

# Compact Forbidden-set Routing

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## Abstract

We study labeling schemes for  $X$ -constrained path problems. Given a graph  $(V, E)$  and  $X \subseteq V$ , a path is  $X$ -constrained if all intermediate vertices avoid  $X$ . We study the problem of assigning labels  $J(x)$  to vertices so that given  $\{J(x) : x \in X\}$  for any  $X \subseteq V$ , we can route on the shortest  $X$ -constrained path between  $x, y \in X$ . This problem is motivated by Internet routing, where the presence of routing policies means that shortest-path routing is not appropriate. For graphs of tree width  $k$ , we give a routing scheme using routing tables of size  $O(k^2 \log^2 n)$ . We introduce  $m$ -clique width, generalizing clique width, to show that graphs of  $m$ -clique width  $k$  also have a routing scheme using size  $O(k^2 \log^2 n)$  tables.

**STACS topics** : Algorithms, data structures : labelling schemes, compact routing.

## 1 Introduction

The *forbidden-set routing problem* is: given a graph  $G = (V, E)$  where each vertex  $u \in V$  has a forbidden set  $S(u) \subseteq V$ , we want to construct a routing scheme that routes from  $u$  to  $v$  on a shortest path where all intermediate vertices avoid  $S(u)$ . The problem is motivated by Internet routing, where nodes (routers) can independently set routing policies that assign costs to paths, thus making the shortest path not necessarily the most desirable. Shortest-path routing is well-understood, for example Thorup and Zwick [15] have given a compact routing scheme using  $\tilde{O}(\sqrt{n})$  size tables, which is almost optimal for stretch-3 paths. On the other hand, very little is known about the complexity of forbidden-set routing. The only known algorithms for policy routing (such as BGP) use Bellman-Ford iteration to construct so-called stable routing trees – for each destination, a tree is rooted at that destination and packets are forwarded along it. Varadhan et al.[16] showed that the policies may conflict, forcing the algorithm to not converge. For general policies, Griffin et al.[10] showed that deciding if it will converge is NP-complete, and Feigenbaum and Karger et al.[8] showed that NP-completeness still holds for forbidden-set policies. This

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motivates the problem of designing efficient routing schemes that do not suffer from non-convergence, for simple classes of policy such as forbidden-set.

## 2 Preliminaries

Let  $G = (V, E)$  be an undirected graph and  $X \subseteq V$  a set of vertices (the extension to directed graphs is straightforward). An  $X$ -constrained path is a path in  $G$  where all intermediate vertices avoid  $X$ . We write  $G \setminus X$  to mean the subgraph of  $G$  induced by  $V \setminus X$ . We denote by  $G[X]$  the subgraph of  $G$  induced by  $X$ . We denote by  $G_+[X]$  the graph consisting of  $G[X]$  and weighted edges where an edge between  $x$  and  $y$  has weight  $d$  iff  $d$  is the length of a shortest path in  $G$  between  $x$  and  $y$  of length at least 2 with no intermediate vertex in  $X$ . Between two vertices, one may have one edge without value and another one with value at least 2.

The graph  $G_+[X]$  may be of interest for solving other problems. For example, from the knowledge of  $G_+[X]$ , for any  $Y \subseteq X$  we can get the length of a shortest  $Y$ -constrained path in  $G$  between any  $x, y \in X$ . If  $F$  is a set of broken edges and all endpoints of these edges are in  $X$ , we can compute from  $G_+[X]$  the length of a shortest path in  $G$  between  $x$  and  $y$  avoiding the broken edges in  $F$  where  $x, y \in X$ . The graph  $G_+[X]$  captures the separator structure of  $G$  since there is no edge between  $x, y$  in  $G_+[X]$  iff  $X$  is a separator of  $x, y$  in  $G$ , thus the problem can be seen as constructing a distributed encoding of the separators of a graph. In all cases we say that we consider an  $X$ -constrained path problem.

Our objective is to label each vertex  $x$  by a label  $J(x)$ , as short as possible, in such a way that  $G_+[X]$  can be constructed from  $\{J(x) : x \in X\}$ . If we can determine the length of  $X$ -constrained paths then we call  $J(x)$  an  $X$ -constrained distance labeling. Our main result is a labeling scheme with labels of size  $O(k^2 \log^2(n))$  where  $k$  is a bound on the  $m$ -clique width ( $mcwd$ ) of the graph, a generalization of clique width that we will introduce. Since graphs with tree width ( $twd$ )  $k$  have  $mcwd$  at most  $k + 3$ , and graphs with clique width ( $cwd$ )  $k$  have  $mcwd$  at most  $k$ , the results follow for the cases of tree width and clique width at most  $k$ .

Our notation follows Courcelle and Vanicat [7]. For a finite set  $C$  of constants, a finite set  $F$  of binary function symbols, we let  $T(F, C)$  be the set of finite well-formed terms over these two sets (terms will be discussed as labelled trees). The size  $|t|$  of a term  $t$  is the number of occurrences of symbols from  $C \cup F$ . Its height  $ht(t)$  is 1 for a constant and  $1 + \max\{ht(t_1), ht(t_2)\}$  for  $t = f(t_1, t_2)$ . Let  $a$  be a real number. A term  $t$  is said to be  $a$ -balanced if  $ht(t) \leq a \log |t|$  (all logarithms are to base 2). Let  $t$  be a term evaluating to a graph  $G = val(t)$  (definitions are given below). For a node  $u$  in  $t$ ,  $val(t/u)$  is the subgraph represented by evaluating the subterm rooted at  $u$ .

Note that the graph problem ‘is there a path  $x$  to  $y$  that avoids vertices in  $Z$ ?’ is definable in monadic second-order logic (and so is its optimization version), and thus by the result of [7], graphs of bounded clique width have labels of size  $O(\log n)$  bits. However, because of using logic, the constant factor is a tower of

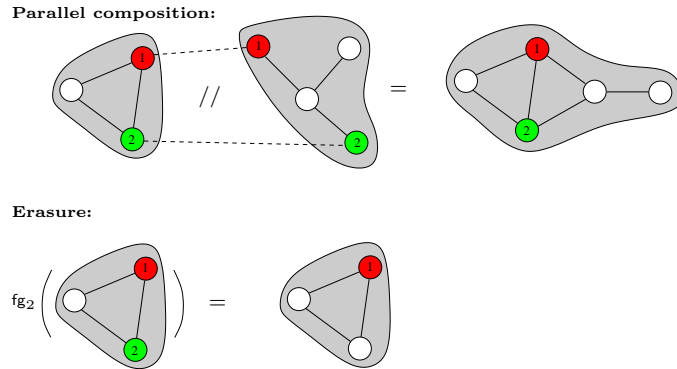


Figure 1: The parallel composition and erasure operations for constructing graphs of tree width  $k$

exponentials in  $\text{cwd}(G)$  and so for non-constant clique width becomes huge.

### 3 The case of tree width

Before presenting our main result on  $m$ -clique width graphs, we describe a labeling scheme for graphs of tree width  $k$ . A graph having tree width  $k$  can be expressed as the nondisjoint union of graphs of size  $k + 1$ , arranged as nodes in a tree such that the set of tree nodes containing some graph vertex forms a connected subtree of the tree (often called a *tree decomposition*). We shall work with a different, algebraic representation of graphs.

#### 3.1 Balanced tree width expressions

Every graph of tree width  $k$  can be represented by an algebraic expression (term). A  $j$ -source graph is a graph with at most  $j$  distinguished vertices called *sources*, each tagged with one of  $j$  distinct labels in  $\{1, \dots, k + 1\}$ . Courcelle [5, 1] shows that a graph has tree width  $k$  iff it is isomorphic to  $\text{val}(t)$  for some term  $t$  whose leaves are  $(k + 1)$ -source graphs and where every non-leaf node is labeled with one of the following operations, as illustrated in Figure 1.

- *Parallel composition:* The graph  $G // H$  is obtained from the disjoint union of graphs  $G$  and  $H$  where sources having the same label are fused together into a single vertex.
- *Erasure:* For  $a \in \{1, \dots, k + 1\}$ , the unary operation  $\text{fg}_a(G)$  erases the label  $a$  and the corresponding source in  $G$  is no longer a source vertex.

The term tree can be constructed given a tree decomposition of the graph – Corollary 2.1.1 of Courcelle [5] shows that given a tree decomposition of width

$k$  of a graph, it is possible to construct in linear time a term tree using at most  $k + 1$  source labels. The nodes of the term tree are the bags of the tree decomposition; hence the height and degree are unchanged.

The following result of Bodlaender shows how to obtain a balanced tree width expression with a small increase in tree width.

**Lemma 1 (Bodlaender [2])** *Given a tree decomposition of width  $k$  and a graph  $G$  with  $n$  vertices, one can compute a binary tree decomposition of  $G$  of height at most  $2 \log_{5/4}(2n)$  and width at most  $3k + 2$  in time  $O(n)$ .*

### 3.2 A labeling scheme for tree width $k$ graphs

Assume that we have an  $a$ -balanced term  $t$  for some constant  $a$ , with  $val(t) = G$ , and assume wlog that there are no sources remaining after evaluating the term tree, i.e. all sources are eventually erased. The vertices of  $G$  are in bijection with erasure operations, thus we shall use the same identifier  $u$  to refer to both a vertex in  $G$  and its unique corresponding erasure operation in the term tree. We now describe a labeling  $J(u)$  to compute the length of shortest  $X$ -constrained paths.

Every node  $u$  in  $t$  has some state  $Q(u)$  associated with it, which for now assume to be the collection of graphs  $\{val(t/u) \setminus Y : Y \subseteq \{1, \dots, k + 1\}\}$ . As in Courcelle and Vanicat[7], the label  $J(u)$  stores a string describing the *access path* from the root to the node in  $t$  representing  $u$  (rather than a leaf of  $t$ ), and the state for every node adjacent to its access path. In addition, the label contains the source label of the node  $u$  in  $val(t/u)$ . If  $u$  has the source label  $s_u$  then the string is of the form

$$J(u) = (s_u, f_1, i_1, Q(s_{3-i_1}(u_1)), \dots, f_h, i_h, Q(s_{3-i_h}(u_h)))$$

where  $h$  is the height of  $t$ ,  $f_1 \dots f_h$  are the operations on the path (e.g.  $// \text{fg}_a \dots$ ),  $i_1 \dots i_h \in \{1, 2\}$  indicate whether to take the left or right branch and  $s_1(u)$  (respectively  $s_2(u)$ ) denote the left (respectively right) child of  $u$  in  $t$ . The states  $Q(s_{3-i_1}(u_1))Q(s_{3-i_1}(u_2)) \dots Q(s_{3-i_1}(u_h))$  are the states of nodes adjacent to the access path for  $u$ . Since each set of at most  $O(k)$  erasure operations can be identified with  $O(k)$  bits and the term tree has height  $O(\log n)$ , the access path can be described using  $O(k \log n)$  bits (excluding the space to store the states).

We now describe how to use the labeling to find the length of the shortest  $X$ -constrained path between  $u, v$ . Assume that  $u, v \notin X$ . For a vertex  $x \in G$ , we let  $Path(x)$  be the path from the corresponding vertex  $x$  of  $t$  to the root. For a node  $u$  of  $t$ , let  $X(u)$  be the subset of  $X$  whose corresponding erasure operations are all ancestors of  $u$  in  $t$  (i.e. the subset of  $X$  represented by sources in  $val(t/u)$ ).

We construct the graph  $Rep(t)[X]$  by adding for each node  $u$  adjacent to an access path  $Path(x)$  for  $x \in X$ , the subgraph  $val(t/u) \setminus X(u)$ . To each vertex  $x$  in this graph, associate two pieces of information: a number  $F(x)$  that uniquely identifies the node in  $t$  where the source is erased, and the source label  $S(x)$  of  $x$  in  $val(t/x)$ . Then add edges (corresponding to parallel compositions) between

CONSTRUCT-SOURCE-DISTANCE-GRAPH( $G$ )

Input: a  $j$ -source graph  $G$   
Output: the source distance graph  $G'$  on  $j$  vertices

- 1 Set  $w(u, v) = w(v, u) = 1$  if  $\{u, v\} \in E(G)$   
and  $\infty$  otherwise
- 2 **while** ( $G$  contains a non-source node)
- 3     **do** Let  $u$  be any non-source node in  $G$
- 4         For each pair of neighbours  $x, y$  of  $u$
- 5              $w(x, y) = w(y, x)$   
                $= \min\{w(x, u) + w(u, y), w(x, y)\}$
- 6         Remove  $u$  from  $G$
- 7         Set  $w(v, u) = w(u, v) = \infty$  for all  $v$
- 8 Return  $G' = G$

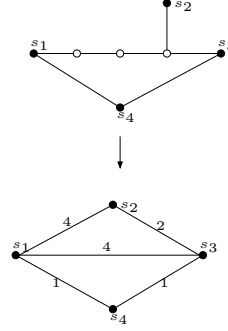


Figure 2: Constructing source distance graphs by contracting paths of non-source nodes

nodes  $x, y$  where  $F(x) = F(y)$  and  $S(x) = S(y)$ . The length of the shortest  $X$ -constrained path between  $u, v$  in  $G$  equals the length of the shortest path in  $Rep(t)[X]$  between two vertices  $x, y$  where  $x$  is a source corresponding to node  $u$  in  $G$  and  $y$  is a source corresponding to  $v$  in  $G$ .

Now we consider how to efficiently represent the state  $Q(u)$ . At first it might seem that one needs to store all  $2^{O(k)}$  graphs, for every set of sources. We improve this by letting  $Q(u)$  be a compressed graph called the *source distance graph*. This has the property that for any set  $Y$  of sources, the distance of sources in  $val(t/u) \setminus Y$  equals their distance in the source distance graph  $Q(u) \setminus Y$ . The graph is constructed by contracting paths of non-source vertices in  $val(t/u)$ , as in Figure 2. Since the edge weights in the source distance graph are in the range  $[1, n]$ , it can be represented using  $O(k^2 \log n)$  bits.

The correctness of the labeling scheme relies on the fact that the connectivity of sources in  $G // H$  is completely determined by the connectivity of sources in  $G$  and  $H$ . vertices  $u, v$  are connected in  $G // H$  iff we can find a path by examining only the connectivity of sources in  $G$  and  $H$  and the subterms adjacent to the access paths of  $u, v$ . For routing, we can augment the labeling to compute the next hop on the shortest  $X$ -constrained path by associating with each edge  $(x, y)$  in the source distance graph  $Q(u)$  the next hop (possibly a non-source vertex) on the shortest non-source path represented by the contracted edges from  $x$  to  $y$ . We can then use this information to construct a *compact routing scheme* that routes on shortest  $X$ -constrained paths with routing tables of equal size to the labels.

**Theorem 2** *Graphs of tree width  $k$  have set-constrained distance labels of size  $O(k^2 \log^2 n)$  bits, where  $n$  is the number of vertices.*

## 4 The case of m-clique width

We now extend the result of the previous section for tree width. The following sections introduce some tools : balanced terms, the new notion of m-clique width and the main construction. The concept of tree width (*tw*) is weaker than clique width (*cw*) : any graph with tree width  $k$  has clique width at most  $2 \cdot 2^k - 1$  [3] but cliques have *cw* 2 and unbounded *tw*.

### 4.1 Balanced m-clique width expressions

Let  $L$  be a finite set of vertex labels. A *multilabelled graph* is a triple  $G = (V_G, E_G, \delta_G)$  consisting of a graph  $(V_G, E_G)$  and a mapping  $\delta_G$  associating with each  $x$  in  $V_G$  the set of its labels, a subset of  $L$ . A vertex may have zero, one or several labels.

The following constants will be used: for  $A \subseteq L$  we let  $\mathbf{A}$  be a constant denoting the graph  $G$  with a single vertex  $u$  and  $\delta_G(u) = A$ . We write  $\mathbf{A}(u)$  if we need to specify the vertex  $u$ . The following binary operations will be used: for  $R \subseteq L \times L$ , *relabellings*  $g, h : L \rightarrow \mathcal{P}(L)$  ( $\mathcal{P}(L)$  is the powerset of  $L$ ) and for multilabelled graphs  $G$  and  $H$  we define  $K = G \otimes_{R,g,h} H$  iff  $G$  and  $H$  are disjoint (otherwise we replace  $H$  by a disjoint copy) where

$$\begin{aligned} V_K &= V_G \cup V_H \\ E_K &= E_G \cup E_H \cup \{\{v, w\} : v \in V_G, w \in V_H, R \cap (\delta_G(v) \times \delta_H(w)) \neq \emptyset\} \\ \delta_K(x) &= (g \circ \delta_G)(x) = \{a : a \in g(b), b \in \delta_G(x)\} \text{ if } x \in V_G \\ \delta_K(x) &= (h \circ \delta_H)(x) \text{ if } x \in V_H \end{aligned}$$

As in the operations by Wanke [17] we add edges between two disjoint graphs, that are the 2 arguments of (many) binary operations. This is a difference with clique width [6] using a single binary operation.

**Notation and definitions** We let  $F_L$  be the set of all binary operations  $\otimes_{R,g,h}$  and  $C_L$  be the set of constants  $\{\mathbf{A} : A \subseteq L\}$ . Every term  $t$  in  $T(F_L, C_L)$  denotes a multilabelled graph  $val(t)$  with labels in  $L$ , and every multilabelled graph  $G$  is the value of such a term for large enough  $L$ . We let  $mcwd(G)$  be the minimum cardinality of such a set  $L$  and call this number the *m-clique width* of  $G$ . We now compare  $mcwd$  with *cw* and *tw* [7, 6].

**Proposition 3** *For every unlabelled undirected graph  $G$ ,*

$$\begin{aligned} mcwd(G) &\leq cw(G) \leq 2^{mcwd(G)+1} - 1 \\ mcwd(G) &\leq tw(G) + 3 \end{aligned}$$

It follows that the same sets of graphs have bounded clique width and bounded m-clique width. Our motivation for introducing m-clique width is the following result:

**Proposition 4** *There exists a constant  $a$  such that, every graph of  $m$ -clique width  $k$  is the value an  $a$ -balanced term in  $T(F_L, C_L)$  for some set  $L$  of cardinality at most  $2k$ .*

No such result is known for balanced clique width expressions.

## 4.2 Adjacency labeling for $m$ -clique width graphs

For a vertex  $x \in G$ , let  $Path(x)$  be the path  $(u_m = x, u_{m-1}, \dots, u_0)$  from the corresponding node  $x$  of  $t$  to the root ( $=u_0$ ). For a term  $t$ , let  $m = ht(t)$  be its height. We now describe how to construct an adjacency labeling  $I(x)$ . Let  $I(x) = (L_m, e_{m-1}, D_{m-1}, L_{m-1}, e_{m-2}, D_{m-2}, \dots, e_0, D_0, L_0)$  where  $L_m = A$  if  $\mathbf{A} \in C_{[k]}$  is the constant at leaf  $x$  in  $t$ ;  $L_i$  is the set of labels of the vertex  $x$  in the graph  $val(t/u_i)$  for  $i = 0, \dots, m$ . For  $i = 0, \dots, m-1$ , we define  $e_i = 1$  if  $u_{i+1}$  is the left son of  $u_i$  and  $D_i$  is the set of labels  $\{j'\}$  such that  $(j, j') \in R$  for some  $j$  in  $L_{i+1}$  where  $\otimes_{R,g,h}$  occurs at node  $u_i$ . Similarly,  $e_i = 2$  if  $u_{i+1}$  is the right son of  $u_i$  and  $D_i$  is the set of labels  $\{j'\}$  such that  $(j', j) \in R$  for some  $j$  in  $L_{i+1}$  where  $\otimes_{R,g,h}$  occurs at node  $u_i$ . Each label  $I(x)$  has size  $O(km)$  and is computable from  $t$  in time  $O(k^2 ht(t))$ , hence at most  $O(nk^2 ht(t))$  to compute the entire labeling.

**Fact 5** *From the sequences  $I(x)$  and  $I(y)$  for two distinct vertices  $x$  and  $y$ , one can determine whether they are linked in  $G$  by an edge.*

**Proof.** From the integers  $e_{m-1}, \dots, e_0, e'_{m'-1}, \dots, e'_0$  in the sequences

$$\begin{aligned} I(x) &= (L_m, e_{m-1}, D_{m-1}, L_{m-1}, e_{m-2}, D_{m-2}, \dots, e_0, D_0, L_0) \\ I(y) &= (L'_{m'}, e'_{m'-1}, D'_{m'-1}, L'_{m'-1}, \dots, e'_0, D'_0, L'_0) \end{aligned}$$

one can determine the position  $i$  in  $Path(x)$  and  $Path(y)$  of the least common ancestor  $u_i$  of  $x$  and  $y$ . Wlog we assume  $x$  below (or equal to) the left son of  $u_i$ . Then  $x$  and  $y$  are adjacent in  $G$  iff  $D_i \cap L'_{i+1} \neq \emptyset$ . This is equivalent to  $D'_i \cap L_{i+1} \neq \emptyset$ . Since the computations of Fact 5 take time  $O(ht(t))$  for each pair  $x, y$ , we have the following.

**Fact 6** *From  $\{I(x) : x \in X\}$  for a set  $X \subseteq V$ , one can determine  $G[X]$  in time  $O(|X|^2 ht(t))$  ( $k$  is fixed).*

We have thus an *implicit representation* in the sense of Kannan et al.[13] for graphs of  $mcwd$  at most  $k$ , using labels of size  $O(k \log n)$ . ■

## 4.3 Enriching the basic labelling

We now show how to enrich  $I(x)$  into a labeling  $J(x)$  such that we can do the following.

**Proposition 7** *Fix  $k$ . For  $t$  in  $T(F_k, C_k)$  with  $G(V, E) = val(t)$  one can build a labelling  $J$  such that from  $\{J(x) : x \in X\}$  for any  $X \subseteq V$ , one can determine  $G_+[X]$  in polynomial time in  $|X|$  and  $ht(t)$ .*

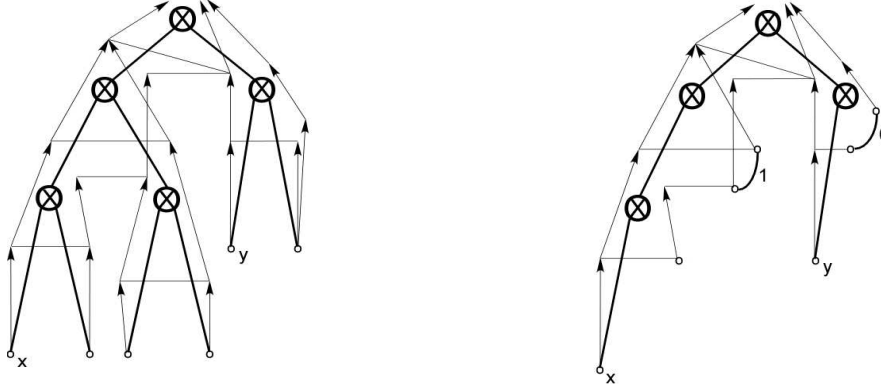


Figure 3: A term  $t$  and the graph  $Rep(t)$ , and the graph  $Rep(t)[\{x, y\}]$  with some valued edges from  $Rep(t)_+[X]$

We shall now show how to do this with labels of size  $O(k^2 \log^2(n))$  where  $k$  is the  $m$ -clique width of  $G$ . The basic idea is as follows. From  $\{I(x) : x \in X\}$  for any  $X \subseteq V$ , one can reconstruct  $G[X]$ . For  $G_+[X]$  we need paths going out of  $X$ , or at least their lengths. If  $u$  is a node of a path  $Path(x)$  for some  $x$  in  $X$ , and  $w$  is a son of  $u$  not on any path  $Path(y)$  for  $y$  in  $X$ , then we compute the lengths of at most  $k^2$  shortest paths running through the subgraph of  $G$  induced on the leaves of  $t$  below  $w$ , and we insert this matrix of integers at the position corresponding to  $u$  in the label  $J(x)$ .

We shall work with a graph representation of terms in  $T(F_k, C_k)$ . With a term  $t$  in  $T(F_k, C_k)$ , we associate a graph  $Rep(t)$  having directed and undirected edges. The vertices of  $Rep(t)$  are the leaves of  $t$  and the pairs  $(u, i)$  for  $u$  a node of  $t$  and  $i \in [k]$  that labels some vertex  $x$  in  $val(t/u)$ . The undirected edges are  $(u_1, i) - (u_2, j)$  whenever  $u_1, u_2$  are respectively the left and right sons of some  $u$  labelled by  $\otimes_{R,g,h}$  and  $(i, j) \in R$ . The directed edges are of 3 types :

1.  $u \longrightarrow (u, i)$  for  $u$  a leaf labelled by  $\mathbf{A}$  and  $i \in A$ .
2.  $(u_1, i) \longrightarrow (u, j)$  whenever  $u_1$  is the left son of  $u$ ,  $u$  is labelled by  $\otimes_{R,g,h}$  and  $j \in g(i)$ .
3.  $(u_2, i) \longrightarrow (u, j)$  whenever  $u_2$  is the left son of  $u$ ,  $u$  is labelled by  $\otimes_{R,g,h}$  and  $j \in h(i)$ .

As an example, the left half of Figure 3 shows a term  $t$  (thick edges) and the graph  $Rep(t)$  (fine edges). We use  $\longrightarrow^*$  to denote a directed path;  $\longleftarrow^*$  denotes the reversal of a directed path.

**Fact 8** For a vertex  $u$  of  $G$  below or equal to a node  $w$  of  $t$ ,  $u$  has label  $i$  in  $val(t/w)$  iff  $u \longrightarrow^* (w, i)$  in  $Rep(t)$ .

**Fact 9** For distinct vertices  $u, v$  of  $G$  :  $u - v$  in  $G$  iff we have a mixed (directed/undirected) path  $u \longrightarrow^* (w, i) - (w', j) \longleftarrow^* v$  in  $Rep(t)$  for some  $w, w', i, j$ .



We call such a path an *elementary path* of  $Rep(t)$ . A *walk* is a path where vertices may be visited several times. A *good walk* in  $Rep(t)$  is a walk that is a concatenation of elementary paths. Its *length* is the number of undirected edges it contains (the number of elementary paths).

**Fact 10** *There is a walk  $x - z_1 - \dots - z_p - y$  in  $G$  iff there is in  $Rep(t)$  a good walk*

$$W = x \xrightarrow{*} - \xleftarrow{*} z_1 \xrightarrow{*} - \xleftarrow{*} \dots \xrightarrow{*} - \xleftarrow{*} z_p \xrightarrow{*} - \xleftarrow{*} y$$

For a nonleaf vertex  $u$ , a  $u$ -walk in  $Rep(t)$  is a walk that is formed of consecutive steps of a good walk  $W$  and is of the form

$$(u, i) \xleftarrow{*} z \xrightarrow{*} - \xrightarrow{*} \dots \xrightarrow{*} (u, j)$$

where all vertices except the end vertices  $(u, i), (u, j)$  are of the forms  $u$ , or  $w$  or  $(w, l)$  for  $w$  strictly below  $u$  in  $t$ . Its *length* is defined as the number of undirected edges.

We let  $Min(u, i, j)$  be the smallest length of a  $u$ -walk from  $(u, i)$  to  $(u, j)$ , or  $\infty$  if no such  $u$ -walk exists. Clearly  $Min(u, i, i) = 0$  ( $(u, i)$  is a vertex of  $Rep(t)$ , so Fact 8 applies). We let  $MIN(u)$  be the  $S \times S$  matrix of all such integers  $Min(u, i, j)$ , where  $S$  is the set of labels  $p$  such that  $(u, p)$  is a vertex of  $Rep(t)$ . It can be stored in space  $O(k^2 \log n)$  since  $n$  bounds the lengths of shortest  $u$ -walks in  $Rep(t)$ .

**Fact 11** *If in a good walk we replace a  $u$ -walk from  $(u, i)$  to  $(u, j)$  by another one also from  $(u, i)$  to  $(u, j)$  we still have a good walk.*

We are now ready to define  $J(x)$  for  $x$  a vertex of  $G$ . We recall that  $Path(x)$  is the path  $(u_m = x, u_{m-1}, \dots, u_0)$  in  $t$  from a leaf  $x$  to the root  $u_0$ , and

$$I(x) = (L_m, e_{m-1}, D_{m-1}, L_{m-1}, e_{m-2}, D_{m-2}, \dots, e_0, D_0, L_0).$$

We let then

$$J(x) = (L_m, e_{m-1}, D_{m-1}, L_{m-1}, M_{m-1}, f_{m-1}, e_{m-2}, D_{m-2}, \dots, e_0, D_0, L_0, M_0, f_0)$$

where  $f_i$  is the binary function symbol (some  $\otimes_{R,g,h}$ ) occurring at node  $u_i$ ,  $M_i = MIN(RightSon(u_i))$  if  $e_i = 1$  and  $M_i = MIN(LeftSon(u_i))$  if  $e_i = 2$  for each  $i = 0, \dots, m-1$ .

**Fact 12**  $J(x)$  has size  $O(k^2 ht(t) \log(n))$ .

**Proof of Proposition 7.** From the set  $\{J(x) : x \in X\}$ , one can construct the graph  $G[X]$  by Fact 6. We let  $Rep(t)[X]$  be the subgraph of  $Rep(t)$  induced by its vertices that are either elements of  $X$  (hence leaves of  $t$ ), or of the form  $(w, i)$  if  $w$  is a son of a node  $u$  on a path  $Path(x)$  for some  $x$  in  $X$ .

Because a sequence  $J(x)$  contains the function symbols  $f_i$  and the index sets  $S$  of the matrices  $M_i$ , we can determine from it the edges of  $Rep(t)$ , not only

between vertices of the form  $(u, i)$  for nodes  $u$  in  $Path(x)$  but also between these vertices and those of the form  $(w, i)$  for  $w$  that are sons of such nodes  $u$  but are not necessarily in  $Path(x)$ .

It remains to determine the lengths of shortest good walks in  $Rep(t)$  in order to get the valued edges of  $G_+[X]$ . We let  $Rep(t)_+[X]$  be the graph  $Rep(t)[X]$  augmented with the following integer valued undirected edges:  $(u, i) - (u, j)$  valued by  $Min(u, i, j)$  whenever this integer (possibly 0) is not  $\infty$ .

**Example:** For the term  $t$  in the left half of Figure 3 and  $X = \{x, y\}$ , the right half of the Figure shows the graph  $Rep(t)[X]$  augmented with two valued edges  $(u, i) - (u, j)$  for 2 of the 3 nodes  $u$  which are not on the paths  $Path(x)$  and  $Path(y)$  but are sons of nodes on these paths. These 3 nodes yield 5 vertices in the graph  $Rep(t)[X]$ . Each of these vertices has a loop with value 0 (these loops are not shown). We show the two non-loop edges labelled by 0 and 1.

The shortest good walks in  $Rep(t)$  that define the valued edges of  $G_+[X]$  are concatenations of edges of  $Rep(t)[X]$  (which we have from the  $J(x)$ 's) and  $w$ -walks of minimal lengths for nodes  $w$  that are not on the paths  $Path(x)$  but are sons of nodes on these paths. We need not actually know these  $w$ -walks exactly; we only need the minimal length of one of each type. This information is available from the matrices  $MIN(w)$  which we have in the  $J(x)$ 's. We can thus build the valued graph  $Rep(t)_+[X]$ , and the desired values are lengths of shortest paths in the graph  $Rep(t)_+[X]$  under the alternating edge constraints in Fact 9. It remains to evaluate the time complexity of this computation.

**Fact 13** *The graph  $Rep(t)_+$  that is the union of all graphs  $Rep(t)_+[X]$  for all sets  $X$  has tree width at most  $3k - 1$ .*

**Proof.** The tree  $t$  gives the tree of the decomposition. For each node  $u$ , the corresponding box consists of  $u$  and the vertices  $(u, i)$  if  $u$  is a leaf, and the vertices  $(u, i)$ ,  $(LeftSon(u), i)$ ,  $(RightSon(u), i)$  if  $u$  is not a leaf. We omit the remaining details. ■

The notion of a walk from  $x$  to  $y$  in a graph  $Rep(t)_+[X]$  is expressible in monadic second-order logic. It follows from Section 4.7 of Courcelle and Mosbah [4] that the length of a shortest such walk is computable in time proportional to the number of nodes of a tree-decomposition of bounded width (here  $3k$ ). This number is the cardinality of the union of the set of nodes of the paths  $Path(x)$  for  $x$  in  $X$  and is clearly bounded by  $|X|ht(t)$ . This proves Proposition 7. ■

Combining Propositions 4 and 7 gives the following.

**Theorem 14** *For a graph  $G$  of  $m$ -clique width at most  $k$  on  $n$  vertices, one can assign to vertices labels  $J(x)$  of size  $O(k^2 \log^2 n)$  such that from  $\{J(x) : x \in X\}$  for any set  $X \subseteq V$ , one can determine the graph  $G_+[X]$  in time  $O(|X|^3 \log n)$ . The graph  $G$  must be given along with an mcwd expression of width at most  $k$ .*

The problem of determining for a given graph its  $m$ -clique width and the corresponding expression is likely to be NP-hard because the corresponding one for clique width is NP-complete [9]. A cubic algorithm that constructs non-optimal clique width expressions given by Oum [11] may be used.

## 4.4 Compact routing schemes

We now show how to extend the labelling  $J$  so that a shortest  $X$ -constrained path in  $G$  from  $x$  to  $y$  (satisfying constraints like avoiding given edges and/or vertices) can be computed and not only its length.

Recall that the construction of  $J$  is based on matrices that give for each node  $u$  of a term  $t$  the length of a shortest  $u$ -walk in  $Rep(t)$  from  $(u, i)$  to  $(u, j)$ . Storing the sequence of vertices of the corresponding path in  $G$  uses space at most  $n \log n$  instead of  $\log n$  for each entry (assuming there are  $n$  vertices numbered from 1 to  $n$ , so that a path of length  $p$  uses space  $p \log n$ ). The corresponding labelling  $J'(x)$  uses for each  $x$  space  $O(k^2 n \log^2 n)$ .

For a compact routing scheme, it suffices to be able to construct the path in a distributed manner, by finding the next hop at each vertex. Here is such a construction. For a set  $X \subseteq V$ , it gives the length of a shortest  $X$ -constrained path from  $x$  to  $y$  together with  $z$ , the first one not in  $X$  on the considered shortest path. For this, we need only store, in addition to the length of a shortest  $u$ -walk in  $Rep(t)$  from  $(u, i)$  to  $(u, j)$  (in the matrix  $MIN(u)$ ) its first and last vertices. This uses space  $3 \log n$  instead of  $\log n$  for each entry. The corresponding labelling  $J''(x)$  uses for each  $x$  space  $O(k^2 \log^2 n)$ . This gives the following compact forbidden-set routing scheme.

**Theorem 15** *Let each vertex have a forbidden set of size at most  $r$ . Graphs of  $m$ -clique width at most  $k$  have a compact forbidden-set routing scheme using routing tables of size  $O(rk^2 \log^2 n)$  and packet headers of size  $O(rk^2 \log^2 n)$ .*

**Proof.** Given an mcwd decomposition of  $G = (V, E)$  of width at most  $k$  and a set  $S(u) \subseteq V$  stored at each vertex  $u$  with  $|S(u)| \leq r$ , the routing table at  $u$  is the label  $J''$  as above. To send a packet from  $u$  to  $v$  on an  $S(u)$ -constrained path,  $u$  writes into the packet header the label  $J''(v)$  for the destination and the labels  $\{J''(x) : x \in S(u)\}$ . Then  $u$  forwards the packet to a neighbour  $w$  that minimizes the distance from  $w$  to  $v$ , obtained as described above. Since the distances computed are exact distances, the packet always progresses towards the destination and will never loop. Note that if the paths are only approximately shortest, there may be loops. In this case,  $w$  adds its label  $J''(w)$  to the packet header, setting  $S'(u) = S(u) \cup \{w\}$  and we ask for the shortest  $S'(u)$ -constrained path from  $w$  to  $v$ . The price we pay here is that the packet headers grow with the length of the path. ■

## 5 Open problems

Our work is a natural extension of the idea of compact routing to the problem of policy-based routing. Although the Internet does not necessarily have small clique width, we have managed to free ourselves from costly logic associated with handling general MS-definable problems as in Courcelle and Vanicat [7]. We believe our work can be extended in several promising directions. It would be good to solve other constrained path problems using  $G_+[X]$ , and to make use

of the separator structure that our scheme can encode. Another direction is to see if the space requirements can be reduced for general graphs at the expense of using approximately shortest  $X$ -constrained paths, or only detecting separators with a small probability of error, as in Karger [14].

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## A Balanced m-clique width expressions

A *context* is a term in  $T(F, C \cup \{u\})$  having a single occurrence of the variable  $u$  (nullary symbol). We denote by  $Ctxt(F, C)$  the set of contexts, and by  $\mathbf{Id}$  (for identity) the particular context  $u$ .

We define two binary operations on terms and contexts :

$s \circ s' = s[s'/u]$ , belonging to  $Ctxt(F, C)$  for  $s, s'$  in  $Ctxt(F, C)$ ,

$s \bullet t = s[t/u]$ , belonging to  $T(F, C)$  for  $s$  in  $Ctxt(F, C)$ ,  $t$  in  $T(F, C)$ , where  $s[w/u]$  denotes the substitution in  $s$  of  $w$ , term or context, for the unique occurrence of  $u$ .

Clearly  $s \circ \mathbf{Id} = \mathbf{Id} \circ s = s$ ;  $\mathbf{Id} \bullet t = t$ . The operation  $\circ$  is associative and  $s \bullet (s' \bullet t) = (s \circ s') \bullet t$ . We will consider terms in  $T(F \cup \{\circ, \bullet\}, C \cup \{\mathbf{Id}\})$  that evaluate to terms or contexts according to the above definitions.

**Example:** The term  $\circ(f(\mathbf{Id}, b), \circ(g(a, \mathbf{Id}), f(\mathbf{Id}, c)))$  can be seen to evaluate to the context  $f(g(a, f(u, c)), b)$ . The term  $\circ(f(\mathbf{Id}, b), \bullet(g(a, \mathbf{Id}), f(d, c)))$  evaluates to the term  $f(g(a, f(d, c)), b)$ .

The sets of *special terms of types "context" and "term"* are the sets  $S_c$  and  $S_t$  defined by the grammar:

$$\begin{aligned} S_t &:= S_c \bullet S_t \mid f(S_t, S_t) \mid b \\ S_c &:= S_c \circ S_c \mid f(S_t, \mathbf{Id}) \mid f(\mathbf{Id}, S_t) \end{aligned}$$

with rules for each  $f$  in  $F$ , each  $b$  in  $C$ . We denote by  $Eval$  the evaluation mapping from  $S_t \cup S_c$  to  $T(F, C) \cup Ctxt(F, C)$ .

**Proposition A.1** : There exists a constant  $a$  such that every term  $w$  in  $T(F, C)$  is equal to  $Eval(t)$  for some  $a$ -balanced term  $t$  in  $S_t$  that can be computed from  $w$  in time  $O(|w| \cdot \log(|w|))$ .

**Proof sketch** : Based on Theorem 1 in Courcelle and Vanicat [7], with  $a = 6$ . For example, a term of the form  $f(a, f(a, f(a, \dots, f(a, b))))$  with  $2^n$  occurrences of  $f$ , hence of height  $2^n + 1$  and size  $2^{n+1} + 1$  is  $Eval(t)$  for a term  $t$  in  $S_t$  of height  $n + 2$  and size  $2^{n+2} - 1$ .

**Remark** : A larger set  $S_c$  is used in Courcelle Vanicat. It is described by the grammar equation

$$S_c := S_c \circ S_c \mid f(S_t, S_c) \mid f(S_c, S_t) \mid \mathbf{Id}$$

We have chosen to use a more restricted set  $S_c$  in order to facilitate the proof of Proposition A.3 below. (Claim 3 would have to be replaced by a more complicated statement otherwise). Theorem 1 in Courcelle Vanicat proves a similar statement with  $a = 3$ . We need  $a = 6$  because of the restriction we make on contexts in  $S_c$ . A smaller value of  $a$  than 3 in the theorem of Courcelle Vanicat is perhaps possible. The time computation may perhaps be lowered to linear.

**Proposition A.2** : For every unlabelled graph  $G$ ,

$$m\text{c}wd(G) \leq \text{c}wd(G) \leq 2^{m\text{c}wd(G)+1} - 1.$$

**Proof sketch** : For the first inequality we observe that the operations defined by Wanke [17] are particular operations among the above ones, hence the corresponding

width denoted by  $wd_{NLC}$  satisfies  $mcwd(G) \leq wd_{NLC}(G)$ . Johansson [12] has shown that  $wd_{NLC}(G) \leq cwd(G)$ , which implies the result.

For the second inequality, we make each subset  $A$  of  $L$  into a label. For  $A$  not empty, we need also a second copy  $A'$ . The operations of  $F_L$  can be translated into fixed compositions of operations defining clique-width using  $2^{mcwd(G)+1} - 1$  labels. We omit the routine details (a similar construction is used in Courcelle and Olariu [6]).

**Proposition A.3** : There exists a constant  $a$  such that, every graph of  $m$ -clique width  $k$  is the value an  $a$ -balanced term in  $T(F_L, C_L)$  for some set  $L$  of cardinality at most  $2k$ .

Hence by Proposition 2 every graph of clique width  $k$  is the value a  $b$ -balanced clique-width expression using  $2^{2k+1}$  labels where  $b$  depends on  $k$ . The result for  $m$ -clique width is thus much better, because it avoids the exponential jump on the number of labels and  $a$  is the same for all  $k$ .

**Proof sketch:** Wlog, we let  $L = \{1, \dots, k\} = [k]$ . We let  $F_k = F_{[k]}, C_k = C_{[k]}$ . We let  $a$  as in Proposition A.1. Hence, the considered graph is  $val(Eval(t))$  where  $t$  is an  $a$ -balanced term in  $S_t$ . Here  $S_t$  and also  $S_c$  are relative to  $F_k, C_k$ . The idea is to express  $G = s(H)$  where  $s$  is a context in  $S_c$  as  $G = G_s \otimes_{R,g,h} H$  where  $G_s$  is a multilabelled graph over the set of labels  $L' = \{1, \dots, k, 1', \dots, k'\}$  and  $R, g, h$  are chosen adequately.

To achieve an inductive proof, we will use the equality

$$G_{s \circ s'} = G_s \otimes_{R,g,h} G_{s'}$$

where  $R, g, h$  can be chosen appropriately and  $G_{f(t, \mathbf{Id})}$  will be expressed as a relabelling of  $val(t)$ .

We will thus "simulate" the operations  $\bullet$  and  $\circ$  on terms and contexts by operations from  $F_{L'}$ . We now give a sketch of the proof. We first consider  $G = s(H)$  where  $s$  is a context in  $S_c$ .

The graph  $G$  is obtained from  $H$  as follows. First, one adds new vertices, and edges between these new vertices, all created independently of  $H$ . They are those of  $s(\emptyset)$ . Secondly, the binary operations of  $s$  also add edges between the vertices  $x$  of  $H$  and these new vertices  $y$ , on the basis of  $\delta_H(x)$  (and nothing else from  $H$ ). We label  $y$  in  $s(\emptyset)$  by  $i'$  iff the operations of  $s$  result in the addition of an edge between  $y$  and the vertices  $x$  of  $H$  such that  $i$  belongs to  $\delta_H(x)$ . The vertices of the graph  $s(\emptyset)$  have now labels in  $L'$ . We let  $G_s$  be this graph multilabelled over  $L'$ .

By the definitions of the operations in  $F_L$ , no edge is created by  $s$  between two vertices of  $H$ . The operations in  $s$  also modify the labelling of  $H$ ; we let  $h_s$  denote the mapping  $L \rightarrow \mathcal{P}(L)$  performing this relabelling.

With this notation, we make the following claims:

**Claim 1:** For every graph  $H$  labelled in  $L$ :  $s(H) = G_s \otimes_{R,g,h_s} H$  where  $R = \{(i', i) \mid i \in [k]\}$  and  $g(i) = \{i\}, g(i') = \emptyset$  for  $i$  in  $[k]$ .

The relevant information associated with a context  $s$  is the pair  $(G_s, h_s)$ . Contexts are defined inductively. We show how this information is computable inductively.

**Claim 2** : For any two contexts  $s, s'$  :

1.  $h_{s \circ s'} = h_s \circ h_{s'}$  and;
2.  $G_{s \circ s'} = G_s \otimes_{R,g,h} G_{s'}$ , where  $R = \{(i', i) \mid i \in [k]\}$  and  $g(i) = \{i\}, g(i') = \{j' \mid i \in h_{s'}(j)\}, h(i) = h_s(i), h(i') = \{i'\}$ , for all  $i$  in  $[k]$ .

**Proof:** Verification from the definitions.

The basic contexts are of the form  $f(t, \mathbf{Id})$  or  $f(\mathbf{Id}, t)$ , but we need only consider the first case because  $f(\mathbf{Id}, t) = f'(t, \mathbf{Id})$ , for some  $f'$ .

**Claim 3 :** For  $s = t \otimes_{R,g,h} \mathbf{Id}$ , we have for all  $H$ :  $s(H) = \text{val}(t) \otimes_{R,g,h} H$  with  $h_s = h$  and  $G_s = \text{val}(t) \otimes_{\emptyset,m,\emptyset} \emptyset$ , where  $m(i) = g(i) \cup \{j' \mid (i,j) \in R\}$ , and  $m(i') = \emptyset$ , for  $i$  in  $[k]$ .

**Proof:** Simple verification from the definitions.

These claims yield the following one:

**Claim 4 :** Let us fix  $k$ . Every term  $t$  in the set  $S_t$  relative to  $F_k$  and  $C_k$  can be transformed in linear time into a term in  $T(F_{2k}, C_{2k})$  that defines the same graph as  $\text{Eval}(t)$  and has no larger height than  $t$ .

(*Remark :* This transformation can be done by a linear, bottom-up deterministic tree transduction.)

Together with Proposition A.1, this yields the proof of Proposition A.3.