

# Analyze of randomized self-stabilizing algorithms under non-deterministic scheduler classes

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**abstract** We present a formal model for randomized distributed algorithms that includes the scheduler (also called adversary or demon).

Through an example related to stabilization, we show that a formal proof that does not use a formal definition of a scheduler is pointless. As a matter of fact, we show that the same algorithm, according to different schedulers, can be either correct or incorrect and in the cases where it is correct, can have different complexities.

The paper is an attempt to better understand what proving a randomized self-stabilizing algorithm in a non-deterministic environment means.

**key words:** randomized algorithms, distributed algorithm, self-stabilizing system, scheduler, analyze of randomized algorithms.

**résumé** Nous présentons un cadre formel pour analyser les algorithmes répartis et probabilistes. La spécificité de ce modèle est la définition formelle et simple de la notion d'ordonnanceur (aussi appelé démon).

Via un exemple d'algorithme auto-stabilisant, nous montrons qu'une preuve dans un cadre où la notion d'ordonnanceur n'est pas clairement définie est sans intérêt. Un même algorithme, selon l'ordonnancement des processeurs, peut fonctionner correctement ou non. La complexité en temps peut varier grandement (par exemple, de borné à non borné) selon l'ordonnancement des processeurs.

Un des objectifs de cette étude est d'apporter un éclairage nouveau sur l'analyse des algorithmes probabilistes dans un environnement non-déterministe.

**mots clés:** algorithmes probabilistes, algorithme répartis, système auto-stabilisant, ordonnanceur, analyse d'algorithmes probabilistes.

## 1 Introduction

Perhaps the main reason for the difficulty of understanding the behavior of distributed programs is their inherent non-determinism. Distributed systems are loosely coupled in the sense that the relative speeds of their local activity is usually not known in advance and in most cases totally unpredictable. Execution times and message delays may vary substantially for several repetitions of the same algorithm. But a distributed program has to be correct despite this uncertainty. In

other words, the proof of a distributed program must take into account the non-determinism created by the environment. That is the reason why the notion of adversary, also called demon or scheduler, has been introduced. The words adversary or demon refer to a hostile environment, that would try to disturb program executions, the idea being that to prove the correction of a program in the "worst" environmental situation, guarantees its validity in a less severe surrounding. Scheduler refers to the fact that independent events must appear in some given order. In the sequel, we will use equivalently demon or scheduler. Among the "tasks" devoted to the scheduler appear the choice of the speeds of processes, the delays for message transmission and the scheduling of tasks. For instance, if several processes are able to take a step, the scheduler is the entity that chooses which ones will be activated. In the context of fault tolerance, the scheduler chooses which process will fail and at what time, or which messages will be lost or delayed. Several impossibility results like in [11] or [1] use in their proofs such schedulers. As we will show it in this paper, the correction of a distributed program is meaningless if the external non-determinism is not considered. As a matter of fact some published algorithms appeared later to be incorrect for having underestimated the potential behavior of the environment. A consequence is that if the program is proved to be correct in an abstract model, the scheduler has to be part of this model. A way to deal with an unpredictable environment is to make it more predictable. That is the case when it is assumed that the scheduler acts probabilistically [5]. For instance when several processes can take a step simultaneously, there is some fixed probability for each one to take effectively the step. Or each time a message is sent, there is a small probability that it becomes lost. Some could argue that such a scheduler model is sufficient for most practical situations and that in real life environment follows statistical laws, but we think that, for several reasons, such a point of view is unsatisfactory. The first reason is that a probabilistic scheduler cannot be invoked for proving that a deterministic program satisfies a deterministic specification. Introducing a probabilistic scheduler allows only to check a probabilistic specification derived from the original one. Take the consensus problem and one of its specifications given in [14]. Suppose that each message can be lost with some probability. Bracha and Toueg developed a solution under this assumption but what they solved in [5] is a probabilistic specification of the asynchronous consensus, not the original specification (which is impossible to solve). This remark is quite general and involves that all that can be proved with a probabilistic scheduler are programs solving probabilistic specifications.

The second reason is purely theoretical. A probabilistic scheduler is a very poor adversary, that can be deceived so easily. Some program are able to resist to very powerful adversaries and it is a challenge to always get a result as strong as possible.

The third reason is that we think that, in real life, some schedulers are not probabilistic at all, in the sense that the coming out of events does not obey simple independent probabilistic laws. The point is obvious if malicious adversaries are considered. A software error will always cause the same failure each time the bad portion of code is executed. and, if at some point of an execution, some messages become very slow, the chance that following messages be also very slow will increase. In fact that some bad events are correlated, rather than follow independent probability laws is expressed in [14] : "However we should keep in mind that this assumption (the bounded number of failures) is somewhat problematic : in most practical situations, if the number of failures is already large, then it is likely that more failures will occur."

For all these reasons, we present in this paper a model of distributed programs and non-deterministic schedulers. The model is quite general and can take into account whatever scheduler you could think of. In particular, probabilistic schedulers appear as special cases of the general notion. In the model, the scheduler can also be responsible for the granularity of the atomic events. Then it covers the classical schedulers, like the centralized or distributed schedulers or the read/write atomicity

introduced in the self-stabilization framework ([7], [6], [12], [13], and [8]). Our first aim is to show how this model can be used for proving the correctness or the incorrectness of distributed programs according to a given specification. In particular, as already mentioned before, we want to show that a same program can be correct or incorrect for the same specification, according to the choice of the scheduler. Our second aim is to demonstrate how the presented framework allows a precise computation of complexity measures in term of expectation. For the sake of clarity, we chose a very simple example, with a probabilistic specification, to make clear that a powerful non-deterministic scheduler can indefinitely postpone the realization of the specification, while a feeble one cannot. This example is closely related to a self-stabilization problem, namely the token circulation [7], and can be considered as a typical example of how to prove and compute the complexity of self-stabilizing distributed algorithms. The example is a random walk of two tokens on an unidirectional ring. In the initial configuration, there are two tokens on the ring, held by two different processes. There is no assumption on the processes that initially hold the tokens. Only a process holding a token can take a step. A step consists in tossing a coin (probability 1/2 for head and tail) and if head to transmit the token. For taking a step, a process must be chosen by the scheduler. The scheduler must choose processes among those holding a token. Finally, the specification is that one of the initial tokens catches up with the other one with probability one. To the question whether the above system meets the specification, most people would answer positively. The idea is that if one of the token does not move because it always "draw" tail and if the other always (or at least a number of time at most the size of the ring) "draw" head, the second token will catch up with the first. Because this scenario can appear at any time with a fixed probability, we are done. As you have noticed, no scheduler appears in this reasoning, and it is why it is incorrect. The sequel (we hope) will make this point clearer.

**Related works.** In [9], Dolev, Israeli and Moran introduced the idea of a two players game between the scheduler and what they call luck, i.e. the random values, without defining formally the probabilistic space of computations. The structure (informally presented) behind a sl-game is a strategy (formally defined in this paper) where some branches have being cut. In [18], [15], and [16], Lynch, Pogosyants and Segala present a formal method for analyzing probabilistic I/O automata which modelize distributed systems. A clear distinction between the protocol, which is probabilistic, and the scheduler, which is non-deterministic, is made. The notion of cone, that is at the basis of the probabilistic space, is also used. These works do not consider self-stabilization. In these two approaches, the analyze of a probabilistic algorithm under a class of schedulers is not defined. Mainly because the notion of scheduler is not presented.

On the other hand there are numerous proofs of self-stabilizing algorithms under some particular schedulers.

## 2 Model

**Abstract model.** A non deterministic distributed system is represented in the abstract model of *transition systems*. A *distributed system* is a tuple  $DS = (\mathcal{C}, T, \mathcal{I})$  where  $\mathcal{C}$  is the set of all system configurations;  $T$  is a transition function of  $\mathcal{C}$  to the set of  $\mathcal{C}$  subsets; and  $\mathcal{I}$  is a subset of the configuration set called the initial configurations. In a randomized distributed system, there is a probabilistic law on the output of a transition. A *computation step* is a pair of configurations  $(c_i, c_j)$  where  $c_j$  is an output of a transition starting to  $c_i$ . A *computation*  $e$  of  $DS$  is a sequence of consecutive computation steps  $e = (c_0, c_1), (c_1, c_2) \dots$  where  $c_0 \in \mathcal{I}$ .

Let  $c$  be an initial configuration of a distributed system. The  $c$  tree is the tree composed of all maximal computations whose initial configuration is  $c$ . The computation forest of a distributed system  $(\mathcal{C}, T, \mathcal{I})$  is the set of all  $c$  trees where  $c \in \mathcal{I}$ .

**Interpretation.** In fact, the distributed system is the collection of processes ( $Proc$ ) computing protocol  $P$ . A protocol has a collection of variables (internal and/or field) and has a code part. A process communicates only with its neighbors (a subset of  $Proc$ ). Communication among neighbors is carried out by field variables.

The state of a process is the collection of values of the process's variables (internal or field). A configuration of a distributed system is a vector of process states. A *local configuration* is the part of a configuration that can be “seen” by a process (i.e. its state and the field variables of its neighbors). The code is a finite set of guarded actions (i.e. label:: guard  $\rightarrow$  statement). The guard of an action on  $p$  is a boolean expression involving  $p$  local configuration. The statement of a  $P$  action updates the  $p$  state. If the action is randomized, several statements are possible, and each of them has some probability. A process  $p$  is *enabled* at a configuration  $c$ , if an action guard of  $p$  is satisfied in  $c$ . The set of enabled processes for  $c$  is denoted by  $Enabled(c)$ .

**Computation step versus transition.** Let  $c$  be a configuration, and  $CH$  be a subset of enabled processes at  $c$ . We denote by  $\langle c : CH \rangle$  the set of configurations that are reachable from  $c$  after that the processes of  $CH$  have performed an action. A computation step has three elements: (1) an initial configuration:  $c$ , (2) a set of enabled processes:  $CH$ , and (3) a configuration of  $\langle c : CH \rangle$ . Clearly, the transitions in the abstract model can be interpreted in terms of computation steps. In the case of a deterministic protocol, a computation step is totally defined by the initial configurations and the set of enabled processes. But in the case of randomized protocol, the final configuration depends on the output of each process action. Therefore, in the case of randomized protocols, the computation step has a fourth characteristic element: the probabilistic value associated to the computation step. This value depends on the probabilistic law of the random variable of each process involved in the computation step.

A computation is *maximal*, if the computation is either infinite, or finite and no process is enabled in the final configuration. In this case, the configuration is said to be terminal.

**Strategy.** Basically, a scheduler is intended to be an abstraction of the external non-determinism. Because the effect of the environment is unknown in advance, the scheduler notion must be able to formalize any external behavior. At the extremity, one should consider that the environment is possibly depending of a malicious adversary, trying to prevent the algorithm for performing its tasks. This powerful adversary should be assumed to have a global knowledge of the system, of its past, but also of its possible futures. At the contrary, it seems a valid model to assume that this adversary is unable to know in advance the result of a random experience. Defining a scheduler in some operational way - at that point of the computation the scheduler has such or such choice - raises the problem to define exactly in function of what the choice is made. If the choice depends of the actual configuration and of the history, the generality of the scheduler is restricted. How, for instance, express that the scheduler must be fair. That is the reason why we define a scheduler as a property (subset) on infinite runs. In our approach the key notion is the strategy.

**Definition 2.1** *Let  $DS$  be a distributed system. Let  $T$  be a tree of  $DS$ . A strategy is a subtree of  $T$  where at a node, there is only one outgoing transition.*

Figure 1 presents a strategy. Formally, a *scheduler* is completely defined by the set of strategies which it may “produce”. For instance, a pseudo-fair scheduler is the set of strategies  $st$  such that in  $st$ , the set of fair computations has probability 1 (we associate a probabilistic space to each strategy).

In the case of a deterministic protocol, a strategy is a maximal computation; and a scheduler is a subset of maximal computations. In the case of a randomized protocol, we will define a probabilistic space for every strategy of a scheduler.

### 3 Probabilistic model

In a randomized distributed system, each process has a random variable. The fundamental problem solved in this section is the definition of a probability measure on the system computations related to the random variables (thus to define a probabilistic space). Once this probability defined, we will be able to give a definition of the self-stabilization of a randomized protocol.

The basic notion that we will use to define a probabilistic space on the computations of a given strategy is the cone. Cones have been introduced in [17].

Let  $st$  be a strategy. A **cone**  $C_h$  of  $st$  is the set of all  $st$ 's computations with the common prefix  $h$ .  $h$  is called the history of the cone. Let  $C_h$  be a cone of  $st$ . The subcone  $C_{h'}$  of the cone  $C_h$  is the set of all computations of  $C_h$  having  $h'$  as a prefix. **last**( $h$ ) denotes the last configuration of  $h$ . The number of computation steps in the history  $h$  is denoted by  $|h|$ . Let  $st$  be a strategy.  $st$  is a particular cone with an empty history. This cone is denoted by  $\mathcal{C}^{st}$ .

**Theorem 3.1** *Let  $st$  be a strategy. We note  $\mathcal{F}_{st}$  the set of all finite unions of pairwise independent cones of the strategy  $st$ .  $\mathcal{F}_{st}$  is a field.*

In a randomized protocol, each process has a random variable. The output of an action of a process  $p$  depends on the value of  $p$  random variable. The random variables are independent, thus the output of a  $p$  action is independent of the output of an action of another process. The probability of a computation step is the product of probability of every output of actions that have been performed during the computation step.

**Definition 3.1** *The probabilistic value associated to a computation step,  $pr(c, CH, c')$  is defined by:  $pr(c, CH, c') = \prod_{p \in CH} pr(X_p = val_p)$ , where  $X_p$  is the random variable of the process  $p$ ,  $val_p$  is a value of  $X_p$ , and  $c'$  is the obtained configuration after that all processes of  $CH$  have set their  $X_p$  variable to  $val_p$  and have performed an action.*

It is easy to prove that  $\sum_{c' \in <c, CH>} pr(c, CH, c') = 1$ .

Let  $st$  be a strategy. We associate to the cone  $C_h$  a value, function of its history, by extending the probability  $pr$  defined on computation steps. The value of  $C_h$  is the product of the probabilities of each computation step of  $h$  (the computation step probabilities are independent). From these values, we will build a probability measure on  $\mathcal{F}_{st}$ .

**Definition 3.2** *Let  $st$  be a strategy. The value attached to the cone  $C_h$  in  $st$  is:*

$$P_{st}(C_h) = \prod_{k=0}^j pr(c_k, CH_k, c_{k+1}), \text{ where } h = [(c_0, CH_0, c_1)(c_1, CH_1, c_2) \dots (c_j, CH_j, c_{j+1})].$$

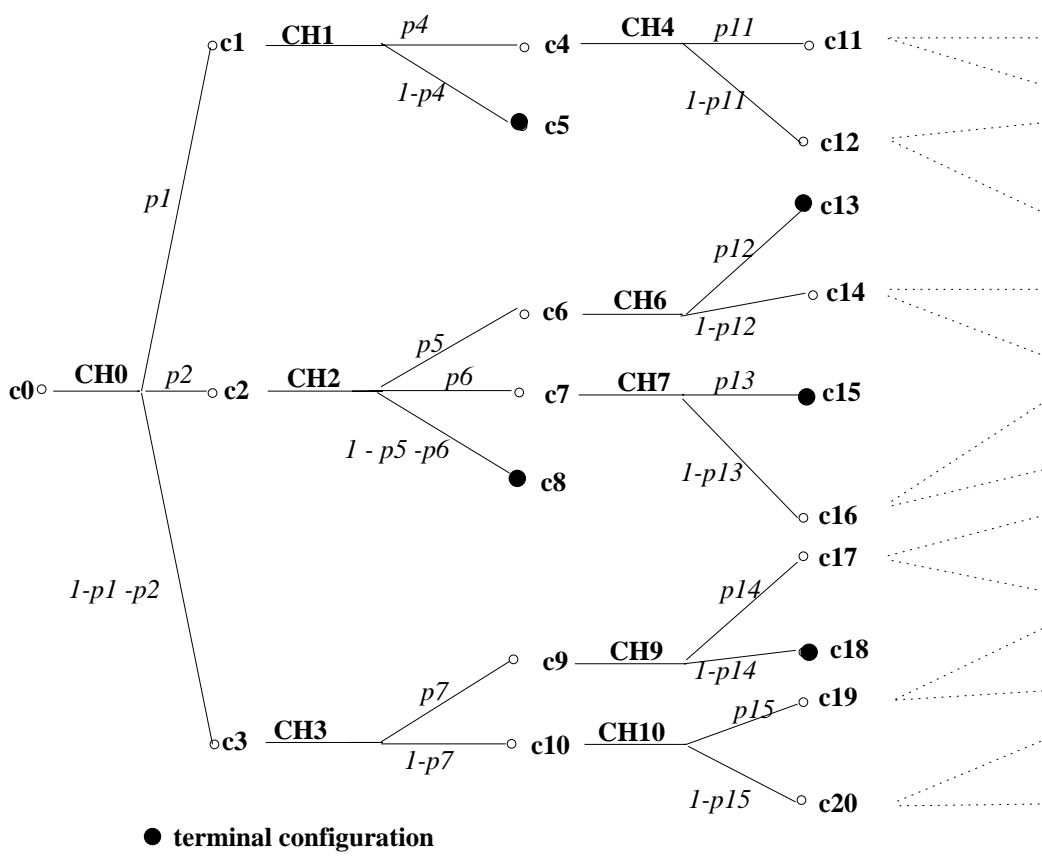


Figure 1: A strategy with the probabilities of the computation steps

**Example 3.1** See the figure 1,  $h1 = [(c_0, CH_0, c_1)(c_1, CH_1, c_5)]$ ,  $P_{st}(C_{h1}) = p1.(1 - p4)$ .  $h2 = [(c_0, CH_0, c_2)(c_2, CH_2, c_7)(c_7, CH_7, c_{16})]$ ,  $P_{st}(C_{h2}) = p2.p6.(1 - p13)$ .

Let  $st$  be a strategy. The following properties are verified :

- $P_{st}(C^{st}) = 1$
- Let  $C_h$  be a cone of  $st$ . Let  $C_{h1}, C_{h2}, \dots$  be a series of pairwise independent cones of  $st$  such that  $C_h = \bigcup_{1 \leq i \leq n} C_{hi}$ . Then  $P_{st}(C_h) = \sum_{1 \leq i \leq n} P_{st}(C_{hi})$ .
- Let  $A = \bigcup_{1 \leq i \leq n} C_i^a$  be a finite union of pairwise independent cones of  $st$ . Let  $B = \bigcup_{1 \leq i \leq m} C_i^b$  be a finite union of pairwise independent cones of  $st$ . If  $A = B$  then  $\sum_{1 \leq i \leq n} P_{st}(C_i^a) = \sum_{1 \leq i \leq m} P_{st}(C_i^b)$ .

**Definition 3.3** Let  $st$  be a strategy. Let  $P_{st}$  be the function defined as follow:

- $P_{st}(C_h) = \prod_{k=0}^j pr(c_k, CH_k, c_{k+1})$ , where  $h = [(c_0, CH_0, c_1)(c_1, CH_1, c_2) \dots (c_j, CH_j, c_{j+1})]$ .
- $P_{st}(A) = \sum_{i=1}^n P_{st}(C_i)$  where  $A = \bigcup_{i=1}^n C_i$  and the cones  $C_i$  are pairwise independent.

$P_{st}$  is a function, because the image of an element of  $\mathcal{F}_{st}$  by  $P_{st}$  is unique. Let  $A$  be an element of  $\mathcal{F}_{st}$ ; we have  $P_{st}(A) + P_{st}(\overline{A}) = 1$ .

**Theorem 3.2** Let  $st$  be a strategy. The function  $P_{st}$  is a probabilistic measure defined on the field  $\mathcal{F}_{st}$ . Let  $\sigma(\mathcal{F}_{st})$  be the  $\sigma$ -field generated by  $\mathcal{F}_{st}$ . There is an unique extension,  $P_{st}^*$ , of the probabilistic measure  $P_{st}$  to  $\sigma(\mathcal{F}_{st})$ .

**Proof:** The extension is made according to the classical theory of probabilities. This function is a probabilistic measure on the  $\sigma(\mathcal{F}_{st})$  [4].  $\square$

Let  $st$  be a strategy. The triple  $(st, \sigma(\mathcal{F}_{st}), P_{st}^*)$  defines a probabilistic space on  $st$ . In the following sections we denote by  $P_{st}$ :  $P_{st}^*$  -the extension of  $P_{st}$  to  $\sigma(\mathcal{F}_{st})$ -.

### 3.1 Self-Stabilization of a randomized protocol

In this section, we define the self-stabilization for randomized protocols with respect to the probabilistic model defined in the previous section. This section introduces also the probabilistic version of the attractor. The main idea behind these definitions is simple : To analyze a self-stabilizing algorithm under a scheduler, one has to analyze every strategy of the scheduler.

**Notation 3.1** *Let  $st$  be a strategy of a protocol under a scheduler  $D$ . Let  $PR$  be a predicate over configurations. We note by  $\mathcal{EPR}_{st}$  the set of  $st$  computations reaching a configuration that satisfies the predicate  $PR$ .*

**Lemma 3.1** *Let  $st$  be a strategy. Let  $PR$  be a predicate over configurations. There is a countable union of pairwise independent cones  $(A = \bigcup_{i \in \mathbb{N}} C_i)$  so that  $\mathcal{EPR}_{st} = A$ .*

**Definition 3.4 (Probabilistic convergence)** *Let  $L$  be a predicate defined on configurations. A probabilistic distributed protocol  $P$  under a scheduler  $D$  converges to  $L$  iff : In any strategy  $st$  of  $P$  under  $D$  the probability of the set of computations reaching a  $L$  is equal to 1. Formally,  $\forall st, P_{st}(\mathcal{EL}_{st}) = 1$ .*

**Definition 3.5 (Probabilistic Attractor)** *Let  $L1$  and  $L2$  be two predicates defined on configurations.  $L2$  is a probabilistic attractor for  $L1$  on a protocol  $P$  under a scheduler  $D$  ( $L1 \triangleright_{prob} L2$ ) if and only if the following condition holds: for all strategies  $st$  of  $P$  under  $D$  such that  $P_{st}(\mathcal{EL}_1) = 1$ , we have:  $P_{st}(\mathcal{EL}_2) = 1$ . Formally,  $(\forall st, P_{st}(\mathcal{EL}_1) = 1) \Rightarrow (P_{st}(\mathcal{EL}_2) = 1)$ .*

**Definition 3.6 (Probabilistic Self-stabilization)** *A randomized protocol  $P$  is self-stabilizing for a specification  $SP$  (predicate on the computations), if there exists a predicate on configuration  $L$  such that  $P$  converges to  $L$  and  $P$  verifies the following property*

- **correctness**  $\forall st$  of  $P$  under  $D$ ,  $\forall e \in st$ , if  $e \in \mathcal{EL}$  then  $e$  has a suffix that verifies  $SP$ .

**Definition 3.7 (Weak Probabilistic Self-stabilization)** *A randomized protocol  $P$  is weakly self-stabilizing for a specification  $SP$  (predicate on the computations), if there exists a predicate on configuration  $L$  such that  $P$  converges to  $L$  and  $P$  verifies the following property*

- **probabilistic correctness**  $\forall st$  of  $P$  under  $D$ ,  $P_{st}(\{e \in \mathcal{EL} \text{ and } e \text{ has a suffix that verifies } SP\}) = 1$ .

**Observation 3.1** *We note  $L12$  the following predicate on configurations  $L1 \wedge L2$ . If  $L1 \triangleright_{prob} L2$  and if  $L1$  is closed then  $L1 \triangleright_{prob} L12$ .*

In the case, of memoryless scheduler [10] (i.e. the choice of the subset of enabled processes only depends on the current configuration), each strategy is a Markov chain and can be analyzed using classical Markov properties as it is shown in [10]. Nevertheless, each strategy has to be analyzed. For instance, in some memoryless strategies of the protocol 4.1 the probability to converge to a legitimate configuration is equal to 1 and in some others this probability is 0.

### 3.2 Proving the convergence of protocols

In this section, we present a theorem that helps to build convergence proofs of randomized protocols. This theorem can be used in the case of a proof with attractors, but also in the case of a direct proof.

Informally the next definition is introduced for dealing with such a statement: “in a cone where the predicate  $PR1$  is satisfied, the probability to reach a configuration satisfying the predicate  $PR2$  in less than  $n$  steps is greater than  $\delta$ ”.

**Definition 3.8** (*Local convergence*) *Let  $st$  be a strategy. Let  $C_h$  be a cone in the strategy  $st$ . The cone  $C_h$  satisfies the property  $Local\_Convergence(PR1, PR2, \delta, n)$  if and only if:*

- $last(h) \vdash PR1$ ;
- $M$  is the set of pairwise independent subcones of  $C_h$  ( $C_{hh'}$ ) such that (1)  $|h'| \leq n$ , and (2)  $last(hh') \vdash PR2$ ;
- $P_{st}(\bigcup_{C \in M} C) \geq \delta \cdot P_{st}(C_h)$ .

*On a strategy  $st$ , if there exist  $\delta_{st} > 0$  and  $n_{st} > 1$  such that any cone of  $st$  satisfies  $Local\_Convergence(PR1, PR2, \delta_{st}, n_{st})$  then we say that  $st$  verifies the  $Convergence(PR1, PR2, \delta_{st}, n_{st})$  property or  $Convergence(PR1, PR2)$  property.*

### 3.3 Theorem 3.3

The following lemma is straightforward.

**Lemma 3.2** *Let  $st$  be a strategy. Let  $A$  be a countable union of cones of  $st$ . There is a countable set of pairwise independent cones of  $st$  so that their union is  $A$ .*

**Theorem 3.3** *Let  $st$  be a strategy of the protocol  $P$  under a scheduler  $D$ . Let  $PR1$  be a closed predicate on configurations such that  $P_{st}(\mathcal{E}PR1) = 1$ . Let  $PR2$  be a closed predicate on configurations. Let us note  $PR12$  the predicate  $PR1 \wedge PR2$ . If  $\exists \delta_{st} > 0$  and  $\exists n_{st} > 1$  such that  $st$  verifies the  $Convergence(PR1, PR2, \delta_{st}, n_{st})$  property then  $P_{st}(\mathcal{E}PR12) = 1$ .*

**Proof: theorem 3.3** Let  $EL_k$  be the set of computations reaching a configuration satisfying  $PR1$  and, after that, in at most  $k \cdot n_{st}$  steps reaching a configuration satisfying the predicate  $PR2$ . We prove that  $P_{st}(EL_k) \geq 1 - (1 - \delta)^k$  and  $\overline{EL_k} \cap \mathcal{E}PR1$  is a countable union of pairwise independent cones where  $P_{st}(\overline{EL_k} \cap \mathcal{E}PR1) = 1 - P_{st}(EL_k)$ .

Let  $C_h$  be a cone of  $st$ . We define  $M2'_h$  as  $M2'_h = \{C_{hh'} :: |h'| \leq n_{st}, \text{ and } last(hh') \vdash PR2\}$ . We define  $M1'_h$  as  $M1'_h = \{C_{hh'} :: |h'| \leq n_{st}, last(hh') \text{ does not verify } PR2, \text{ and either } last(hh') \text{ is a terminal configuration or } |h'| = n_{st}\}$ .  $M2'_h$  ( $M1'_h$ ) being a set of cones, according to lemma 3.2, there is a set of pairwise independent cones  $M2_h$  ( $M1_h$ ) such that  $M2_h = M2'_h$  ( $M1_h = M1'_h$ ).

The cones of  $M2_h$  contain all computations of  $C_h$  that reach  $PR2$  in less than  $n_{st}$  steps. The cones of  $M1_h$  contain the other computations. By hypothesis  $P_{st}(\bigcup_{C \in M2_h} C) \geq P_{st}(C_h) \cdot \delta_{st}$ .

- **Basic step (n=1).**  $\mathcal{E}PR1$  is a countable union of pairwise independent cones (lemma 3.1).  $\mathcal{E}PR1 = \bigcup_{C \in M_1} C$  where  $M_1$  is a countable set of pairwise independent cones. From the hypothesis,  $P_{st}(\bigcup_{C \in M_1} C) = \sum_{C \in M_1} P_{st}(C) = 1$ .

We have  $EL_1 = \bigcup_{C_h \in M_1} (\bigcup_{C \in M2_h} C)$ ; thus  $P_{st}(EL_1) \geq \delta_{st} \cdot \sum_{C_h \in M_1} P_{st}(C_h)$ .

So,  $P_{st}(EL_1) \geq \delta_{st} \cdot P_{st}(\mathcal{E}PR1) \geq \delta_{st} = 1 - (1 - \delta_{st})$ .



All computations of a cone of  $M1_h$  belongs to  $\overline{EL_1}$ , all computations of  $C_h$  that belongs to  $\overline{EL_1}$  are in a cone of  $M1_h$ . Thus,  $\overline{EL_1} \cap \mathcal{EPR1} = \bigcup_{C_h \in M_1} (\bigcup_{C \in M1_h} C)$ . Then  $\overline{EL_1} \cap \mathcal{EPR1}$  is a countable union of pairwise independent cones (lemma 3.2). As  $P_{st}(\overline{\mathcal{EPR1}}) = 0$ , we have  $P_{st}(\overline{EL_1} \cap \mathcal{EPR1}) = 1 - P_{st}(EL_1)$

- **Induction step.** We suppose the hypothesis are true for  $k-1$ .

By hypotheses,  $\overline{EL_{k-1}} \cap \mathcal{EPR1}$  is a countable union of pairwise independent cones. We call  $M_k$  the set of independent cones whose union is equal to  $\overline{EL_{k-1}} \cap \mathcal{EPR1}$ . Thus,  $\overline{EL_{k-1}} \cap \mathcal{EPR1} = \bigcup_{C \in M_k} C$ , and  $P_{st}(\overline{EL_{k-1}} \cap \mathcal{EPR1}) = \sum_{C \in M_k} P_{st}(C)$ .

We name  $Diff_k$  the computation set such that (1)  $EL_k = EL_{k-1} \cup Diff_k$ , and (2)  $diff_k \cap EL_{k-1} = \emptyset$ .  $Diff_k = \bigcup_{C \in D_k} C$  where  $D_k = \{C_{hh'} :: C_h \in M_k, \text{ and } C_{hh'} \in M2_h\}$ .

We have  $Diff_k = \bigcup_{C_h \in M_k} (\bigcup_{C \in M2_h} C)$ ; thus  $P_{st}(Diff_k) \geq \delta_{st} \cdot \sum_{C_h \in M_k} P_{st}(C_h)$ .

So  $P_{st}(Diff_k) \geq \delta_{st} \cdot (1 - P_{st}(EL_{k-1}))$ .  $P_{st}(EL_k) \geq 1 - (1 - \delta_{st})^k$ .

We have  $(\overline{EL_k} \cap \mathcal{EPR1}) \subset (\overline{EL_{k-1}} \cap \mathcal{EPR1})$ , thus, we prove like in the basic step that  $\overline{EL_k} \cap \mathcal{EPR1} = \bigcup_{C_h \in M_k} (\bigcup_{C \in M1_h} C)$ . Then,  $\overline{EL_1} \cap \mathcal{EPR1}$  is a countable union of pairwise independent cones (lemma 3.2). As  $P_{st}(\overline{\mathcal{EPR1}}) = 0$ , we have  $P_{st}(\overline{EL_k} \cap \mathcal{EPR1}) = 1 - P_{st}(EL_k)$ .

$P_{st}(EL_n) \geq 1 - (1 - \delta)^n$ . Therefore,  $P(\mathcal{EPR12}) = \lim_{n \rightarrow \infty} P(EL_n) = 1$ . □

**Corollary 3.1** *Let PR1 and PR2 be two closed predicate on configurations. If each strategy st of a protocol P under a scheduler D, verifies  $Convergence(PR1, PR2)$  then  $(PR1 \triangleright_{prob} PR2)$ .*

**Corollary 3.2** *Let PR2 be a closed predicate on configurations. If each strategy st of a protocol P under a scheduler D verifies  $Convergence(true, PR2)$  then  $\forall st, P_{st}(\mathcal{EPR2}) = 1$ .*

Using a technique similar to the one presented in [9], one can prove that in a strategy st where the property  $Convergence(PR1, PR2, \delta_{st}, n_{st})$  is satisfied the expectation time is bounded by  $\frac{n_{st}}{\delta_{st}}$ .

## 4 Example

Now we present a very simple protocol, that will allow us to exemplify all the previous notions : the randomized token circulation protocol (CTC) on unidirectional anonymous rings designed by Beauquier, Cordier and Delaët in [2]

For the sake of clarity we will not start the protocol from any configuration, but only from the configurations in which there are exactly two tokens in the ring, the distances between them being strictly greater than 1. The question that we are interested in, is to prove whether or not this (restricted) protocol has the following property : one of the tokens catches up with the other with probability 1 (that exactly means that the set of runs of the protocol in which one of the tokens catches up with the other has a probability 1). The correct answer is that the question is insufficiently specified and that satisfying or not the property depends on the scheduler. Thus, our aim will be to determine exactly where is the borderline between the class of schedulers that prevent the convergence and the class of those that do not. In the case of convergence, we want also have some information about the expectation time (in fact number of steps) before convergence. First, note that each of the above classes is non empty. Among the "bad" schedulers there is the following unfair scheduler, that can be informally described in the following way (but precisely defined using

our model). This bad scheduler chooses the process having a token until this token moves; then it chooses the process having the other token until this token moves and so one. If initially the tokens are at distance 2 or more, no one will ever catch up with the other. At the opposite it has been proved in [3] that the protocol converges (in fact from any initial configuration) with probability 1 with the  $k$ -bounded scheduler ( $k$  is an integer, see below). In the following sections, we will analyze this protocol under a large class of strategies to determine when this protocol converges and when it does not, when the expectation time is bounded and when it is not.

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**Protocol 4.1** token circulation on anonymous and unidirectional rings: CTC

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**Field variables on  $p$ :**

$v_p$  is a variable taking value in  $[0, m_N - 1]$ .

**Random Variables on  $p$ :**

$rand\_bool_p$  taking value in  $\{1, 0\}$ . Each value has a probability  $1/2$ .

**Action on  $p$ :**

$\mathcal{A}:: v_p - v_{l_p} \neq 1 \bmod m_N \longrightarrow \text{if } (rand\_bool_p = 0) \text{ then } v_p := (v_{l_p} + 1) \bmod m_N;$

---

A process holds a token iff it is enabled (i.e.  $v_p - v_{l_p} \neq 1 \bmod m_N$ ) There is always a token in the ring. The number of tokens cannot increase whatever may happen. Let  $L$  be the following predicate over configurations: “there is only one token in the system”. Once the convergence of the algorithm is proven to  $L$ , one can easily prove that the protocol is a weak self-stabilizing protocol for the specification : *one token fairly circulates in the ring*.

In an unidirectional ring, The *distance* from processor  $p$  to processor  $q$  is measured starting from  $p$  and moving to the right until  $q$  is reached. In particular, the distance from  $p$  to  $q$  is not the distance from  $q$  to  $p$ .

## 5 Rotating strategies

Because there are only two tokens (but a more general treatment would be feasible) a class of strategies can be described as what we call rotating strategies. Roughly speaking, in a rotating strategy the scheduler chooses to try to move one of the token  $tr_1$  times, then the other  $tr_2$  times, then the first token  $tr_3$  times and so one. Because the scheduler is an adversary and tries to avoid the token merging, as soon as one of the token moves it deals with the other. Then, a rotating strategy is completely defined via an infinite sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  where  $tr_i$  is the maximal duration (i.e. the maximal number of computation steps) of the  $i$ th turn. At each computation step of the  $2i+1$ th (resp.  $2i+2$ th) turn, only the process having the token  $T1$  (resp.  $T2$ ) performs its action; thus a  $2i+1$ th (resp.  $2i+2$ th) turn is said to be a  $T1$  (resp.  $T2$ ) turn. The  $i$ th turn (a  $Tx$  turn) stops and the  $i+1$ th turn begins (i) after that the  $Tx$  token has moved or (ii) after  $tr_i$  consecutive actions of the process having the  $Tx$  token where the token does not move. A strategy of a  $k$ -bounded scheduler [3] is a rotating strategy in which the duration of each turn is inferior to  $k + 1$ .

Let  $st$  be a rotating strategy. We denote by  $\epsilon_i$  the probability in  $st$  that a token catches up with the other token during the  $i$ th turn. A rotating strategy can be viewed as a game between the algorithm (called the player) and the scheduler. The game may be described by a series of independent random variables  $X_1, X_2, X_3, \dots$  such that  $P_{st}(X_i = \omega) = \epsilon_i$ .  $X_i$  represents the  $i$ th

trial of a random game (convergence game). The player (algorithm) wins when the two tokens merge (called the  $\omega$  events). The player/algorithm may perform as many trials he wants. We have  $P_{st}(\mathcal{EL}_{st}) = \sum_{i=1}^{\infty} \epsilon_i \times \prod_{j=1}^{i-1} (1 - \epsilon_j)$ .

## 5.1 Rotating strategies that do not converge

**Theorem 5.1** *In the initial configuration  $c0$ , there are two tokens in the ring and the token distances are strictly greater than 1. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3 \dots$  such that  $\sum_{i=1}^{\infty} \frac{1}{2^{tr_i}} \leq \epsilon$ . We have  $P_{st}(\mathcal{EL}_{st}) \leq \epsilon$ .*

**Proof:** Let  $i$  be an integer strictly greater than 1.  $i$ th turn is a  $Tx$  ( $x = 1$  or  $x = 2$ ) turn. If the token  $Ty$  ( $y \neq x$ ) has moved during the  $i$ -1th turn then at the end of this turn the distance from  $Tx$  to  $Ty$  is strictly greater than 1. During the following turn, the  $Tx$  token cannot catch up with  $Ty$ . Thus,  $Tx$  catches up with  $Ty$  during the  $i$ th turn only if during the previous turn  $Ty$  has not moved. The probability in  $st$  that  $Ty$  does not move during the  $i$ -1th turn is  $1/2^{tr_{i-1}}$ . Therefore  $\forall i > 1$ , we have  $\epsilon_i \leq 1/2^{tr_{i-1}}$ . As initially, the distance from  $T1$  to  $T2$  is strictly greater than 1,  $\epsilon_1 = 0$ .  $P_{st}(\mathcal{EL}_{st}) \leq \sum_{i=1}^{\infty} \frac{1}{2^{tr_i}} \leq \epsilon$ .  $\square$

**Notation 5.1** We name  $C_{1+\alpha}$  the value  $\sum_{i=1}^{\infty} (\log_2(i+1))^{1+\alpha}$  (the sum  $\sum_{i=1}^{\infty} (\log_2(i+1))^{1+\alpha}$  is bounded).

**Corollary 5.1** *In the initial configuration  $c0$ , there are two tokens in the ring and the token distances are strictly greater than 1. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3 \dots$  such that  $\forall i, tr_i > \log_2(C_{1+\alpha}(i+1)^{1+\alpha})$  where  $\alpha$  is a positive real. We have  $P_{st}(\mathcal{EL}_{st}) < 1$ .*

## 5.2 Rotating strategies that do converge

We will study a specific scenario  $conv^{n-1}$  that drives the ring to a legitimate configuration. We will prove that the probability of this scenario is 1.

**Definition 5.1** *We say that the token distances stay “quasi-invariable” between the turns  $j-1$  and  $j+1$  if their distances after the  $j+1$ th turn are the same as before the  $j$ th turn. A token may move during the  $j$ th turn; but in this case the other token must move during the next turn to ensure that the token distances go back to the initial values.*

*The following scenario called  $conv_m^1$  is defined as : after the  $m+1$ th turn the distance from  $Tx$  to  $Ty$  has decreased. (assuming that the  $m$ th turn is a  $Ty$  turn).*

*We define the scenario called  $conv_m^{j+1}$  recursively. There exists  $k$  such that (i) the scenario  $conv^j$  is realized at the end of the turn  $m-2k+1$ ; (ii) the token distances stay “quasi-invariable” between the turns  $m-2k+1$  and  $m-1$ ; and (iii) after the  $m+1$ th turn, the distance from  $Tx$  to  $Ty$  has decreased.*

Informally, there exist  $j$  integers  $0 = k_1 < k_2 < k_3 < \dots < k_j < m/2$  such that  $\forall i \in [1, j]$  (i) the  $Tx$  token is at distance  $d+i$  of  $Ty$  before the  $m-2k_i$  turn; (ii) the distance from  $Tx$  to  $Ty$  is  $d+i-1$  at the end of the  $m-2k_i+1$  turn; and (iii) the distance from  $Tx$  to  $Ty$  stays quasi-invariable from the  $m-2k_i+1$  turn to the  $m-2k_{i+1}-1$  turn. Thus, after the  $m+1$ th turn,  $Tx$  is at distance  $d$  of  $Ty$  if it was at distance  $d+j$  before the  $m-2k_j$  turn.

**Observation 5.1** Let  $st$  be a rotating strategy. We denote by  $\beta_j$  the probability in  $st$  that the distance from  $Tx$  to  $Ty$  has decreased between the turn  $j-1$  and  $j+1$ . We have  $\beta_j = \frac{1}{2^{tr_j}} \times (1 - \frac{1}{2^{tr_{j+1}}})$  ( $\frac{1}{2^{tr_j}} \geq \beta_j \geq \frac{1}{2^{tr_{j+1}}}$ ).

We denote by  $1 - \mu_j \beta_j$  the probability in  $st$  that the token distances stay quasi-invariable between the turns  $j-1$  and  $j+1$ .

The probability of  $conv_m^1$  in  $st$  (called  $P_{st}(conv_m^1)$ ) is  $\beta_m$ . The probability of  $conv_m^{j+1}$  in  $st$  (called  $P_{st}(conv_m^{j+1})$ ) is  $\beta_m \sum_{i=1}^{m/2} (P_{st}(conv_{m-2i}^j) \prod_{l=1}^{i-1} (1 - \mu_{m-2l} \beta_{m-2l}))$ .

We will give in the following theorem a lower bound to the probability of the scenario  $conv_m^{n-1}$  for a large class of rotating strategies. In any strategy, the probability of  $conv_m^{n-1}$  is a lower bound of  $\epsilon_{m+1}$  (the probability of tokens merging during the  $m+1$ th turn).

**Theorem 5.2** In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1. Let  $k1$  and  $k2$  be two positive integers. Let  $j$ ,  $K$  and  $M$  be positive integers. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  such that  $-K \leq tr_{i+1} - tr_i$ . There exists  $C_j > 0$  such that  $\forall m \geq M' = M + 2j - 2$ ,  $P_{st}(conv_m^j) \geq \beta_m \times C_j$  where  $j \in [1, n-1]$ .

**Proof:** If  $0 < tr_i - tr_{i+1}$  then we denote by  $K_i$  the difference  $tr_i - tr_{i+1}$  ( $K_i \leq K$ ). We have  $\mu_i \leq \frac{2^{tr_{i+1}} + 2^{K_i} 2^{tr_i} - 2}{2^{tr_{i+1}} - 1} \leq \frac{2^{tr_{i+1}} + 2^{K_i} 2^{tr_i}}{2^{tr_{i+1}} - 1} \leq \frac{4.2^{K_i} 2^{tr_i}}{2^{tr_{i+1}}} \leq 4.2^{K_i} \leq 4.2^K$ .

If  $0 \geq tr_i - tr_{i+1}$  then we denote by  $K_i$  the difference  $tr_{i+1} - tr_i$ . We have  $\mu_i \leq \frac{2^{tr_i} + 2^{K_i} 2^{tr_{i+1}} - 2}{2^{K_i} 2^{tr_i} - 1}$ .  $\mu_i \leq \frac{2(2^{K_i} 2^{tr_i} - 1)}{2^{K_i} 2^{tr_i} - 1} \leq 2$ .

We denote by  $\mu$  the value  $4.2^K$ . We have  $\forall i \geq M, \mu_i \leq 4.2^K = \mu$ .

$\forall m \geq M' = M + 2j - 2$ ,  $P_{st}(conv_m^j) / \beta_m \geq \sum_{i=1}^{(m-M'+2)/2} P_{st}(conv_{m-2i}^{j-1}) \prod_{l=1}^{i-1} (1 - \mu \beta_{m-2l})$ .

$\forall i \geq M$ , we have  $P_{st}(conv_i^1) \geq \beta_i$ .

Let  $j$  be strictly greater than 1. Assume that  $\exists C_{j-1}$  such that  $\forall i \geq M' - 2$ ,  $P_{st}(conv_i^{j-1}) \geq C_{j-1} \beta_i$ . We have  $\mu P_{st}(conv_i^{j-1}) \geq C_{j-1} \times (1 - (1 - \mu \beta_i))$ .

$P_{st}(conv_m^j) \times \mu / C_{j-1} \geq \beta_m \times (\sum_{i=1}^{(m-M'+2)/2} \prod_{l=1}^{i-1} (1 - \mu \beta_{m-2l}) - \prod_{l=1}^i (1 - \mu \beta_{m-2l}))$ .

Therefore,  $P_{st}(conv_m^j) \times \mu / C_{j-1} \geq \beta_m \times (1 - \prod_{l=1}^{(m-M'+2)/2} (1 - \mu \beta_{m-2l}))$ .

$P_{st}(conv_m^j) \times \mu / C_{j-1} \geq \beta_m \times (1 - (1 - \mu \beta_{M'+2}))$  or  $P_{st}(conv_m^{j+1}) \times \mu / C_{j-1} \geq \beta_m \times (1 - (1 - \mu \beta_{M'+3}))$ .  $P_{st}(conv_m^j) \geq \beta_m \times C_j$  where  $C_j = \min(C_{j-1} \beta_{M'+2}, C_{j-1} \beta_{M'+3})$ .  $\square$

The condition  $tr_i - tr_{i+1} \geq K$  is needed to compute a lower bound for the probability of quasi-invariance of token distances between two turns.

**Corollary 5.2** In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1. Let  $k1$  and  $k2$  be two positive integers. Let  $K$  and  $M$  be positive integers. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  such that (i)  $\forall i \geq M, tr_i \leq \log_2(k2(i+k1))$  and (ii)  $-K \leq tr_{i+1} - tr_i$ . We have  $P_{st}(\mathcal{EL}_{st}) = 1$ .

**Proof:** According to theorem 5.2, there exists  $C$  such that  $\forall i \geq M + 2n - 4 = M''$ ,  $P_{st}(conv_i^{n-1}) \geq \beta_i \times C \geq \frac{C}{2 \times k2(i+k1)}$  (see observation 5.1).  $P_{st}(\mathcal{EL}_{st}) \geq 1 - \prod_{l=M''}^{\infty} (1 - P_{st}(conv_l^{n-1}))$ . We have  $P_{st}(\mathcal{EL}_{st}) = 1$ .  $\square$

**Corollary 5.3** *In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1. Let  $k$  be a positive integer. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  such that  $\forall i, tr_i \leq k$ . We have  $P_{st}(\mathcal{EL}_{st}) = 1$ . The expectation time is inferior to  $(2^{k+1})^{n-1}$ .*

**Proof:** At any turn  $m \geq 2n - 2$ , the probability of the token merging is greater than  $\frac{1}{(2^{k+1})^{n-1}}$  in st. The expectation time is inferior to  $(2n - 2) + 2^{(k+1) \times (n-1)}$  (in term of turns).  $\square$

### 5.3 Expectation time of convergence

We compute now an upper bound of the expectation time for some specific strategies (i.e. the expected number of computation steps required by the algorithm to converge, in these strategies). For some other strategies, we will prove that the expectation time is unbounded.

**Theorem 5.3** *In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1. Let  $k1$  be a positive integer. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  such that  $\forall k \geq 1, \forall i \in [2^{2k} - 1, 2^{2k+2} - 2]$  we have  $tr_i = k + r$ . The expectation time is bounded.*

**Proof:**  $\exists C$  such that  $\forall m \geq 2n - 4, P_{st}(conv_m^{n-1}) \leq \frac{C}{2^{tr_m}}$  (see the theorem 5.2).

Let  $E$  be the value  $\sum_{i=2n-4}^{\infty} \frac{C(i+1)}{2^{tr_i}} \prod_{j=2n-4}^{i-1} (1 - \frac{C}{2^{tr_j}})$ .  $E$  is an upper bound of the expectation time because  $\forall m < 2n - 4, \epsilon_{m+1} \geq 0$  and  $\forall m \geq 2n - 4, \epsilon_{m+1} \geq P_{st}(conv_m^{n-1})$ .

Let  $E'$  be the value  $\sum_{i=1}^{\infty} \frac{C(i+1)}{2^{tr_i}} \prod_{j=1}^{i-1} (1 - \frac{C}{2^{tr_j}})$ .

We have  $E = E'/C2 - C1$  where  $C1 = \sum_{i=1}^{2n-3} \frac{C(i+1)}{2^{tr_i}} \prod_{j=1}^{i-1} (1 - \frac{C}{2^{tr_j}})$  and  $C2 = \prod_{j=1}^{2n-3} (1 - \frac{C}{2^{tr_j}})$ .

We have  $\frac{C(i+1)}{2^{tr_i}} = (i+1) \times (1 - (1 - \frac{C}{2^{tr_i}}))$ ; Therefore  $E' = 1 + \sum_{i=1}^{\infty} \prod_{j=1}^{i-1} (1 - (C \cdot 2^{-tr_j}))$ .

$E' = \sum_{k=1}^{\infty} E_k$  where  $\forall k > 1, E_k = \sum_{i=2^{2k}-1}^{2^{2k+2}-2} \prod_{j=1}^{i-1} (1 - (C \cdot 2^{-tr_j}))$  and

$E_1 = \sum_{i=1}^{2^4-2} \prod_{j=1}^{i-1} (1 - (C \cdot 2^{-tr_j}))$ .

We denote by  $\theta_k$  the value  $\frac{C}{2^k}$ . We have  $\forall i \in [2^{2k} - 1, 2^{2k+2} - 2], C \cdot 2^{-tr_i} = \theta_k$ .

For all  $k > 1$ , we denote by  $\lambda_k$  the value  $(1 - \theta_k)^{3 \cdot 2^{2k}} = \prod_{j=2^{2k}-1}^{2^{2k+2}-2} (1 - (C \cdot 2^{-tr_j}))$ .

We denote by  $\lambda_1$  the value  $(1 - \theta_1)^4 = \prod_{j=1}^{2^4-2} (1 - (C \cdot 2^{-tr_j}))$ .

We denote by  $\Lambda_i$  the value  $\prod_{j=1}^{i-1} \lambda_j = \prod_{j=1}^{2^{2i}-2} (1 - (C \cdot 2^{-tr_j}))$ .

$E_k = \prod_{j=1}^{k-1} \lambda_j \times \frac{1 - \lambda_k}{\theta_k} = (\Lambda_k \times \frac{1}{\theta_k}) - (\Lambda_{k+1} \times \frac{1}{\theta_k})$ .

$E' = 1 + \frac{1}{\theta_1} + \sum_{k=2}^{\infty} (\frac{1}{\theta_k} - \frac{1}{\theta_{k-1}}) \times \Lambda_k = 1 + \frac{1}{\theta_1} + \sum_{k=2}^{\infty} \frac{\Lambda_k}{\theta_{k-1}} = 1 + \frac{1}{\theta_1} + \sum_{k=2}^{\infty} \prod_{j=1}^{k-1} (2 \cdot \lambda_j)$ .

The series  $\lambda_1, \lambda_2, \dots$  is decreasing and there exists  $L$  such that  $\forall k \geq L, \lambda_k \leq e^{-1}$ .

We conclude that :  $E' \leq 1 + \frac{1}{\theta_1} + \sum_{k=2}^{L-1} \frac{\Lambda_k}{\theta_{k-1}} + (\frac{\Lambda_L}{\theta_{L-1}} \sum_{k=0}^{\infty} (\frac{2}{e})^k)$ .

Therefore,  $E' \leq 1 + \frac{1}{\theta_1} + \sum_{k=2}^{L-1} \frac{\Lambda_k}{\theta_{k-1}} + (\frac{\Lambda_L \times e}{\theta_{L-1} \times (e-2)})$ . Thus  $E$  is bounded.  $\square$

We will compute a lower bound on the expectation time (called  $E$ ) for a specific class of rotating strategies. The strategies of this class are such that  $\forall i \in [2^l - 1, 2^{l+1} - 2], tr_i = l + k1$  where  $k1$  is an integer ( $tr_1, tr_2, tr_3, \dots$  being the sequence of positive integers that defines the strategy).

If  $Tx$  catches up with  $Ty$  during the  $m+1$  turns then  $Tx$  is at distance 1 of  $Ty$  before the  $m$ th turn. Initially  $Tx$  has at distance  $d > 1$  of  $Ty$ .  $Tx$  is at distance 1 of  $Ty$  before the  $m$ th turn only if at some turn  $(m - 2k + 1)$  the distance from  $Tx$  to  $Ty$  decreases to the value 1 and stay “quasi-invariant” until the turn  $m - 1$ . Therefore, the probability that  $Tx$  catches up with  $Ty$  during the  $m+1$ th turn is inferior to  $P_{st}(conv_m^2)$ . First, we will compute an upper bound on  $P_{st}(conv_m^2)$  for the strategies of the studied class.

**Lemma 5.1** *In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1. Let  $k1$  be a positive integer. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  such that  $\forall i$  we have  $tr_{i+1} \leq tr_i + 1$ . We have  $P_{st}(conv_m^2) \leq \frac{2}{3 \cdot 2^{tr_m}}$ .*

**Proof:** We have  $\forall i, tr_{i+1} - tr_i = K_i \leq 1$ . We have  $\mu_i \geq 2$  if  $K_i \leq 0$ . If  $K_i = 1$  then we have  $\mu_i \geq \frac{3 \cdot 2^{tr_i} - 2}{2 \cdot 2^{tr_i} - 1} \geq \frac{3}{2}$ . In  $st$ ,  $\forall i, \mu_i \geq 3/2 = \rho$ .

$P_{st}(conv_m^2) \times \rho \leq \beta_m \times (\sum_{i=1}^{m/2} \rho \beta_{m-2i} \prod_{l=1}^{i-1} (1 - \rho \beta_{m-2l}))$ . We have  $\rho \beta_i = 1 - (1 - \rho \beta_i)$ .

$P_{st}(conv_m^2) \times \rho \leq \beta_m \times (\sum_{i=1}^{(m-M')/2} \prod_{l=1}^{i-1} (1 - \rho \beta_{m-2l}) - \prod_{l=1}^i (1 - \rho \beta_{m-2l}))$ .

Therefore,  $P_{st}(conv_m^2) \times \rho \leq \beta_m \times (1 - \prod_{l=1}^{(m-M')/2} (1 - \rho \beta_{m-2l})) \leq \beta_m \leq 2^{-tr_m}$ .  $\square$

**Theorem 5.4** *In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1. Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$  such that  $\forall k \geq 1, \forall i \in [2^k - 1, 2^{k+1} - 2]$  we have  $tr_i = k$ . The expectation time is unbounded.*

**Proof:** Let  $E$  be the value  $\sum_{i=1}^{\infty} \frac{2i+2}{3 \cdot 2^{tr_i}} \prod_{j=1}^{i-1} (1 - \frac{2}{3 \cdot 2^{tr_j}})$ .  $E$  is a lower bound of the expectation time because  $\epsilon_{m+1} \leq P_{st}(conv_m^2) \leq \frac{2}{3 \cdot 2^{tr_m}}$  (we have  $tr_{i+1} \leq tr_i + 1$ ).

We have  $\frac{i+1}{3 \cdot 2^{tr_i-1}} = (i+1) \times (1 - (1 - \frac{1}{3 \cdot 2^{tr_i-1}}))$ ; Therefore  $E = 1 + \sum_{i=1}^{\infty} \prod_{j=1}^{i-1} (1 - (2^{-tr_j+1}/3))$ .

$E = \sum_{k=1}^{\infty} E_k$  where  $E_k = \sum_{i=2^k-1}^{2^{k+1}-2} \prod_{j=1}^{i-1} (1 - 2^{-tr_j+1}/3)$ .

We denote by  $\theta_k$  the value  $\frac{2}{3 \times 2^{-tr_i}}$ . We have  $\forall i \in [2^k - 1, 2^{k+1} - 2]$ ,  $\frac{2}{3 \times 2^{-tr_i}} = \theta_k$ .

We denote by  $\lambda_k$  the value  $(1 - \theta_k)^{2^k} = \prod_{j=2^k-1}^{2^{k+1}-2} (1 - (2^{-tr_j+1}/3))$ .

We denote by  $\Lambda_i$  the value  $\prod_{j=1}^{i-1} \lambda_j = \prod_{j=1}^{2^i-2} (1 - (2^{-tr_j+1}/3))$ .

$E_k = \prod_{j=1}^{k-1} \lambda_j \times \frac{1 - \lambda_k}{\theta_k} = (\Lambda_k \times \frac{1}{\theta_k}) - (\Lambda_{k+1} \times \frac{1}{\theta_k})$ .

$E = 1 + \frac{1}{\theta_1} + \sum_{k=2}^{\infty} (\frac{1}{\theta_k} - \frac{1}{\theta_{k-1}}) \times \Lambda_k$ .

We conclude that :  $E = 1 + \frac{1}{\theta_1} + \sum_{k=2}^{\infty} \frac{\Lambda_k}{\theta_{k-1}}$ . Therefore,  $E \geq \sum_{k=4}^{\infty} \frac{3}{2} \prod_{j=1}^{k-1} (2 \cdot \lambda_j)$ .

The series  $\lambda_1, \lambda_2, \dots$  is increasing; thus  $\forall j \geq 4, \lambda_j > \lambda_4 > \frac{1}{2}$ .

We have  $E \geq \sum_{i=4}^{\infty} \frac{3}{2} \prod_{j=1}^3 (2 \cdot \lambda_j) = +\infty$ .  $\square$

## 5.4 Summary of results

In the initial configuration  $c0$ , there are two tokens in the ring. The token distances are strictly greater than 1.

Let  $k1, k2, k3, k4$  and  $M$  be positive integers. Let  $\alpha$  be a positive real.

Let  $st$  be a rotating strategy from  $c0$  defined by the sequence of positive integers  $tr_1, tr_2, tr_3, \dots$ .

The first table presents our results about the convergence in  $st$  according to  $tr_i$  values. We have defined the borderline between the rotating strategies that do converge and the other ones (i.e  $tr_i = \log_2(k1(i + k2))$ ).

Definition of rotating strategy	Convergence in $st$ ?
$\forall i \geq 1, tr_i > \log_2(C_{1+\alpha}(i+1)^{1+\alpha})$	no
$\forall i \geq M, tr_i \leq k1$	yes
$\forall i \geq M, tr_i \leq \log_2(k1(i + k2))$ and $tr_i - tr_{i+1} \geq -k3$	yes

The second table presents our results about the expectation time in st according to  $tr_i$  values (when the algorithm converges). We have not found the exact borderline between an unbounded and a bounded expectation time. The main difficulty is to compute precisely the value  $\epsilon_i$  (i.e. the probability of convergence during the  $i$ th turn). Nevertheless we know that the borderline is located between  $\log_2(k1(i + k2))$  and  $\log_2((i + 1))^{1/2}$ .

Definition of rotating strategy	Expectation time
$\forall i, tr_i \leq k1$	$\leq (2n - 4) + 2^{(k+1) \times (n-1)}$
$\forall i \geq M, tr_i \leq \log_2(k1(i + k2))^{1/2};$ and $tr_i - tr_{i+1} \geq -k3$	bounded
$\forall i \geq M, \log_2(k1(i + k2))^{1/2} < tr_i < \log_2(i + 1)$ and $tr_i - tr_{i+1} \geq -k3$	???
$\forall i \geq M, \log_2(i + 1) \leq tr_i \leq \log_2(k1(i + k2))$ and $tr_i - tr_{i+1} \geq -k3$	unbounded

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