_1, X_2))$ , we can get easily  $A(\varphi(X_4, X_3))$ :

$$L(\varphi(X_4, X_3)) = h^{-1}(L(\varphi(X_1, X_2))) \quad \text{where}$$

$h$  maps  $(a, (w_1, w_2, w_3, w_4))$  to  $(a, (w_4, w_3))$

We take

$$A(\varphi(X_4, X_3)) = h^{-1}(A(\varphi(X_1, X_2)))$$

This construction preserves determinism and the number of states.

2) From  $A(\varphi(X_1, X_2))$ , we can get  $A(\varphi(X_3, X_1 \cup (X_2 \setminus X_4)))$  by  $h^{-1}$   
with  $h$  mapping  $(a, (w_1, w_2, w_3, w_4))$  to  $(a, (w_3, w_1 \vee (w_2 \wedge \neg w_4)))$

*Set term*

## Relativization to subsets by inverse images.

If  $\varphi$  is a closed formula expressing a graph property  $P$ , its relativization  $\varphi[X_1]$  to  $X_1$  expresses that the subgraph induced on  $X_1$  satisfies  $P$ . To construct it, we replace recursively

$$\exists y. \theta \text{ by } \exists y. y \in X_1 \wedge \theta, \text{ etc...}$$

However, there is an easy transformation of automata :

we let  $h$  map  $(\mathbf{a}, 0)$  to  $\emptyset$  and  $(\mathbf{a}, 1)$  to  $\mathbf{a}$ .

$$L(\varphi[X_1]) = h^{-1}(L(\varphi))$$

Hence:

$$A(\varphi[X_1]) := h^{-1}(A(\varphi))$$

## The inductive construction (continued) :

Complete *deterministic* automata for atomic formulas and basic graph properties : automaton over  $F^{(n)}$  recognizing the set of terms

$$t * (V_1, \dots, V_n) \text{ in } L(\varphi(X_1, \dots, X_n))$$

*Intuition* : in all cases, the *state* at node  $u$  represents a *finite information*  $q(u)$  about the graph  $G(t/u)$  and the restriction of  $(V_1, \dots, V_n)$  to the vertices below  $u$  (vertices = leaves)

- 1) if  $u = f(v, w)$ , we want that  $q(u)$  is defined from  $q(v)$  and  $q(w)$  by a fixed function : the *transition function* ;
- 2) whether  $(G(t), V_1, \dots, V_n)$  satisfies  $\varphi(X_1, \dots, X_n)$  must be checkable from  $q(\text{root})$ , giving the *accepting states*.

*Atomic formulas* (1) :  $\text{edg}(X_1, X_2)$  for directed edges

Vertex labels are from a set  $C$  of  $k$  labels.

$\text{edg}(X_1, X_2)$  means:  $X_1 = \{x\} \wedge X_2 = \{y\} \wedge x \longrightarrow y$

$k^2+k+3$  *states*: 0, Ok,  $a(1)$ ,  $a(2)$ ,  $ab$ , Error, for  $a, b$  in  $C$ ,  $a \neq b$

*Meaning of states* (at node  $u$  in  $t$ ; its subterm  $t/u$  defines  $G(t/u) \subseteq G(t)$ ).

0 :  $X_1 = \emptyset, X_2 = \emptyset$

Ok *Accepting state*:  $X_1 = \{v\}, X_2 = \{w\}$ ,  $\text{edg}(v, w)$  in  $G(t/u)$

$a(1)$  :  $X_1 = \{v\}, X_2 = \emptyset$ ,  $v$  has label  $a$  in  $G(t/u)$

$a(2)$  :  $X_1 = \emptyset, X_2 = \{w\}$ ,  $w$  has label  $a$  in  $G(t/u)$

$ab$  :  $X_1 = \{v\}, X_2 = \{w\}$ ,  $v$  has label  $a$ ,  $w$  has label  $b$  (hence  $v \neq w$ )  
and  $\neg \text{edg}(v, w)$  in  $G(t/u)$

Error : all other cases

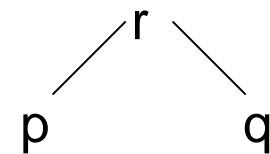
## *Transition rules*

For the constants based on **a**:

**(a,00) → 0 ; (a,10) → a(1) ; (a,01) → a(2) ; (a,11) → Error**

For the binary operation  $\oplus$ :

(p,q,r are states)



If  $p = 0$  then  $r := q$

If  $q = 0$  then  $r := p$

If  $p = a(1)$ ,  $q = b(2)$  and  $a \neq b$  then  $r := ab$

If  $p = b(2)$ ,  $q = a(1)$  and  $a \neq b$  then  $r := ab$

Otherwise  $r := \text{Error}$

For unary operations  $\overrightarrow{Add}_{a,b}$

r  
|  
p

If  $p = ab$  then  $r := \text{Ok}$  else  $r := p$

For unary operations  $Relab_{a \rightarrow b}$

If  $p = a(i)$  where  $i = 1$  or  $2$  then  $r := b(i)$

If  $p = ac$  where  $c \neq a$  and  $c \neq b$  then  $r := bc$

If  $p = ca$  where  $c \neq a$  and  $c \neq b$  then  $r := cb$

If  $p = \text{Error}$  or  $0$  or  $\text{Ok}$  or  $c(i)$  or  $cd$  or  $dc$  where  $c \neq a$   
then  $r := p$

## *Other atomic or basic formulas (2)*

$X_1 \subseteq X_2$ ,  $X_1 = \emptyset$ ,  $\text{Single}(X_1)$ ,

$\text{Card}_{p,q}(X_1)$  : cardinality of  $X_1$  is  $p$  modulo  $q$ ,

$\text{Card}_{< q}(X_1)$  : cardinality of  $X_1$  is  $< q$ .

→ Easy constructions with small numbers of states : 2, 2, 3,  $q$ ,  $q+1$ .

## Sizes of some deterministic automata : k = bound on clique-width

Property	Partition ( $X_1, \dots, X_p$ )	$\text{edg}(X, Y)$	NoEdge	Connected, NoCycle for degree $\leq p$	Path( $X, Y$ )	Connected, Nocycle
Number of states $N(k)$	2	$k^2 + k + 3$	$2^k$	$2^{O(p \cdot k \cdot k)}$	$2^{O(k \cdot k)}$	$2^{2^{O(k)}}$

For **connectedness**, the minimal (deterministic) automaton has

more than  $2^{2^{k/2}}$  states.

Other constructions yield ***nondeterministic*** automata for connectedness and for its negation with  $2^{O(k \cdot k)}$  states

## Difficulties in practice :

**Parsing** : construction of terms (based on tree-decompositions or other graph decompositions). The *linear-time exact* parsing algorithm by Bodlaender (for tree-width) and the *cubic approximate* parsing algorithm by Hlineny & Oum (for clique-width via *rank-width*) are not implementable.

Bodlaender reports about usable algorithms for (non-random) graphs with 50 vertices and tree-width  $\leq 35$

**Specific algorithms** : (1) Flow-graphs of structured programs have **tree-width** at most 6 and tree-decompositions are easy from the parse trees of programs (Thorup).

(2) For certain graph classes of bounded **clique-width** defined by forbidden induced subgraphs, optimal clique-width terms can be constructed in polynomial time (by using *modular decomposition*).

The **sizes** of the automata  $A(\varphi, k)$ .

They may be too large to be practically compiled.

The construction by induction on the structure of  $\varphi$  may need intermediate automata of huge size, even if the *unique minimal deterministic* automaton equivalent to  $A(\varphi, k)$  has a manageable number of states.

*Examples* : Soguet *et al.* using MONA have constructed automata for the following cases ; no success for clique-width 4 :

	<i>Clique-width 2</i>	<i>Clique-width 3</i>
MaxDegree $\leq 3$	91 states	Space-Out
Connected	11 states	Space-Out
IsConnComp( $X$ )	48 states	Space-Out
Has $\leq 4$ -VertCov	111 states	1037 states
HasClique $\geq 4$	21 states	153 states
2-colorable	11 states	57 states

Examples of automata too large to be constructed, i.e., “compiled”, even “directly”, without using the general construction.

for  $k = 2$  : 4-colorability, 3-acyclic-colorability, NoCycle (i.e., is a forest)

for  $k = 5$  : 3-colorability, clique

for  $k = 4$  : connectedness.

This is not avoidable :

The number of states of  $A(\varphi, k)$  is bounded by an  $h$ -iterated exponential where  $h$  is the number of quantifier alternations of  $\varphi$ .

There is no alternative construction giving an upper bound with a bounded nesting of exponentiations (Meyer & Stockmeyer, Weyer, Frick & Grohe).

Remedy : using *fly-automata*.

States and transitions are **not listed** in huge tables.

They are specified (in uniform ways for all k) by “small” programs.

Example of a state for connectedness :

$$q = \{ \{a\}, \{a,b\}, \{b,c,d\}, \{b,d,f\} \},$$

a,b,c,d,f are vertex labels; q is the set of *types* of the connected components of the current graph.

Some transitions :

$$\text{Add}_{a,c} : q \longrightarrow \{ \{a,b,c,d\}, \{b,d,f\} \},$$

$$\text{Relab}_a \rightarrow b : q \longrightarrow \{ \{b\}, \{b,c,d\}, \{b,d,f\} \}$$

Transitions for  $\oplus$  : union of sets of types.

This method works for formulas with no quantifier alternation but using the “basic formulas”.

Examples :  $p$ -acyclic colorability

$$\exists X_1, \dots, X_p (\text{Partition}(X_1, \dots, X_p) \wedge \text{NoEdge}(X_1) \wedge \dots \wedge \text{NoEdge}(X_p) \wedge \dots \wedge \text{NoCycle}(X_i \cup X_j) \wedge \dots)$$

with  $\text{NoCycle}(X_i \cup X_j)$  for every  $i < j$ .

Minor inclusion :  $H$  simple, loop-free.  $\text{Vertices}(H) = \{ v_1, \dots, v_p \}$

$$\exists X_1, \dots, X_p (\text{Disjoint}(X_1, \dots, X_p) \wedge \text{Conn}(X_1) \wedge \dots \wedge \text{Conn}(X_p) \wedge \dots \wedge \text{Link}(X_i, X_j) \wedge \dots)$$

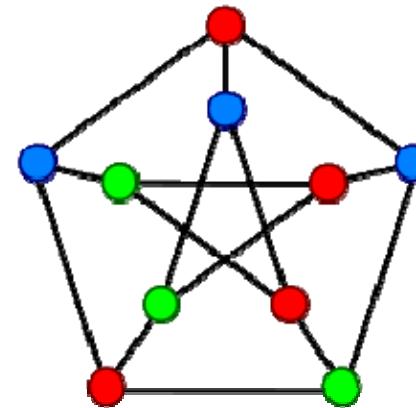
with  $\text{Link}(X_i, X_j)$  for each edge  $v_i ---- v_j$  of  $H$ ; means that there exists an edge between  $X_i$  and  $X_j$ , and  $\text{Conn}(X_i)$  means that  $X_i$  induces a connected graph.

## Some experiments with fly-automata (by Irène Durand, LaBRI)

3-colorability of the  $6 \times 300$  grid (of clique-width 8) in less than 2 hours,

4-acyclic-colorability of the Petersen graph (clique-width 7) in 17 minutes.

(3-colorable but not acyclically;  
**red** and **green** vertices  
induce a cycle).



## New tool : Annotations



At some positions in the given term, we attached some (finite) contextual information.

*Example :*

At position  $u$  in a term  $t$ , we attach the set

$\text{ADD}_{t,u}$  = the set of pairs  $(a,b)$  such that some operation

$\text{Add}_{c,d}$  above  $u$  (hence, in its “context”) adds edges between the (eventual) vertices  $below u$  labelled by  $a$  and  $b$ .

These sets can be computed in *linear time* by means of a top-down traversal of  $t$ .

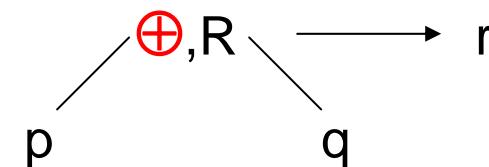
Certain automata on **annotated terms** may have less states.

*Example*:  $\text{edg}(X_1, X_2)$  :  $2k+3$  states instead of  $k^2+k+3$  (cf. page 44):

0, Ok,  $a(1)$ ,  $a(2)$ , Error, for  $a$  in  $C$ .

Transitions for  $\oplus$  annotated by  $R$ :

( $p, q, r$  are states)



If  $p = 0$  then  $r := q$  ; if  $q = 0$  then  $r := p$  ;

if  $p = a(1)$ ,  $q = b(2)$  and  $(a, b) \in R \wedge$  then  $r := \text{Ok}$  ;

and if  $(a, b) \notin R \wedge$  then  $r := \text{Error}$  ;

if  $p = b(2)$ ,  $q = a(1)$  : *idem* ;

otherwise  $r := \text{Error}$ .

*Other examples :*

For *Clique*(X) meaning that X induces a clique :

$2^k + 2$  states instead of  $2^{O(k \cdot k)}$ .

For *Connectedness* : same states but they “shrink” quicker :

cf. the rules for *Add<sub>a,c</sub>* on page 53.

## 4.2 Automata for the model-checking of $\text{MS}_2$ formulas

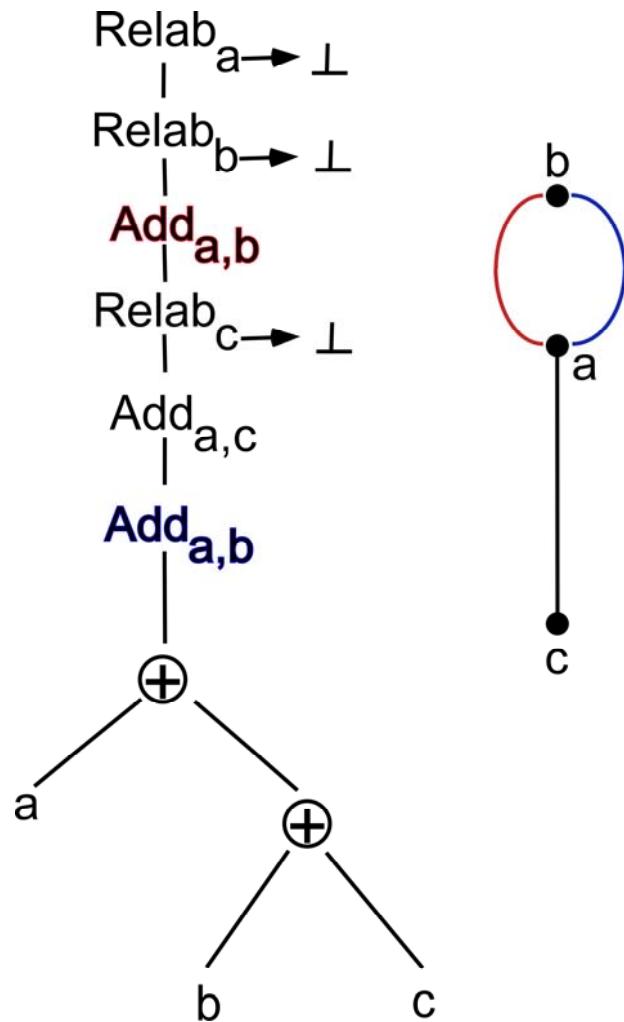
We need :

- 1) Terms to represent graphs, over appropriate operations.
- 2) A representation of vertices *and edges* by occurrences of operations and constants in these terms.

2.1 : For “clique-width” terms : we have *no* good representation of edges because each occurrence of  $\text{Add}_{a,b}$  may add simultaneously an unbounded number of edges.

2.2 : For special terms : each edge is produced by a unique occurrence of  $\text{Add}_{a,b}$ . This gives what we want for graphs of bounded *special tree-width* (but not for bounded tree-width).

## Using special terms :



$O(k^2)$  and  $O(k)$  states respectively for *sptwd* at most  $k$ .

The leaves represent the vertices.

The nodes labelled  $Add_{a,b}$  and  $Add_{a,c}$  represent the edges ; each occurrence of  $Add_{a,b}$  represents one of the two parallel edges

The automata for  $edg(X,Y)$  and  $inc(X,Y)$  (incidence) have

## 2.3 : Case of terms characterizing tree-width

*First idea* : make them into “clique-width terms” for the *incidence graph*. But:

$$\text{clique-width} \leq 2^{O(\text{tree-width})} \rightarrow \text{too large automata.}$$

*Second idea* : handling them “directly”, as for “clique-width terms”

The difficulty is to have a bijection between nodes in the term and the vertices and edges of the graph.

### *First possibility*

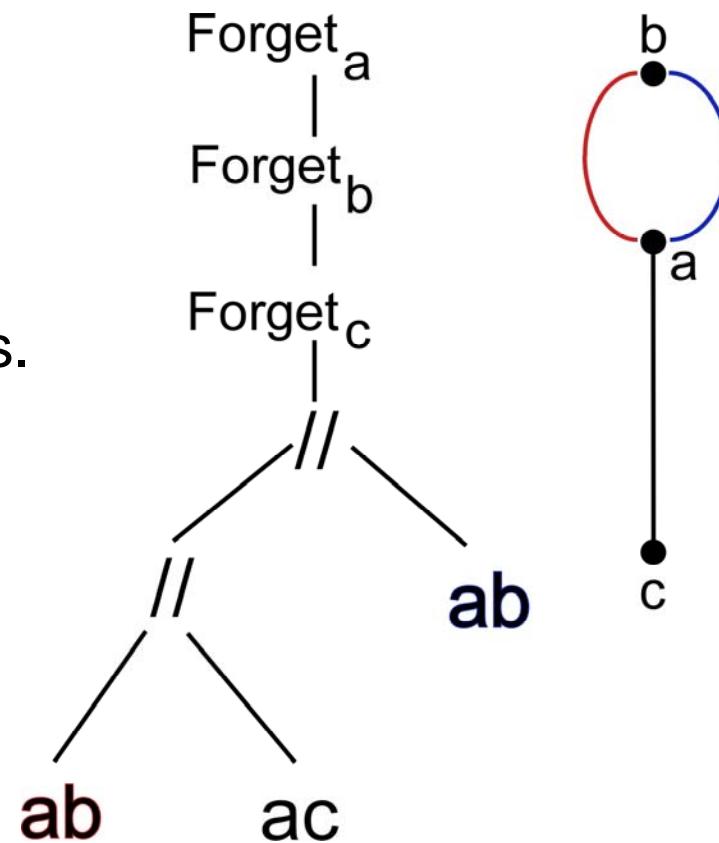
Vertices are in bijection with the occurrences of *Forget* operations.

The edges are at the leaves of the tree, *below* the nodes representing their ends.

The automaton for *edg(X, Y)*

has  $2^{\Theta(k \cdot k)}$  states (compare with  $O(k^2)$  for *sptwd*).

Too bad for a basic property !

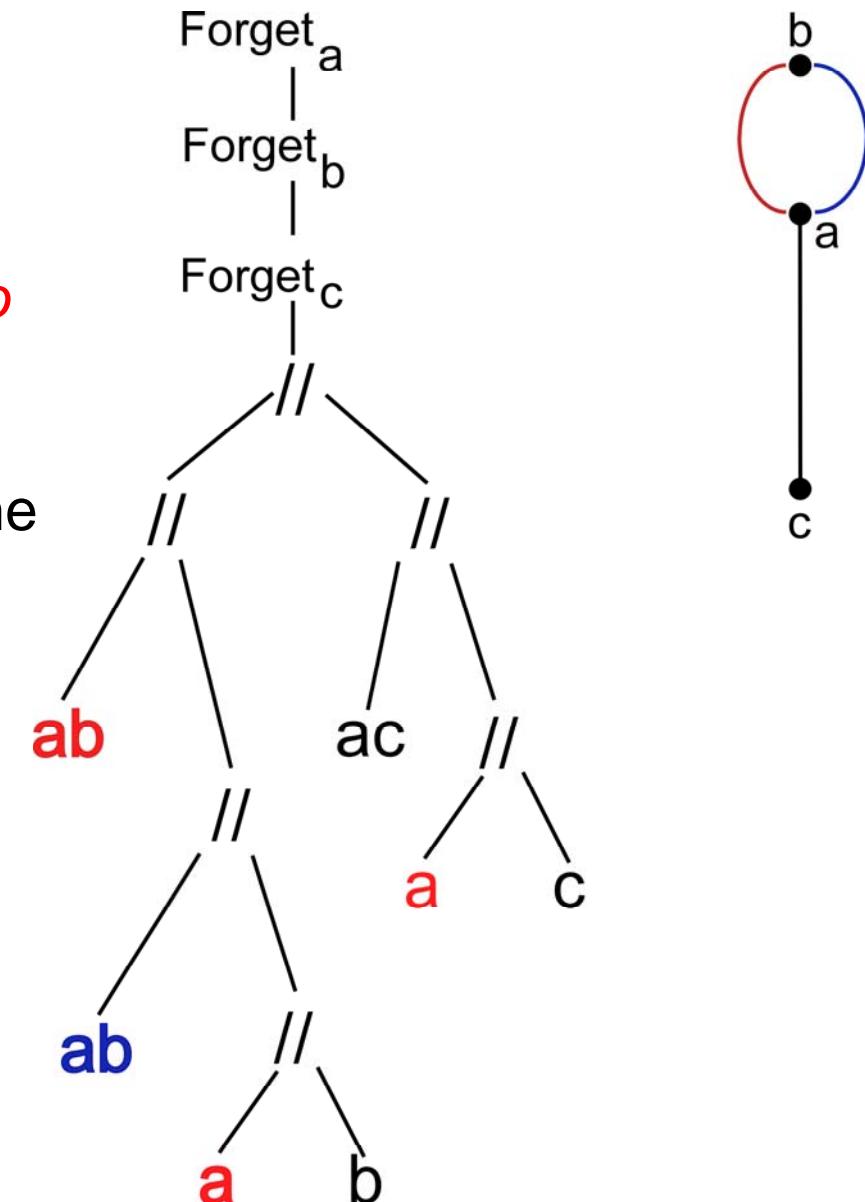


## *Second possibility*

Vertices are at the leaves,  
the edges are at nodes *close to*  
those representing their ends.

Because of **//** which fuses some  
vertices, each vertex is  
represented by several leaves.

On the figure, vertex **a** is  
represented by two leaves.



Equality of vertices is an equivalence relation  $\simeq$  on leaves.

Hence: there exists a set of vertices  $X$  such that ...  
is expressed by:

there exists a set of leaves  $X$ , saturated for  $\simeq$  such that ...

Same exponential blow up as with the second possibility.

The responsible is // (that is not needed for representing  
special tree-decompositions).

## An improvement using annotations



Undirected graphs of tree-width  $\leq k-1$  are denoted by terms over the operations of the HR algebra :  $//$ ,  $\text{Forget}_a$  and the constants  $a$ ,  $ab$  for  $a,b \in [k] = \{1, \dots, k\}$ , *without renamings of labels*.

The vertices are in bijection with the occurrences of the  $\text{Forget}$  operations.

*The annotation*: at each occurrence  $u$  of  $\text{Forget}_a$  representing a vertex  $x$  is attached the set of labels  $b$  such that the first occurrence of  $\text{Forget}_b$  above  $u$  represents a vertex adjacent to  $x$ .

The automaton for  $\text{edg}(X,Y)$  has  $2^{2k} + 2$  states (instead of  $2^{\Theta(k \cdot k)}$ ).

*Remarks :*

**incidence** :  $\text{in}(X,Y)$  uses  $k^2 + 3$  states (for undirected graphs) (only  $k+3$  states for directed graphs).

**adjacency** :  $\text{edg}(X,Y)$ , can be written  $\exists Z (\text{in}(Z,X) \wedge \text{in}(Z,Y))$  (for undirected graphs) which gives a deterministic automaton with  $2^{O(k,k)}$  states.

With this annotation, incidence and adjacency are handled separately on “redundant” representations of graphs by terms.

# Conclusions

1. Using automata for model-checking of MS sentences on graphs of bounded tree-width or clique-width is **not hopeless** if we use **fly-automata**, built from (possibly non-deterministic) “small” automata for **basic graph properties** (and their negations), and for sentences **without quantifier alternation** (in order to keep flexibility, by allowing variations on the input sentences).
2. More tests on significant examples are necessary, and also comparison (theory and practice) with **other approaches** : games, monadic Datalog, specific problems, “Boolean width”.
3. Can one adapt fly-automata to **counting and optimization problems**?

4. Special tree-width is less powerful than tree-width, but the constructions of automata are simpler. The parsing problem is open.
5. In many cases (in particular bounded degree) special tree-width is linearly bounded in tree-width.