



Special tree-width and the verification
of monadic second-order graph properties
with edge quantifications

Bruno Courcelle

Institut Universitaire de France & Université Bordeaux 1, LaBRI

References : Graph structure and monadic second-order logic, book to be published by
Cambridge University Press, see : <http://www.labri.fr/perso/courcell/ActSci.html>

On the model-checking of monadic second-order formulas
with edge set quantifications. *Discrete Applied Maths*, to appear

Main topics of the lecture

Fixed-parameter tractable model-checking algorithms for monadic second-order (MS) sentences on graphs with respect to clique-width and tree-width.

Review of the method and introduction of *fly-automata*.

Introduction of *special tree-width*, a variant of tree-width, motivated by the case of MS sentences using *edge quantifications*.

Two ways of considering graphs

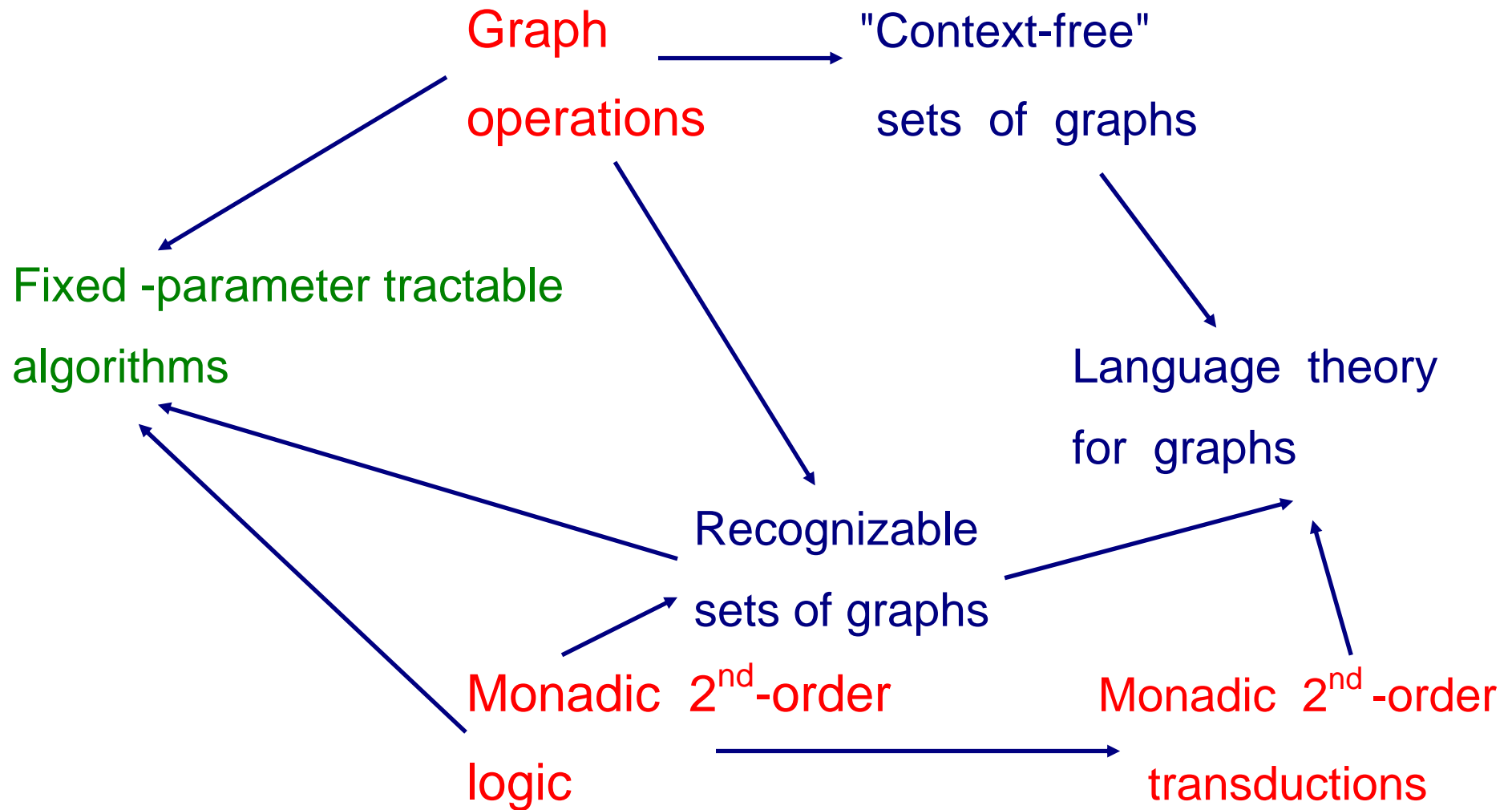
1) A graph (finite, up to isomorphism) is an *algebraic object*,
an element of an algebra of graphs
(Similar to words, elements of monoids)

2) A graph is a *logical structure* ;
graph properties can be expressed by logical formulas
(FO = first-order, MS = monadic second-order, SO = second-order)

Consequences:

- a) *Language Theory* concepts extend to graphs
- b) *Algorithmic meta-theorems*

An overview chart



Some algorithmic meta-theorems

<i>Language</i>	<i>Graphs</i>	<i>Complexity class</i>
FO	All	P
FO	Bounded expansion	Linear (2010)
\exists SO	All	NP
MS ₂ (edge quantif.)	Bounded tree-width	Linear
	Bounded tree-width	LogSpace (2010)
MS	Bounded clique-width	Cubic

Other meta-theorems based on MS logic :

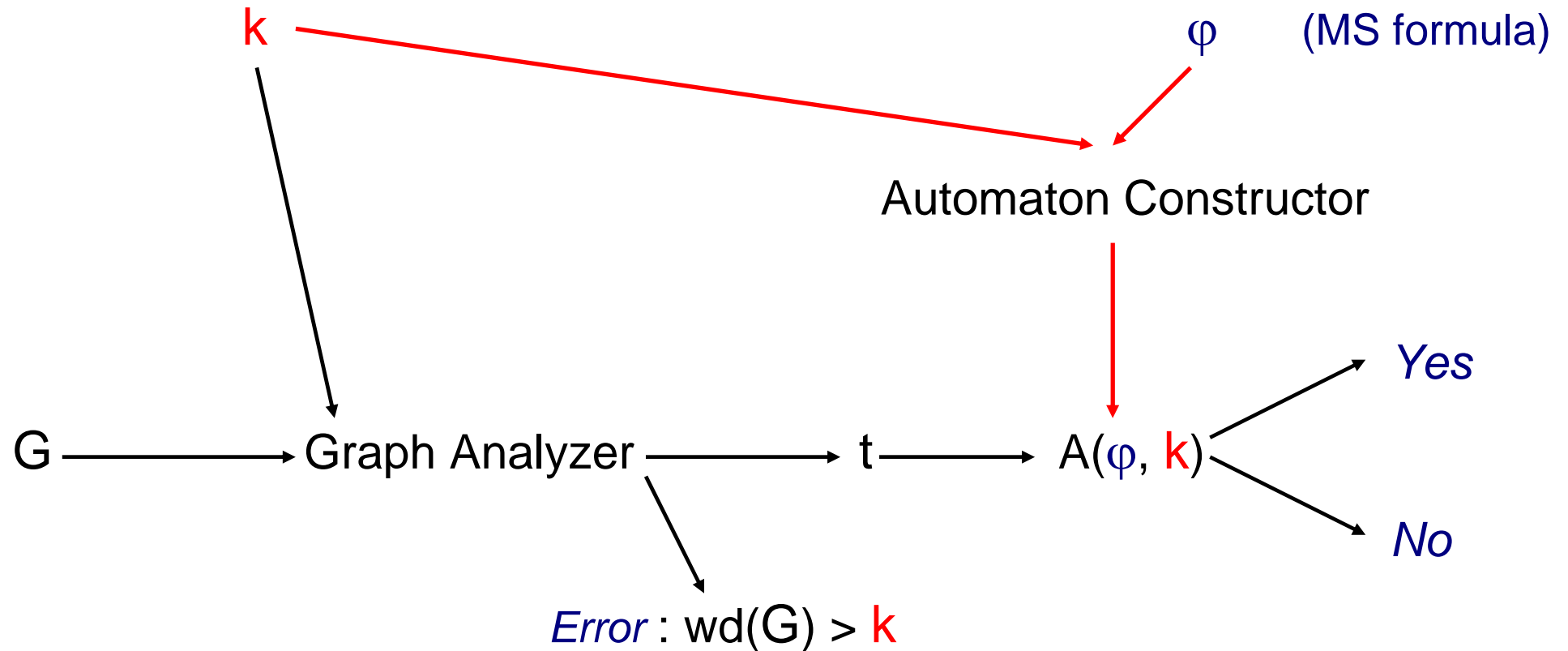
Labelling schemes (or indexing), Enumeration

Kernelization (for FPT algorithms)

Summary of lecture

- 1) Monadic second-order (MS) model checking;
Expressive power of monadic second-order logic
- 2) Two graph algebras, tree-width and clique-width
- 3) Special tree-width (*new*)
- 4) Automata constructed from MS formulas
Case of clique-width
Case of special tree-width
Difficulties with tree-width
- 5) Experiments with *fly*-automata (joint work with Irène Durand)

1. MS model-checking : the general scheme



Steps \longrightarrow done “once for all”, independent of G

$A(\varphi, k)$: finite automaton on terms (wd = tree-width or clique-width or equivalent)

FPT model-checking algorithms

MS formulas

MS₂ formulas

using edge quantifications

$$G = (V_G , \text{edg}_G(\cdot, \cdot))$$

$$\text{Inc}(G) = (V_G \cup E_G , \text{inc}_G(\cdot, \cdot))$$

for G undirected : $\text{inc}_G(e, v) \Leftrightarrow$

v is a vertex (in V_G) of edge e (in E_G)

FPT for clique-width

FPT for tree-width

Expressive powers of logical languages

(Typical examples)

FO : maximal degree = 4 , diameter ≤ 6 , outdegree ≤ 3 .

MS properties that are *not FO* : *3-colorability*

$\exists X, Y$ ("X, Y are disjoint" $\wedge \forall u, v \{ \text{edg}(u, v) \Rightarrow$
[$(u \in X \Rightarrow v \notin X) \wedge (u \in Y \Rightarrow v \notin Y) \wedge (u \notin X \cup Y \Rightarrow v \in X \cup Y) \}$])

Connectedness, negation of :

$\exists X (\exists x \in X \wedge \exists y \notin X \wedge \forall u, v (u \in X \wedge \text{edg}(u, v) \Rightarrow v \in X))$

Planarity (via two forbidden minors K_5 and $K_{3,3}$)

Perfectness (via forbidden holes and anti-holes)

For a word or a term, membership in a fixed *regular language*

(FO property in certain cases)

Expressive powers of logical languages (continued)

MS_2 property that is *not MS* : has a *perfect matching* or a *Hamiltonian circuit* or a *spanning tree of degree ≤ 3*

SO property that is *not MS_2* : has a *nontrivial automorphism*

For a word, is $a^n b^n$ for some n (*nonregular language*).

2. Graph algebras and widths of graphs

Two (*not one*) and only two *robust* (in a precise sense) graph algebras:

the “HR” algebra \rightarrow algebraic characterization of tree-width,

the “VR” algebra \rightarrow definition of clique-width.

Note : “HR” refers to the “Hyperedge-Replacement (context-free) graph grammars”; they generate the equational sets of the “HR” algebra;

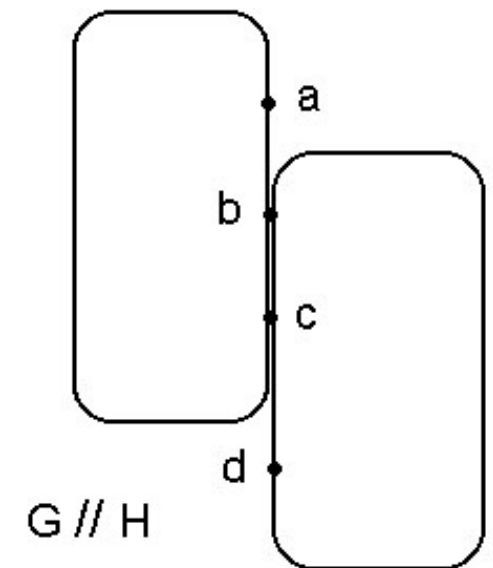
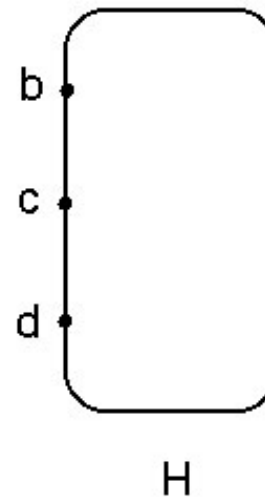
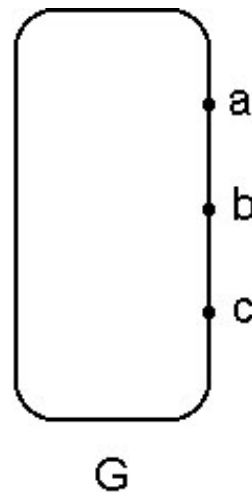
“VR” refers to the “context-free Vertex-Replacement graph grammars”; they generate the equational sets of the “VR” algebra

The “HR” algebra and tree-width

Graphs *with multiple edges*, equipped with distinguished vertices called *sources* (or *boundary vertices* or *terminals*) pointed to by *source labels* from finite sets $\{a, b, \dots, d\}$.

Binary operation : *Parallel composition*

$G // H$ is the disjoint union of G and H , where sources with same name are *fused* (If G and H are not disjoint, one takes a copy of H disjoint from G).



Unary operations : Forget a source label

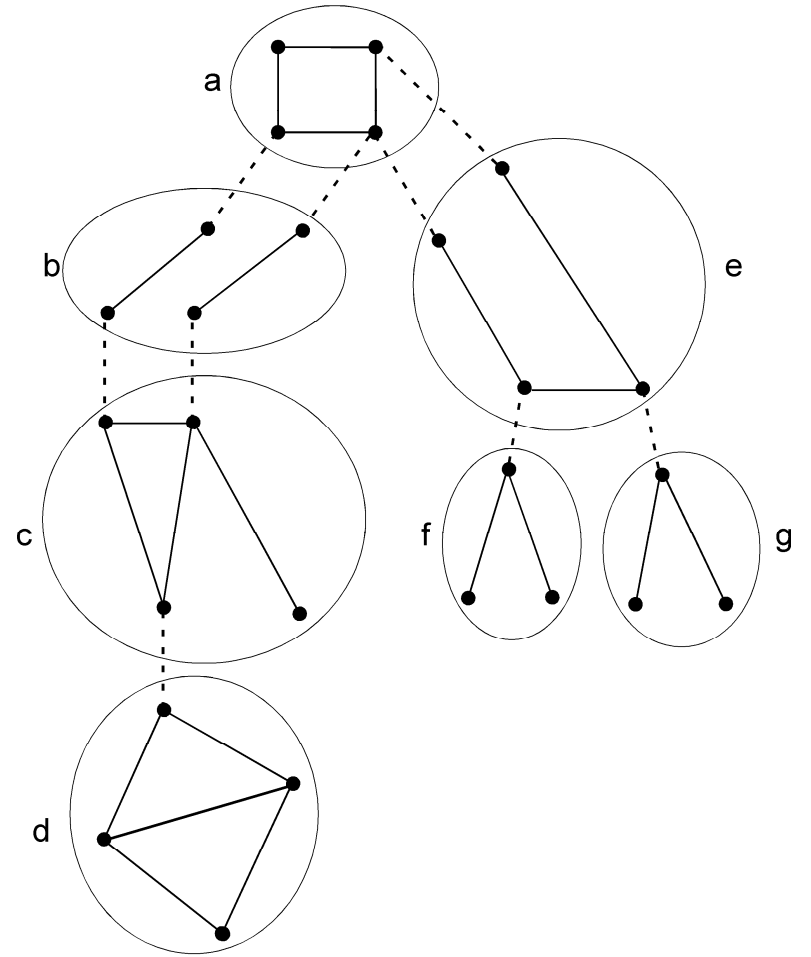
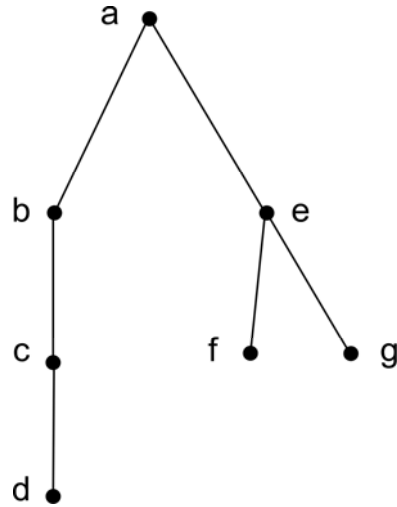
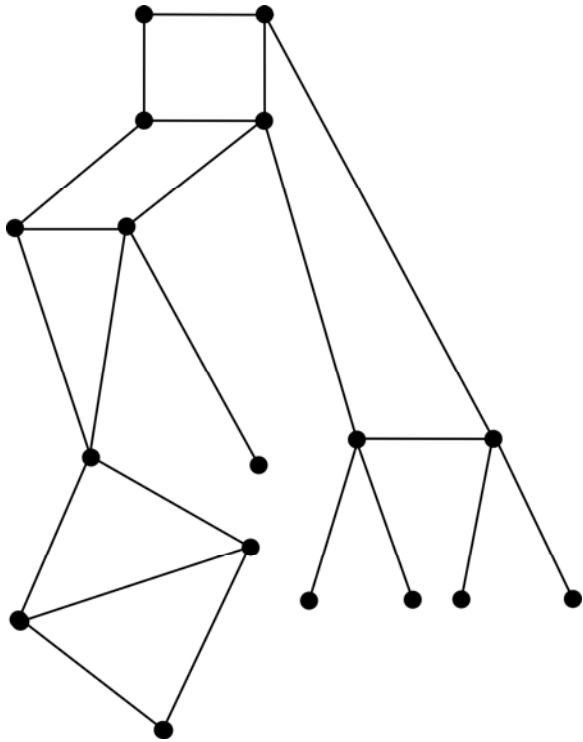
$\text{Forget}_a(G)$ is G without a -source: the source is no longer a distinguished vertex : it is made "internal".

Source renaming :

$\text{Ren}_{a \leftrightarrow b}(G)$ exchanges source labels a and b
(replaces a by b if b is not the label of a source)

Nullary operations denote the most *elementary graphs* :
the connected graphs with at most one edge.

Tree-decompositions



a decomposition of width 3

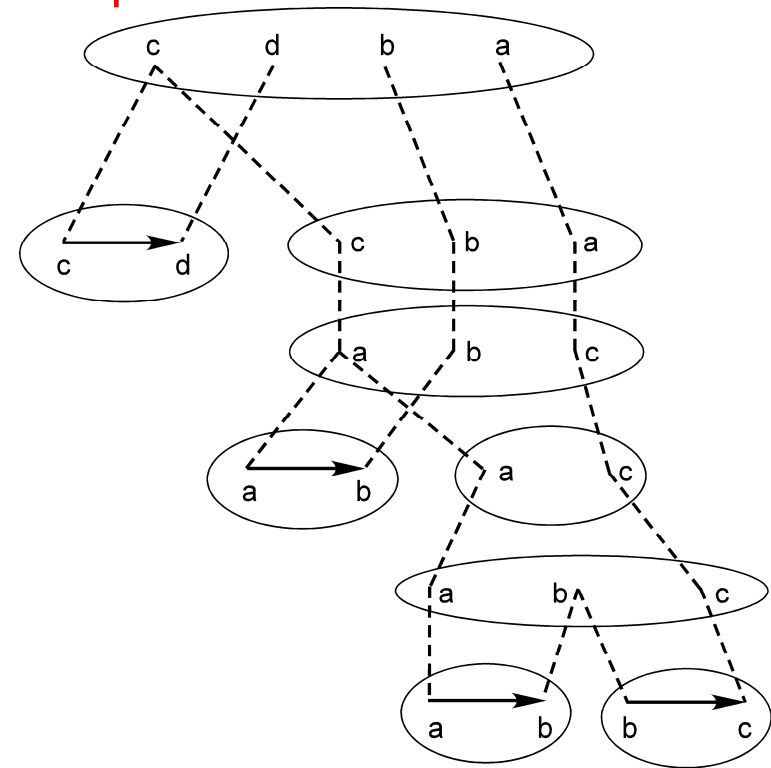
Proposition: A graph has **tree-width** $\leq k \iff$ it can be constructed from basic graphs with $\leq k+1$ labels by using the operations $//$, $Ren_{a \leftrightarrow b}$ and $Forget_a$

From an algebraic expression to a **tree-decomposition**

Example : $cd // Ren_{a \leftrightarrow c} (ab // Forget_b (ab // bc))$

(the constant ab denotes an edge from source a to source b)

The tree-decomposition associated with this term



The “VR” algebra and clique-width.

Clique-width was originally defined for *simple* graphs, but we extend the definitions to graphs with *multiple edges*.

Graphs are loop-free (just to simplify notation).

They have vertex labels : a, b, c, \dots Each vertex has a single label, and each label designates a *set of vertices* (not a unique one as in HR)

Binary operation : *disjoint union* : \oplus

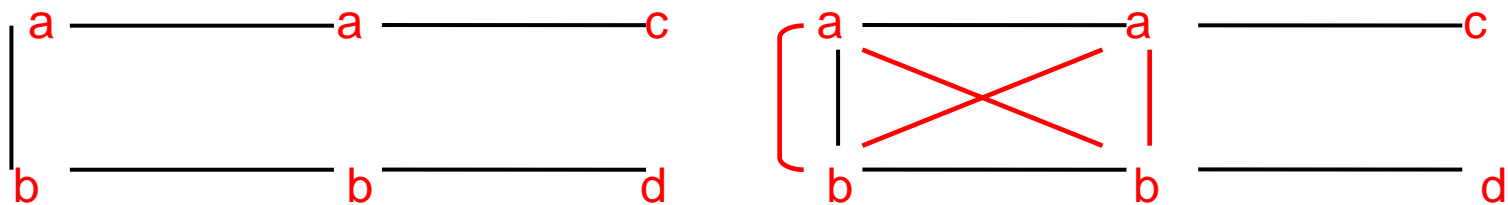
Well-defined up to isomorphism; one takes disjoint copies

$\rightarrow G \oplus G$ is *not* equal to G

Unary operations: *Edge addition* denoted by $Add_{a,b}$:

$Add_{a,b}(G)$ is G augmented with undirected edges between every a -labelled vertex and every b -labelled vertex.

Multiple edges may be created.



The directed version of $Add_{a,b}$ adds directed edges *from* every a -labelled vertex *to* every b -labelled vertex.

Vertex relabellings

$Relab_a \rightarrow b(G)$ is G with every label a changed into b

Variant: $Relab_h(G)$ is G with every label a changed into $h(a)$ for some function $h: C \rightarrow C$; C is the *finite* set of labels.

Basic graphs

a : one vertex labelled by a , for each a in C

\emptyset : the empty graph (yes, it will be useful!)

Definition : A graph G (*not necessarily simple*) has clique-width $\leq k$

\Leftrightarrow it can be constructed from basic graphs with the operations

\oplus , $\overrightarrow{Add_{a,b}}$, $Add_{a,b}$, $Relab_a \longrightarrow b$ and constants a with labels a, b in a set C of k labels.

Its (exact) clique-width $cwd(G)$ is the smallest such k .

Note : It is NP-complete to check if $cwd(G)=k$ (input : (G,k)) (Fellows *et al.*)

Cubic approximation algorithms have been given (Oum, Hlineny, Seymour).

Bounded clique-width : cliques, cographs, distance hereditary graphs, every class of bounded tree-width

Unbounded clique-width : tournaments, planar graphs (even square grids).

Comparison with tree-width

For G undirected (Corneil and Rotics) :

$$\text{cwd}(G) \leq 3 \cdot 2^{\text{tw}(G)-1}$$

For G directed :

$$\text{cwd}(G) \leq 7 \cdot 4^{\text{tw}(G)-1} - 3^{\text{tw}(G)} < 2^{2 \cdot \text{tw}(G) + 1}$$

No polynomial bound : $\text{cwd}(G) \leq \text{poly}(\text{tw}(G))$

In both cases :

$$\text{cwd}(G) \leq \text{pwd}(G) + 2$$

pwd = path-width = tree-width with paths instead of trees

FPT model-checking algorithms

For MS properties, the parameter is clique-width.

For MS_2 properties, the parameter is tree-width and **cannot be clique-width.**

By Kreutzer, Makowsky *et al.* MS_2 model-checking *needs* restriction to bounded tree-width unless $P=NP$, ETH, Exptime=NExptime *etc...*

The case of MS_2 formulas reduces to that of MS ones:

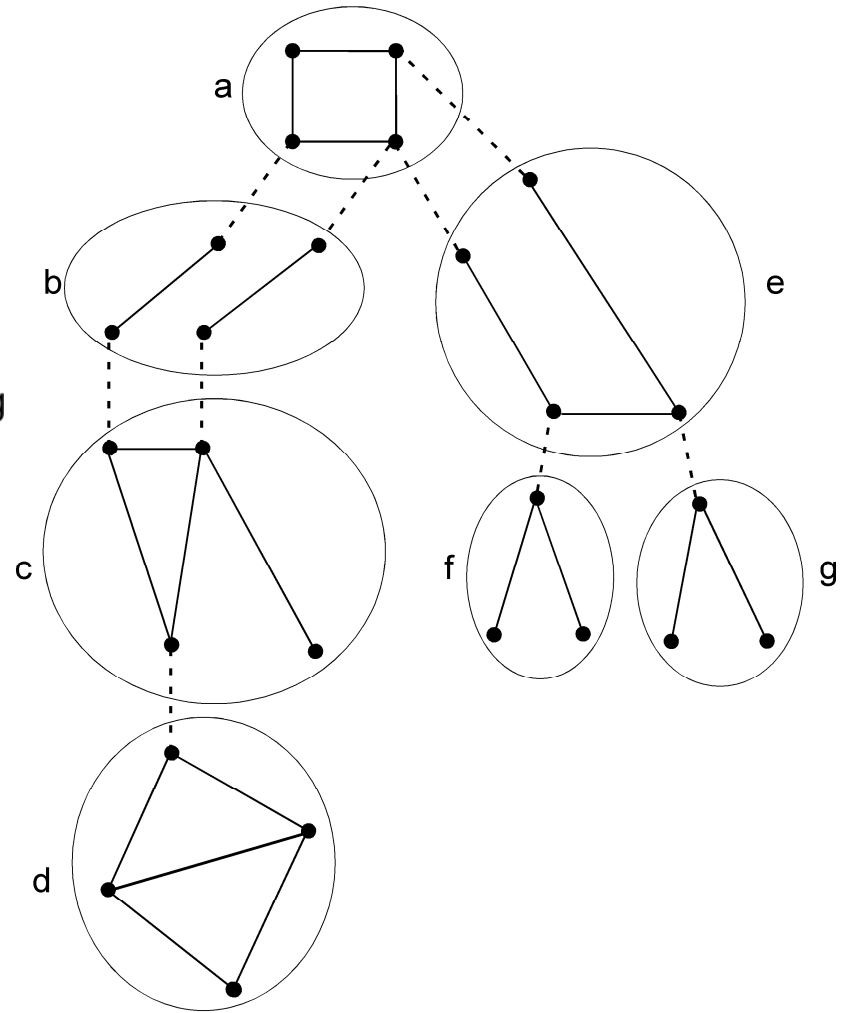
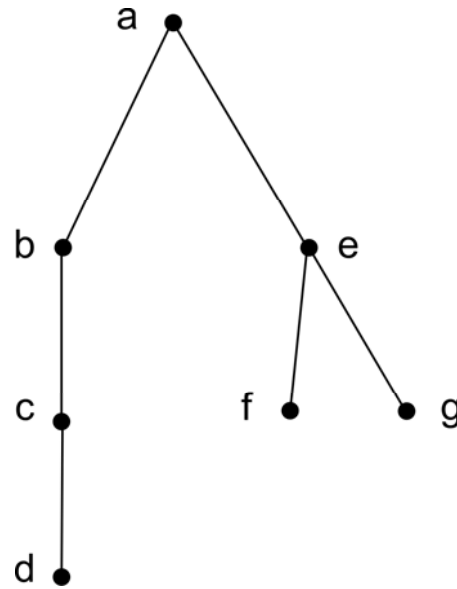
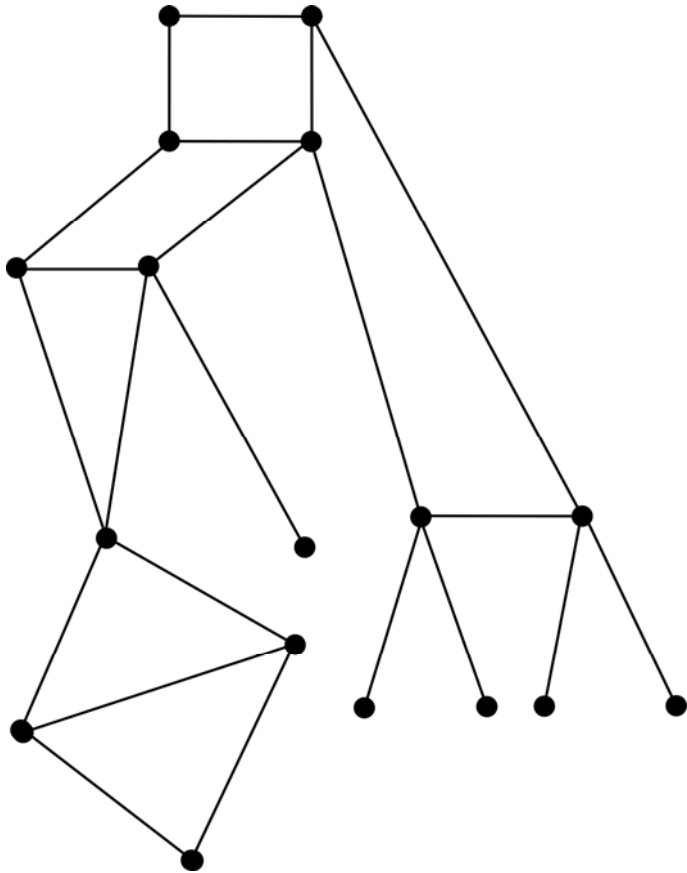
G of tree-width $k \geq 2 \rightarrow \text{Inc}(G)$ has tree-width k ,

hence, clique-width $\leq 2^{O(k)}$ (exponential blow-up)

every MS_2 property of G is an MS property of $\text{Inc}(G)$

3. Special tree-width

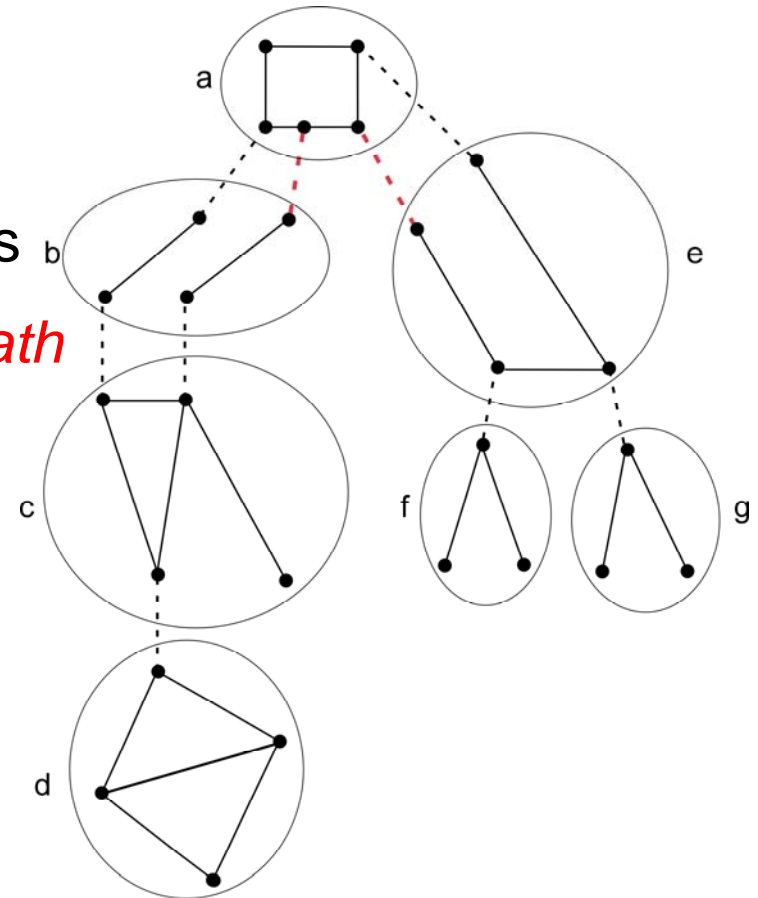
Tree-decompositions



a decomposition of width 3 ($= 4 - 1$).

Definition: Special tree-width is the minimal width of a *special tree-decomposition* (T, f) where :

- (a) T is a rooted tree,
- (b) the set of nodes whose boxes contain any vertex is a *directed path*



Motivations : (1) Comparison with clique-width (no exp. blow-up)

(2) The automata for checking adjacency are exponentially smaller than for bounded tree-width

Properties of special tree-width

twd = tree-width ; pwd = path-width ; **sptwd** = special tree-width ;

cwd = clique-width.

$$1) \text{ twd}(G) \leq \text{sptwd}(G) \leq \text{pwd}(G)$$

$$2) \text{ cwd}(G) \leq \text{sptwd}(G) + 2 \quad (\text{for } G \text{ simple}).$$

whereas $\text{cwd}(G) \leq 2^{2 \cdot \text{twd}(G) + 1}$ (exponential is not avoidable)

$$3) \text{ sptwd}(G) \leq 20 (\text{twd}(G)+1) \cdot \text{MaxDegree}(G)$$

(for a set of graphs of bounded degree, **bounded special tree-width** is *equivalent* to **bounded tree-width**).

- 4) Trees have special tree-width 1 (= tree-width) but graphs of tree-width 2 have *unbounded special tree-width*.
- 5) The class of graphs of special tree-width $\leq k$ is closed under:
- reversals of edge directions,
 - taking *topological minors* (subgraphs and smoothing vertices)
- but *not under taking minors*.

Graphs of tree-width 2 have *unbounded special tree-width*

Proof sketch: If $G \otimes *$ (= G augmented with a universal vertex $*$) has special tree-width k , then it has path-width $\leq k$.

Let G be any tree : $G \otimes *$ has tree-width 2.

If $G \otimes *$ has special tree-width $\leq k$, then G has path-width $\leq k$.

But trees have *unbounded path-width*, hence graphs of tree-width 2 have unbounded special tree-width.

Terms that characterize special tree-width; and construction of automata for MS₂ properties.

Definition: *Special terms*

They use the graph operations that define clique-width for *graphs with multiple edges* (Key point : no “vertex fusion” is needed)

- 1) The set C of labels contains \perp (to mean “terminated vertex”)
- 2) Operations *Relab* $a \longrightarrow c$ and *Add* a,b only if $a, b \neq \perp$
- 3) Subterms define graphs with ≤ 1 vertex labelled by a if $a \neq \perp$
- 4) *Add* $a,b(t)$ allowed as subterm only if $G(t)$ has one vertex x labelled by a and one vertex y labelled by b . Similar definitions for directed graphs.

Edges are added “one by one” and are in bijection with the occurrences of the operations *Add* a,b , that can define *multiple edges*.

Proposition: (1) G has *special tree-width* $\leq k \iff$ it is defined by a *special term* using $\leq k + 2$ labels (including the particular label \perp)

$$(2) \text{cwd}(G) \leq \text{sptwd}(G) + 2$$

We will compare:

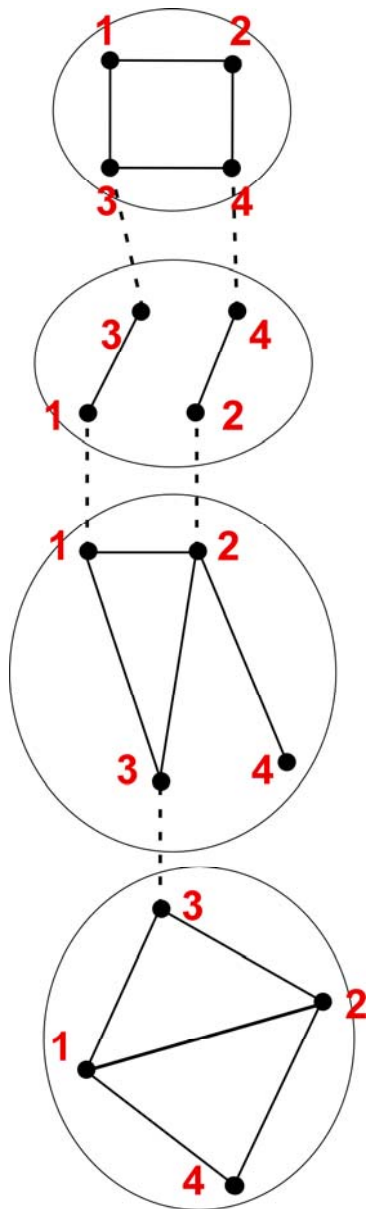
path-width and clique-width,

tree-width and clique-width,

special tree-width and clique-width

Comparing path-width and clique-width :

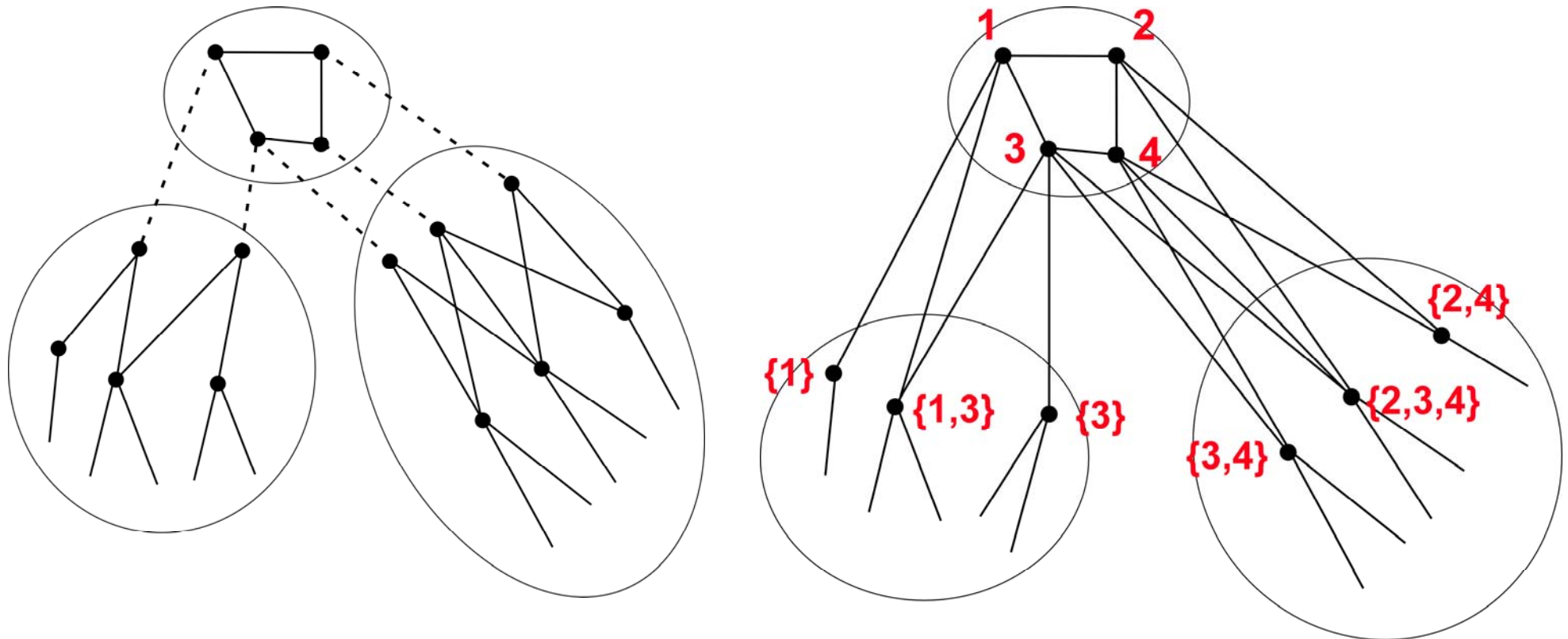
$$\text{cwd}(G) \leq \text{pwd}(G) + 2$$



Idea : By traversing bottom-up the path decomposition, by using 4 colors + \perp , the clique-width operations can add, *one by one*, new vertices (using $\oplus i$) and new edges (using $\text{Add}_{a,b}$ or $\overrightarrow{\text{Add}}_{a,b}$).

\perp is for “terminated vertices”.

For tree-width : $cwd(G) \leq 2^{2.twd(G) + 1}$

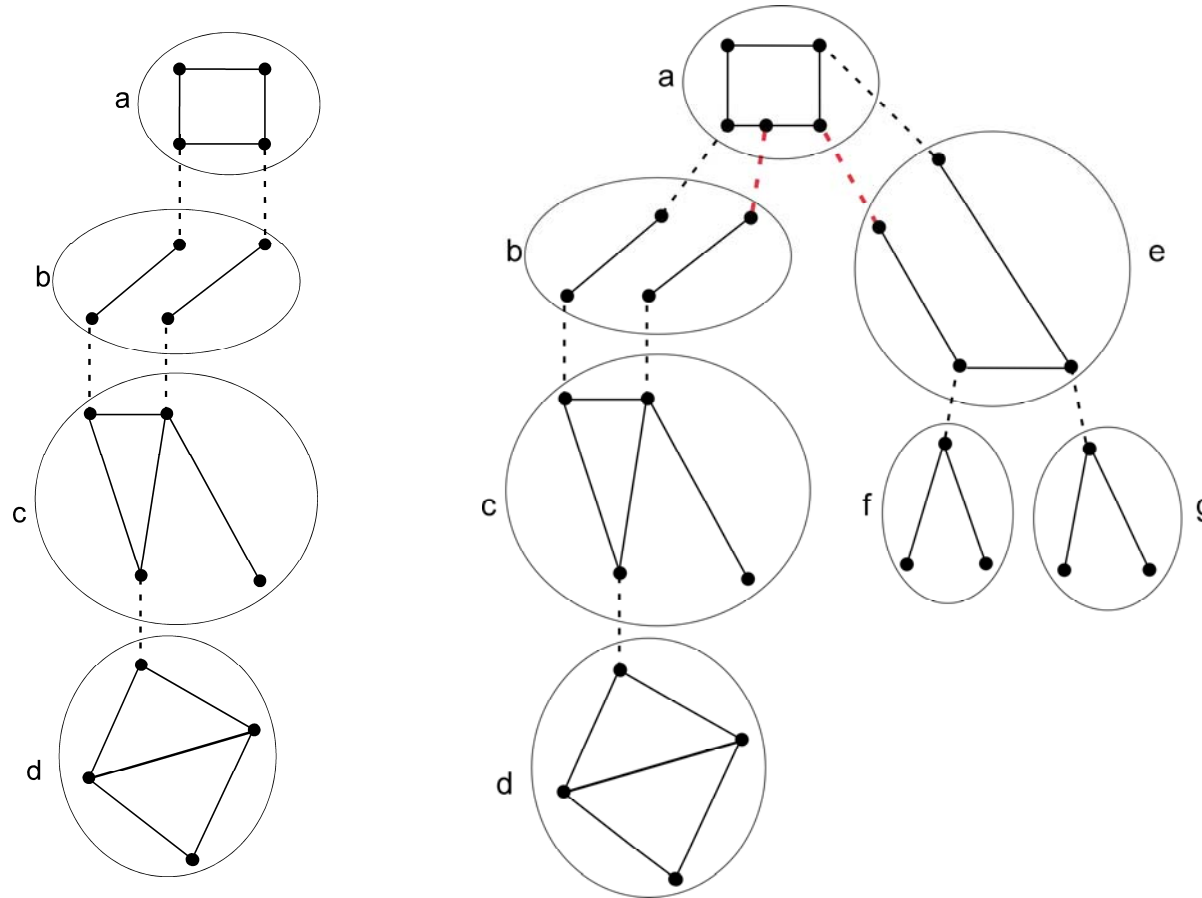


Because of vertex **3**, common to two “son boxes”, of the tree-dec, the previous method does not work. (It **does not allow fusion** of vertices).

If a box of the tree-decomposition has k vertices, then $2^k - 1$ labels are necessary to specify how the vertices below it are linked to its vertices.

($2^{2k} - 1$ for directed graphs).

For special tree-width (as for path-width) : $cwd(G) \leq sptwd(G)+2$



The red dotted edges are **not** incident.

Two “brother” boxes (*b*, *e*) are disjoint.

This is the characteristic property of *special tree-decompositions*

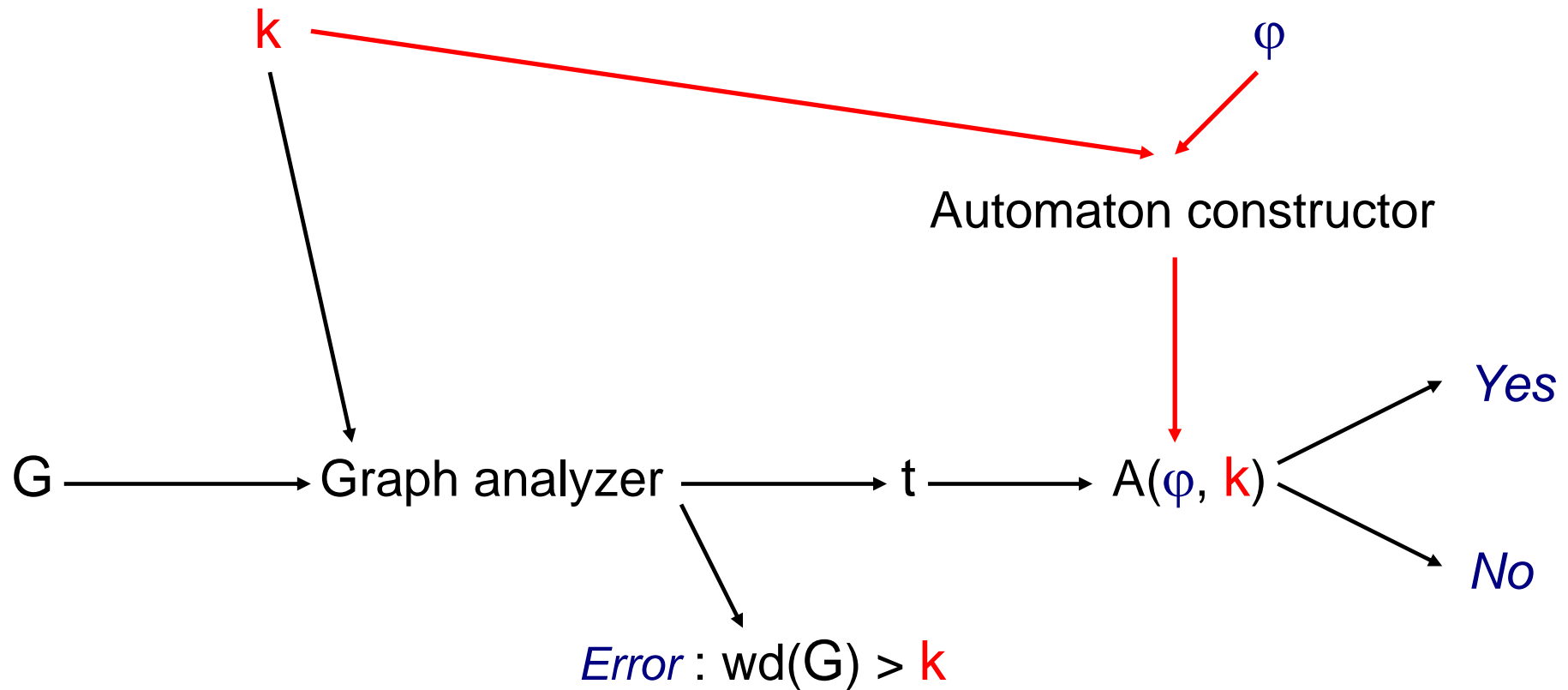
Special tree-width is interesting for model-checking of MS_2 properties (as we will see) but the *parsing* problem is *open* :

Can one find an $O(n^{g(k)})$ algorithm ?:

- that reports that the input graph G (with n vertices) has special tree-width more than k or
- outputs a special tree-decomposition witnessing that the special tree-width of G is $\leq f(k)$ (for a fixed function f hopefully not exponential).

Note: We can use the algorithms producing path-decompositions

4. Automata for MS model-checking



Steps \longrightarrow done “once for all”, independent of G

$A(\varphi, k)$: automaton on terms (wd = tree-width or clique-width or equivalent)

4.1 Construction of $A(\varphi, k)$ for “clique-width” terms

k = the number of vertex labels = the bound on clique-width

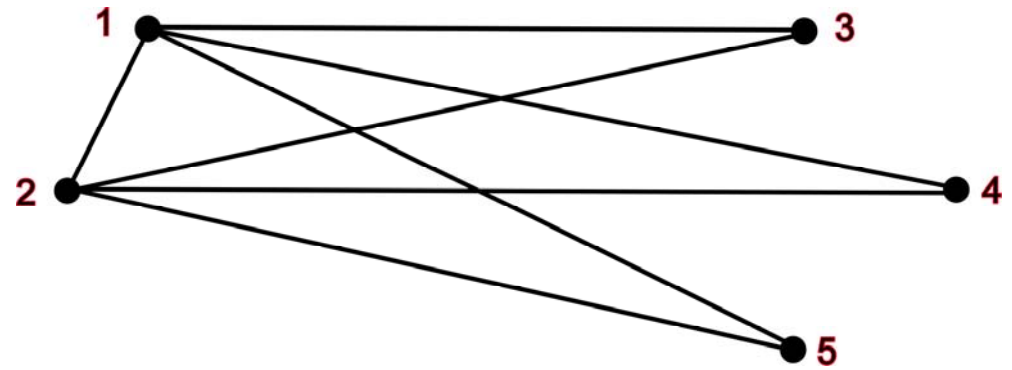
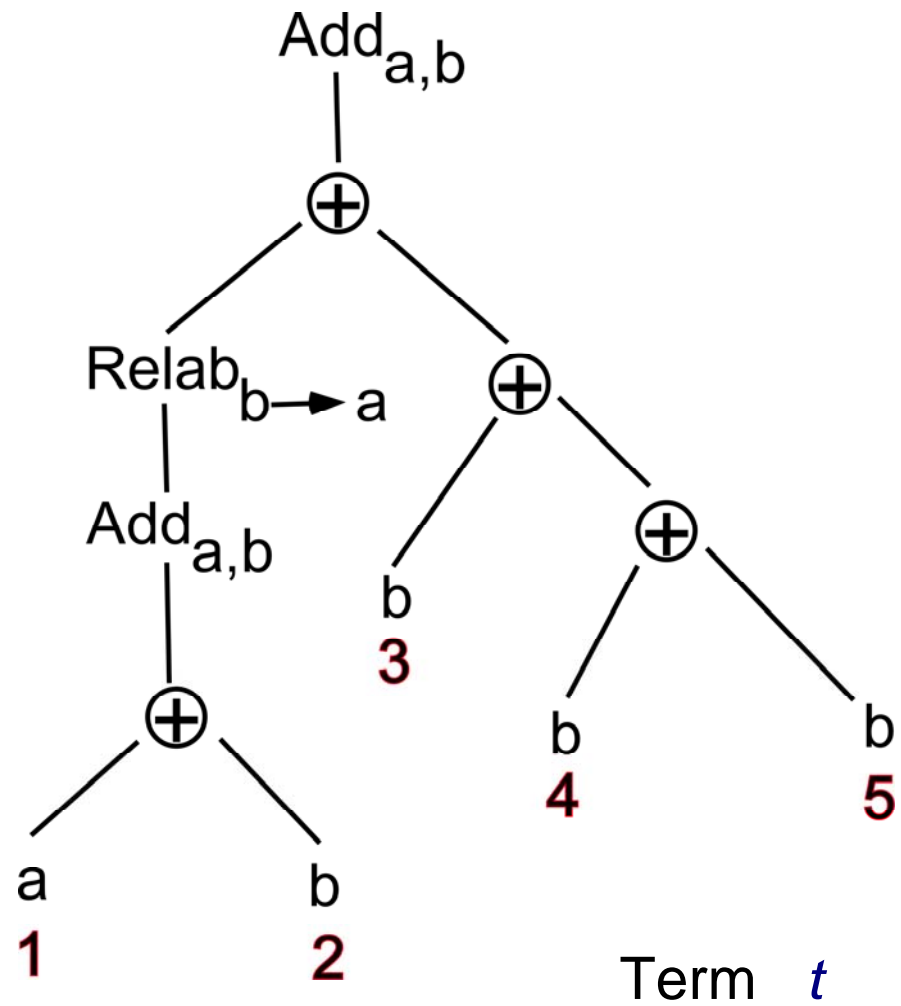
F = the corresponding set of operations and constants :

a , \emptyset , \oplus , $Add_{a,b}$, $Relab\ a \longrightarrow b$

$G(t)$ = the graph defined by a term t in $\mathbf{T}(F)$.

Its vertices are (in bijection with) the occurrences of the constants in t that are not \emptyset

Example :



Terms are equipped with Booleans that encode assignments of vertex sets V_1, \dots, V_n to the free set variables X_1, \dots, X_n of MS formulas (*formulas are written without first-order variables*):

1) we replace in F each constant \mathbf{a} by the constants $(\mathbf{a}, (w_1, \dots, w_n))$ where $w_i \in \{0, 1\}$: we get $F^{(n)}$

(only constants are modified);

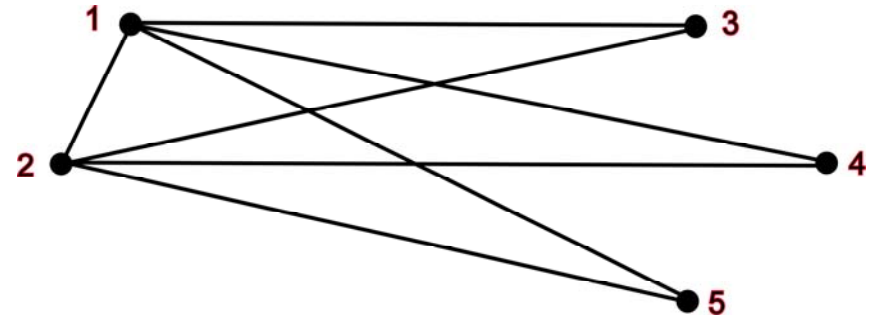
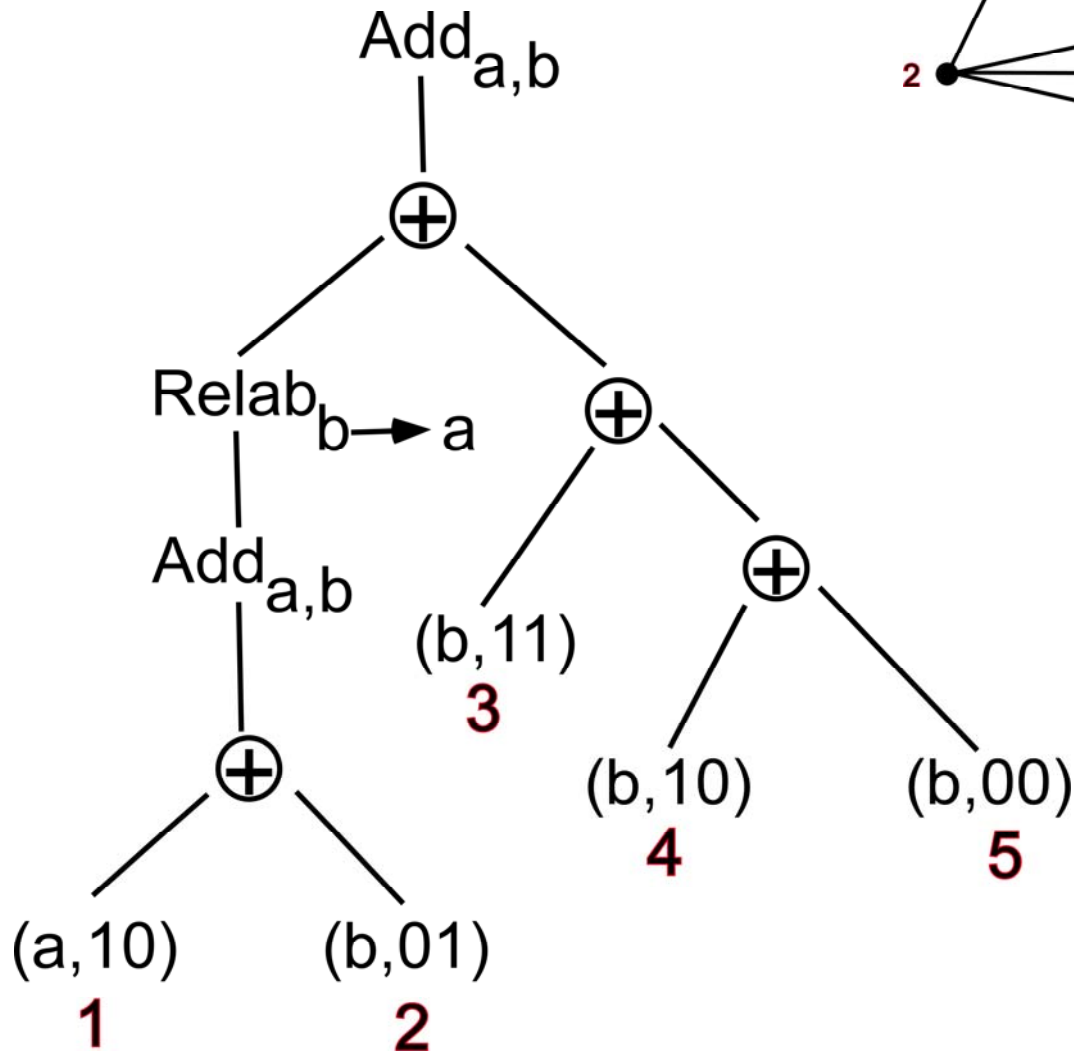
2) a term \mathbf{s} in $\mathbf{T}(F^{(n)})$ encodes a term \mathbf{t} in $\mathbf{T}(F)$ and an assignment of sets V_1, \dots, V_n to the set variables X_1, \dots, X_n :

if \mathbf{u} is an occurrence of $(\mathbf{a}, (w_1, \dots, w_n))$, then

$w_i = 1$ if and only if $\mathbf{u} \in V_i$.

3) \mathbf{s} is denoted by $\mathbf{t}^*(V_1, \dots, V_n)$

Example (continued) :



$$V_1 = \{1,3,4\}, \quad V_2 = \{2,3\}$$

Term $t^*(V_1, V_2)$

By an induction on φ , we construct for each $\varphi(X_1, \dots, X_n)$ a finite (bottom-up) deterministic automaton $A(\varphi(X_1, \dots, X_n), k)$ that recognizes:

$$L(\varphi(X_1, \dots, X_n)) := \{ t^* (V_1, \dots, V_n) \in \mathbf{T}(F^{(n)}) \mid (G(t), (V_1, \dots, V_n)) \models \varphi \}$$

Theorem: For each sentence φ , the automaton $A(\varphi, k)$ accepts in time $f(\varphi, k) \cdot |t|$ the terms t in $\mathbf{T}(F)$ such that $G(t) \models \varphi$

It gives a *fixed-parameter linear* model-checking algorithm for input t , and a *fixed-parameter cubic* one if the graph has to be parsed. (The parameter is clique-width, or, for undirected graphs, the equivalent graph complexity measure *rank-width*)

The inductive construction of $A(\varphi, k)$:

Atomic formulas : discussed below.

For \wedge and \vee : product of two *complete* automata

(deterministic *or not*)

For *negation* : exchange accepting / non-accepting states

for a complete *deterministic* automaton

Quantifications: Formulas are written without \forall

$$L(\exists X_{n+1} \cdot \varphi(X_1, \dots, X_{n+1})) = \text{pr}(L (\varphi(X_1, \dots, X_{n+1})))$$

$$A(\exists X_{n+1} \cdot \varphi(X_1, \dots, X_{n+1})) = \text{pr}(A (\varphi(X_1, \dots, X_{n+1})))$$

where **pr** is the “*projection*” that eliminates the last Boolean.
One obtains a *nondeterministic* automaton.

oOo

The number of states is an **h-iterated exponential**,
where **h** = maximum nesting of negations.

Tools for constructing automata

Substitutions and inverse images (“cylindrifications”).

1) If we know $A(\varphi(X_1, X_2))$, we can get easily $A(\varphi(X_4, X_3))$:

$$L(\varphi(X_4, X_3)) = h^{-1}(L(\varphi(X_1, X_2))) \quad \text{where}$$

h maps $(\mathbf{a}, (w_1, w_2, w_3, w_4))$ to $(\mathbf{a}, (w_4, w_3))$

We take

$$A(\varphi(X_4, X_3)) = h^{-1}(A(\varphi(X_1, X_2)))$$

This construction preserves determinism and the number of states.

2) From $A(\varphi(X_1, X_2))$, we can get $A(\varphi(X_3, X_1 \cup (X_2 \setminus X_4)))$ by h^{-1} with h mapping $(\mathbf{a}, (w_1, w_2, w_3, w_4))$ to $(\mathbf{a}, (w_3, w_1 \vee (w_2 \wedge \neg w_4)))$

Set term

Relativization to subsets by inverse images.

If φ is a closed formula expressing a graph property P , its relativization $\varphi [X_1]$ to X_1 expresses that the subgraph induced on X_1 satisfies P . To construct it, we replace recursively

$$\exists y. \theta \quad \text{by} \quad \exists y. y \in X_1 \wedge \theta, \text{ etc...}$$

However, there is an easy transformation of automata :

we let h map $(\mathbf{a}, 0)$ to \emptyset and $(\mathbf{a}, 1)$ to \mathbf{a} .

$$L(\varphi [X_1]) = h^{-1} (L(\varphi))$$

Hence:

$$A(\varphi [X_1]) := h^{-1} (A(\varphi))$$

The inductive construction (continued) :

Complete *deterministic* automata for atomic formulas and basic graph properties : automaton over $F^{(n)}$ recognizing the set of terms

$$t^* (V_1, \dots, V_n) \text{ in } L(\varphi(X_1, \dots, X_n))$$

Intuition : in all cases, the *state* at node u represents a *finite information* $q(u)$ about the graph $G(t/u)$ and the restriction of (V_1, \dots, V_n) to the vertices below u (vertices = leaves)

1) if $u = f(v, w)$, we want that $q(u)$ is defined from $q(v)$ and $q(w)$ by a fixed function : the *transition function* ;

2) whether $(G(t), V_1, \dots, V_n)$ satisfies $\varphi(X_1, \dots, X_n)$ must be checkable from $q(\text{root})$, giving the *accepting states*.

Atomic formulas (1) : $\text{edg}(X_1, X_2)$ for directed edges

Vertex labels are from a set C of k labels.

$\text{edg}(X_1, X_2)$ means : $X_1 = \{x\} \wedge X_2 = \{y\} \wedge x \longrightarrow y$

k^2+k+3 *states* : 0, Ok, $a(1)$, $a(2)$, ab , Error, for a, b in C , $a \neq b$

Meaning of states (at node u in t ; its subterm t/u defines $G(t/u) \subseteq G(t)$).

0 : $X_1 = \emptyset$, $X_2 = \emptyset$

Ok *Accepting state* : $X_1 = \{v\}$, $X_2 = \{w\}$, $\text{edg}(v, w)$ in $G(t/u)$

$a(1)$: $X_1 = \{v\}$, $X_2 = \emptyset$, v has label a in $G(t/u)$

$a(2)$: $X_1 = \emptyset$, $X_2 = \{w\}$, w has label a in $G(t/u)$

ab : $X_1 = \{v\}$, $X_2 = \{w\}$, v has label a , w has label b (hence $v \neq w$)
and $\neg \text{edg}(v, w)$ in $G(t/u)$

Error : all other cases

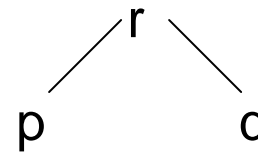
Transition rules

For the constants based on **a** :

(a,00) \rightarrow 0 ; **(a,10)** \rightarrow a(1) ; **(a,01)** \rightarrow a(2) ; **(a,11)** \rightarrow Error

For the binary operation \oplus :

(p,q,r are states)



If p = 0 then r := q

If q = 0 then r := p

If p = **a(1)**, q = **b(2)** and **a** \neq **b** then r := **ab**

If p = **b(2)**, q = **a(1)** and **a** \neq **b** then r := **ab**

Otherwise r := Error

For unary operations $\overrightarrow{Add}_{a,b}$

r
|
p

If $p = ab$ then $r := Ok$ else $r := p$

For unary operations $Relab_a \rightarrow b$

If $p = a(i)$ where $i = 1$ or 2 then $r := b(i)$

If $p = ac$ where $c \neq a$ and $c \neq b$ then $r := bc$

If $p = ca$ where $c \neq a$ and $c \neq b$ then $r := cb$

If $p = Error$ or 0 or Ok or $c(i)$ or cd or dc where $c \neq a$

then $r := p$

Other atomic or basic formulas (2)

$X_1 \subseteq X_2$, $X_1 = \emptyset$, $\text{Single}(X_1)$,

$\text{Card}_{p,q}(X_1)$: cardinality of X_1 is p modulo q ,

$\text{Card}_{< q}(X_1)$: cardinality of X_1 is $< q$.

→ Easy constructions with small numbers of states : 2, 2, 3, q , $q+1$.

Sizes of some deterministic automata : $k = \text{bound on clique-width}$

Property	Partition (X_1, \dots, X_p)	edg(X, Y)	NoEdge	Connected, NoCycle for degree $\leq p$	Path(X, Y)	Connected, NoCycle
Number of states $N(k)$	2	$k^2 + k + 3$	2^k	$2^{O(p.k.k)}$	$2^{O(k.k)}$	$2^{2^{O(k)}}$

For **connectedness**, the minimal (deterministic) automaton has

more than $2^{2^{k/2}}$ states.

Other constructions yield **nondeterministic** automata for connectedness and for its negation with $2^{O(k.k)}$ states

Difficulties in practice :

Parsing : construction of terms (based on tree-decompositions or other graph decompositions). The *linear-time exact* parsing algorithm by Bodlaender (for tree-width) and the *cubic approximate* parsing algorithm by Hlineny & Oum (for clique-width via *rank-width*) are not implementable.

Bodlaender reports about usable algorithms for (non-random) graphs with 50 vertices and tree-width ≤ 35

Specific algorithms : (1) Flow-graphs of structured programs have **tree-width** at most 6 and tree-decompositions are easy from the parse trees of programs (Thorup).

(2) For certain graph classes of bounded **clique-width** defined by forbidden induced subgraphs, optimal clique-width terms can be constructed in polynomial time (by using *modular decomposition*).

The *sizes* of the automata $A(\varphi, k)$.

They may be too large to be *practically compiled*.

The construction by induction on the structure of φ may need intermediate automata of huge size, even if the *unique minimal deterministic* automaton equivalent to $A(\varphi, k)$ has a manageable number of states.

Examples: Soguet *et al.* using MONA have constructed automata for the following cases ; no success for clique-width 4 :

	<i>Clique-width 2</i>	<i>Clique-width 3</i>
MaxDegree ≤ 3	91 states	Space-Out
Connected	11 states	Space-Out
IsConnComp(X)	48 states	Space-Out
Has ≤ 4 -VertCov	111 states	1037 states
HasClique ≥ 4	21 states	153 states
2-colorable	11 states	57 states

Examples of automata too large to be constructed, i.e., “compiled”, even “directly”, without using the general construction.

for $k = 2$: 4-colorability, 3-acyclic-colorability, NoCycle (i.e., is a forest)

for $k = 5$: 3-colorability, clique

for $k = 4$: connectedness.

This is not avoidable :

The number of states of $A(\varphi, k)$ is bounded by an h -iterated exponential where h is the number of quantifier alternations of φ .

There is no alternative construction giving an upper bound with a bounded nesting of exponentiations (Meyer & Stockmeyer, Weyer, Frick & Grohe).

Remedy : using *fly-automata*.

States and transitions are **not listed** in huge tables.

They are specified (in uniform ways for all k) by “small” programs.

Example of a state for connectedness :

$$q = \{ \{a\}, \{a,b\}, \{b,c,d\}, \{b,d,f\} \},$$

a,b,c,d,f are vertex labels; q is the set of *types* of the connected components of the current graph.

Some transitions :

$$\text{Add}_{a,c} : \quad q \longrightarrow \{ \{a,b,c,d\}, \{b,d,f\} \},$$

$$\text{Relab}_{a \rightarrow b} : \quad q \longrightarrow \{ \{b\}, \{b,c,d\}, \{b,d,f\} \}$$

Transitions for \oplus : union of sets of types.

This method works for formulas with no quantifier alternation but using the “basic formulas”.

Examples : **p**-acyclic colorability

$$\exists X_1, \dots, X_p \left(\text{Partition}(X_1, \dots, X_p) \wedge \text{NoEdge}(X_1) \wedge \dots \wedge \text{NoEdge}(X_p) \wedge \dots \right. \\ \left. \dots \wedge \text{NoCycle}(X_i \cup X_j) \wedge \dots \right)$$

with $\text{NoCycle}(X_i \cup X_j)$ for every $i < j$.

Minor inclusion : **H** simple, loop-free. $\text{Vertices}(H) = \{v_1, \dots, v_p\}$

$$\exists X_1, \dots, X_p \left(\text{Disjoint}(X_1, \dots, X_p) \wedge \text{Conn}(X_1) \wedge \dots \wedge \text{Conn}(X_p) \wedge \dots \right. \\ \left. \dots \wedge \text{Link}(X_i, X_j) \wedge \dots \right)$$

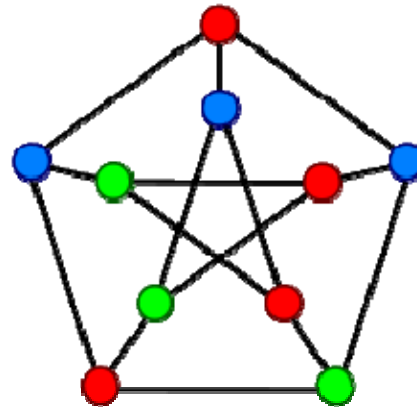
with $\text{Link}(X_i, X_j)$ for each edge $v_i \text{ --- } v_j$ of **H** ; means that there exists an edge between X_i and X_j , and $\text{Conn}(X_i)$ means that X_i induces a connected graph.

Some experiments with fly-automata (by Irène Durand, LaBRI)

3-colorability of the 6 x 300 grid (of clique-width 8) in less than 2 hours,

4-acyclic-colorability of the Petersen graph (clique-width 7) in 17 minutes.

(3-colorable but not acyclically;
red and **green** vertices
induce a cycle).



New tool : Annotations



At some positions in the given term, we attached some (finite) contextual information.

Example :

At position u in a term t , we attach the set

$\text{ADD}_t(u)$ = the set of pairs (a,b) such that some operation

$\text{Add}_{c,d}$ *above* u (hence, in its “context”) adds edges between the (eventual) vertices *below* u labelled by a and b .

These sets can be computed in *linear time* by means of a top-down traversal of t .

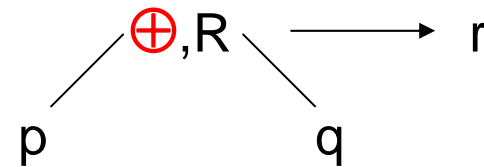
Certain automata on **annotated terms** may have less states.

Example: $edg(X_1, X_2)$: $2k+3$ states instead of $k^2 + k + 3$ (cf. page 44):

0, Ok, **a**(1), **a**(2), Error, for **a** in C.

Transitions for \oplus annotated by R :

(p, q, r are states)



if $p = 0$ then $r := q$; if $q = 0$ then $r := p$;

if $p = \mathbf{a}(1)$, $q = \mathbf{b}(2)$ and $(\mathbf{a}, \mathbf{b}) \in R \wedge$ then $r := \text{Ok}$;

and if $(\mathbf{a}, \mathbf{b}) \notin R \wedge$ then $r := \text{Error}$;

if $p = \mathbf{b}(2)$, $q = \mathbf{a}(1)$: *idem* ;

otherwise $r := \text{Error}$.

Other examples :

For *Clique*(X) meaning that X induces a clique :

$2^k + 2$ states instead of $2^{O(k.k)}$.

For *Connectedness* : same states but they “shrink” quicker :

cf. the rules for *Add*_{a,c} on page 53.

4.2 Automata for the model-checking of MS_2 formulas

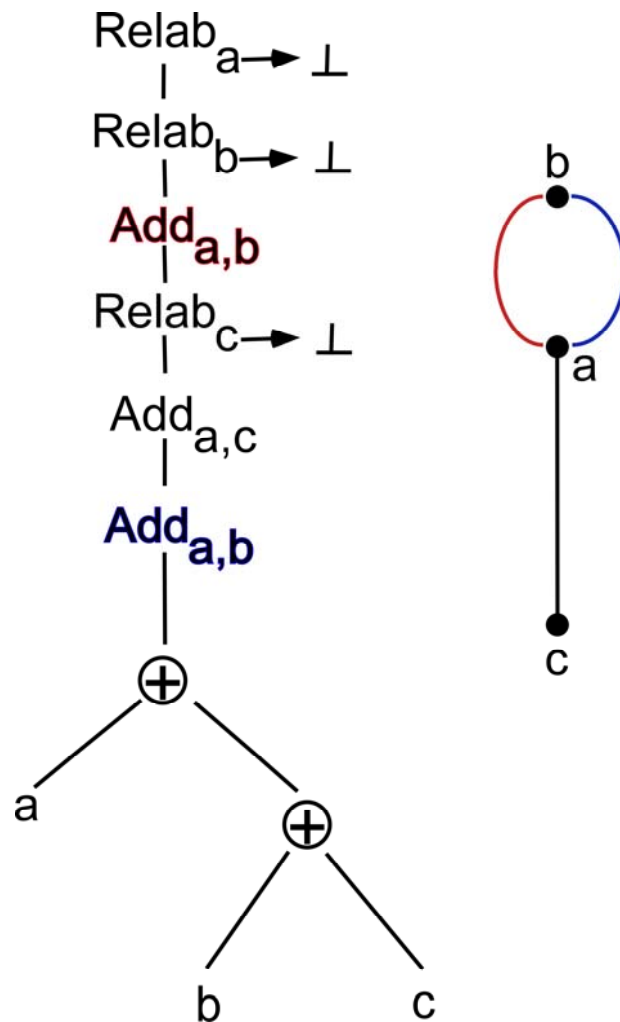
We need :

- 1) Terms to represent graphs, over appropriate operations.
- 2) A representation of vertices *and edges* by occurrences of operations and constants in these terms.

2.1 : For “*clique-width*” terms : we have *no* good representation of edges because each occurrence of $Add_{a,b}$ may add simultaneously an unbounded number of edges.

2.2 : For *special terms* : each edge is produced by a unique occurrence of $Add_{a,b}$. This gives what we want for graphs of bounded *special tree-width* (but not for bounded tree-width).

Using **special terms** :



The leaves represent the vertices.

The nodes labelled $Add_{a,b}$ and $Add_{a,c}$ represent the edges ; each occurrence of $Add_{a,b}$ represents one of the two parallel edges

The automata for $edg(X,Y)$ and $inc(X,Y)$ (incidence) have

$O(k^2)$ and $O(k)$ states respectively for **sptwd** at most k .

2.3 : Case of terms characterizing **tree-width**

First idea : make them into “clique-width terms” for the *incidence graph*. But:

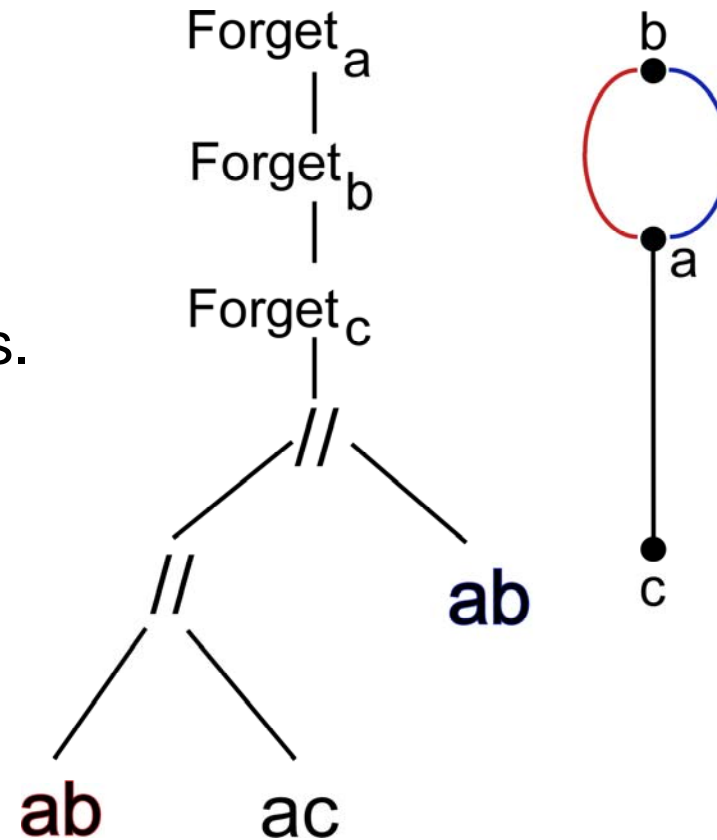
clique-width $\leq 2^{O(\text{tree-width})}$ \rightarrow too large automata.

Second idea : handling them “directly”, as for “clique-width terms”

The difficulty is to have a bijection between nodes in the term and the vertices and edges of the graph.

First possibility

Vertices are in bijection with the occurrences of *Forget* operations. The edges are at the leaves of the tree, *below* the nodes representing their ends.



The automaton for $edg(X, Y)$ has $2^{\Theta(k \cdot k)}$ states (compare with $O(k^2)$ for $sptwd$).

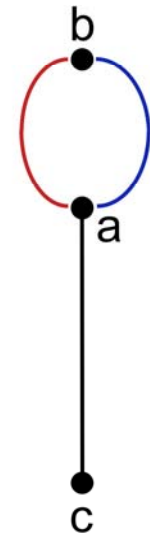
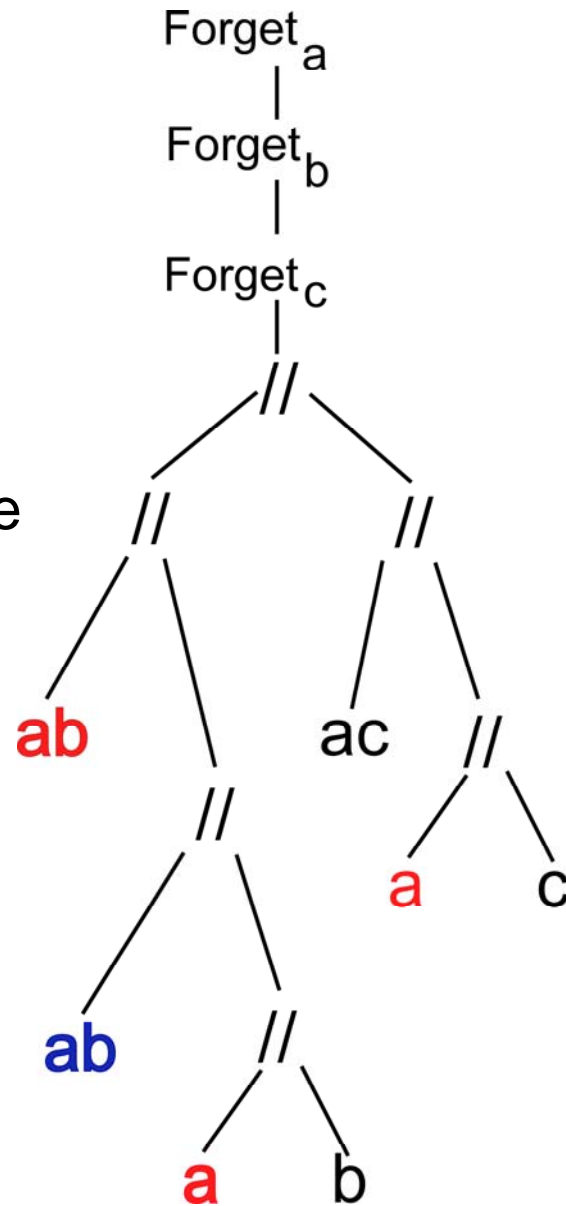
Too bad for a basic property !

Second possibility

Vertices are at the leaves,
the edges are at nodes *close to*
those representing their ends.

Because of // which fuses some
vertices, each vertex is
represented by several leaves.

On the figure, vertex **a** is
represented by two leaves.



Equality of vertices is an equivalence relation \simeq on leaves.

Hence: there exists a set of vertices X such that ...

is expressed by:

there exists a set of leaves X , saturated for \simeq such that ...

Same exponential blow up as with the second possibility.

The responsible is // (that is not needed for representing special tree-decompositions).

An improvement using annotations



Undirected graphs of tree-width $\leq k-1$ are denoted by terms over the operations of the HR algebra : $//$, $Forget_a$ and the constants a , ab for $a, b \in [k]=\{1, \dots, k\}$, *without renamings of labels*.

The vertices are in bijection with the occurrences of the $Forget$ operations.

The annotation : at each occurrence u of $Forget_a$ representing a vertex x is attached the set of labels b such that the first occurrence of $Forget_b$ above u represents a vertex adjacent to x .

The automaton for $edg(X, Y)$ has $2^{2k} + 2$ states (instead of $2^{\Theta(k \cdot k)}$).

Remarks :

incidence : $\text{in}(X,Y)$ uses $k^2 + 3$ states (for undirected graphs)
(only $k+3$ states for directed graphs).

adjacency : $\text{edg}(X,Y)$, can be written $\exists Z (\text{in}(Z,X) \wedge \text{in}(Z,Y))$
(for undirected graphs) which gives a deterministic
automaton with $2^{O(k.k)}$ states.

With this annotation, incidence and adjacency are handled
separately on “redundant” representations of graphs by
terms.

Conclusions

1. Using automata for model-checking of MS sentences on graphs of bounded tree-width or clique-width is **not hopeless** if we use **fly-automata**, built from (possibly non-deterministic) “small” automata for **basic graph properties** (and their negations), and for sentences **without quantifier alternation** (in order to keep flexibility, by allowing variations on the input sentences).

2. More tests on significant examples are necessary, and also comparison (theory and practice) with **other approaches** : games, monadic Datalog, specific problems, “Boolean width”.

3. Can one adapt fly-automata to **counting and optimization problems?**

4. **Special tree-width** is less powerful than tree-width, but the constructions of automata are simpler. The **parsing** problem is open.

5. In many cases (in particular bounded degree) special tree-width is **linearly bounded in tree-width**.