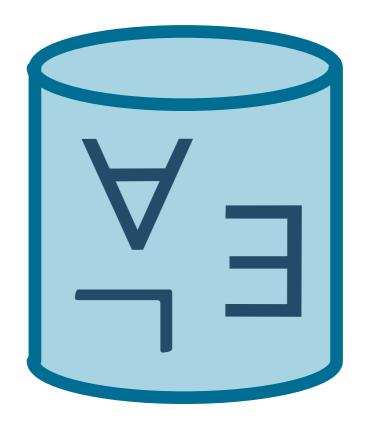
day 5



Logical foundations of databases

Diego Figueira

Gabriele Puppis

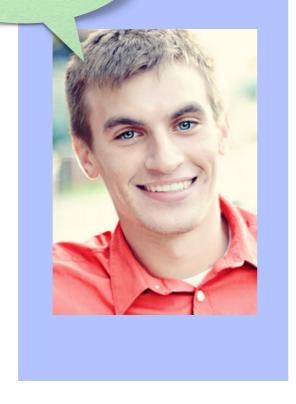
CNRS LaBRI



Recap

- Acyclic Conjunctive Queries
- Join Trees
- Evaluation of ACQ (LOGCFL-complete)
- Ears, GYO algorithm for testing acyclicity
- Tree decomposition, tree-width of CQ
- Evaluation of bounded tree-width CQs (LOGCFL-complete)
- Bounded variable fragment of FO, evaluation in PTIME
- Acyclic Conjunctive Queries

S₁ and S₂ are *n*-equivalent!



Duplicator



Spoiler



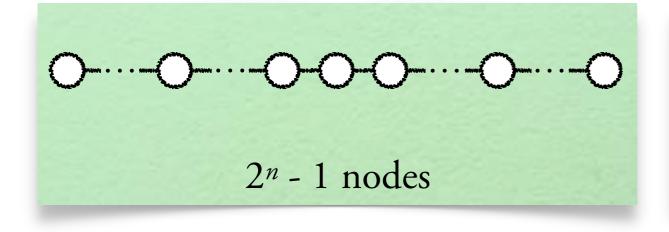
They play for n rounds on the board (S_1, S_2) .

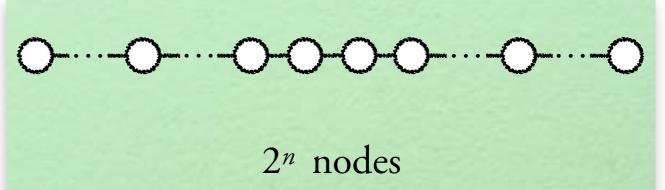
At each round i: Spoiler chooses a node x_i from S_1 (resp. y_i from S_2)

Duplicator answers with a node y_i from S_2 (resp. x_i from S_1) trying to maintain an isomorphism between $S_1 \mid \{x_i\}_i$ and $S_2 \mid \{y_i\}_i$

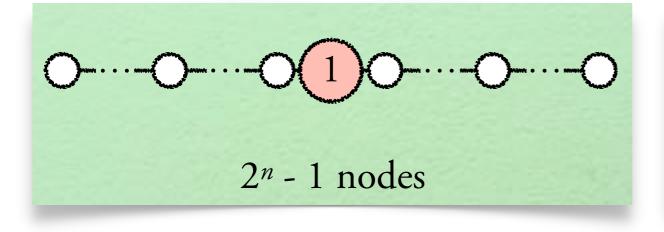
On non-isomorphic finite structures, Spoiler wins eventually... Why?

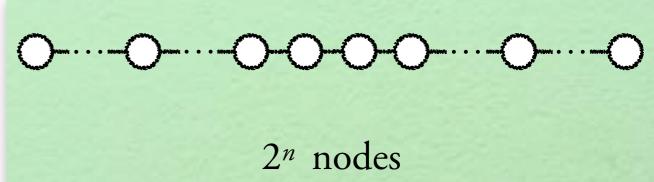
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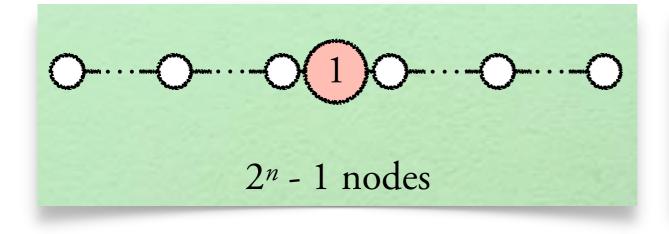


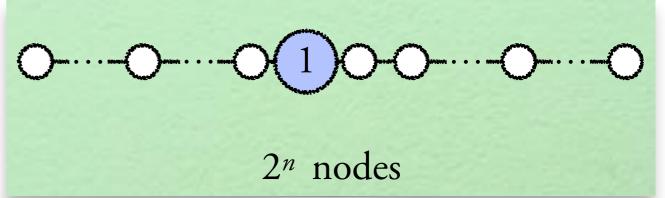
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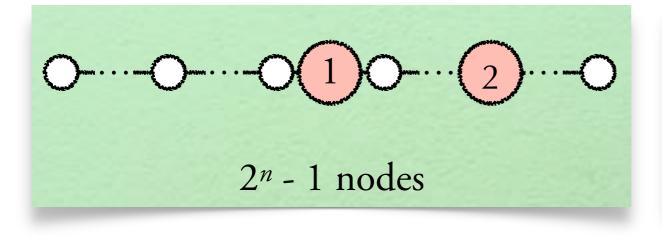


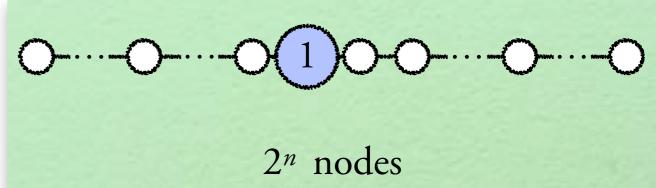
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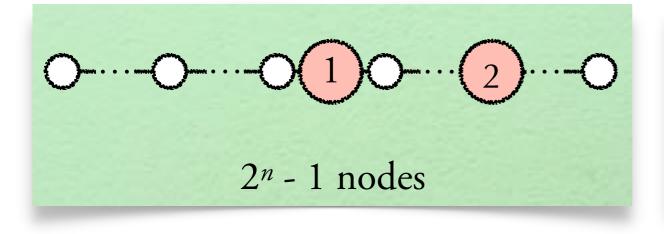


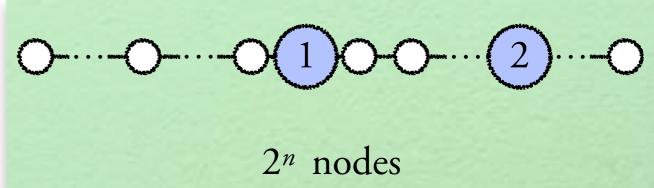
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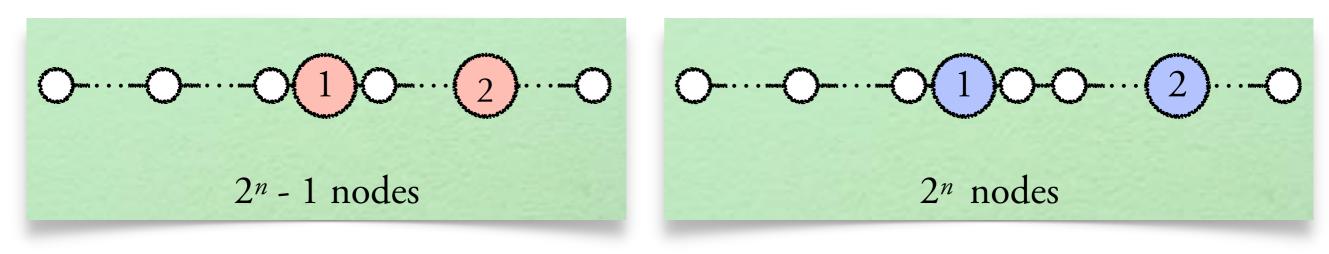
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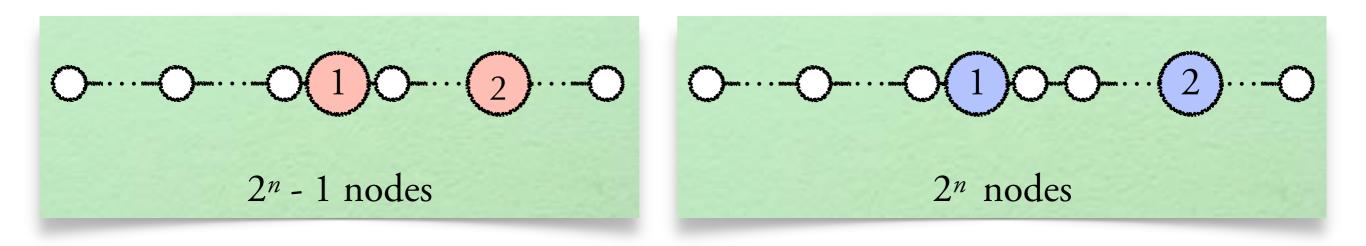
...and he often wins very quickly:

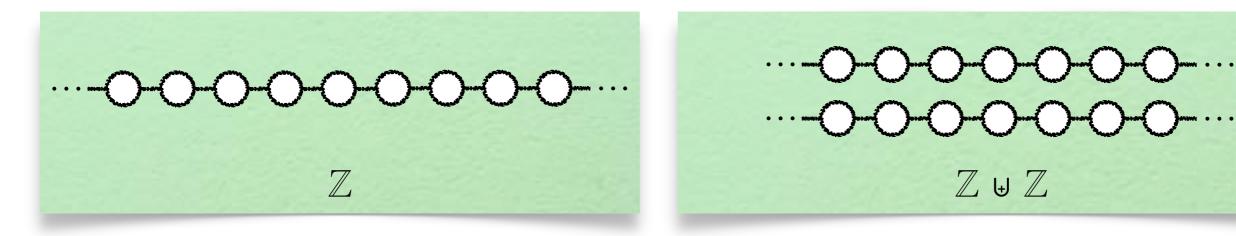


But there are non-isomorphic *infinite* structures where Duplicator can survive for *arbitrarily many rounds* (not necessarily forever!)

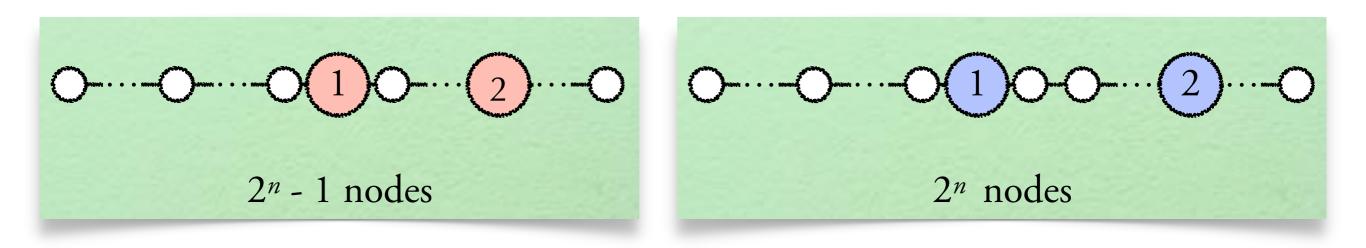
Any idea?

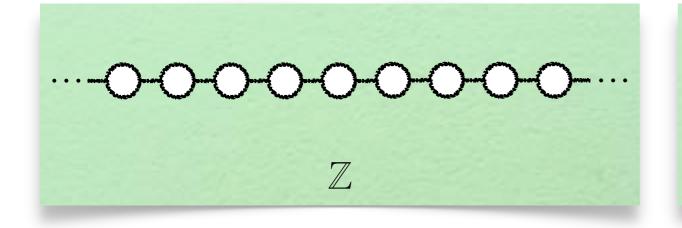
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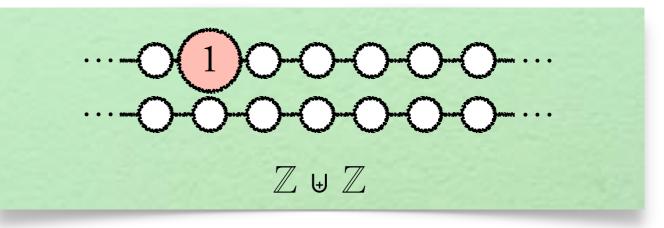




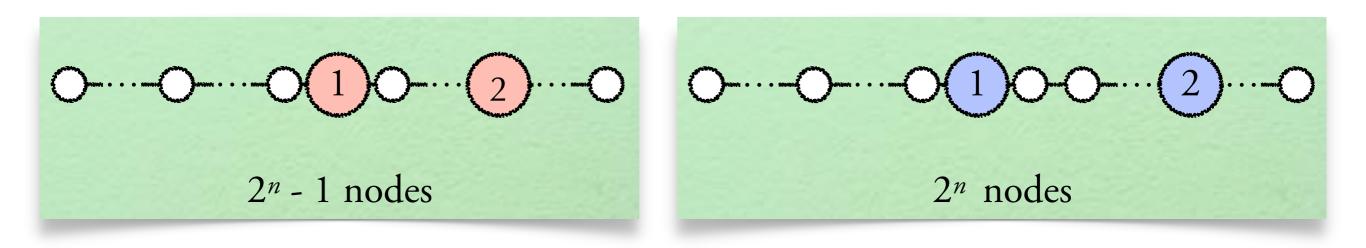
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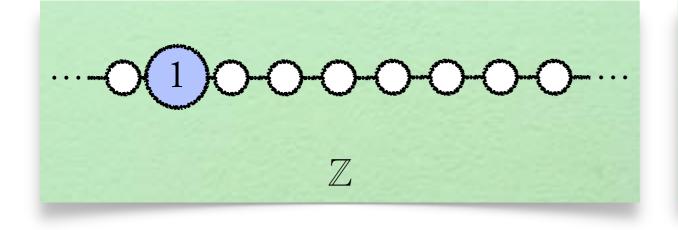


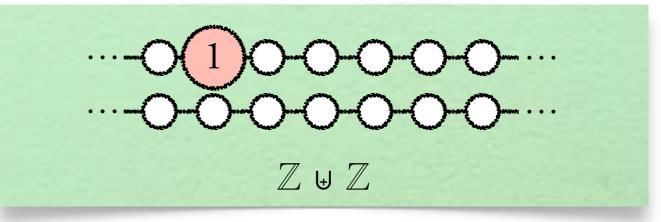




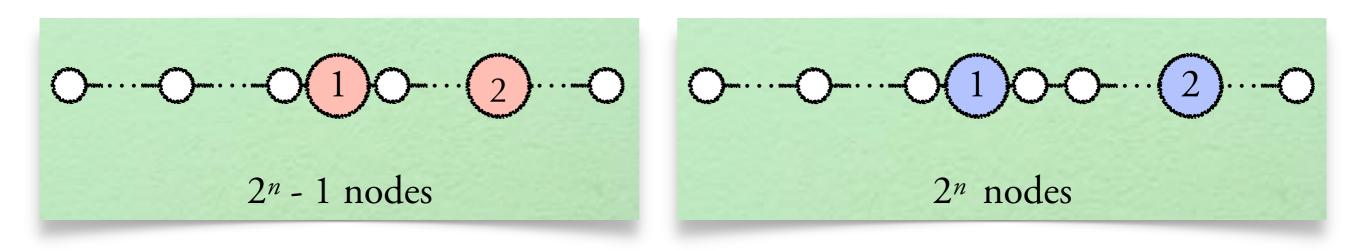
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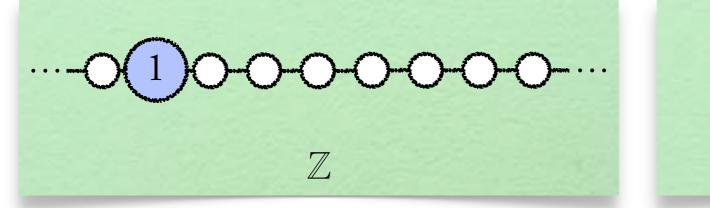


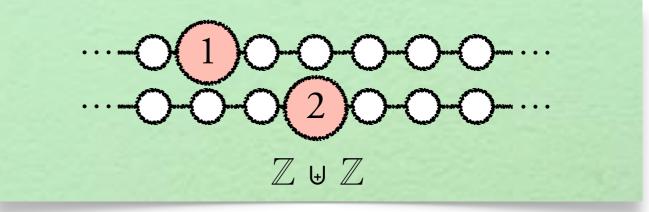




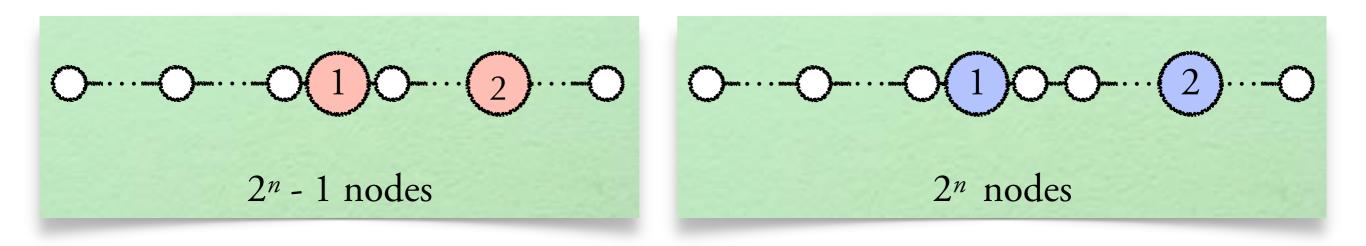
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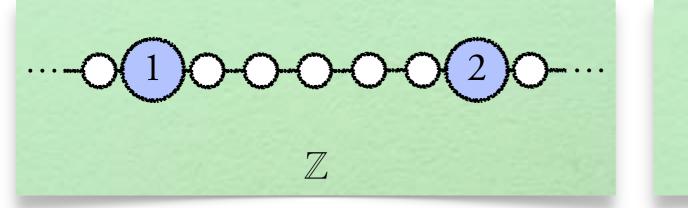


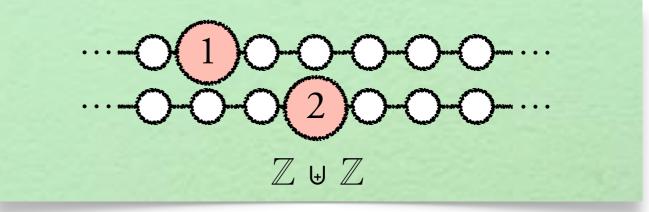




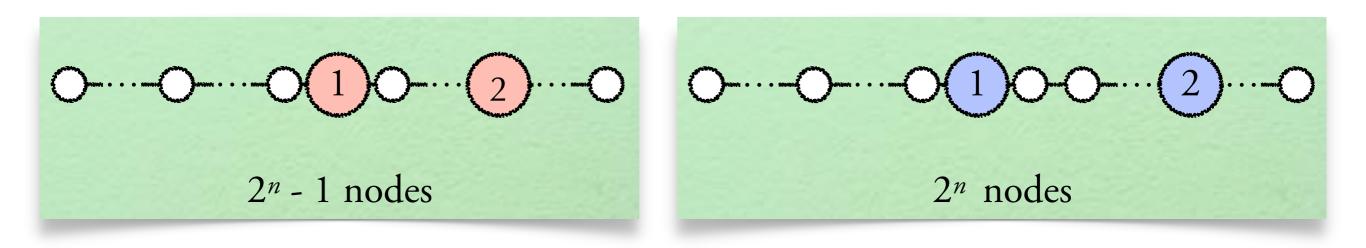
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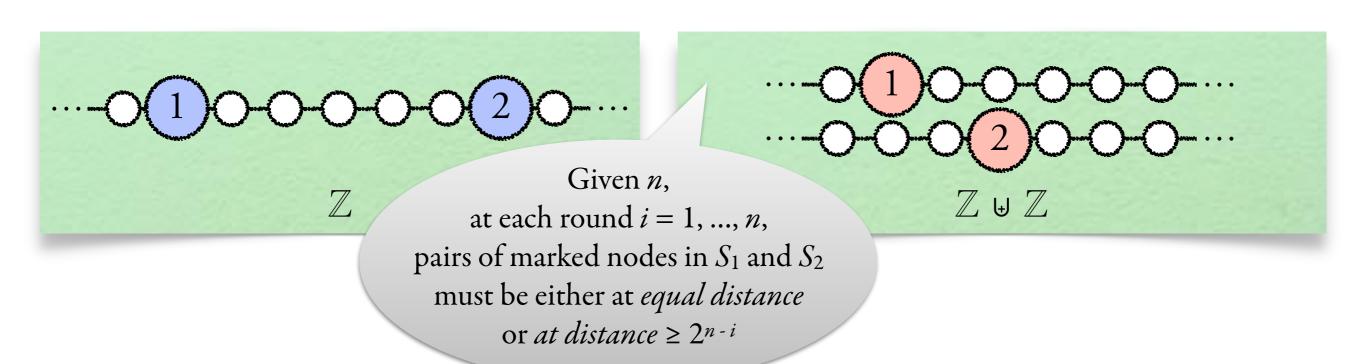






On non-isomorphic *finite* structures, Spoiler wins eventually... Why? ...and he often wins very quickly:





```
Theorem. S_1 and S_2 are n-equivalent
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[Fraïssé '50, Ehrenfeucht '60]

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Proof ideas for the if-direction (from Duplicator's winning strategy to *n* - equivalence)

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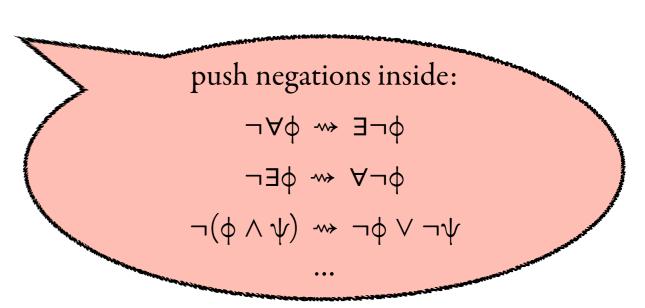
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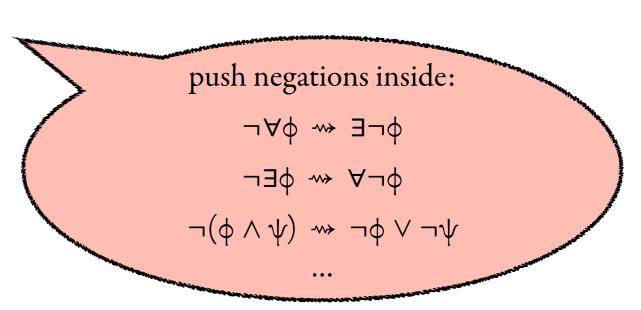
A new game to evaluate formulas....

Assume w.l.o.g. that ϕ is in negation normal form.

Assume w.l.o.g. that ϕ is in **negation normal form.**



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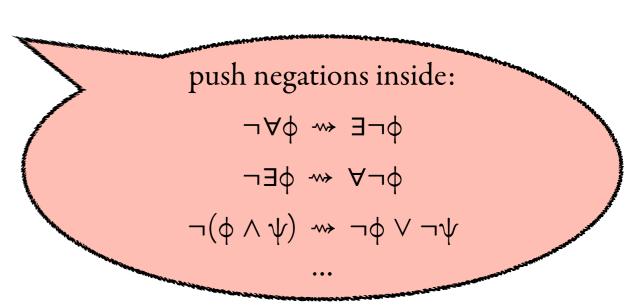


Whether $S \models \varphi$ can be decided by a *new game* between two players, True and False:

- $\phi = E(x,y)$ \rightarrow True wins if nodes marked x and y are connected by an edge, otherwise he loses
- $\phi = \exists x \phi'(x) \rightarrow \text{True moves by marking a node } x \text{ in } S \text{, the game continues with } \phi'$
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- $\phi = \phi_1 \lor \phi_2$ \rightarrow True moves by choosing ϕ_1 or ϕ_2 , the game continues with what he chose
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• ...

Lemma. $S \models \phi$ iff **True** wins the semantics game.

Theorem. S_1 and S_2 are n-equivalent

[Fraïssé '50, Ehrenfeucht '60]

iff Duplicator has a strategy to survive n rounds in the EF game on S_1 and S_2 .

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True wins the game on S_1

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Suppose $S_1 \vDash \phi$ and Duplicator survives *n* rounds on S_1 , S_2 .

We need to prove that $S_2 \models \phi$.

True wins the game on S_2

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Turn winning strategy for True in S_1 into winning strategy for True in S_2

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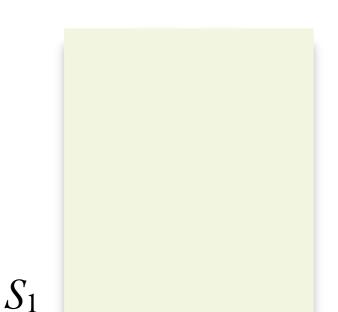
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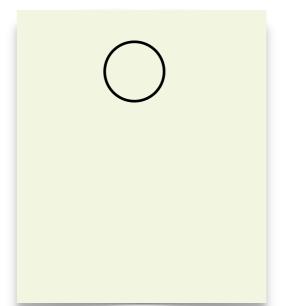
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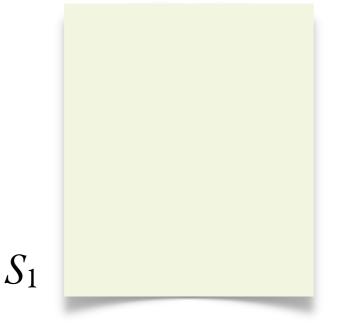
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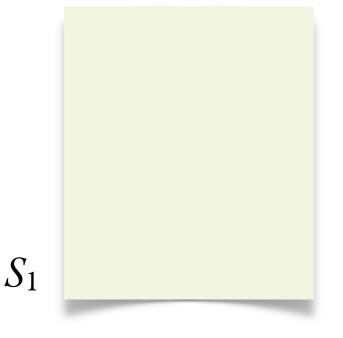
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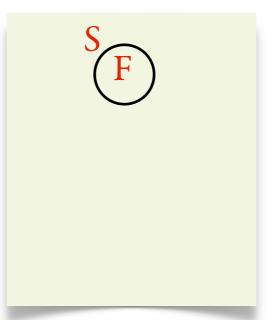
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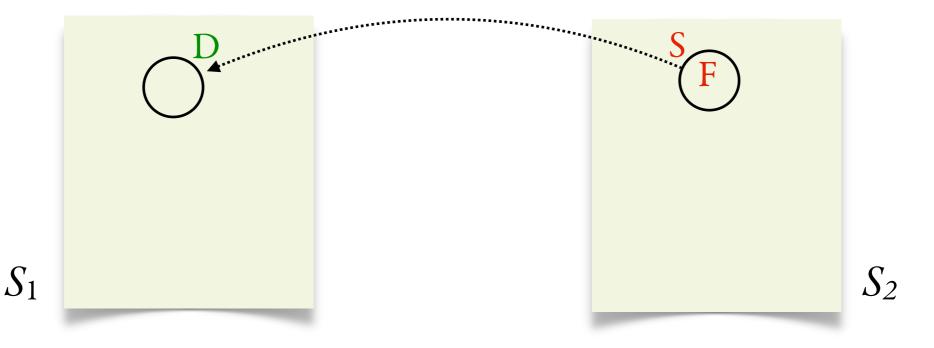
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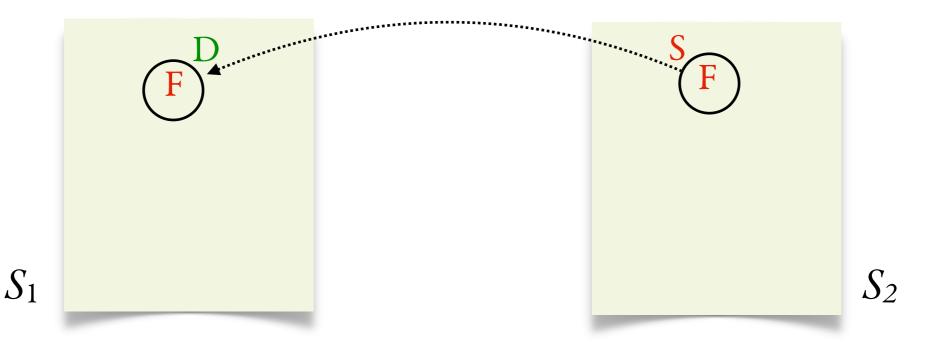
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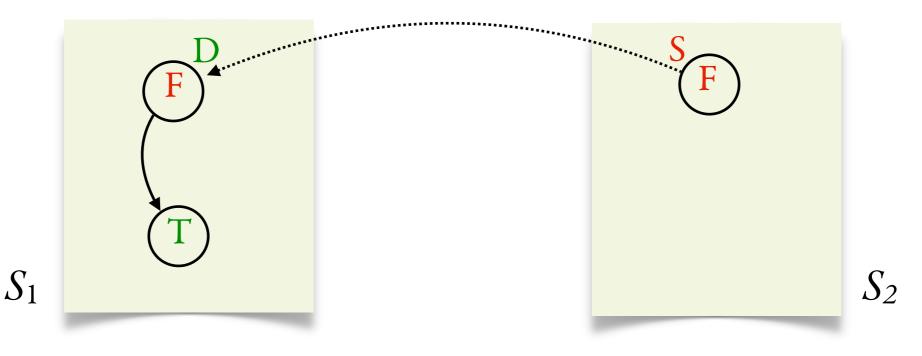
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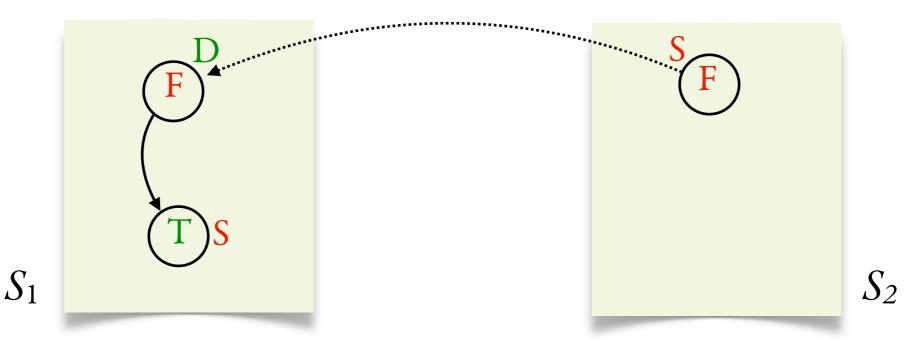
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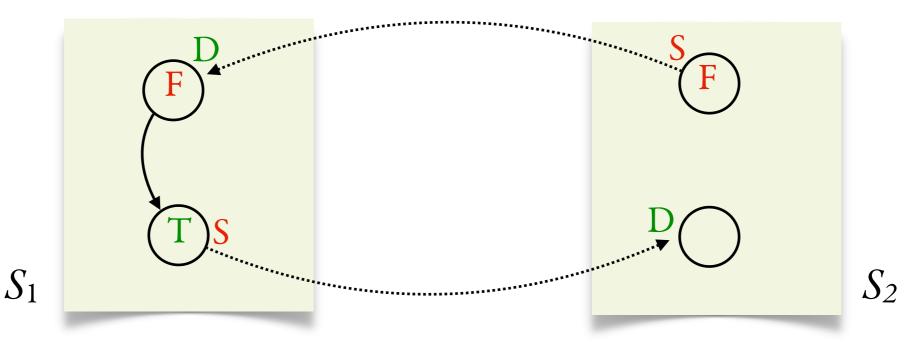
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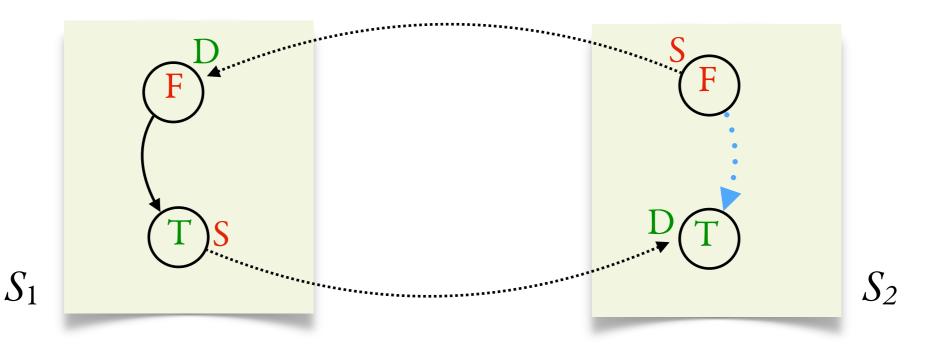
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Corollary. A property P is not definable in FO

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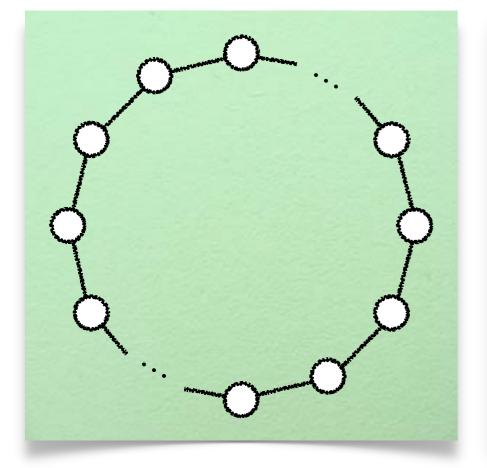
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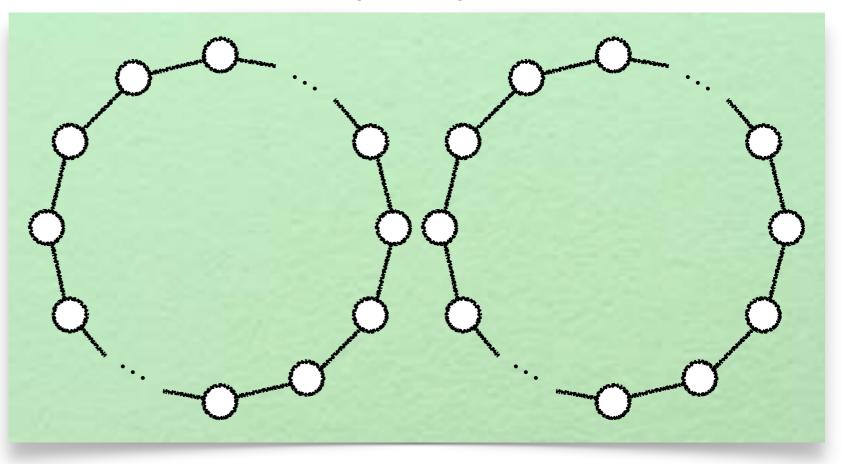
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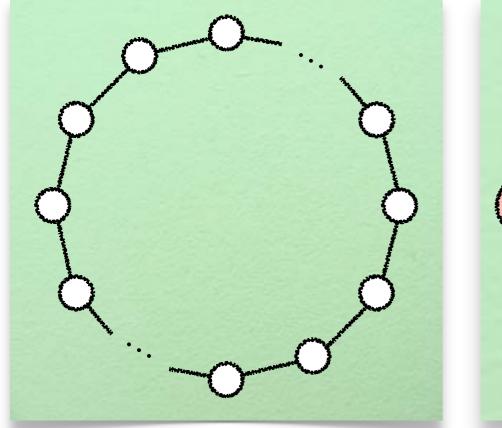
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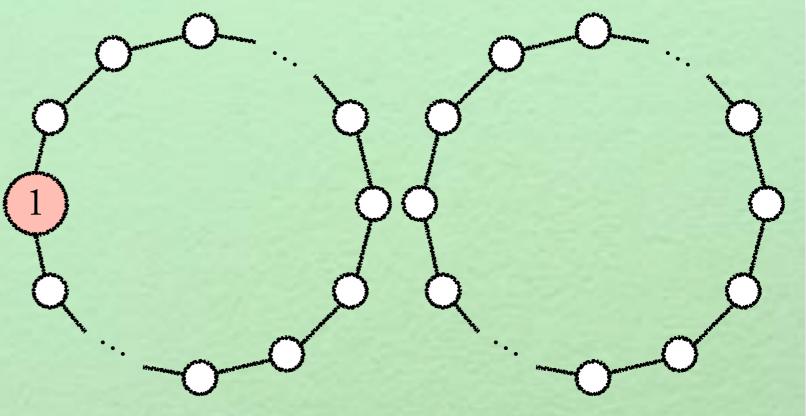
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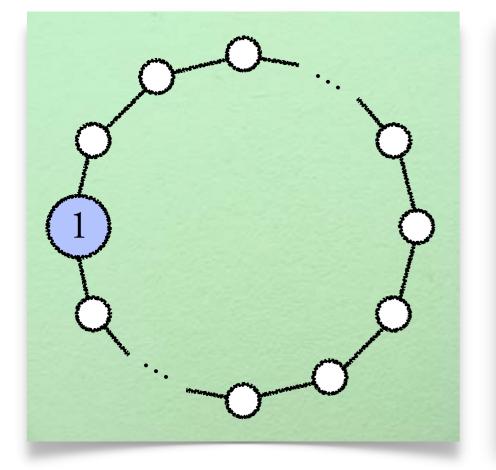
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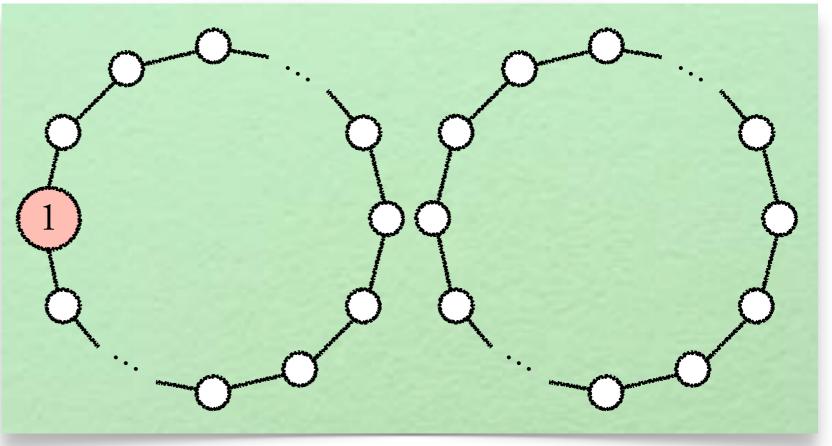
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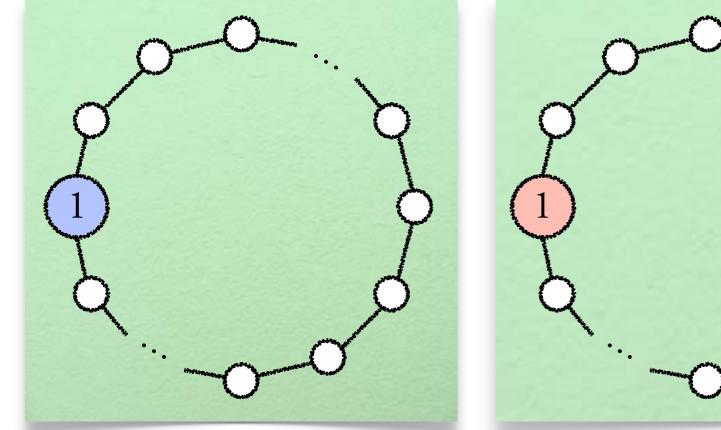
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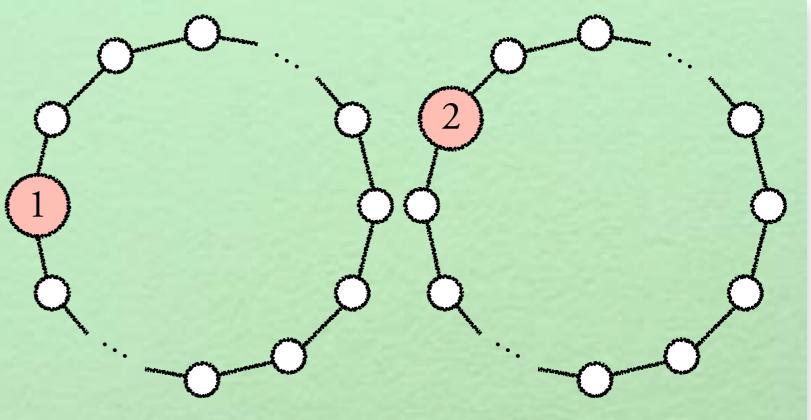
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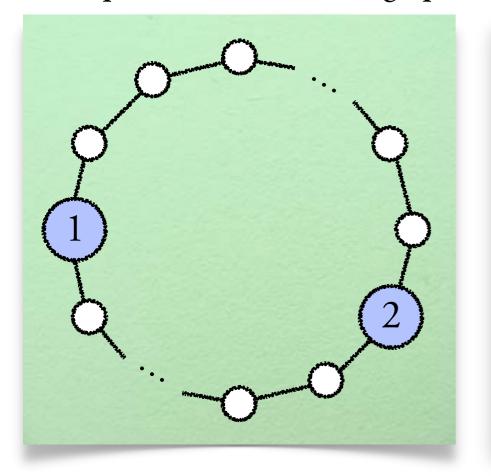
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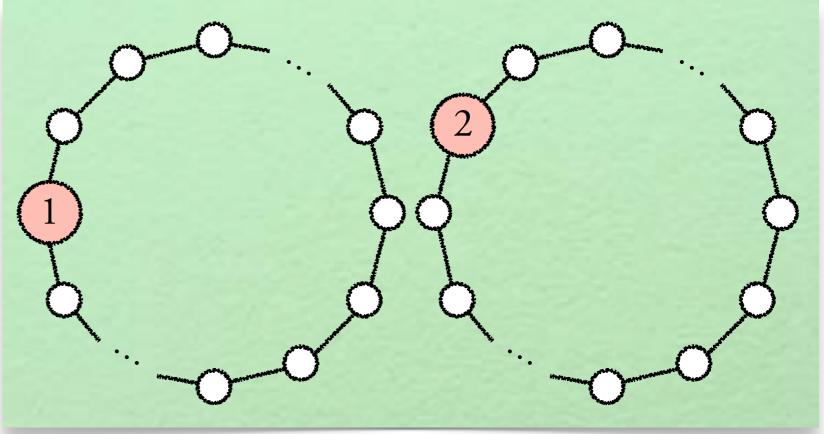
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connectivity (previous slide)

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• connectivity (previous slide)

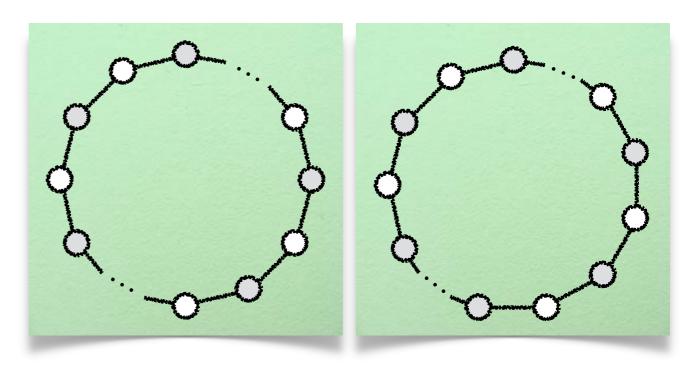
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• connectivity (previous slide)

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• 2-colorability Given n, take $S_1 = \text{large even cycle}$ $S_2 = \text{large odd cycle}$



Several properties can be proved to be *not FO-definable*:

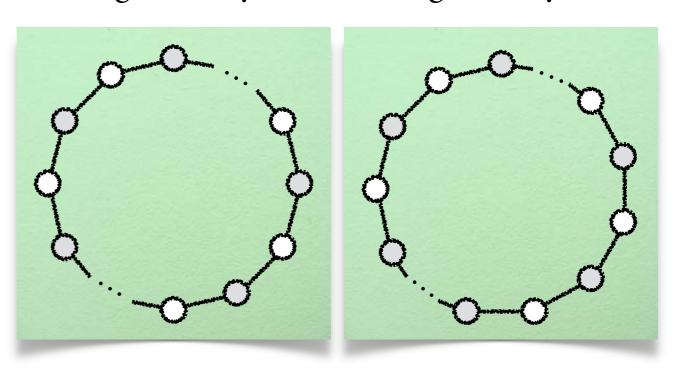
• connectivity (previous slide)

• even / odd size Your turn now! ...given n, take $S_1 = \text{large even structure}$ $S_2 = \text{large odd structure...}$

• 2-colorability Given n, take $S_1 = \text{large even cycle}$ $S_2 = \text{large odd cycle}$

• finiteness

acyclicity



• • •

A different perspective: a coarser view on expressiveness...



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What percentage of graphs verify a given FO sentence?



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Uniform distribution

(each pair of nodes has an edge with probability ½)

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E.g. for P = "the graph is complete"

$$\mu_3(\mathbf{P}) = \frac{1}{|\mathbf{C}_3|} = \frac{1}{2^{3^2}}$$

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Theorem.

[Glebskii et al. '69, Fagin '76]

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For every FO sentence ϕ , $\mu_{\infty}(\phi)$ is either 0 or 1.

Examples:

• ϕ = "there is a triangle"

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• ϕ = "even number of edges"

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$$\phi$$
 = "even number of nodes"

• ϕ = "more edges than nodes"

$$\mu_{\infty}(\varphi) = 1/2$$

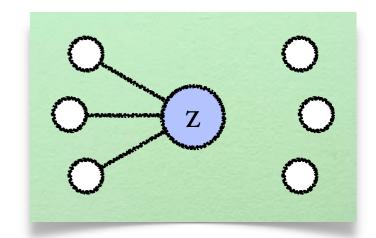
 $\mu_{\infty}(\phi)$ not even defined

$$\mu_{\infty}(\phi) = 1$$
(yet not FO-definable!)



Let
$$k = \text{quantifier rank of } \varphi$$

$$\delta_k = \forall x_1, ..., x_k \ \forall y_1, ..., y_k \ \exists z \ \land_{i,j} x_i \neq y_j \land E(x_i, z) \land \neg E(y_j, z)$$
 (Extension Formula/Axiom)

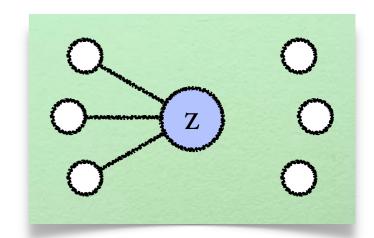




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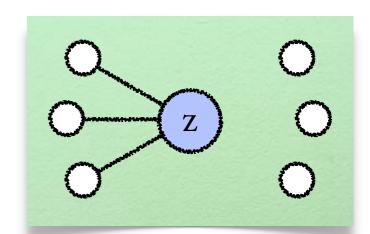


Fact 1: If $G \models \delta_k \land H \models \delta_k$ then Duplicator survives k rounds on G, H



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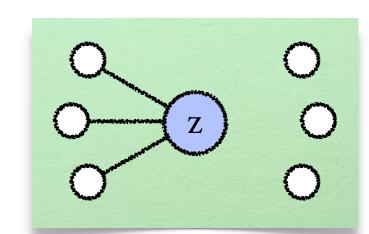
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a) There is
$$G : G \models \delta_k \land \varphi \Rightarrow (\text{by Fact 1}) \forall H : \text{If } H \models \delta_k \text{ then } H \models \varphi$$

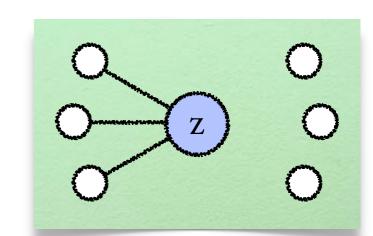
Thus, $\mu_{\infty}(\delta_k) \leq \mu_{\infty}(\varphi)$

2 cases
$$\Rightarrow (\text{by Fact 2}) \mu_{\infty}(\delta_k) = 1, \text{ hence } \mu_{\infty}(\varphi) = 1$$



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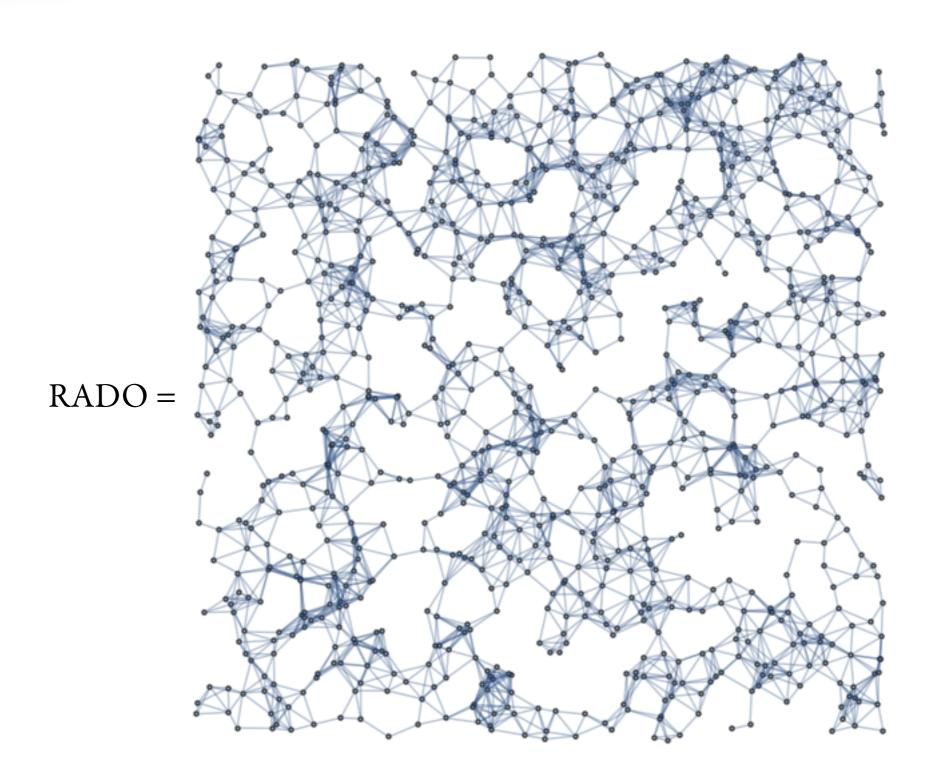
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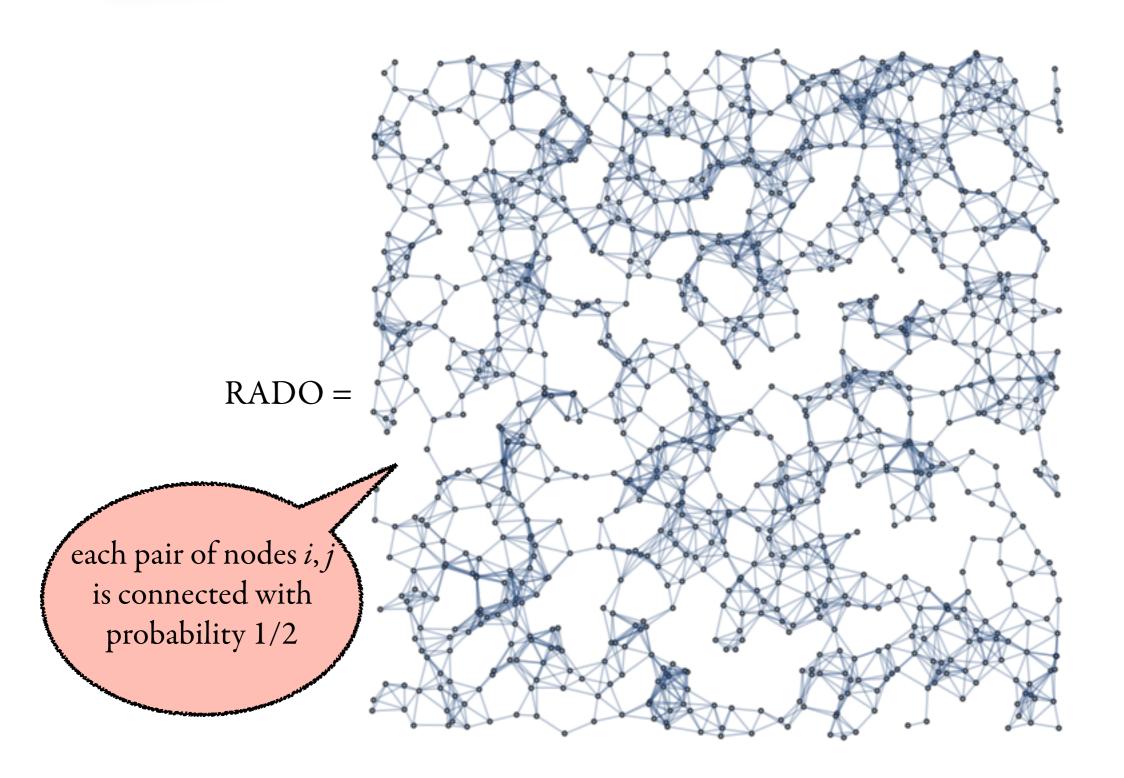
Thus, $\mu_{\infty}(\delta_k) \leq \mu_{\infty}(\varphi)$
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b) There is no $G \models \delta_k \land \varphi \Rightarrow \text{(by Fact 2)} \text{ there is } G \models \delta_k,$
 $\Rightarrow G \models \delta_k \land \neg \varphi \Rightarrow \text{(by case a)} \ \mu_{\infty}(\neg \varphi) = 1$

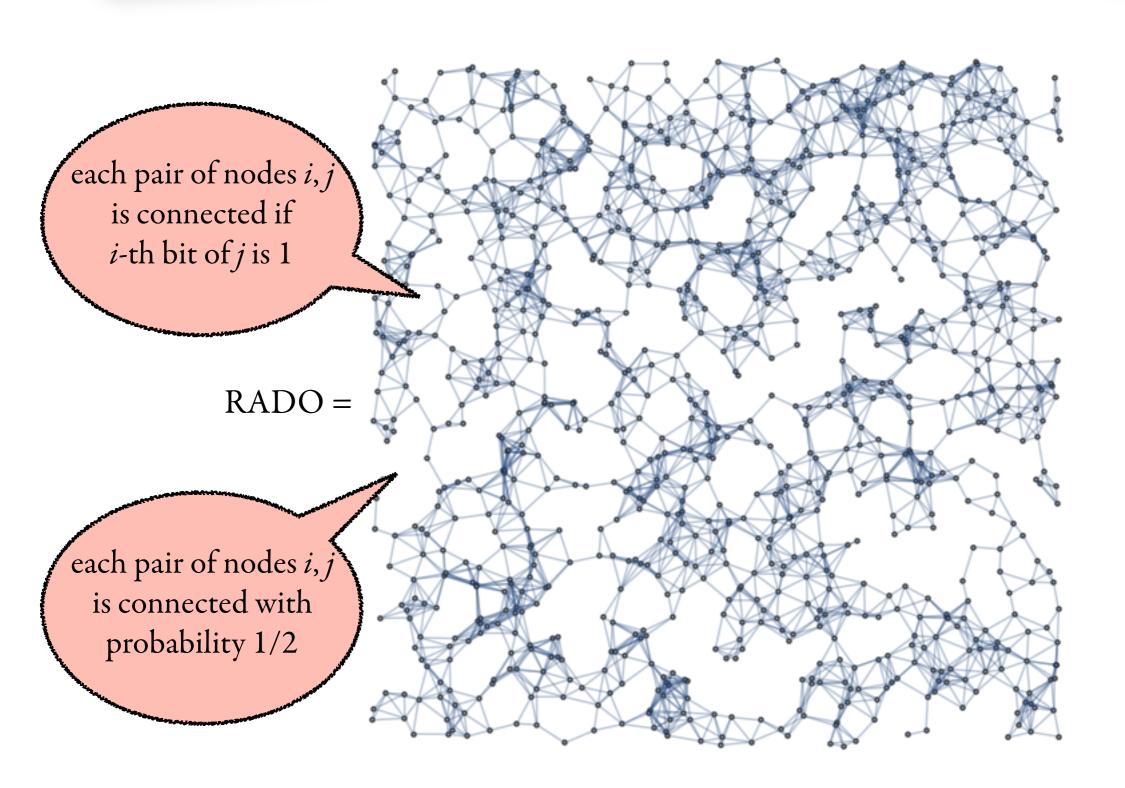




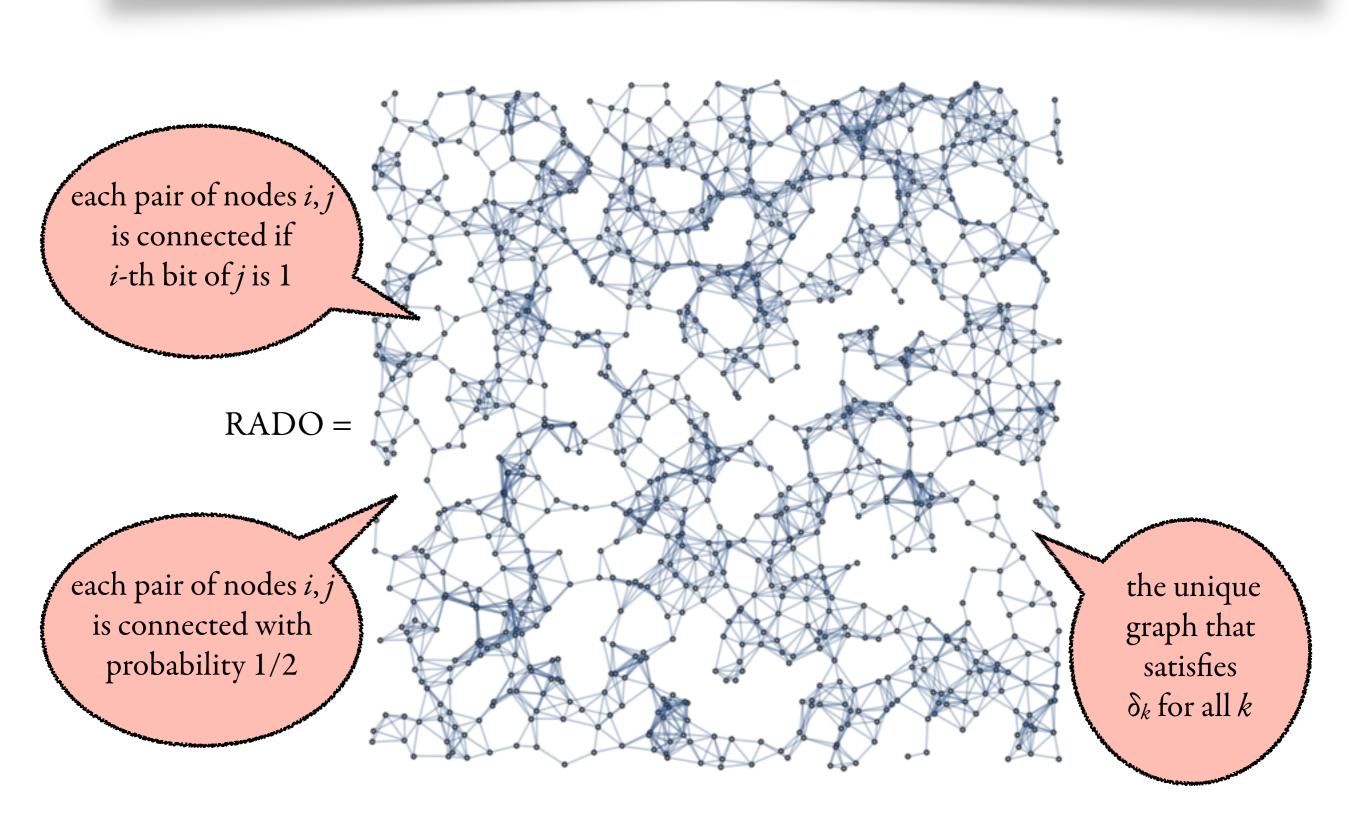






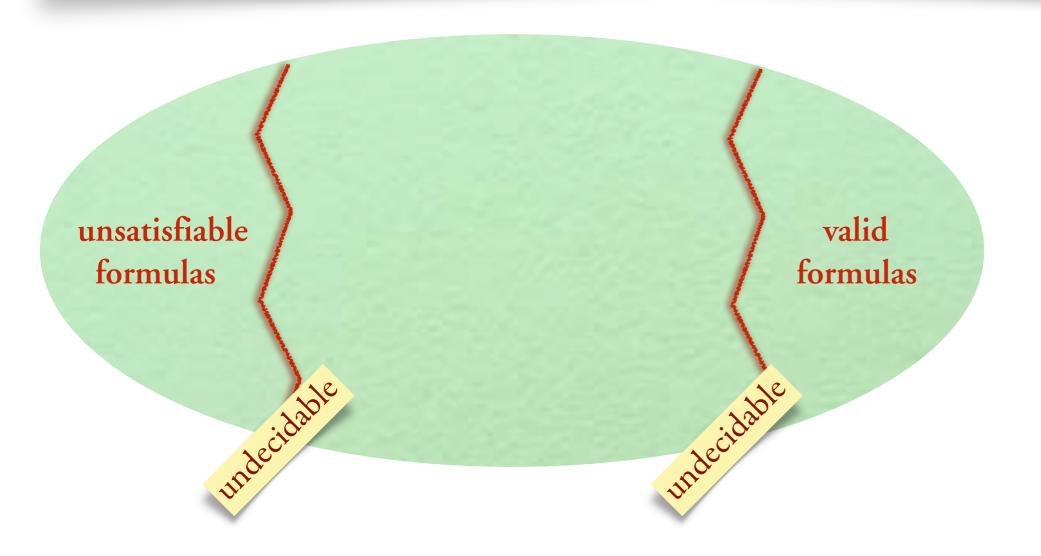




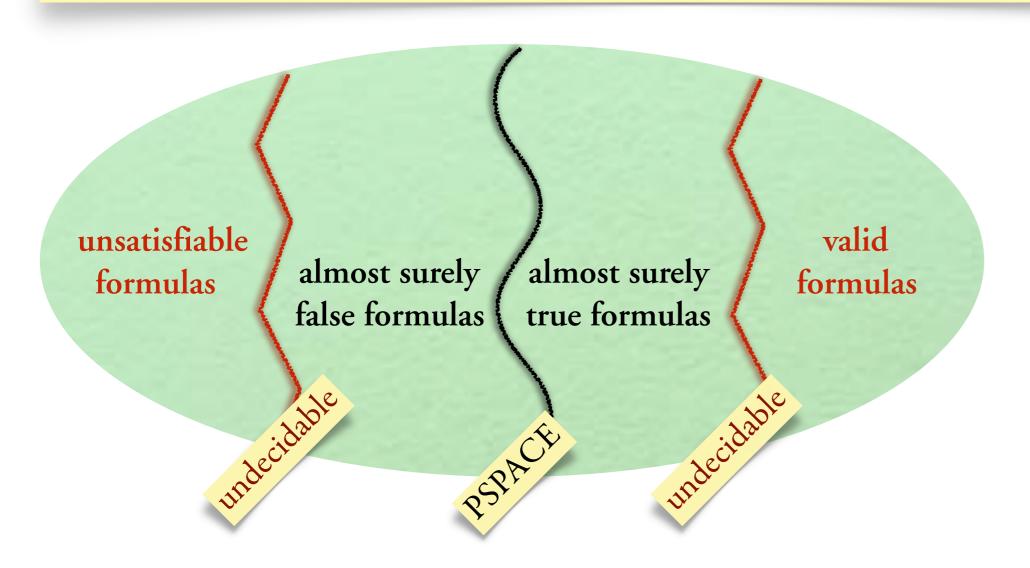


Theorem. The problem of deciding whether an FO sentence is almost surely true ($\mu_{\infty} = 1$) is PSPACE-complete.

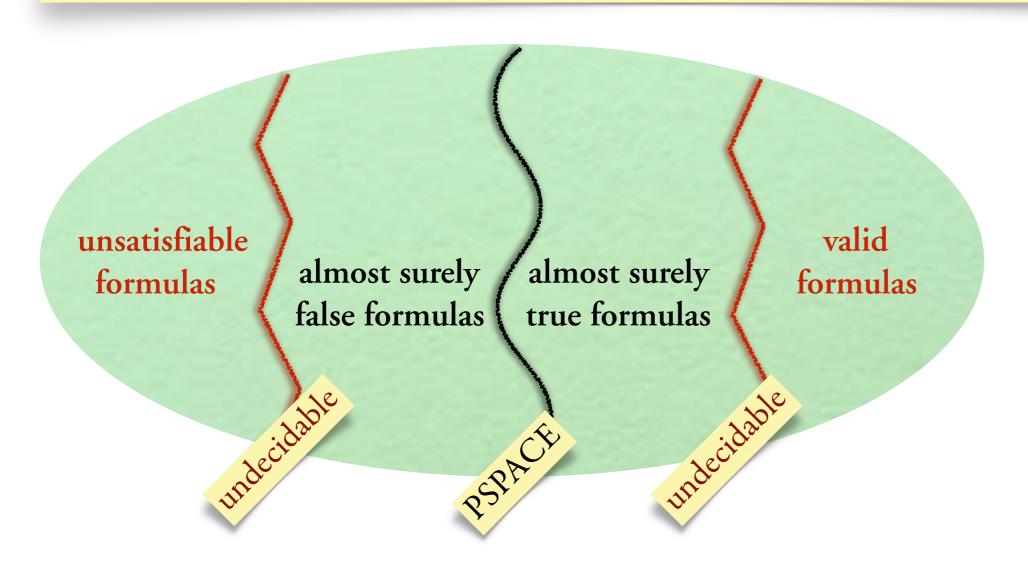
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Query evaluation on large databases:

Don't bother evaluating an FO query, it's either *almost surely true* or *almost surely false*!



Does the 0-1 Law apply to real-life databases?

Not quite: database constraints easily spoil Extension Axiom.

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Consider:

- functional constraint $\forall x, x', y, y'$ ($E(x,y) \land E(x,y') \Rightarrow y = y'$) \land (E is a permutation)
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0-1 Law only applies to unconstrained databases...

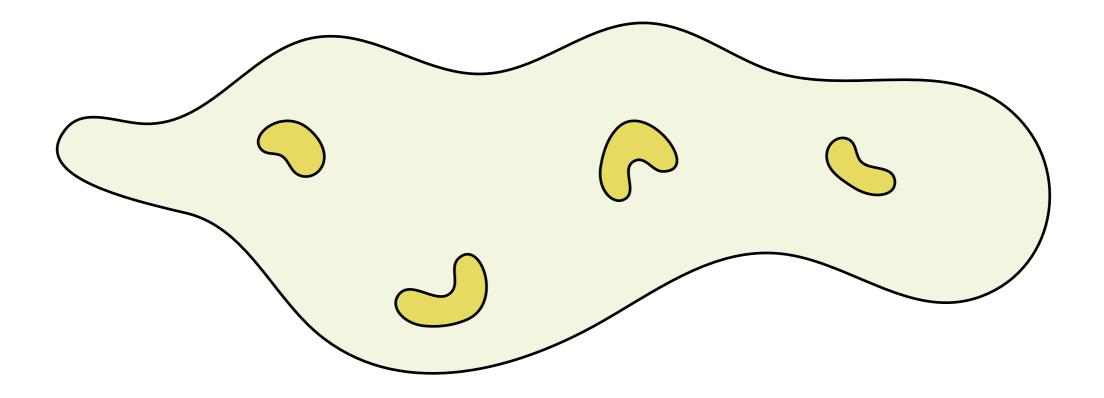
Another technique: Locality

Idea: First order logic can only express "local" properties

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Local = properties of nodes which are close to one another

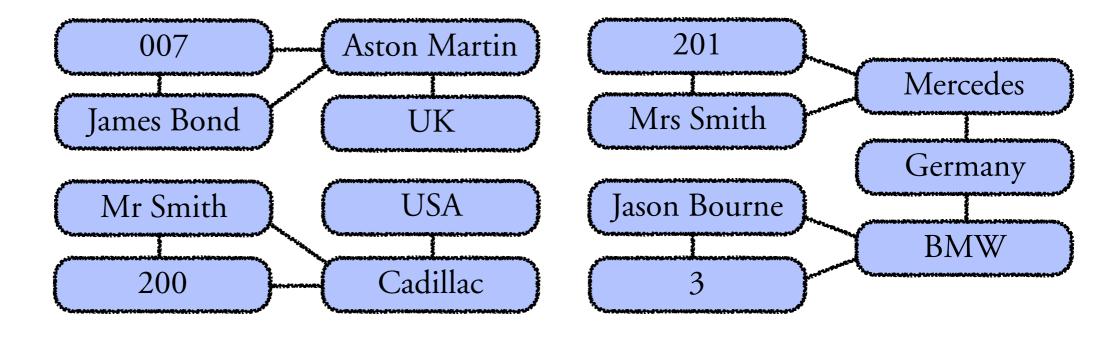


Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes
3	Jason Bourne	BMW

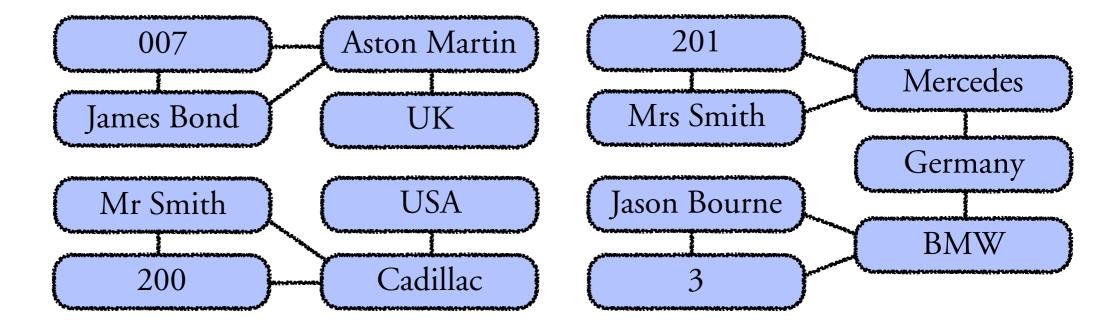
Car	Country
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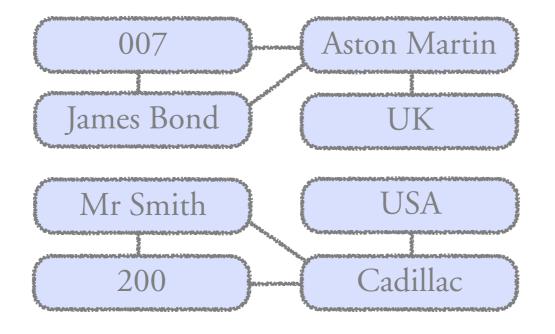
Agent	Name	Drives	Car	Country
007	James Bond	A 1	Gaifman graph of G is the underlying	UK
200	Mr Smith	Cadn. ur	ndirected graph.	USA
201	Mrs Smith	Mercedes	Mercedes	Germany
3	Jason Bourne	BMW	BMW	Germany

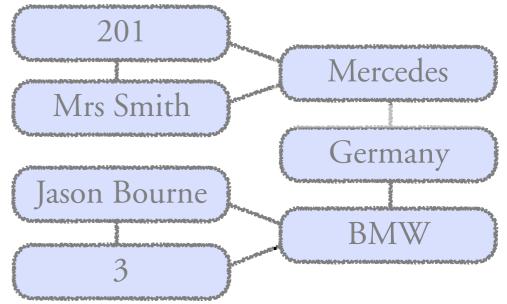


- dist (u, v) = distance between u and v in the Gaifman graph
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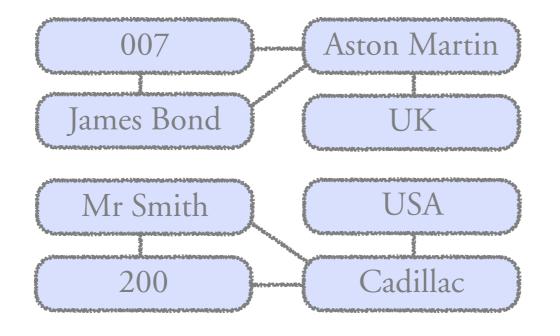


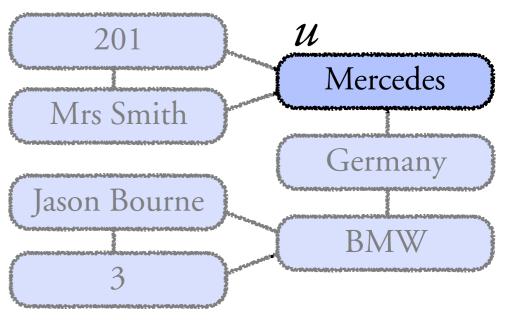


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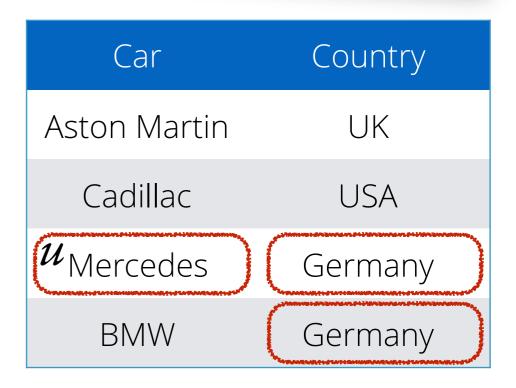
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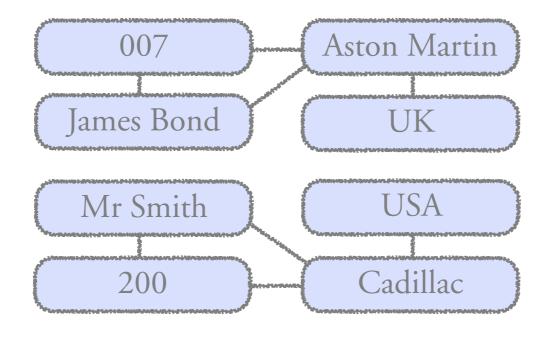


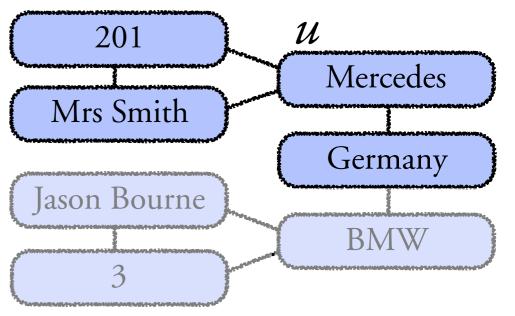


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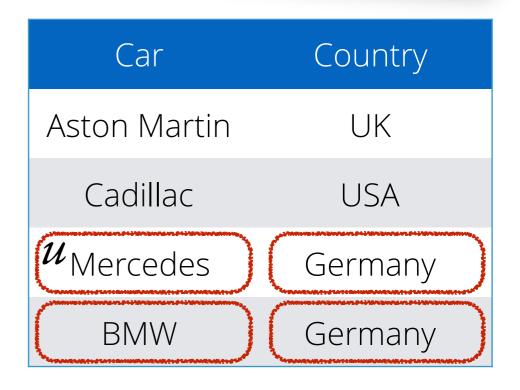


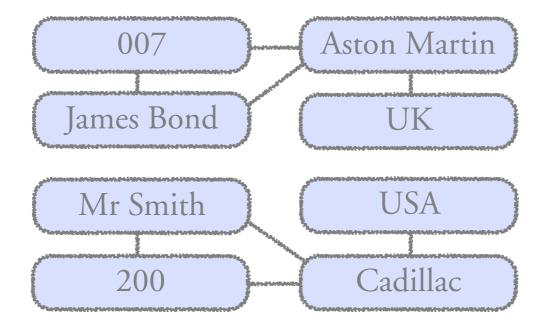


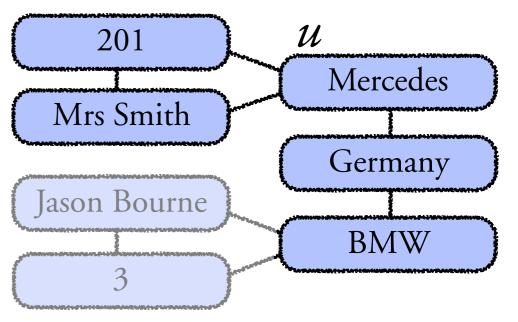


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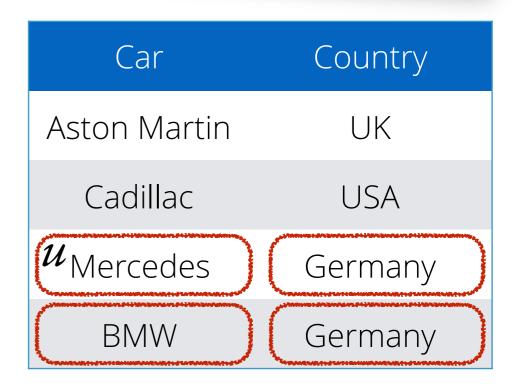


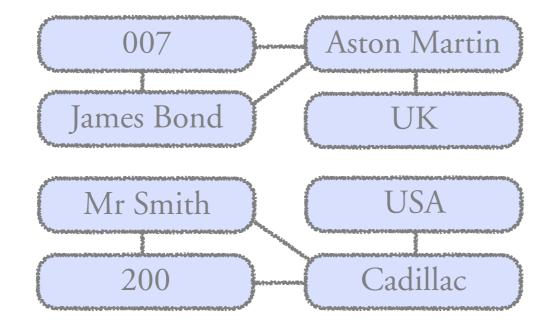


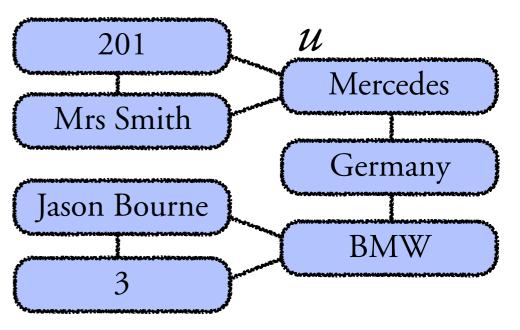


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Definition. Two structures S_1 and S_2 are Hanf(r, t) - equivalent

iff for each structure B, the two numbers

#
$$u$$
 s.t. $S_1[u,r] \cong B$ # v s.t. $S_2[v,r] \cong B$

are either the same or both $\geq t$.

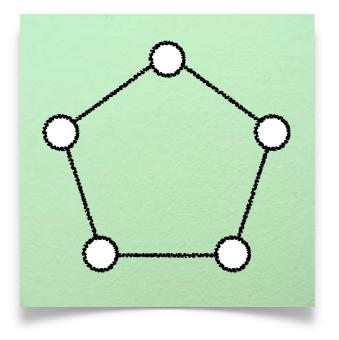
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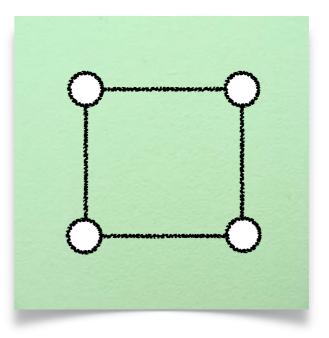
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Example. S_1 , S_2 are Hanf (1, 1) - equivalent iff they have the *same balls* of radius 1





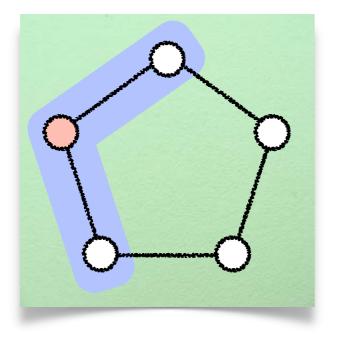
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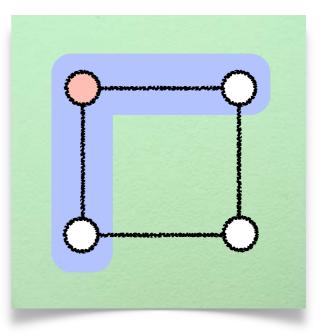
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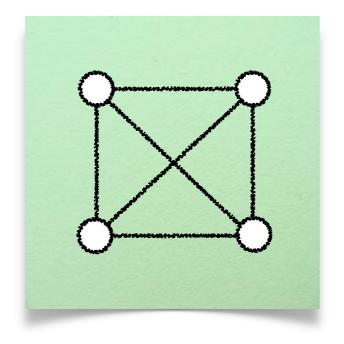
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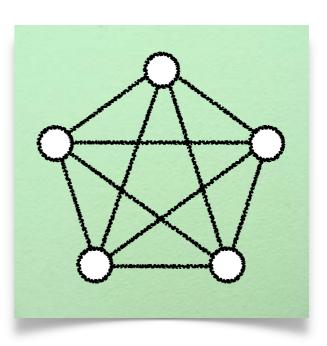
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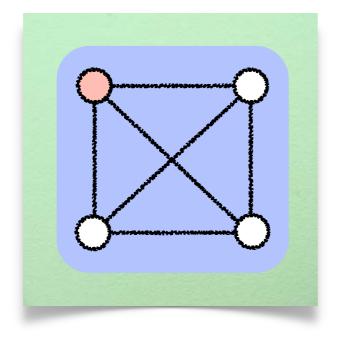
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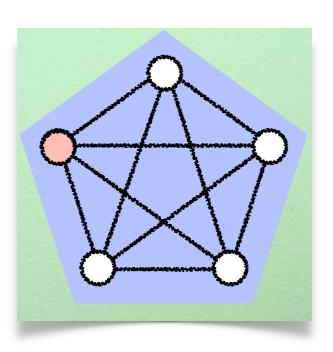
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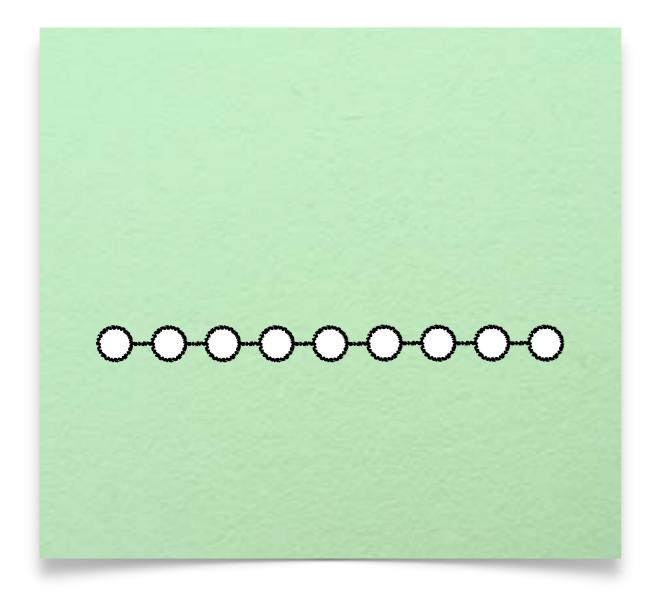
[Hanf '60]

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[Hanf '60]

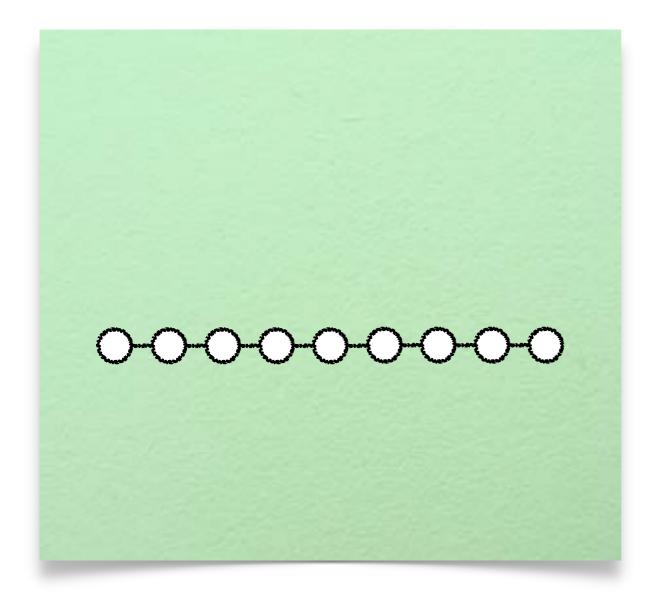
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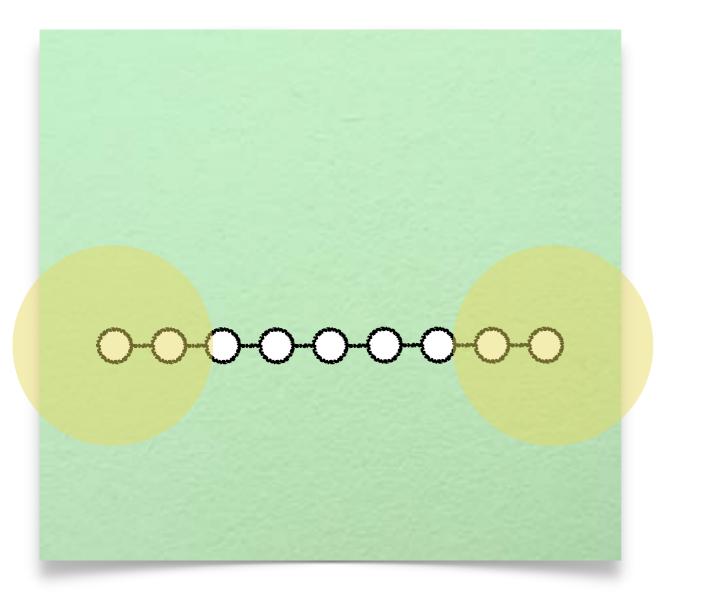
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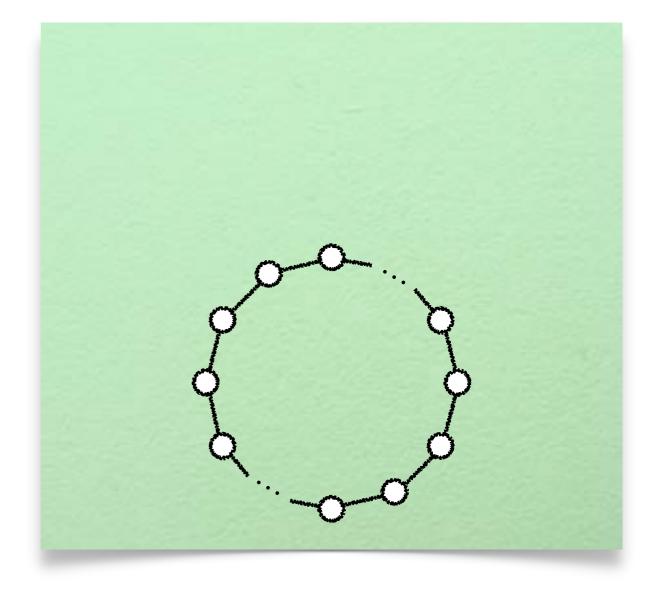




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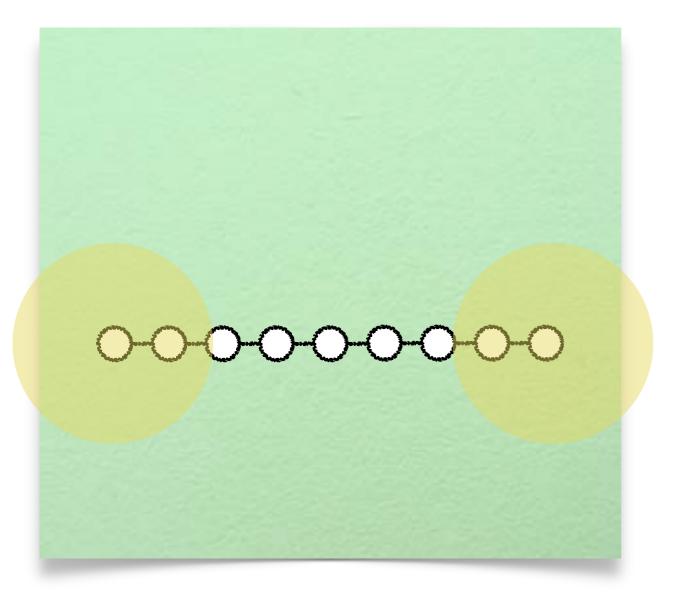
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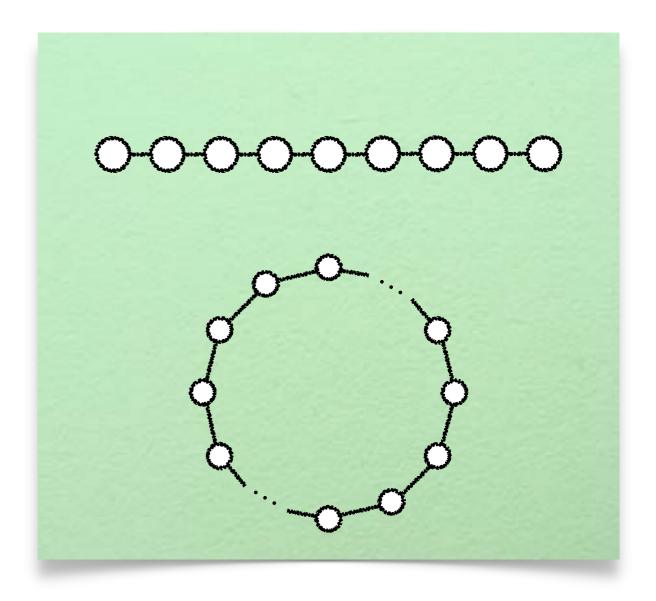




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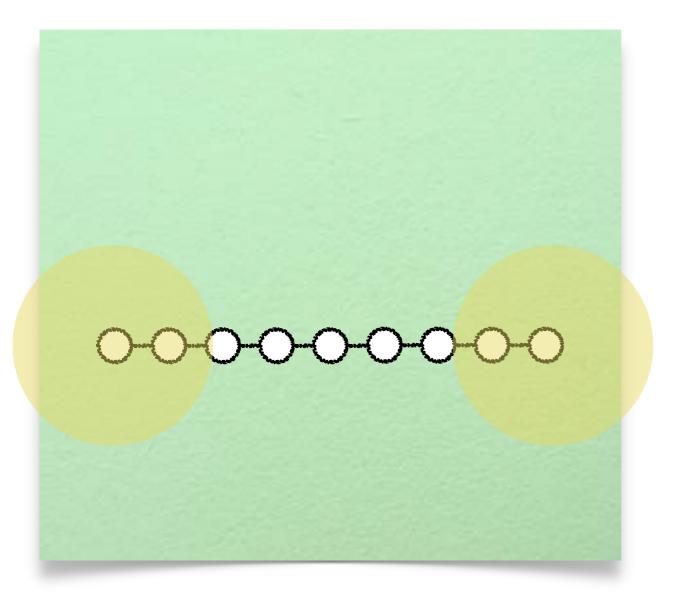
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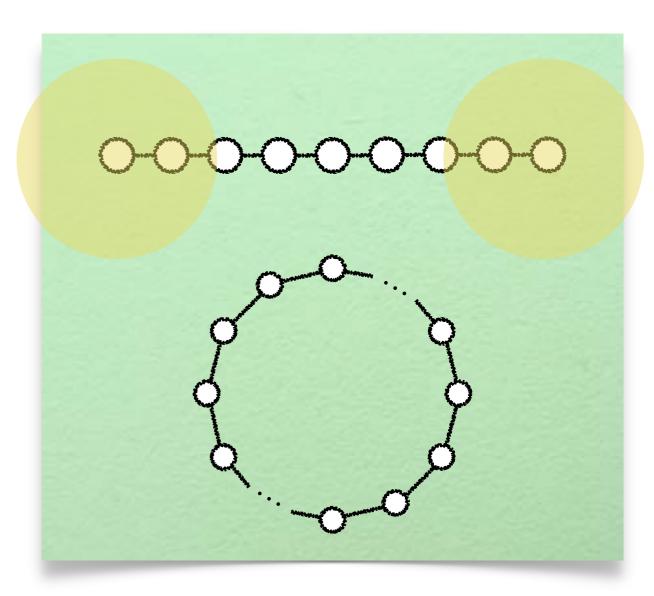




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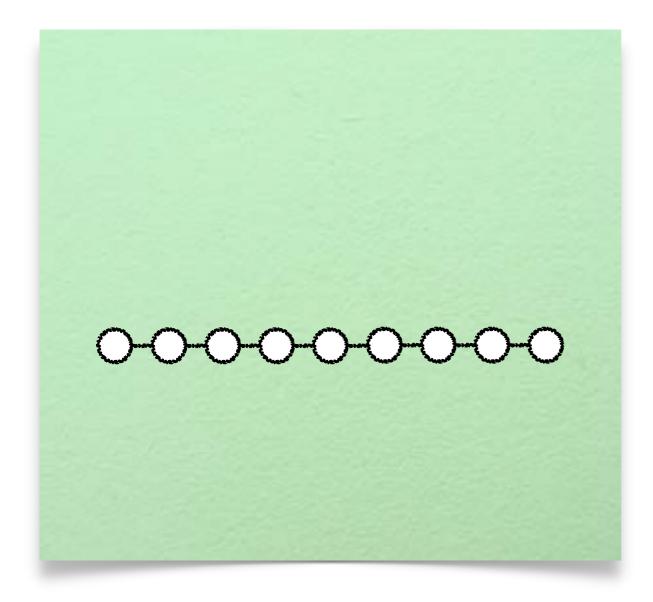
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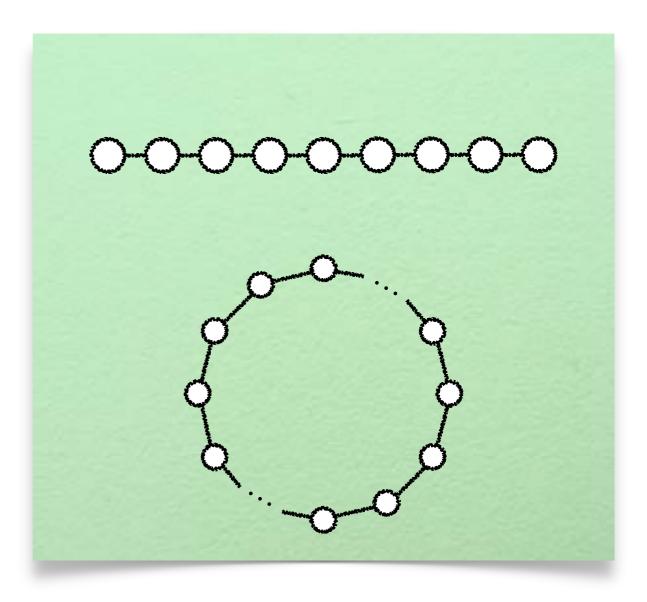




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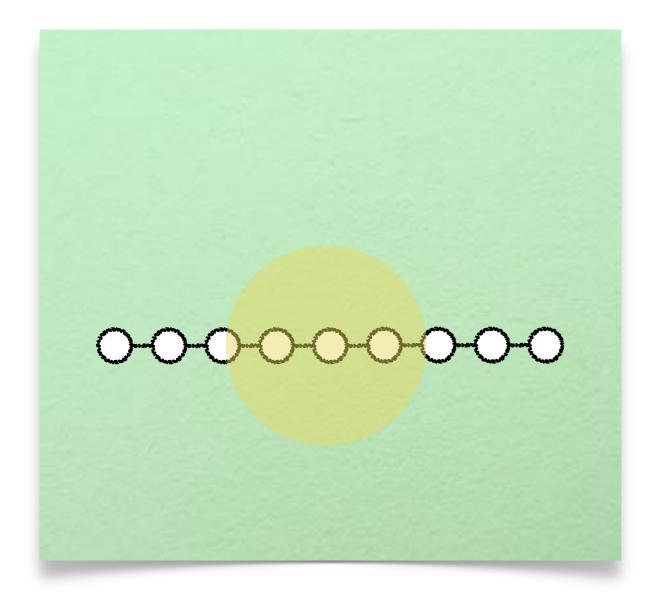
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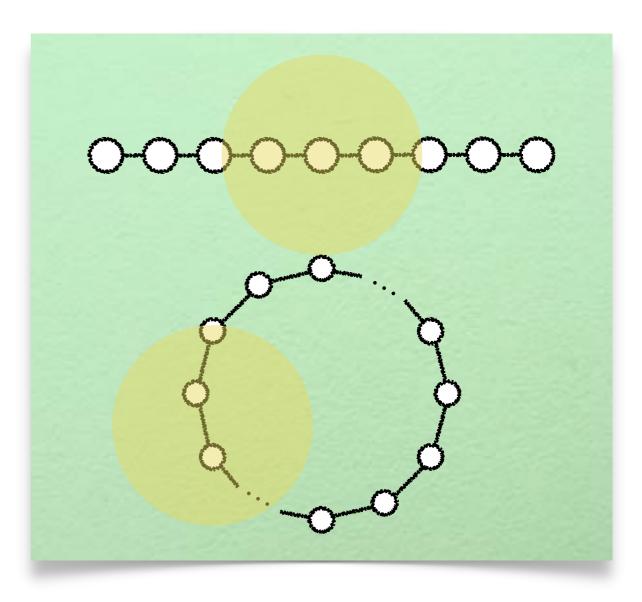




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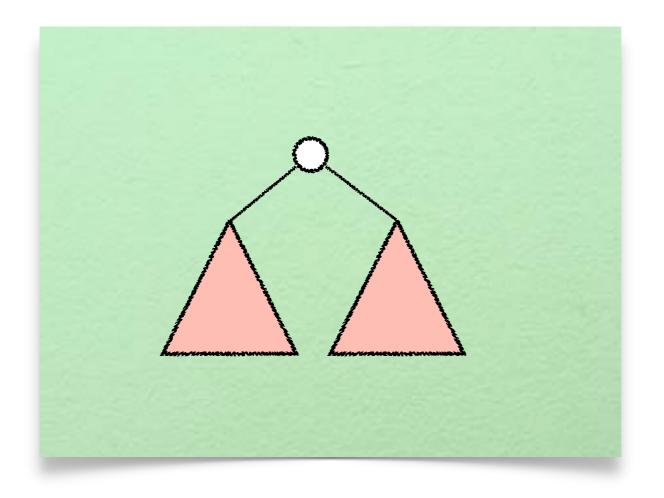


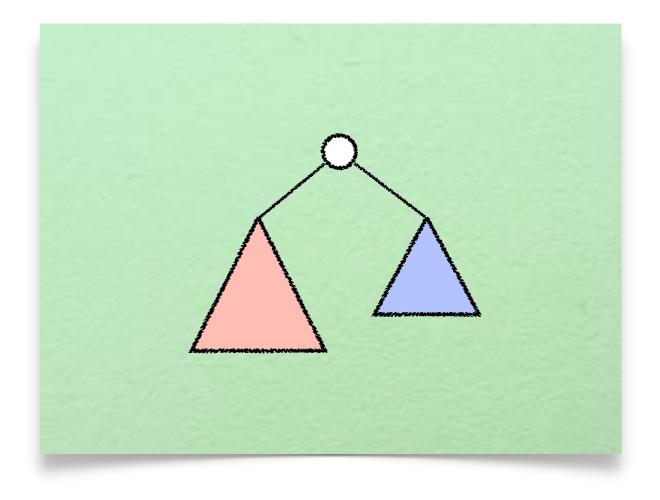
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Exercise: prove that testing whether a binary tree is *complete* is not FO-definable

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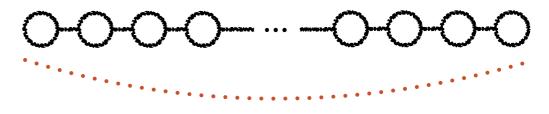
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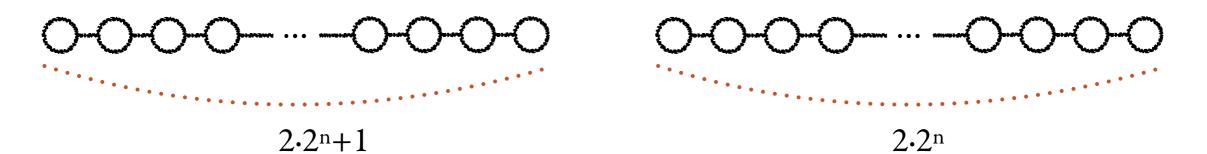
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Not (n+2)-equivalent yet they have the same 2^n-1 balls.

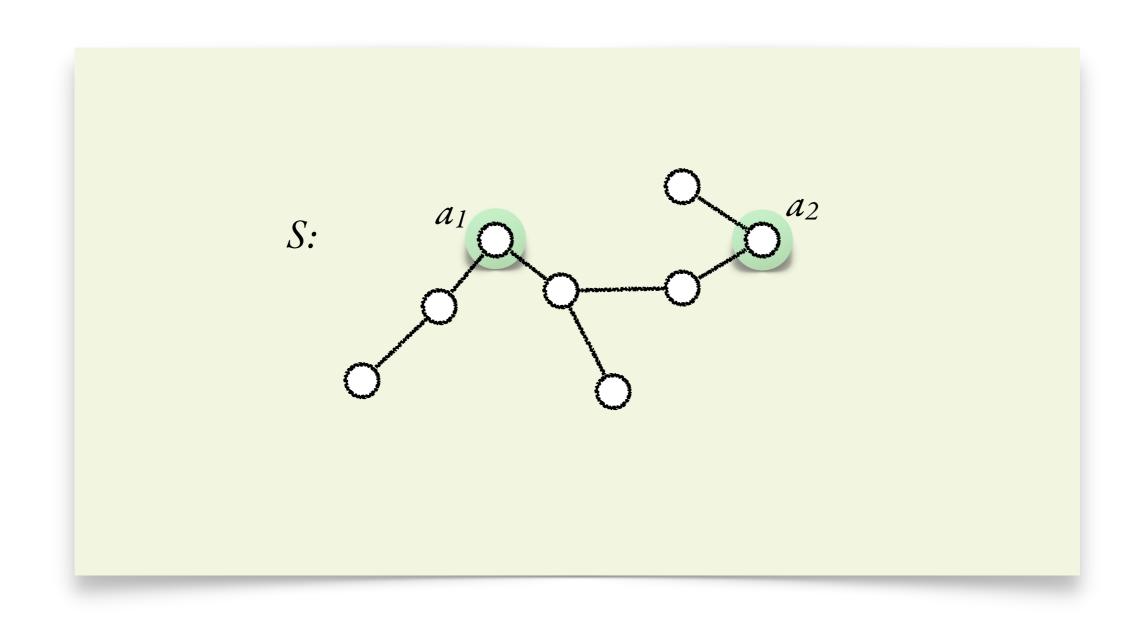
What about queries?

Eg: Is reachability expressible in FO?

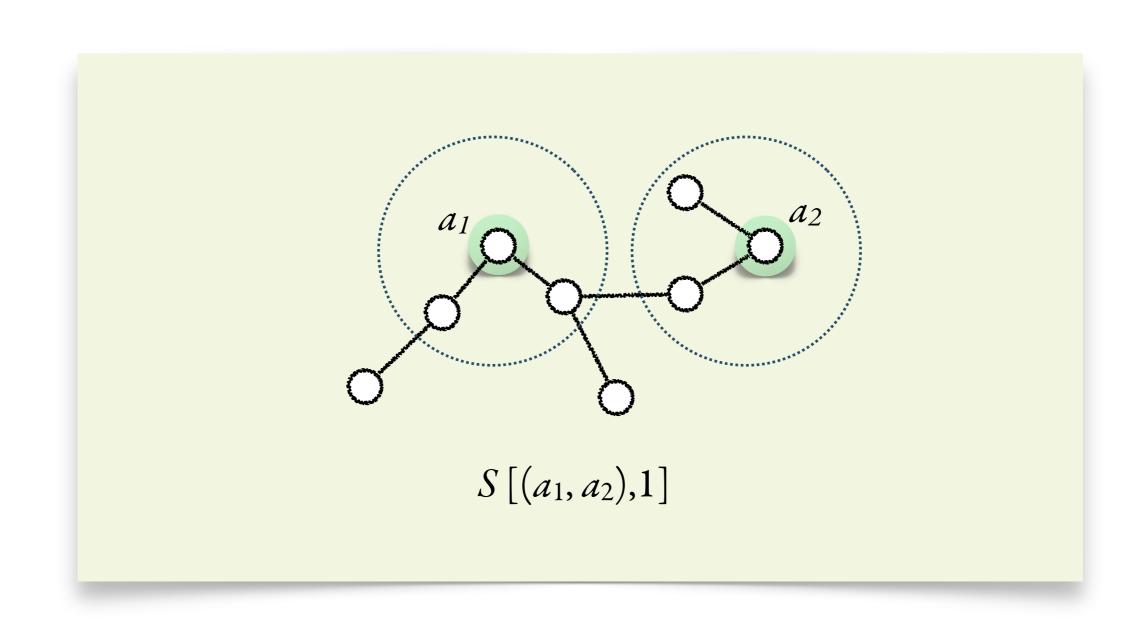
What about equivalence on the same structure?

When are two points indistinguishable?

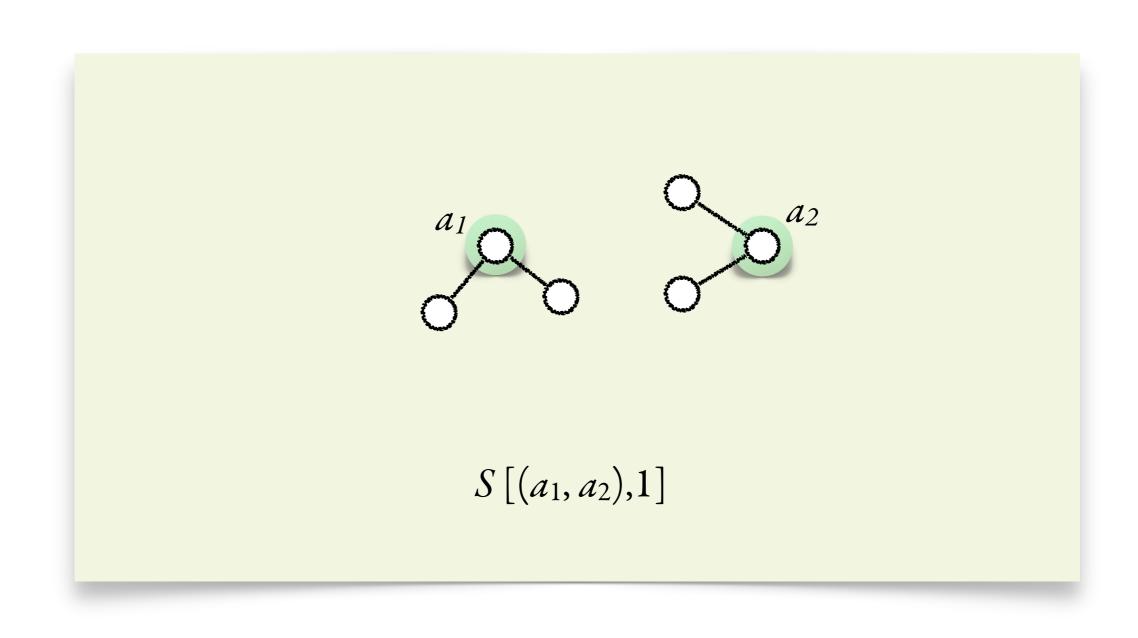
 $S[(a_1, ..., a_n), r] = \text{induced substructure of } S$ of elements at distance $\leq r$ of some a_i in the Gaifman graph.



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Gaifman locality

For any $\phi \in FO$ of quantifier rank k and structure S,

$$S[(a_1, ..., a_n), r] \cong S[(b_1, ..., b_n), r]$$
 for $r = 3^{k+1}$ implies

$$(a_1, ..., a_n) \in \phi(S)$$
 iff $(b_1, ..., b_n) \in \phi(S)$

Idea: If the neighbourhoods of two tuples are the same, the formula cannot distinguish them.

Gaifman locality vs Hanf locality

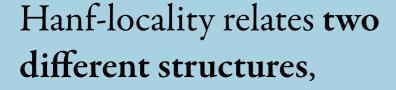
Difference between Hanf- and Gaifman-locality:

Hanf-locality relates two different structures,

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Gaifman locality vs Hanf locality

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Gaifman-locality talks about definability in **one structure**

Inside S,

 3^{k+1} -balls of $(a_1,...,a_n) = 3^{k+1}$ -balls of $(b_1,...,b_n)$

₩

 $(a_1,...,a_n)$ indistinguishable from $(b_1,...,b_n)$ through **formulas** of qr $\leq k$

Gaifman locality vs Hanf locality

Difference between Hanf- and Gaifman-locality:

Hanf-locality relates two different structures,

Gaifman-locality talks about definability in one structure

 S_1 and S_2 have the same # of balls of radius 3^k , up to threshold k

They verify the same sentences of $qr \le k$

Inside S,

$$3^{k+1}$$
-balls of $(a_1,...,a_n) = 3^{k+1}$ -balls of $(b_1,...,b_n)$

 $(a_1,...,a_n)$ indistinguishable from $(b_1,...,b_n)$ through **formulas** of qr $\leq k$

Schema to show non-expressibility results is, as usual:

A query $Q(x_1,...,x_n)$ is not FO-definable if:

for every k there is a structure S_k and $(a_1, ..., a_n)$, $(b_1, ..., b_n)$ such that

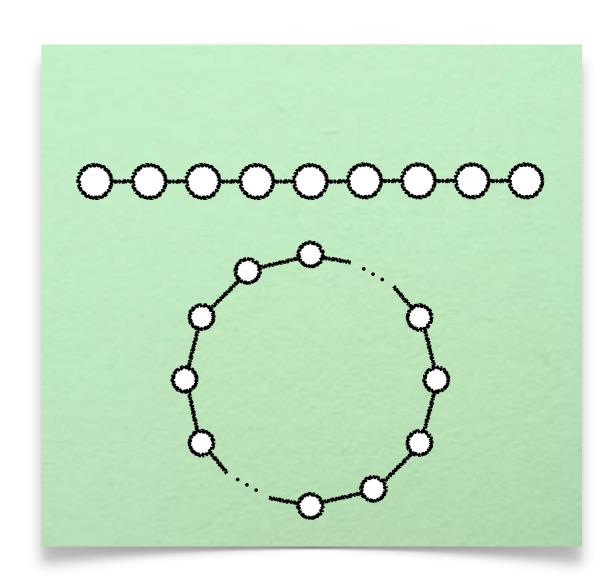
- $S_k[(a_1, ..., a_n), 3^{k+1}] \cong S_k[(b_1, ..., b_n), 3^{k+1}]$
- $(a_1, ..., a_n) \in Q(S_k), (b_1, ..., b_n) \notin Q(S_k)$

Proof: If Q were expressible with a formula of quantifier rank k,

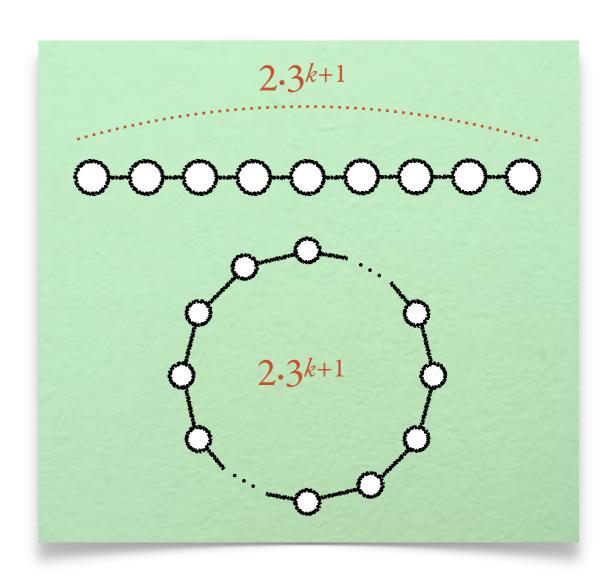
then $(a_1, ..., a_n) \in Q(S_k)$ iff $(b_1, ..., b_n) \in Q(S_k)$. Absurd!

Reachability is not FO definable.

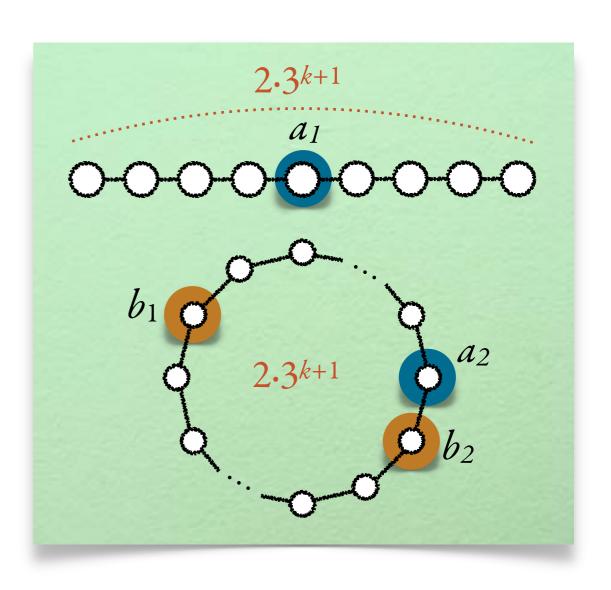
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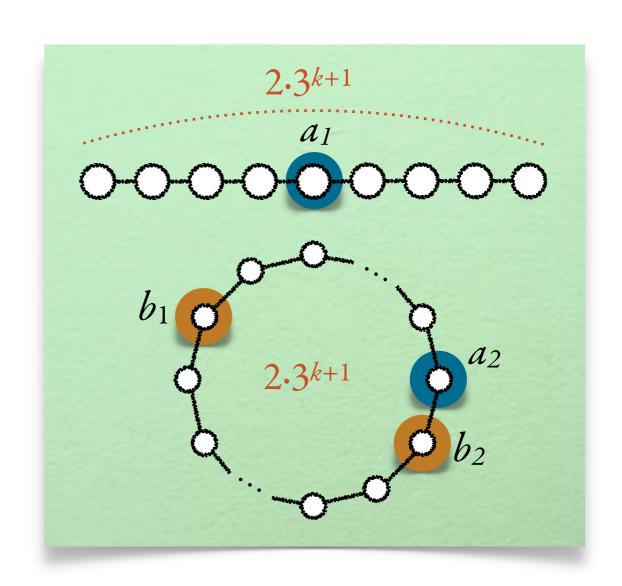


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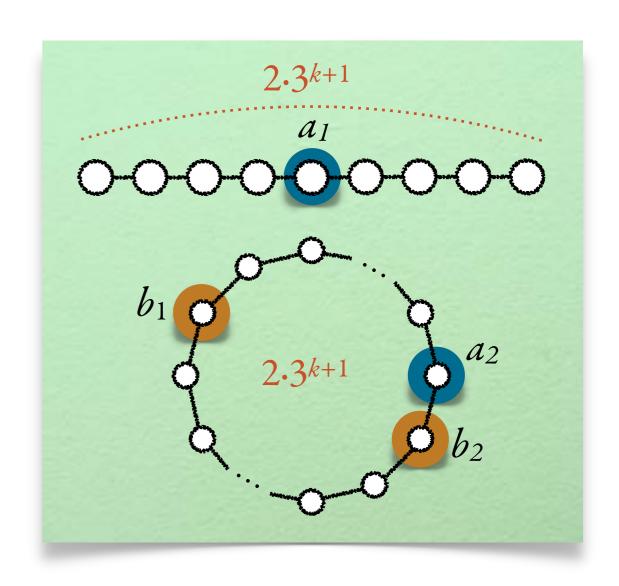
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For every k, we build S_k :

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However,

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- a_2 is **not** reachable from a_1 .



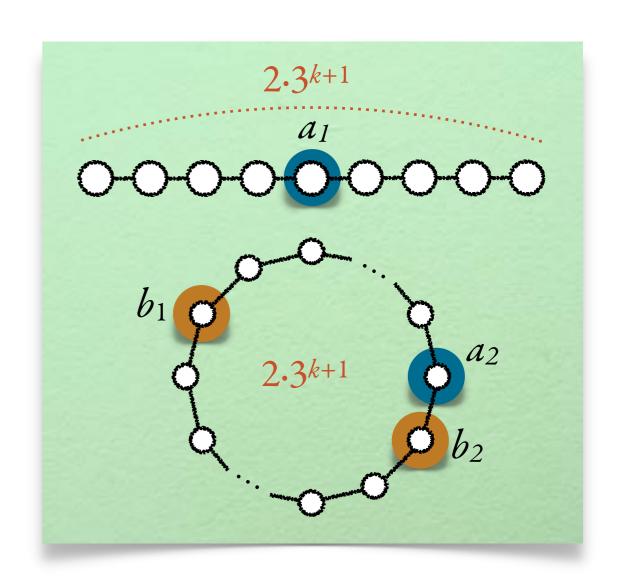
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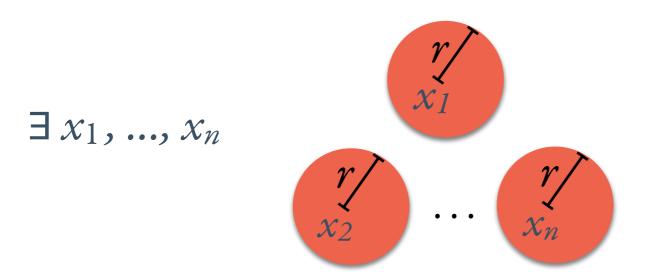
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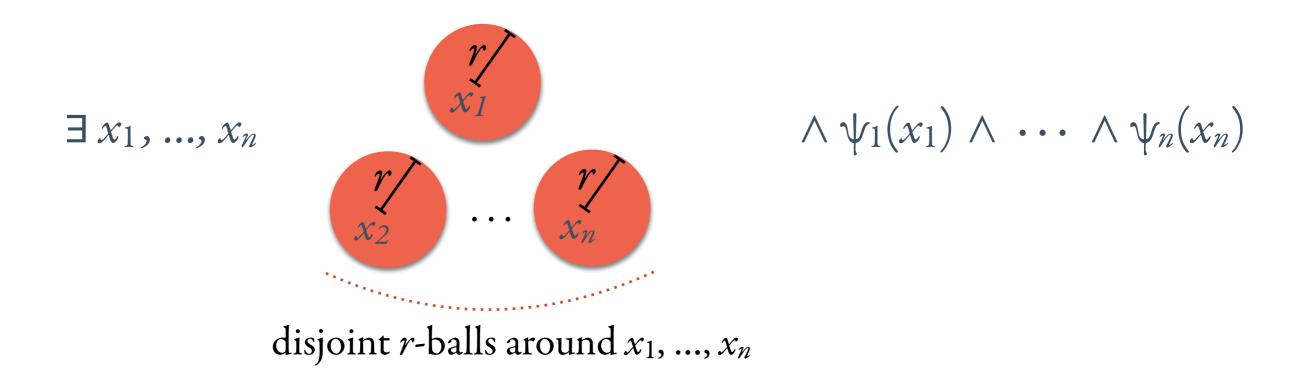
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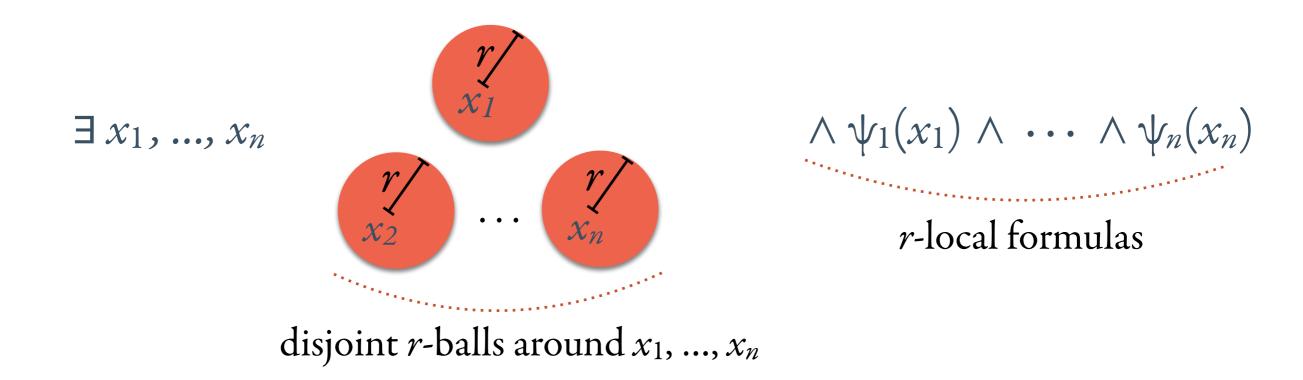


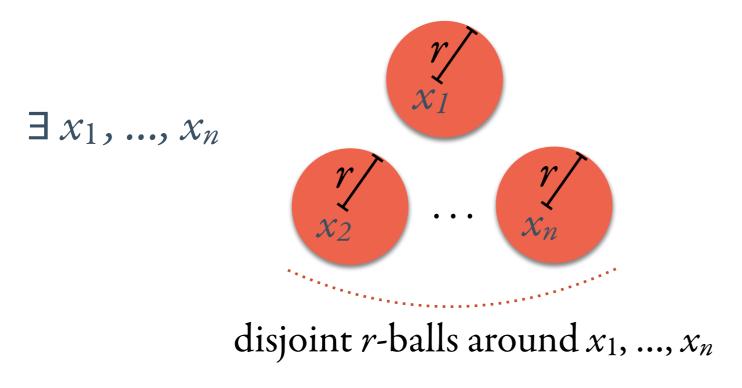
Your turn! Q(x) = "x is a vertex separator"



$$\wedge \psi_1(x_1) \wedge \cdots \wedge \psi_n(x_n)$$



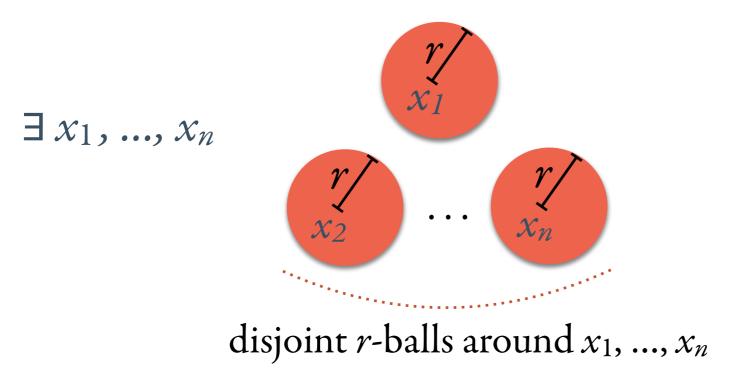






Inside
$$\psi_i(x_i)$$
 we interpret $\exists y . \varphi$ as $\exists y . d(x_i, y) \leq r \land \varphi$

Basic local sentence:





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Gaifman Theorem: Every FO sentence is equivalent to

a boolean combination of basic local sentences.

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FO sentences with quantifier rank n

=

winning strategies for Spoiler in the n-round EF game

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An FO sentence can only say

Gaifman locality

Queries of quantifier rank n output tuples closed under 3ⁿ⁺¹ balls.

Gaifman Theorem

"there are some points at distance ≥2r
whose r-balls are isomorphic to certain structures"
or a boolean combination of that.

Descriptive complexity

What properties can be checked efficiently? E.g. 3COL can be tested in NP

Metatheorem

"A property can be expressed in [insert some logic here] iff

it can be checked in [some complexity class here]"

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- "A property is FO-definable iff it can be tested in AC0"
- → "A property is ∃SO-definable iff it can be tested in NP" [Fagin 73]
- → Open problem: which logic captures PTIME?

Recursion

Can we enhance query languages with recursion? E.g. express reachability properties

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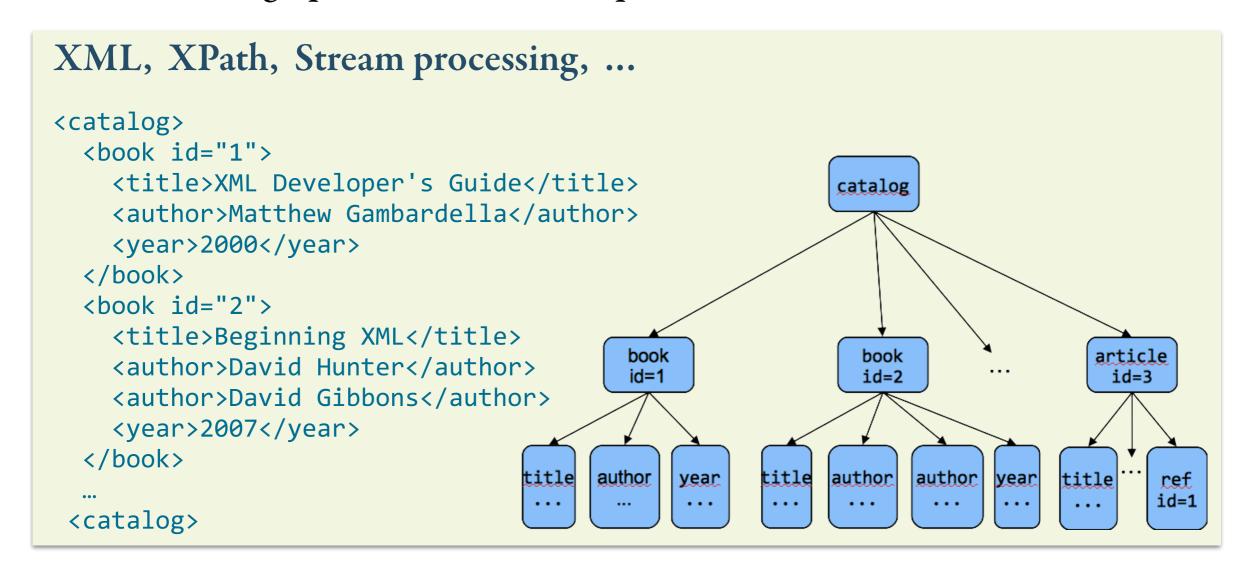
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- → Incomparable with FO (has recursion, but is monotone)
- ** Evaluation is in PTIME (for data complexity, but also for bounded arity)

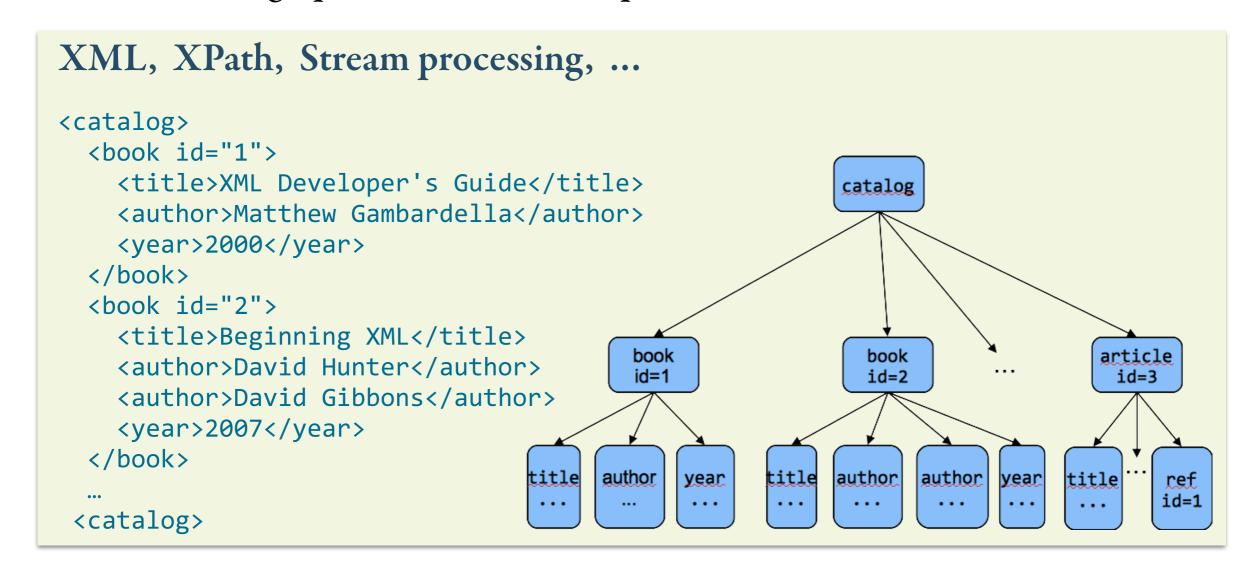
Semi-structured data

Tree-structured or graph-structures dbs in place of relational dbs.



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** Evaluation of XPath is in linear time (data complexity)

[Bojanczyk, Parys 08]

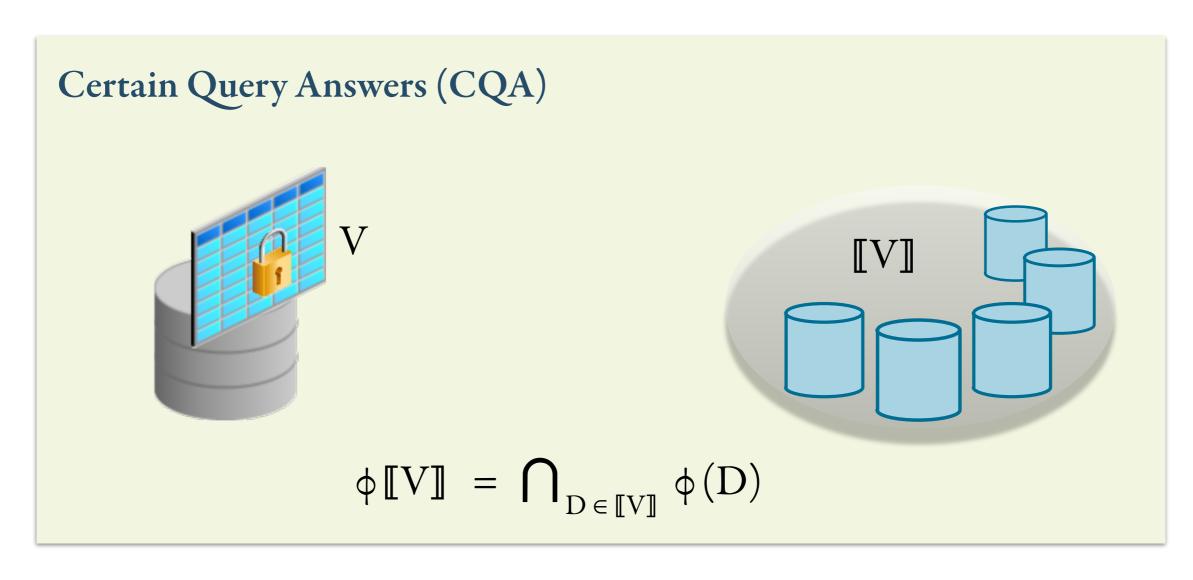
- \rightsquigarrow Satisfiability for FO²[\downarrow , \sim] is decidable Bojanczyk, N
- [Bojanczyk, Muscholl, Schwentick, Segoufin 09]

Incomplete information

How to correctly reason when information is hidden/missing/noisy/...?

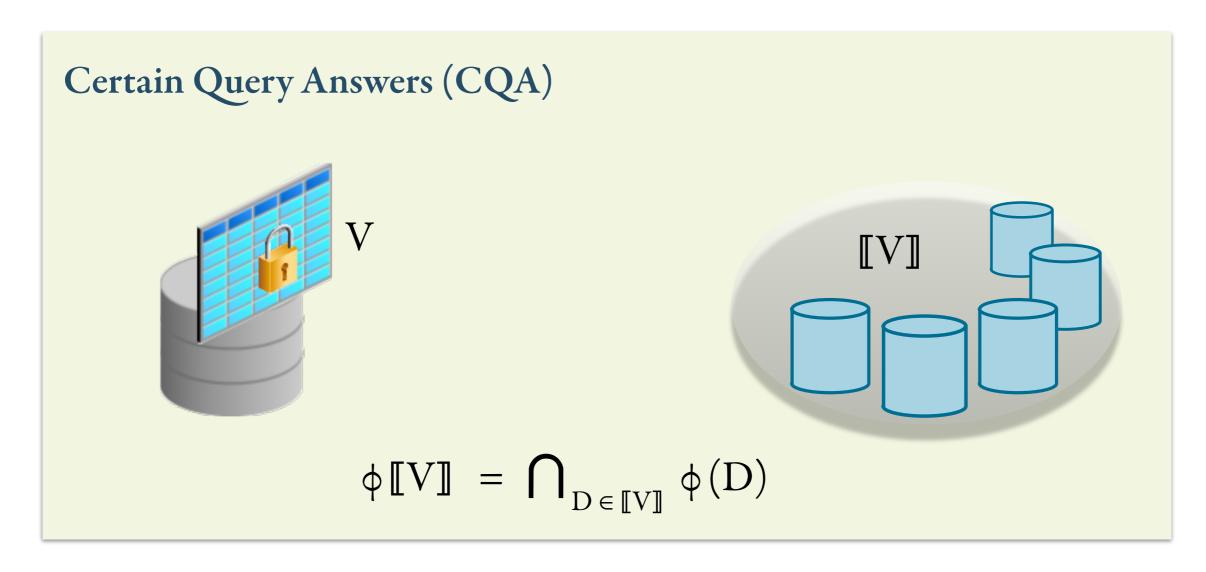
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→ CQA computable in PTIME w.r.t. view size. [Abiteboul, Kanellakis, Grahne 91]

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