

# Logics on words and trees with data

Diego Figueira & Ranko Lazić

ESSLLI 2016 - Bolzano

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Today, again!

# Agenda

- Monday: Introduction, motivation
- Tuesday: Data words, first-order logic
- Wednesday: Data words, temporal logics
- Thursday: Data trees, path-based logics
- Friday: Data trees, other formalisms for data trees

# Patterns!



# Data Tree Patterns

- Defined is a **tree**
  - Unordered, unranked
  - Nodes can have labels
  - Edges are of type 'child' or 'descendant'
  - Additional equality and inequality constraints between nodes
- A data tree "satisfies" a pattern if there is an morphism
$$f : \text{pattern} \longrightarrow \text{tree}$$
that verifies the constraints of the pattern.

# Data Tree Patterns

A **data tree pattern** is a tree with



- child/descendant edges,



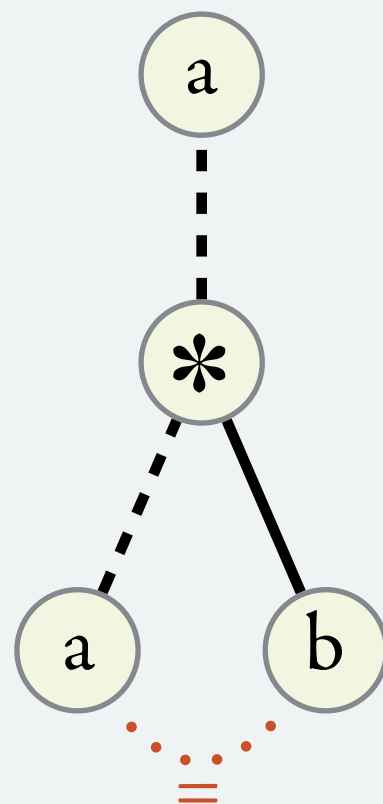
- labelled nodes, and



- data equality/ inequality constraints between nodes.

# Data Tree Patterns

Example:



data pattern

# Data Tree Patterns

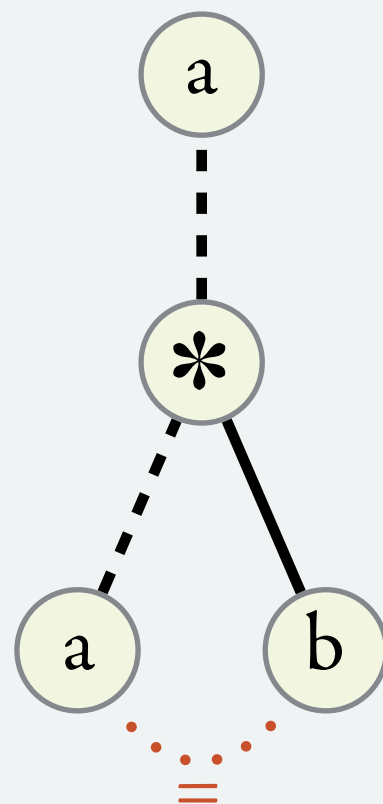
A **data tree pattern**  $P$  is "satisfied" by a data tree  $T$  if

- there is an injective function  $f: \text{nodes}(P) \longrightarrow \text{nodes}(T)$  so that:
  - if  $v \in \text{nodes}(P)$  labeled with  $a \Rightarrow f(v) \in \text{nodes}(T)$  labeled with  $a$
  - $(v, v')$  related by a 'child' edge  $\Rightarrow f(v')$  is a child of  $f(v)$
  - $(v, v')$  related by a 'descendant' edge  $\Rightarrow f(v')$  is descendant of  $f(v)$
  - $(v, v')$  at 'incomparable' positions  $\Rightarrow f(v), f(v')$  incomparable
  - $(v, v')$  are related by a '=' edge (resp. '≠'), then  $f(v), f(v')$  have the same (resp. different) data value.

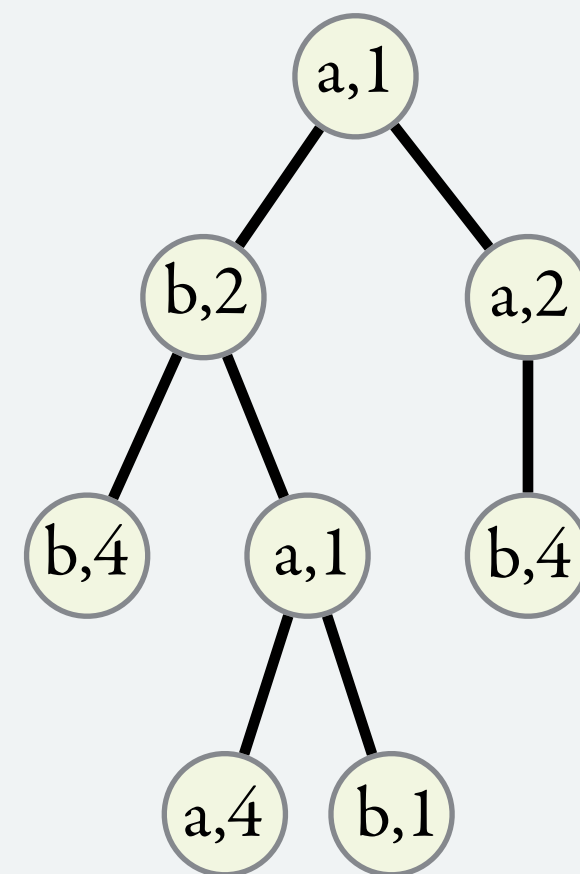


# Data Tree Patterns

Example 1:



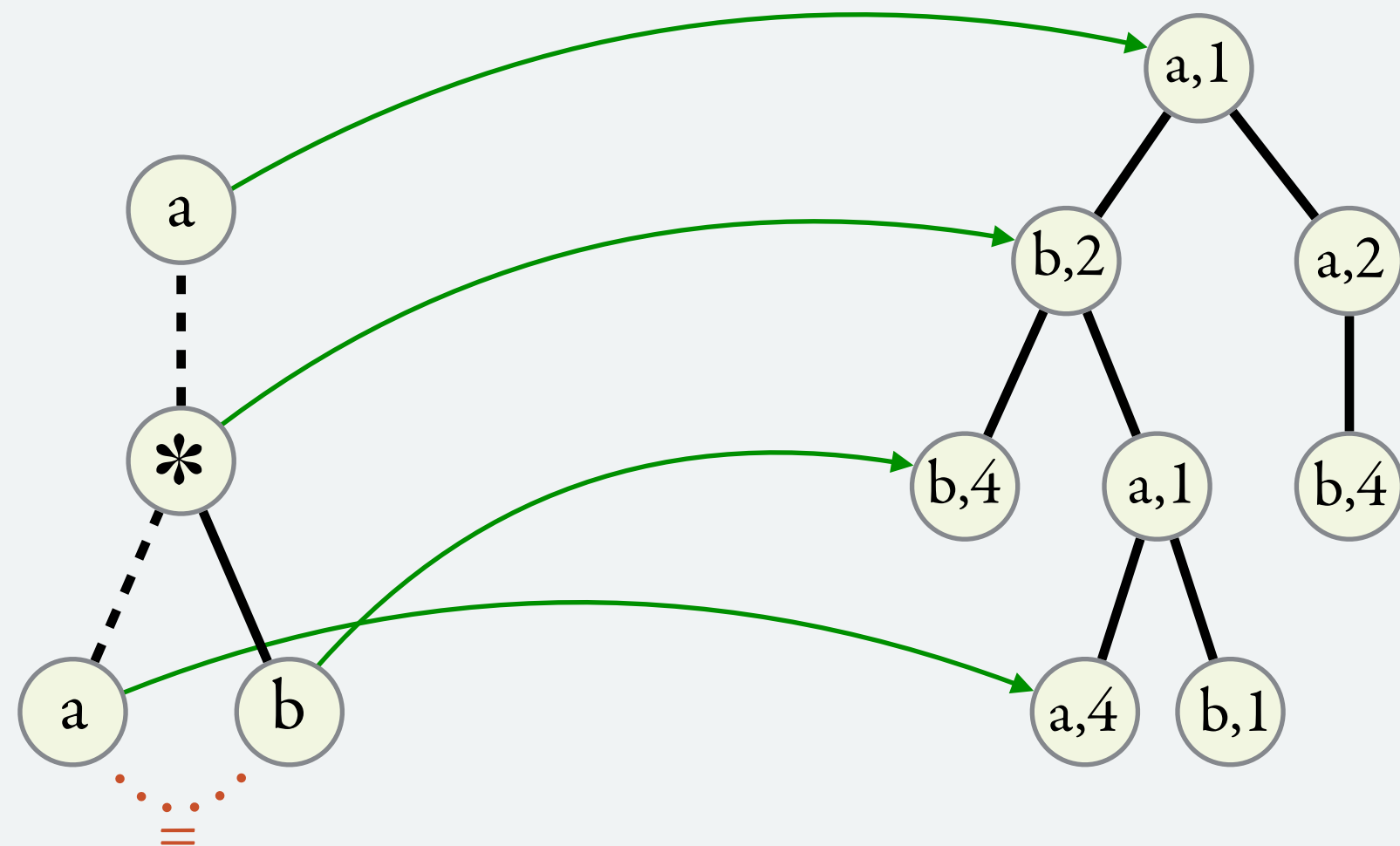
data pattern



data tree

# Data Tree Patterns

Example 1:

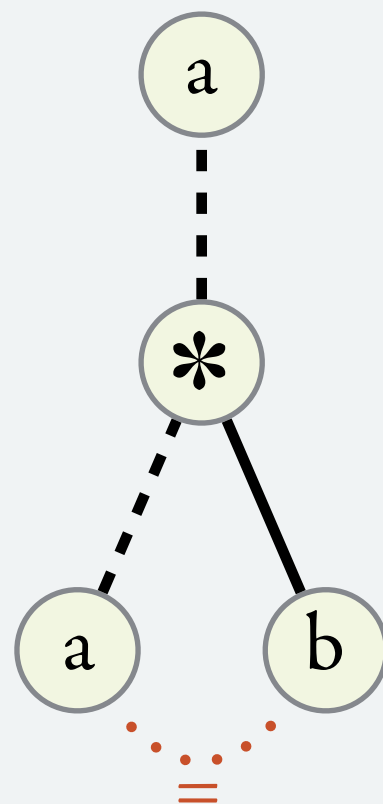


data pattern

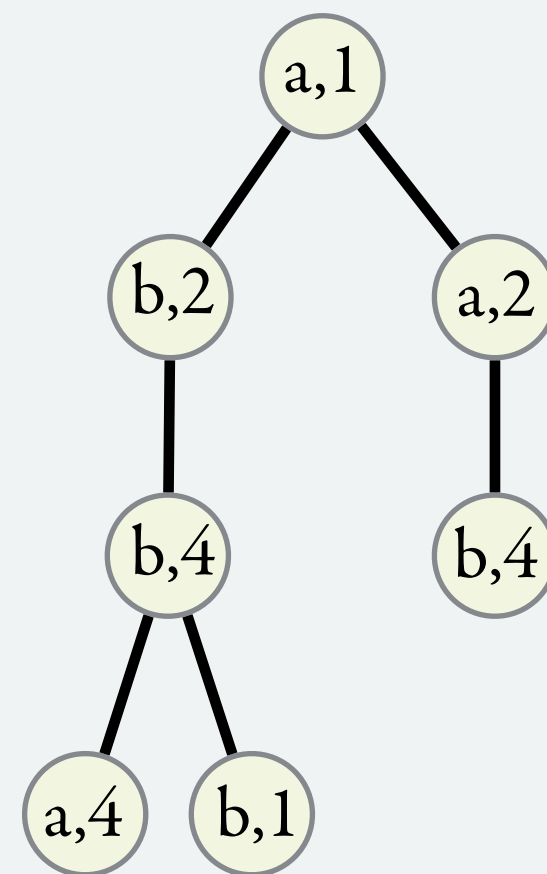
data tree

# Data Tree Patterns

Example 2:



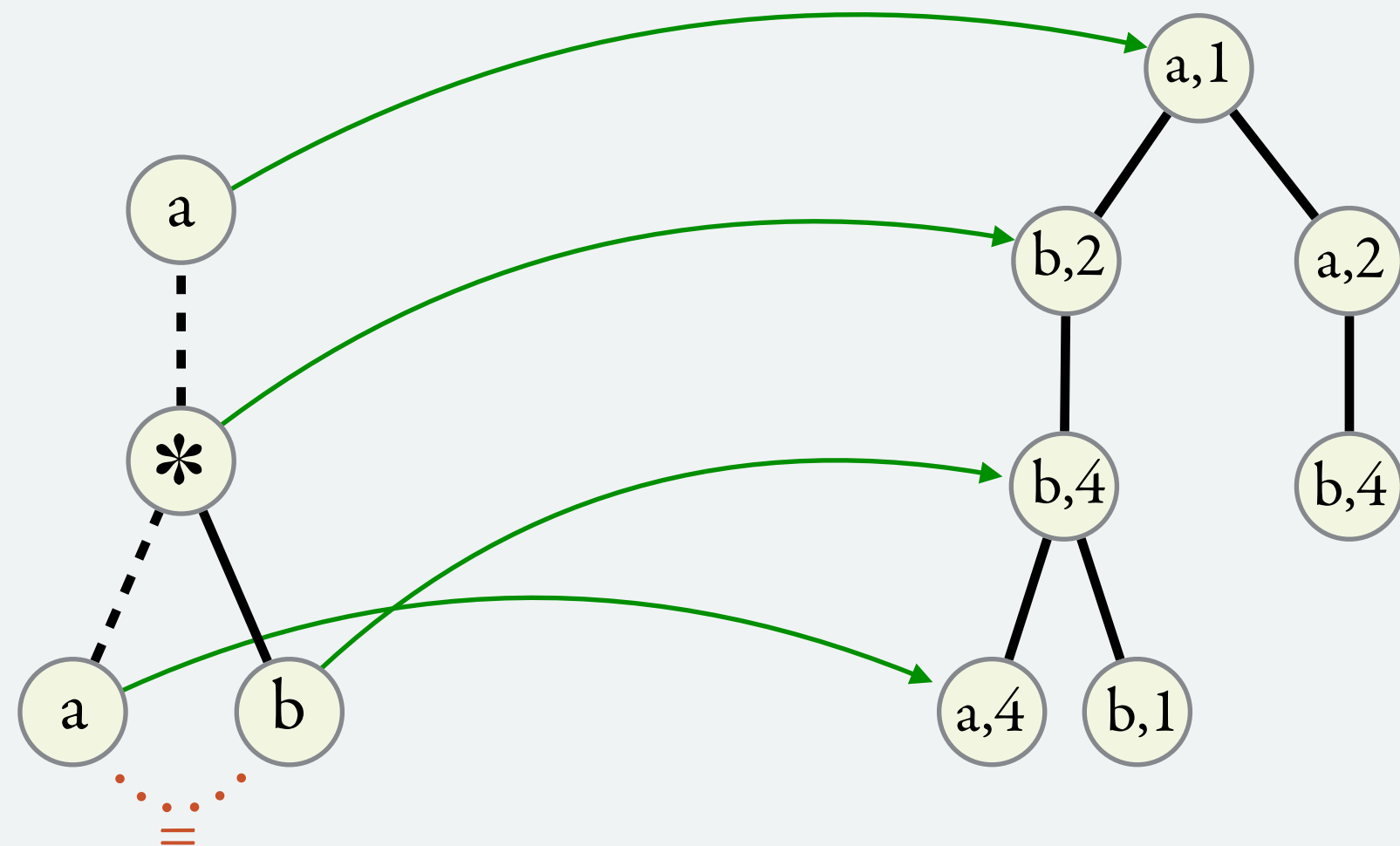
data pattern



data tree

# Data Tree Patterns

Example 2:

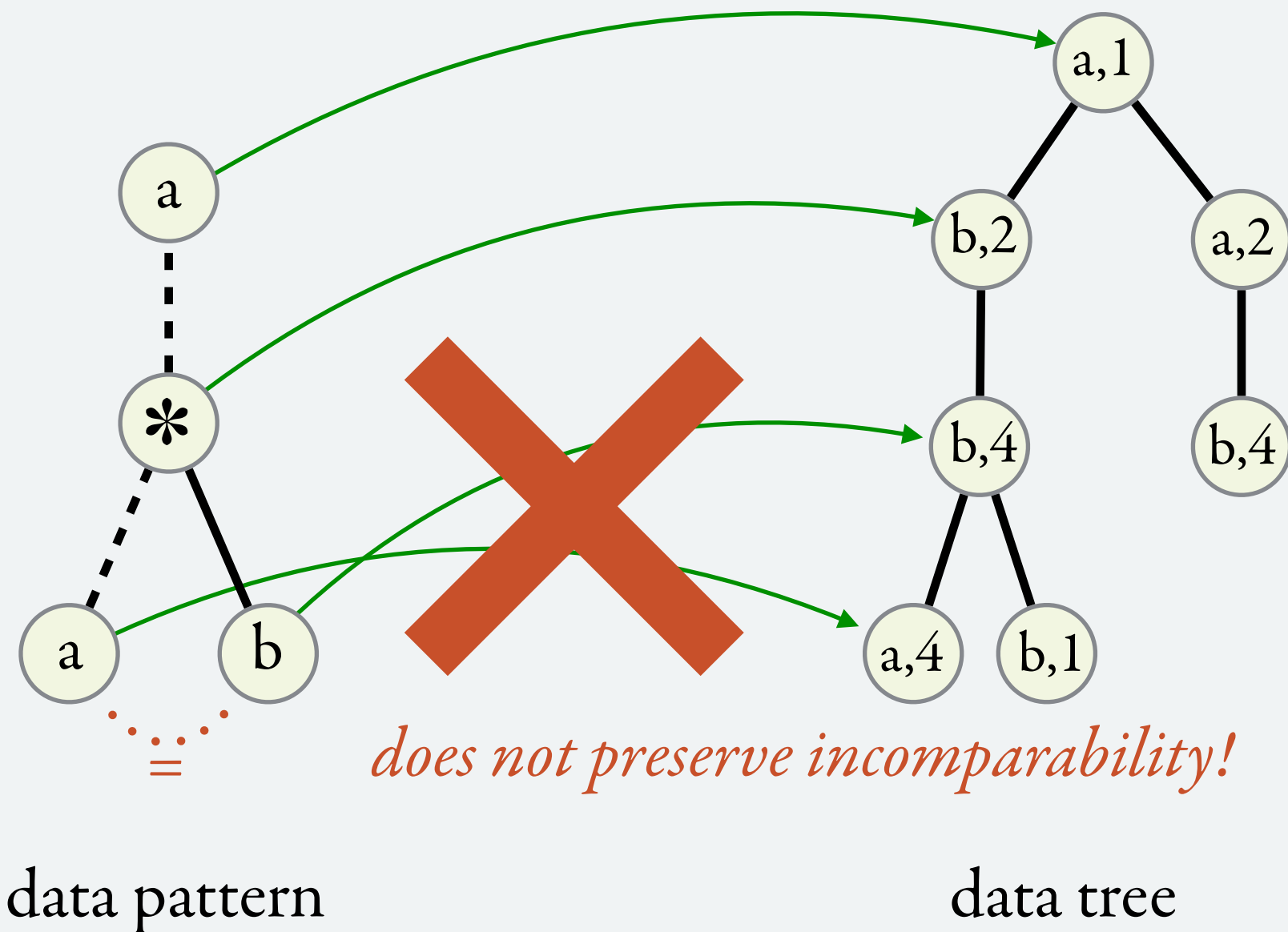


data pattern

data tree

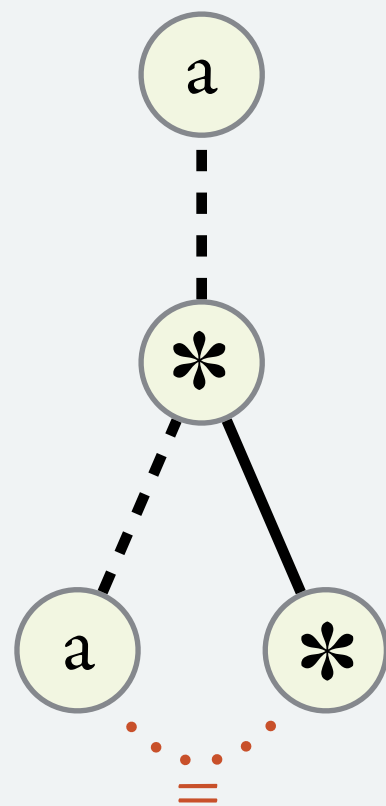
# Data Tree Patterns

Example 2:

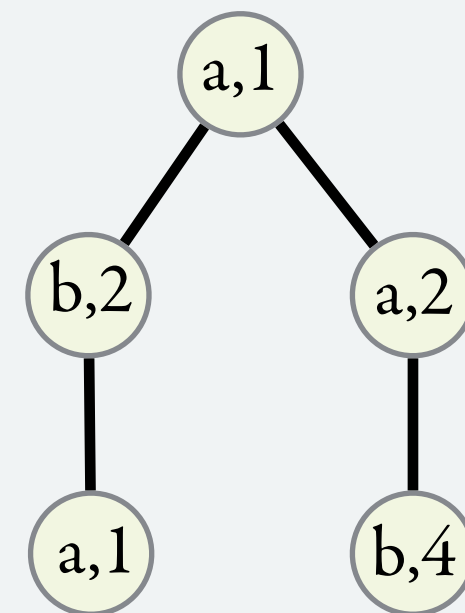


# Data Tree Patterns

Example 3:



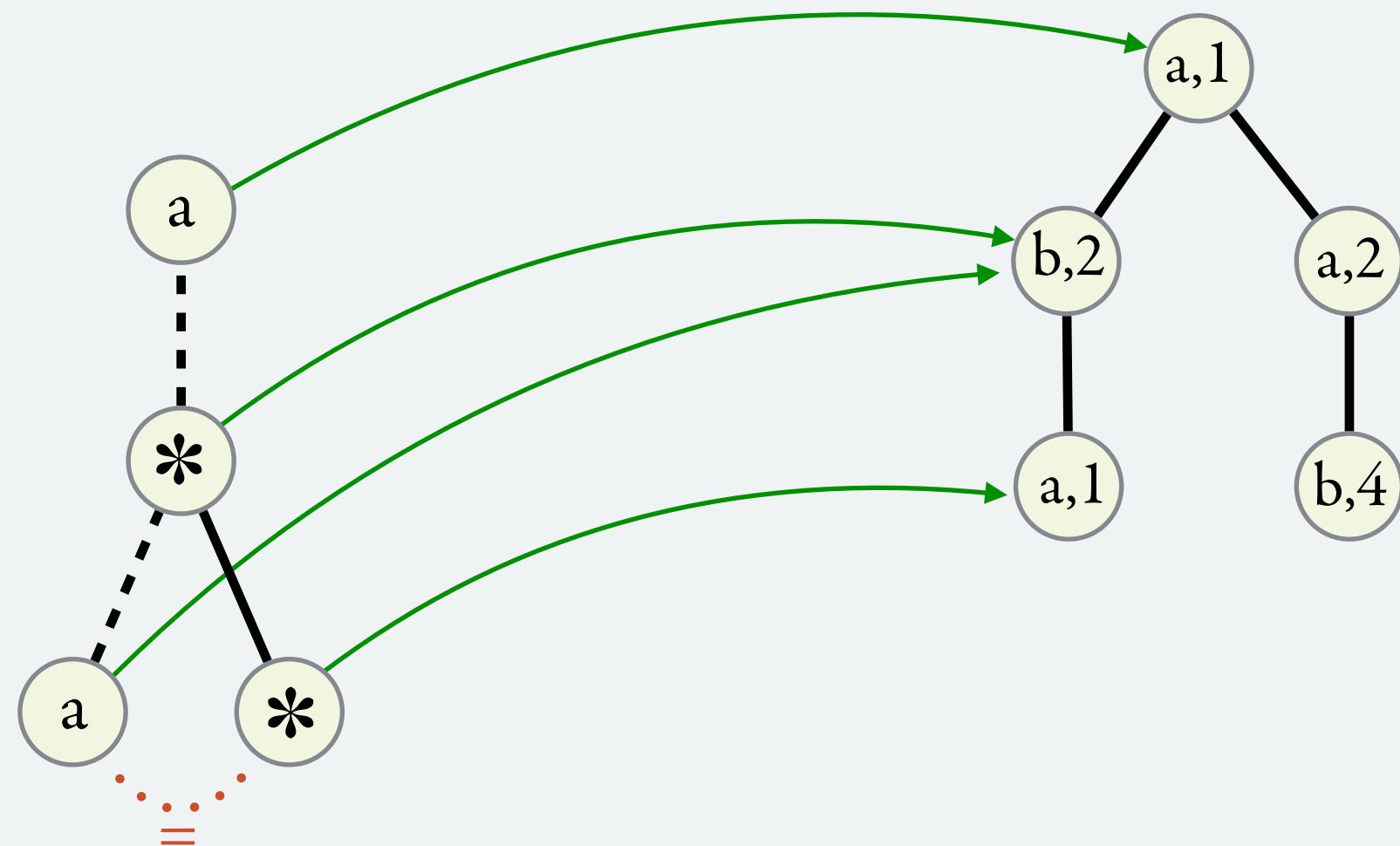
data pattern



data tree

# Data Tree Patterns

Example 3:

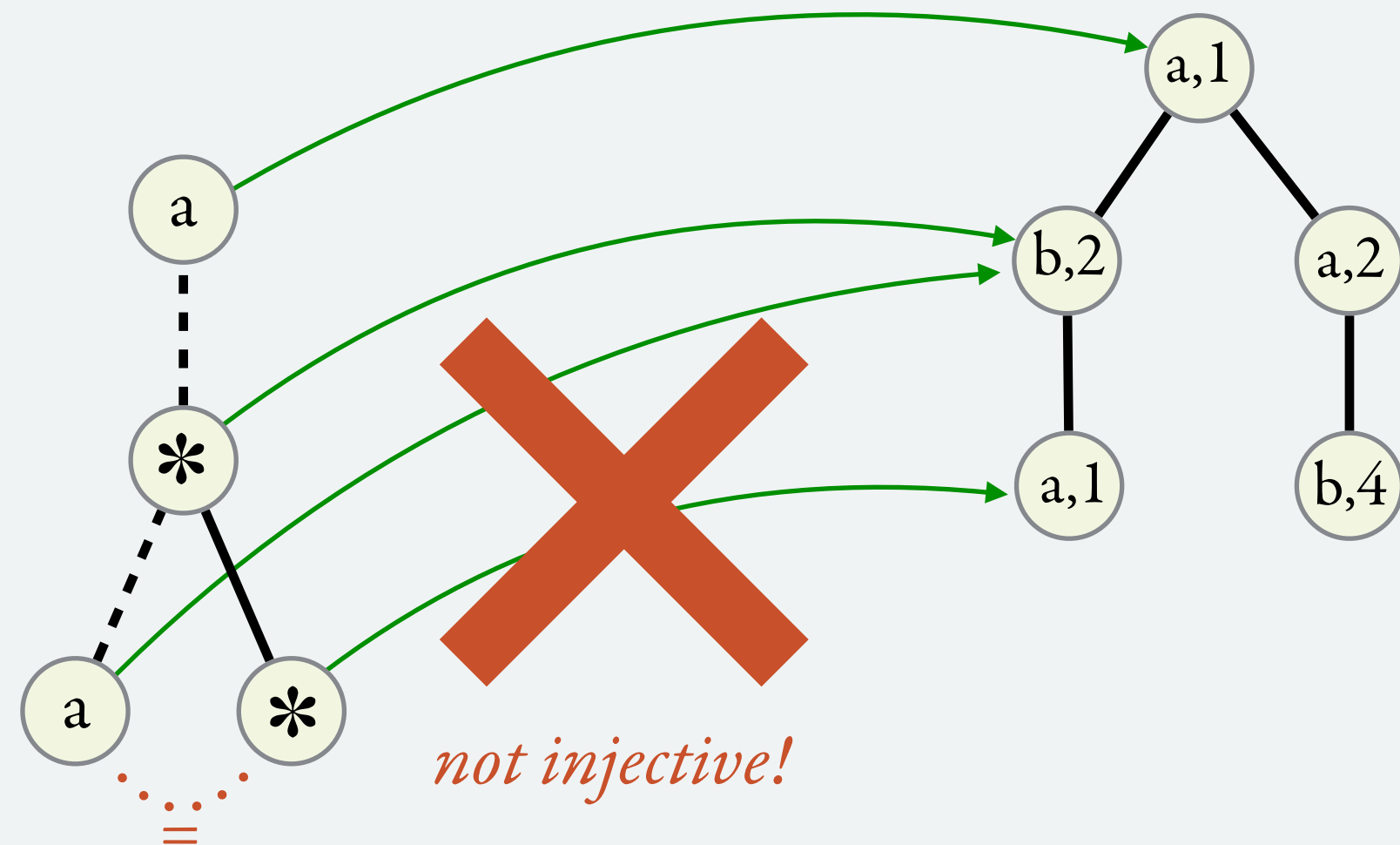


data pattern

data tree

# Data Tree Patterns

Example 3:



data pattern

data tree



# Satisfiability of Data Patterns

**Satisfiability problem:** Given a regular tree property and a boolean combination of patterns, is there a tree satisfying both?

# Satisfiability of Data Patterns

The satisfiability problem is undecidable

[David MFCS'08]

	satisfiability
BC(child, desc)	undecidable
BC(child)	2Exptime-c
BC(desc)	NExptime-c
BC-(child,desc)	undecidable
BC+(child, desc)	NP-c

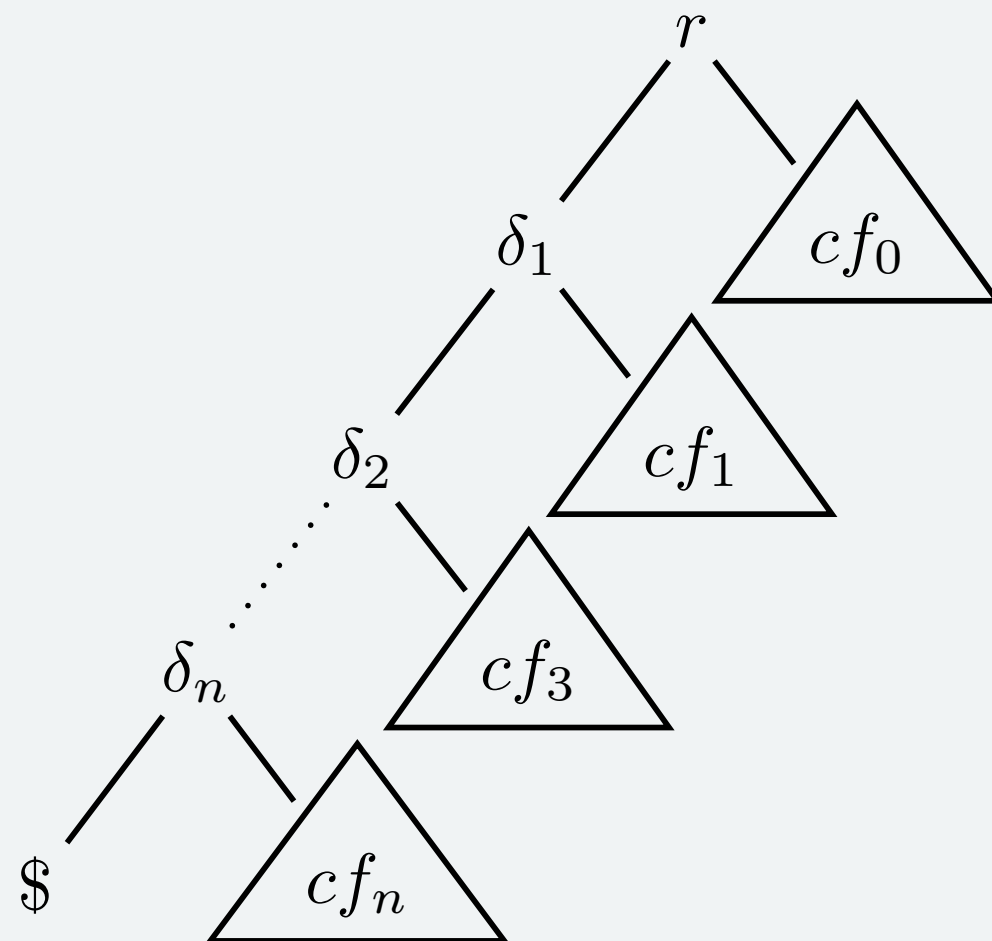
# The satisfiability problem is undecidable

- Reduction from emptiness of a given Minsky Machine  $M$
- Remember
  - automaton (on words) with 2 counters,
  - instructions:  $\text{inc}(1)$ ,  $\text{inc}(2)$ ,  $\text{dec}(1)$ ,  $\text{dec}(2)$ ,  $\text{tz}(1)$ ,  $\text{tz}(2)$
- The regular language  $L_M$  describes the *shape* of the (data-blind) property of the tree:
  - "*The tree contains a branch labeled with transitions of  $M$ .*"
- The BC of patterns assures that the labels of the branch form a correct accepting run of  $M$ .

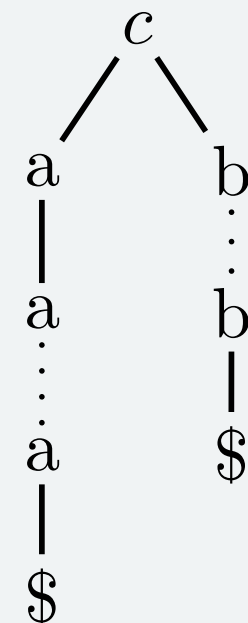
# The satisfiability problem is undecidable

- The regular language  $L_M$  describes the shape of the (data-blind)tree:
  - ★ There is a long branch, labeled with transitions  $\delta_1, \delta_2, \dots$  of  $M$  which are consistent:
    - \* initial/final states at endpoints,
    - \* source state of  $\delta_{i+1} = \text{target state of } \delta_i$ .
  - ★ From each node of this branch, there is a subtree coding the configuration of the counters

# The satisfiability problem is undecidable



coding of an execution



coding of a configuration  $cf_i$

# The satisfiability problem is undecidable

- The BC of patterns assures that the labels of the branch form a correct accepting run of  $M$

(I) Every pair of positions in the  $a$ -branch of the configuration have different data value.

$$\neg \sim \begin{array}{c} \vdots a \\ \parallel \\ \vdots a \end{array}$$

# The satisfiability problem is undecidable

- The BC of patterns assures that the labels of the branch form a correct accepting run of  $M$

(II) If two a-nodes are at the same index in two successive configurations  $\Rightarrow$  they have the same data value.



# The satisfiability problem is undecidable

- The BC of patterns assures that the labels of the branch form a correct accepting run of  $M$

(III) The length of a-branches in successive configurations is consistent. Eg: if there is an  $\text{inc}(1)$  then the a-branch increases its length by one.



*"it can't be that it decreases ... nor that it increases in more than one"*



# The satisfiability problem is undecidable

Thus,  $L_M \wedge \neg P_1 \wedge \dots \wedge \neg P_n$  is satisfiable  $\Leftrightarrow$   $M$  has an accepting run.

Thus, the satisfiability pb for BC-(child,desc) is also undecidable.

# Other form of patterns: CQ

We consider **conjunctive queries** over the binary relations

- child
- desc
- next-sib
- foll-sib
- data-eq
- data-neq
- $a$ , for  $a \in A$

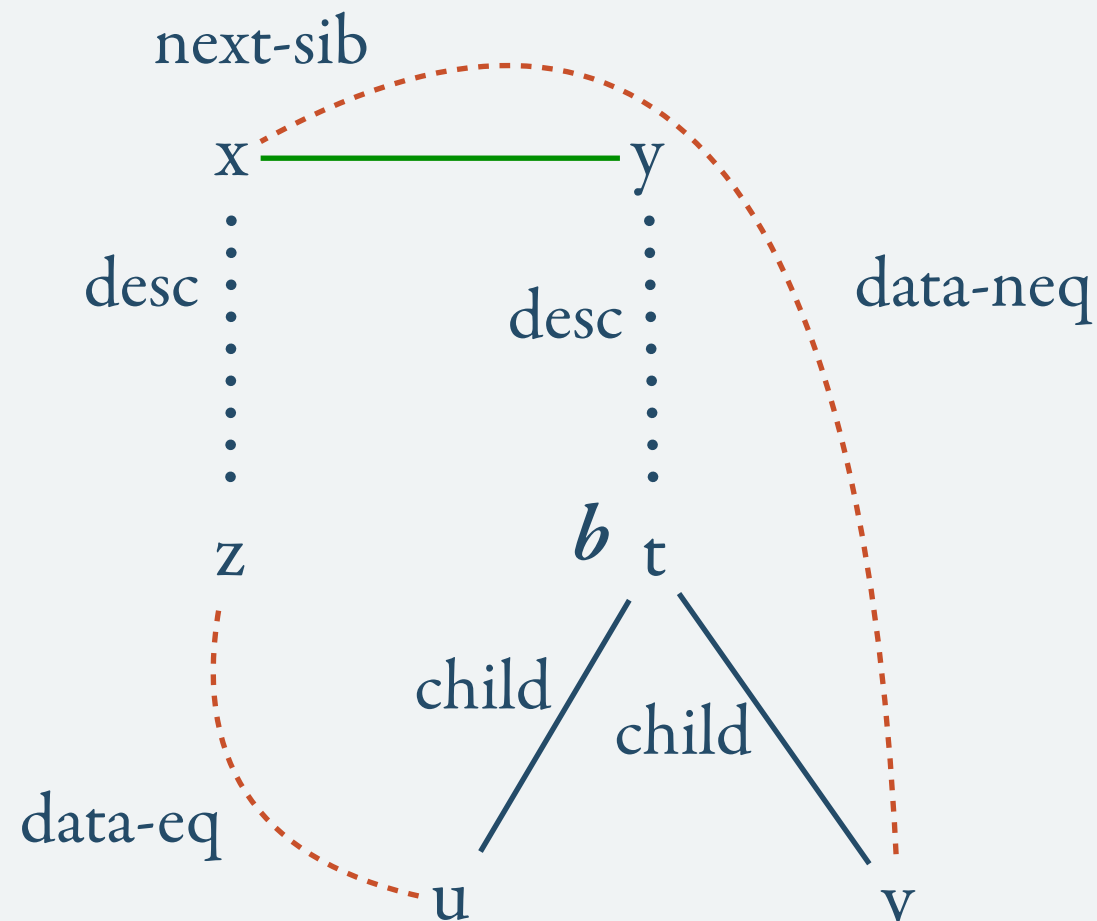
A conjunctive query: a conjunction of atoms over these relations (we can use any number of variables).

Semantics: existence of homomorphism (ie, whether the FO sentence holds in the structure).

# Other form of patterns: CQ

## Example:

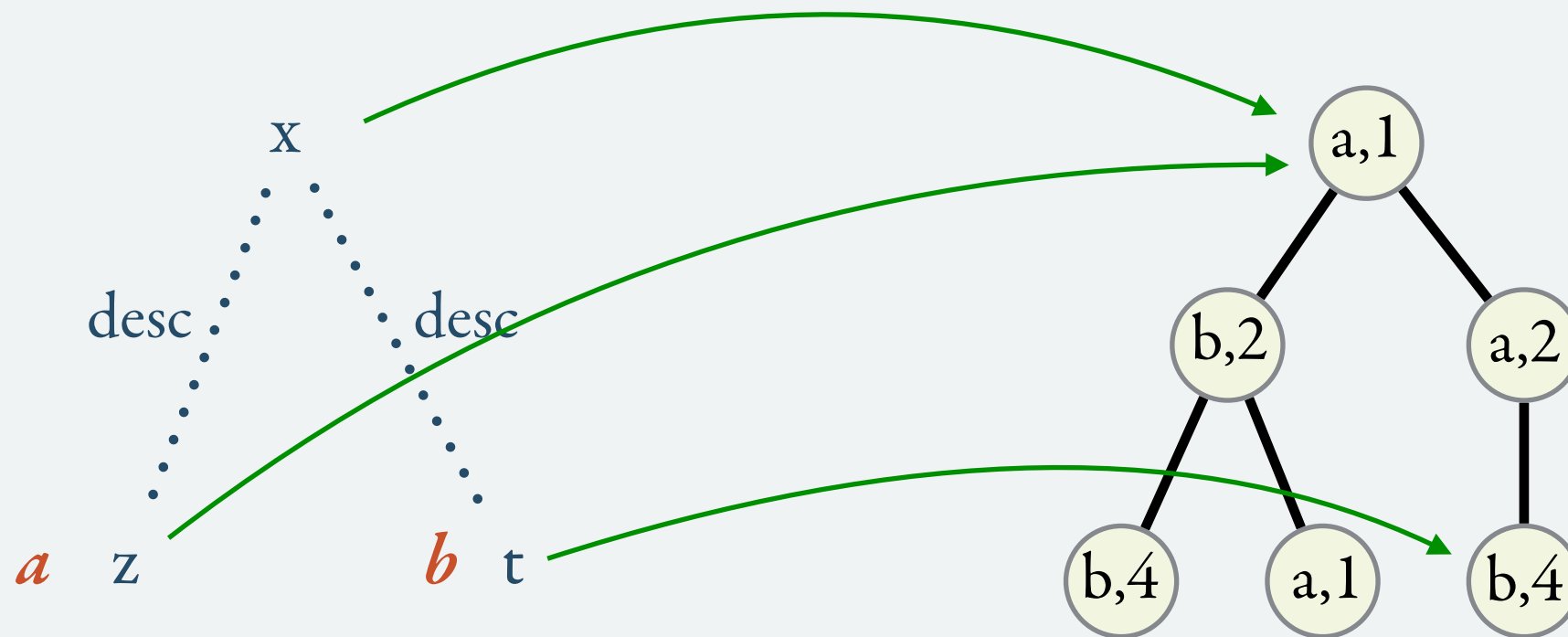
$$\phi = \text{desc}(x,z) \wedge \text{next-sib}(x,y) \wedge \text{desc}(y,t) \wedge \text{child}(u,t) \wedge \text{child}(v,t) \\ \wedge a(t) \wedge \text{data-neq}(x,v) \wedge \text{data-eq}(z,u) \wedge \mathbf{b}(t)$$



# Other form of patterns: CQ

Semantics: existence of homomorphism (ie, whether the FO sentence holds in the structure).

- Akin to XPath with path intersection.
- Non-injective semantics
- Boolean combinations of data tree patterns are **more expressive** but **less succinct** than CQs



# Other form of patterns: CQ

Satisfiability of CQ on data trees under regular constraints is NP-complete.

Containment of CQ on data trees under regular constraints is undecidable.

[Bjorklund, Martens, Schwentick]

# First-Order logic, an old friend

- We can also use FO<sup>2</sup> on data trees where we have:

~ a data equality relation

child a child relation

desc a descendant relation

next-sib a next-sibling relation

foll-sibl a following-sibling relation

child(x,y) : "x is a child of y"

desc(x,y) : "x is a descendant of y"

next-sib(x,y) : "x is the next sibling of y"

foll-sib(x,y) : "x is one of the following siblings of y"

# First-Order logic

Example 1:

$$\forall x \left( \left( \neg \exists y \text{ child}(y,x) \right) \Leftrightarrow \exists y \text{ desc}(x,y) \wedge x \sim y \right)$$

*"the only data value that repeats along a branch is  
that of the leaf"*

# First-Order logic

Example 2:

$$\forall x \left( a(x) \Rightarrow \exists y \left( b(y) \wedge \neg \text{desc}(x,y) \wedge \neg \text{desc}(y,x) \wedge x \sim y \right) \right)$$

*"for every node there is another one with the same data value in an incomparable position"*



# First-Order logic

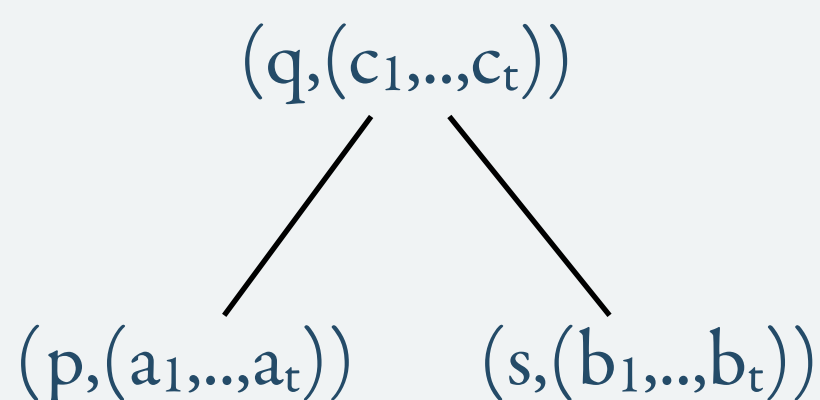
SAT-FO<sup>2</sup>( $\sim$ ,child,desc,next-sib,foll-sib) is at least as hard as reach-BVASS.

**BVASS** = "Branching Vector Addition System with States"

It is a branching version of VASS

# (brief digression into BVASS)

- Set of states  $Q$
- Set of rules of the form " $q \xrightarrow{\text{inc}(j)} p,s$ " or " $q \xrightarrow{\text{dec}(j)} p,s$ "
- Configurations of the form " $(q,(n_1,\dots,n_t))$ "
- Derivation tree: a binary tree labeled with configurations so that for every parent and children



we have: \*  $\exists$  rule  $q \xrightarrow{\text{inc}(j)} p,s$  or  $q \xrightarrow{\text{dec}(j)} p,s$

\*  $a_i + b_i = c_i$  for all  $i \neq j$ , and

\*  $a_j + b_j + 1 = c_j$  or  $a_j + b_j - 1 = c_j$

- **Reachability problem:** whether there exists a derivation tree where
  - \* all leaves are labeled  $(q_{\text{leaf}}, (0, \dots, 0))$  and
  - \* the root is labeled  $(q_{\text{root}}, (0, \dots, 0))$ .

# (brief digression into BVASS)

Its reachability problem is **unknown** to be decidable,  
and it is considered a hard open problem.

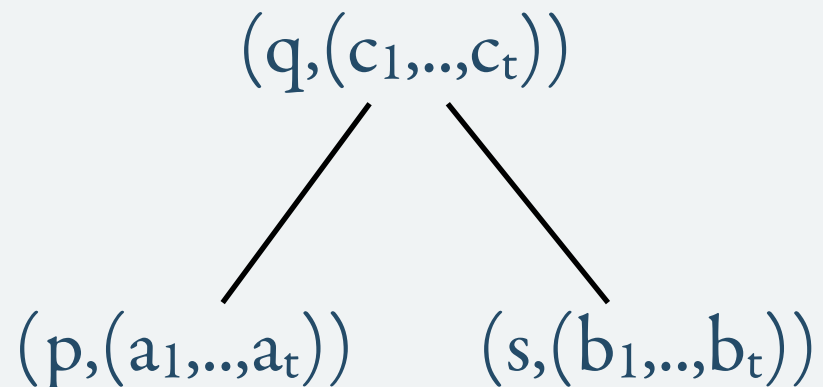
# First-Order logic

$\text{SAT-FO}^2(\sim, \text{child}, \text{desc}, \text{next-sib}, \text{foll-sib})$  is at least as hard as reach-BVASS.

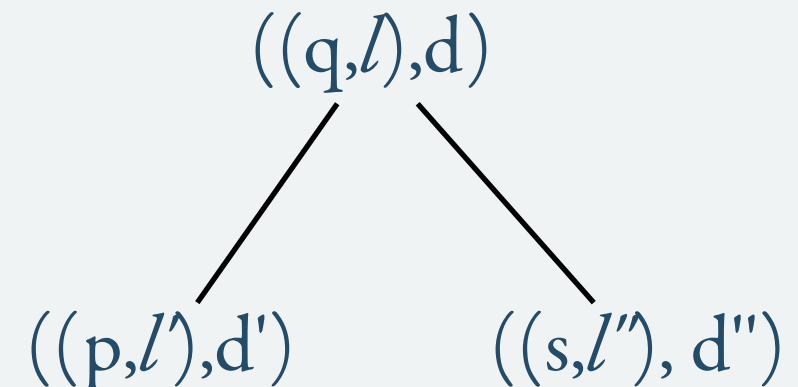
Reduction reach-BVASS  $\leadsto$  SAT-FO<sup>2</sup>( $\sim, \dots$ ):

- A decision procedure for SAT-FO<sup>2</sup>( $\sim, \dots$ ) would yield decidability of reach-BVASS.
- However, the converse is not necessarily true.

# reach-BVASS $\leadsto$ Sat-FO<sup>2</sup>



$\leadsto$



$l, l', l'' \in \{\text{inc}, \text{dec}\} \times \{1, \dots, t\}$

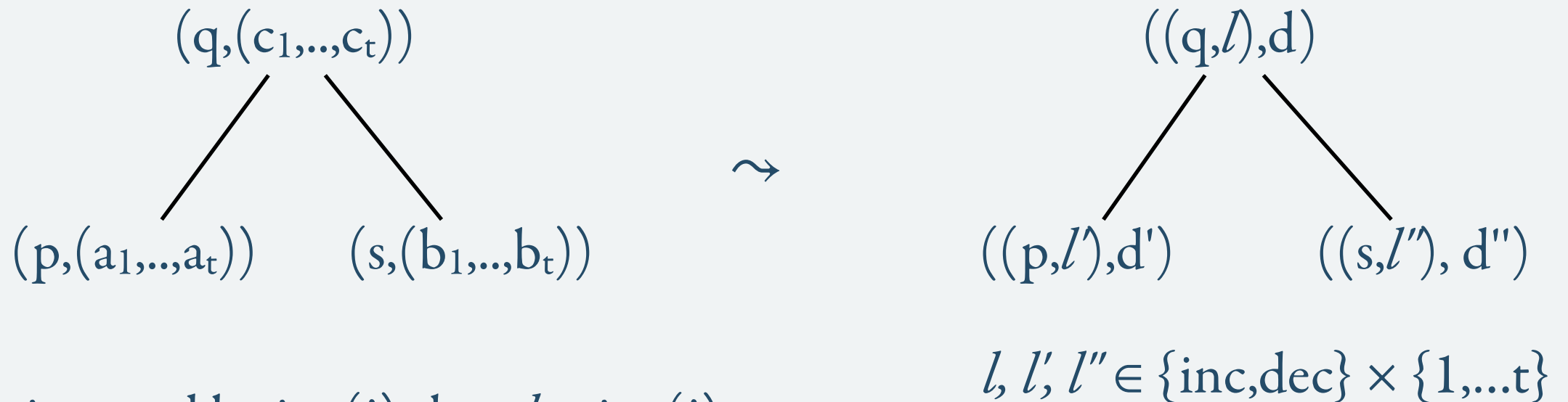
If witnessed by  $\text{inc}(j)$  then  $l = \text{inc}(j)$

"Structure is ok":

- starts with initial states,
- all leaves have final states
- every node has 0 or two children
- for every  $((q, l), d)$  parent of  $((p, l'), d')$ ,  $((s, l''), d'')$  there is a rule  $q \xrightarrow{l} p, s$

Note: this needs next-sibling and child relations

# reach-BVASS $\leadsto$ Sat-FO<sup>2</sup>



If witnessed by  $\text{inc}(j)$  then  $l = \text{inc}(j)$

- Every  $\text{inc}(i)$  has a different data value along a branch (idem with  $\text{dec}(i)$ )

$$\forall x, y \ (x \neq y) \wedge \phi_{\text{inc}}(x) \wedge \phi_{\text{inc}}(y) \Rightarrow \neg (x \sim y)$$

$$\text{where } \phi_{\text{inc}}(x) = \vee_q (q, \text{inc}(i))(x)$$

- Every  $\text{inc}(i)$  has a descending  $\text{dec}(i)$  with the same data value

$$\forall x \ \phi_{\text{inc}}(x) \Rightarrow (\exists y \ \text{desc}(y, x) \wedge \phi_{\text{dec}}(y) \wedge x \sim y)$$

- Every  $\text{dec}(i)$  has an ancestor  $\text{inc}(i)$  with the same data value

# First-Order logic

SAT-FO<sup>2</sup>( $\sim$ ,child,next-sib) problem is decidable.

[Bojańczyk&al]

Complexity between NExpTime and 3NExpTime.  
The proof is non-trivial.