

Type Systems and Programming

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<https://www.labri.fr/perso/renault/working/teaching/stp/stp.php>

Introduction

What's a programming language ?

```
int ackermann(int m, int n) {  
    if (!m) return n + 1;  
    if (!n) return ackermann(m-1, 1);  
    return ackermann(m-1,  
                      ackermann(m, n-1));  
}
```

```
ackermann←{  
    0=1▷ω:1+2▷ω  
    0=2▷ω:∇(¬1+1▷ω)1  
    ∇(¬1+1▷ω), ∇(1▷ω), ¬1+2▷ω  
}
```

A complex and expressive tool for the representation of **computations**.

Introduction

Focus on the problem of the **verification** of these computations.

What properties can one expect to be enforceable ?

- **Termination** properties : is it possible to be perfectly certain that a given program evaluates in a finite number of steps ?
- **Correctness** properties : is it possible to be perfectly certain that a program never ends up in an uncontrolled error state ?

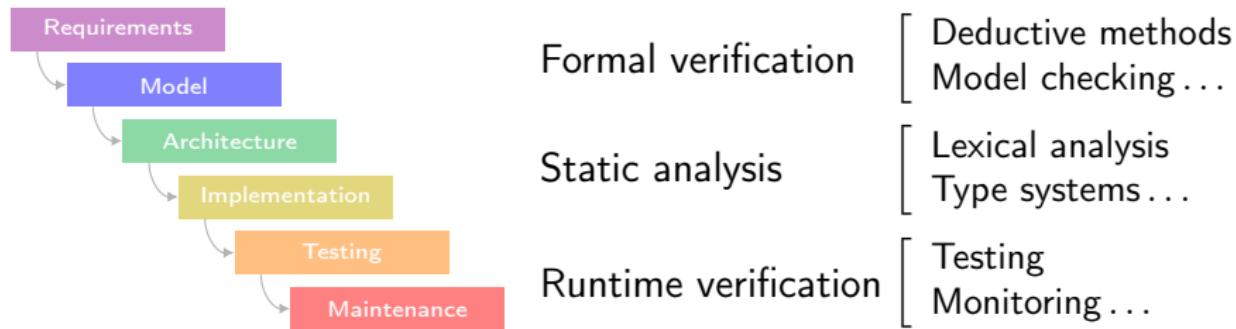
And more pragmatically, checking for the presence or absence of :

- null pointer exceptions, invalid file descriptors,
- indices out of array bounds, divisions by zero ...

Introduction

How is it possible to enforce some of these properties ?

⇒ Different families of methods, spread along the development cycle.



⇒ Each family possesses different characteristics :

- Compile-time or Runtime
- Automatic or Assisted
- Decidable (complexity ?) or Semi-decidable

Type systems

(informal description)

- a family of **tractable** methods,
- considering programs on a **syntactic** level,
- verifying some properties on their **behaviors**.

General tactics

- Classify the expressions occurring inside a program into **types**,
- Verify that the combination of these **types** into the program respect a set of coherence rules.

Example :

locomotive + flower

Programming languages and type systems studied in this course :

- OCaml (4.14) ocaml.org
- Haskell (ghc-9.4) haskell.org/ghc
- LiquidHaskell (0.9.4-git) ucsd-progssys.github.io/liquidhaskell-blog
- Scala (2.13) scala-lang.org

And their influence in mainstream languages :

- Java 8-21, C++ 14-23, C# 5-13 ...

Some references

- Pierce, B. C. *Types and Programming Languages*. MIT Press, 2002.
- Bruce, K. B. *Foundations of Object-oriented Languages : Types and Semantics*. MIT Press, 2002.
- Hindley, J. R. *Basic simple type theory*. Cambridge University Press, 1997.
- Wadler, P. *Propositions as types*. Communications ACM, 2015.

Overview

1 Simple lambda-calculus

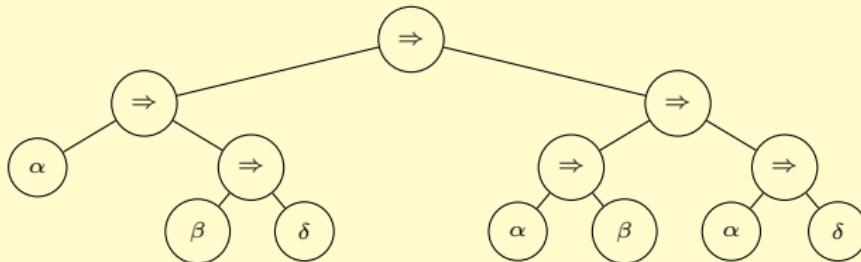
1 Simple lambda-calculus

- Propositional logic
- Untyped lambda calculus

Definition (Minimal intuitionistic logic)

The **minimal intuitionistic logic** is the set of all formulae P, Q, \dots constructed from :

- an infinite set of atomic formulae denoted as variables α, β, \dots ,
- if P, Q are two formulas, then $P \Rightarrow Q$ is also a formula.



It is a simple fragment of the more general propositional logic.

Definition (Sequent)

A **sequent** is an assertion $\Gamma \vdash \alpha$, where :

- Γ is a possibly empty sequence of formulae called the **antecedents**,
- and α is a formula called the **consequent**.

Writing $\Gamma, P \vdash Q$ means that the antecedents are constituted of a list of formulae Γ along with a specific formula P .

Definition (Derivation tree)

A **derivation tree** (or proof tree) is a tree whose nodes are syntactically coherent with a finite set of inference rules. In propositional logic, these rules are the following :

$$\frac{}{P \vdash P} [\text{ax}] \quad \frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow i] \quad \frac{\Gamma \vdash P \quad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow e]$$

Each inference rule possesses a name indicating its role, most of the time the introduction (I) or the elimination (E) of a logical operator.

Frege's theorem

$$\left(R \Rightarrow (S \Rightarrow T) \right) \Rightarrow \left((R \Rightarrow S) \Rightarrow (R \Rightarrow T) \right)$$

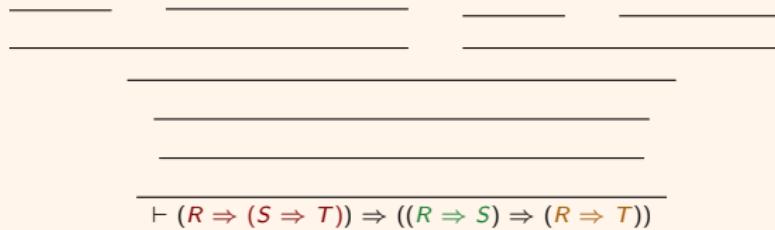
Inference rules

$$\frac{}{P \vdash P} [\text{ax}]$$

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow i]$$

$$\frac{\Gamma \vdash P \quad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow e]$$

Proof as a derivation tree



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Proof as a derivation tree

$$\frac{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)}{\vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))}$$

Frege's theorem

$$\left(R \Rightarrow (S \Rightarrow T) \right) \Rightarrow \left((R \Rightarrow S) \Rightarrow (R \Rightarrow T) \right)$$

Inference rules

$$\frac{}{P \vdash P} [\text{ax}]$$

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$$\frac{\Gamma \vdash P \quad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow e]$$

Proof as a derivation tree

$$\begin{array}{ccccccc} \hline & & & & & & \\ \hline & (R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T) & & & & & \\ \hline & (R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T) & & & & & \\ \hline & \vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T)) & & & & & \end{array}$$

Frege's theorem

$$\left(R \Rightarrow (S \Rightarrow T) \right) \Rightarrow \left((R \Rightarrow S) \Rightarrow (R \Rightarrow T) \right)$$

Inference rules

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$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow i]$$

$$\frac{\Gamma \vdash P \quad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow e]$$

Proof as a derivation tree

$$\frac{\Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T}{\begin{array}{c} (R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T) \\ (R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T) \\ \vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T)) \end{array}}$$

Frege's theorem

$$\left(R \Rightarrow (S \Rightarrow T) \right) \Rightarrow \left((R \Rightarrow S) \Rightarrow (R \Rightarrow T) \right)$$

Inference rules

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$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow i]$$

$$\frac{\Gamma \vdash P \quad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow e]$$

Proof as a derivation tree

$$\begin{array}{c} \hline & \hline \\ \hline & \hline \\ \hline \frac{\Gamma \vdash S \Rightarrow T \qquad \Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T}{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)} \\ \hline \frac{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)}{\vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))} \end{array}$$

Frege's theorem

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Proof as a derivation tree

$$\frac{\frac{\frac{\frac{\frac{\Gamma \vdash S \Rightarrow T}{\Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T}}{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)} \quad \frac{\Gamma \vdash R \quad \Gamma \vdash R \Rightarrow S}{\Gamma \vdash R \Rightarrow S}}{\Gamma \vdash (R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)}}{\vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))}$$

Frege's theorem

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Proof as a derivation tree

$$\begin{array}{c} \frac{\Gamma \vdash R}{\Gamma \vdash S \Rightarrow T} \qquad \frac{\Gamma \vdash R}{\Gamma \vdash S} \qquad \frac{\Gamma \vdash R}{\Gamma \vdash R \Rightarrow S} \\ \hline \Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T \\ \frac{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)}{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)} \\ \hline \vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T)) \end{array}$$

Frege's theorem

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Proof as a derivation tree

$$\begin{array}{c} \frac{\Gamma \vdash R}{\Gamma \vdash S \Rightarrow T} \qquad \frac{\Gamma \vdash R}{\Gamma \vdash S} \qquad \frac{\Gamma \vdash R}{\Gamma \vdash R \Rightarrow S} \\ \hline \Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T \\ \frac{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)}{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)} \\ \vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T)) \end{array}$$



Summary on propositional logic

The model of propositional logic offers :

- a **language** describing a family of objects **inductively**,
- and a system for defining a subset of this family respecting **local rules**.

The difficulty lies in constructing a kind of **proof** (here a derivation tree) for assessing the validity of a proposition.

In the following, we construct an equivalent model for a programming language : the **untyped λ -calculus**.

So let's start again ...

Definition (Untyped λ -calculus)

The untyped λ -calculus is the set of expressions t, u, \dots constructed from :

- **[Variable]** an infinite set of abstract variables x, y, \dots ,
- **[Abstraction]** if t is an expression and x is a variable, then $\lambda x.t$ is an expression,
- **[Application]** if t, u are two expressions, then $(t \ u)$ is an expression.

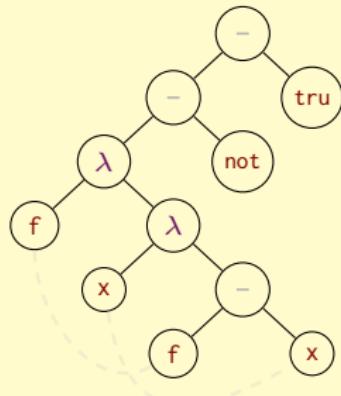
Example :

$$((\lambda f. \lambda x. (f \ x) \ \text{not}) \ \text{true})$$

Python : `(lambda f: lambda x: f(x))(__not__)(True)`

Scheme : `((lambda (f) (lambda (x) (f x))) not) #t`

OCaml : `(fun f → fun x → f(x))(not)(true)`



Definition (Free / Bound variables)

A variable x in a λ -expression u is said to be **bound** iff it appears as a descendant of an abstraction node over the same variable x . Otherwise, it is said to be **free**.

$FV(u)$, the free variables of u :

- $FV(x) = \{x\}$
- $FV(u \cdot v) = FV(u) \cup FV(v)$
- $FV(\lambda x. u) = FV(u) \setminus \{x\}$

$BV(u)$, the bound variables of u :

- $BV(x) = \{\}$
- $BV(u \cdot v) = BV(u) \cup BV(v)$
- $BV(\lambda x. u) = BV(u) \cup \{x\}$

Examples

- $FV((\lambda f. \lambda x. (f \cdot x) \cdot \text{not}) \cdot \text{true}) = \{\text{not}, \text{true}\}$
- $BV((\lambda f. \lambda x. (f \cdot x) \cdot \text{not}) \cdot \text{true}) = \{f, x\}$

Definition (α -conversion)

Let $t ::= \lambda x.u$ be an expression and y a variable. An α -conversion of t is an expression $\lambda y.v$ where v is a copy of u where every free variable x in u has been replaced by y .

... where $r_{x \rightarrow y}(t)$ is defined as :

$\alpha\text{cnv}_{x \rightarrow y}(t)$ is defined as :

- $\alpha\text{cnv}_{x \rightarrow y}(\lambda x.u) = \lambda y.r_{x \rightarrow y}(v)$
- $\alpha\text{cnv}_{x \rightarrow y}(t) = t$ otherwise

- $r_{x \rightarrow y}(z) = y$ if $z = x$,
= z otherwise
- $r_{x \rightarrow y}(\lambda z.w) = \lambda z.w$ if $z = x$,
= $\lambda z.r_{x \rightarrow y}(w)$ otherwise
- $r_{x \rightarrow y}(v_w) = (r_{x \rightarrow y}(v)_r r_{x \rightarrow y}(w))$

Examples

- $\alpha\text{cnv}_{x \rightarrow y}(\lambda x.x) = \lambda y.y$
- $\alpha\text{cnv}_{x \rightarrow y}(\lambda x.((\lambda x.x)_x)) = \lambda y.((\lambda x.x)_y)$

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= z otherwise
- $r_{x \rightarrow y}(\lambda z.w) = \lambda z.w$ if $z = x$,
= $\lambda z.r_{x \rightarrow y}(w)$ otherwise
- $r_{x \rightarrow y}(v _ w) = (r_{x \rightarrow y}(v) _ r_{x \rightarrow y}(w))$

- **Barendregt convention** : give distinct names to distinct bound variables.
- The λ -expressions can be considered **equivalent** up to α -conversion.

Definition (Substitution)

Let t, u be λ -expressions and x a variable. To **substitute** x by u into t , noted $[x \mapsto u]t$, consists in replacing every free occurrence of x in t by a copy of u .

$[x \mapsto u]t$ is defined as :

- $[x \mapsto u] z = u$ if $z = x$, z otherwise
- $[x \mapsto u](v _ w) = ([x \mapsto u]v _ [x \mapsto u]w)$
- $[x \mapsto u] \lambda z. w = \lambda z. [x \mapsto u]w$ if $z \neq x$ and $z \notin FV(u)$,
 $\lambda z. w$ otherwise.

Example

$$[x \mapsto biiip] \left((\lambda z. (x _ z)) _ y \right) = (\lambda z. (biiip _ z)) _ y$$

Definition (β -reduction)

A **redex** in a λ -expression t is a sub-expression of the form $((\lambda x.v) _ w)$. Applying a **β -reduction** step from t to u , noted $t \rightarrow_{\beta} u$, consists in finding a redex sub-expression $((\lambda x.v) _ w)$ inside t and replacing it by $[x \mapsto w]v$.

$t \rightarrow_{\beta} u$ is defined as :

- $(\lambda x.v) _ w \rightarrow_{\beta} [x \mapsto w]v$
 - Function reduction : $(u _ w) \rightarrow_{\beta} (v _ w)$
 - if $u \rightarrow_{\beta} v$ then
 - Parameter reduction : $(w _ u) \rightarrow_{\beta} (w _ v)$
 - Body reduction : $\lambda x.u \rightarrow_{\beta} \lambda x.v$
- $\underbrace{\qquad}_{\text{Weak red.}}$ $\underbrace{\qquad}_{\text{Strong red.}}$

Example

$$\left(\lambda z. (\lambda x. x+1) _ (z+2) \right) _ (3+4) \rightarrow_{\beta} \dots \rightarrow_{\beta} ((3+4)+2)+1$$

Definition (β -reduction)

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 - Function reduction : $(u_{\underline{w}}) \rightarrow_{\beta} (v_{\underline{w}})$
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 - Body reduction : $\lambda x.u \rightarrow_{\beta} \lambda x.v$
- $\underbrace{\qquad}_{\text{Weak red.}}$ $\underbrace{\qquad}_{\text{Strong red.}}$

- An expression to which no β -reduction step can be applied is said to be in **normal form**.
- The evaluation of a λ -expression consists in applying β -reductions as long as it is possible.

Properties of the λ -calculus

The λ -calculus endowed with the β -reduction relation is a Turing-complete computational model.

- **Church-Rosser theorem** : the β -reduction relation is **confluent**.
- There exist λ -expressions for which the evaluation is **infinite**.

$$\text{nt} ::= (\lambda x.(x_x)) _ (\lambda x.(x_x)) \qquad \text{nt} \rightarrow_{\beta} \text{nt}$$

- **Church undecidability theorem** : the problem of determining whether the evaluation of a λ -expression is finite or not is **undecidable**.

Undecidability is at the heart of dealing with programming languages.

Syntax

Evaluation rules

 $t ::= \text{expressions}$
 x variable

 $\lambda x.t$ abstraction

 $(t_1 t_2)$ application

 $v ::= \text{values}$
 $\lambda x.t$ abstraction value

$$\frac{t_1 \rightarrow_{\beta} t'_1}{(t_1 t_2) \rightarrow_{\beta} (t'_1 t_2)}$$

$$\frac{t \rightarrow_{\beta} t'}{(v t) \rightarrow_{\beta} (v t')}$$

$$(\lambda x.t_1 t_2) \rightarrow_{\beta} [x \mapsto t_2] t_1$$

- **Values** are particular expressions that need no more evaluation.
- In this model, the values are **exactly** the expressions in normal form.

Church encodings : booleans

Let us encode the classical boolean values as the following expressions :

$$\begin{aligned}\text{true} &::= \lambda x. \lambda y. x \\ \text{false} &::= \lambda x. \lambda y. y\end{aligned}$$

(these are the classical projection functions)

The booleans can now be manipulated with the following expressions :

$$\begin{aligned}\text{if} &::= \lambda b. \lambda t. \lambda e. ((b _ t) _ e) \\ \text{or} &::= \lambda x. \lambda y. (((\text{if } x) _ \text{true}) _ y) \\ \text{and} &::= \lambda x. \lambda y. (((\text{if } x) _ y) _ \text{false}) \\ \text{not} &::= \lambda x. (((\text{if } x) _ \text{false}) _ \text{true})\end{aligned}$$

Example

$$\text{or true false} \rightarrow_{\beta}$$

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Example

$$\text{or true false} \rightarrow_{\beta} \text{if true true false} \rightarrow_{\beta} \text{true true false} \rightarrow_{\beta}$$

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Example

$$\text{or true false} \rightarrow_{\beta} \text{if true true false} \rightarrow_{\beta} \text{true true false} \rightarrow_{\beta} \text{true}$$

Church encodings : naturals

And the following expressions encode the natural numbers :

$\text{zero} ::= \lambda f. \lambda x. x$ f applied zero times
 $\text{succ} ::= \lambda i. \lambda f. \lambda x. (f ((i _ f) _ x))$ f applied once to the result of $(i _ f)$

With the addition and multiplication functions defined as follows :

$\text{plus} ::= \lambda i. \lambda j. ((i _ \text{succ}) _ ((j _ \text{succ}) _ \text{zero}))$ apply succ , first j times then i times to zero
 $\text{mult} ::= \lambda i. \lambda j. ((j _ (\text{plus} _ i)) _ \text{zero})$ apply $(\text{plus} _ i)$, j times to zero

Example : derivation tree of a β -reduction

In the λ -calculus extended with the Church boolean values :

$$\frac{\begin{array}{c} (\text{if_true}) \quad \rightarrow_{\beta} \quad \lambda t. \lambda e. ((\text{true_t})_e) \\[1em] ((\text{if_true})_false) \quad \rightarrow_{\beta} \quad (\lambda t. \lambda e. ((\text{true_false})_e)_false) \quad \rightarrow_{\beta} \quad \lambda e. ((\text{true_false})_e) \end{array}}{(\text{not_true}) \quad \rightarrow_{\beta} \quad (((\text{if_true})_false)_true) \quad \rightarrow_{\beta} \quad (\lambda e. ((\text{true_false})_e)_true)}$$

$$\cdots \rightarrow_{\beta} \frac{(\text{true_false}) \quad \rightarrow_{\beta} \quad \lambda y. \text{false}}{((\text{true_false})_true) \quad \rightarrow_{\beta} \quad (\lambda y. \text{false}_true) \quad \rightarrow_{\beta} \quad \text{false}}$$

The untyped λ -calculus is **everything but practical** :

- Its evaluation rule is remarkably simple.
- But the encodings are multiple and possibly overlapping.

Improvement idea

Extend the language with new expressions : `true`, `succ`, `zero` ...

Possible advantages : higher level of abstraction, custom constructs and values in the language, specific evaluation rules ...

But it becomes necessary to deal with expressions such as `succ true`.

$$(\text{succ_true}) \rightarrow_{\beta} (\underbrace{\lambda i f x. (f ((i f) x))}_{\text{succ}} - \underbrace{(\lambda u v. u)}_{\text{true}}) \rightarrow_{\beta} \underbrace{\lambda f x. (f f)}_{??}$$

Let's do it anyway ...

Extension of the λ -calculus : booleans & naturals

Syntax

| | |
|--|------------------------|
| $t ::= \dots$ | <i>expressions</i> |
| $\text{true}, \text{false}$ | <i>booleans</i> |
| $\text{zero}, \text{succ } t$ | <i>naturals</i> |
| $\text{if } t \text{ then } t_1 \text{ else } t_2$ | <i>if-then-else</i> |
| $\text{iszzero } t$ | <i>zero-equality</i> |
| $v ::= \dots$ | <i>values</i> |
| $\text{true}, \text{false}$ | <i>boolean value</i> |
| nv | <i>numeric value</i> |
| $\text{nv} ::=$ | <i>numeric values</i> |
| zero | <i>zero value</i> |
| $\text{succ } nv$ | <i>successor value</i> |

Evaluation rules

$$\frac{t_1 \rightarrow_{\beta} t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow_{\beta} t'_1 \text{ if } t'_1 \text{ then } t_2 \text{ else } t_3}$$
$$\frac{}{\text{if true then } t_2 \text{ else } t_3 \rightarrow_{\beta} t_2}$$
$$\frac{}{\text{if false then } t_2 \text{ else } t_3 \rightarrow_{\beta} t_3}$$
$$\frac{t \rightarrow_{\beta} t'}{\text{iszzero } t \rightarrow_{\beta} \text{iszzero } t'}$$
$$\frac{}{\text{iszzero zero} \rightarrow_{\beta} \text{true}}$$
$$\frac{}{\text{iszzero } (\text{succ } t) \rightarrow_{\beta} \text{false}}$$

Example : derivation tree of a β -reduction

Examples of evaluation in the λ -calculus with booleans and integers :

$$\begin{array}{c} \text{iszero (succ zero)} \qquad \qquad \rightarrow_{\beta} \qquad \qquad \text{false} \\ \bullet \frac{}{\text{if (iszero (succ zero)) then zero else (succ zero)} \rightarrow_{\beta} \text{if false then zero else (succ zero)} \rightarrow_{\beta} \text{succ zero}} \\ \qquad \qquad \qquad \text{if false then zero else false} \quad \rightarrow_{\beta} \quad \text{false} \\ \bullet \frac{}{\text{succ (if false then zero else false)} \rightarrow_{\beta} \text{succ false} \rightarrow_{\beta} ??} \end{array}$$

Problem

This new language contains **stuck** expressions, that cannot be evaluated further but are still not values, e.g `succ_true` or `if zero then true else false`.

- These expressions are the sign of an indecision in the evaluation relation.
- They occur because most of the interesting functions are **partial**.

Summary on the untyped λ -calculus

Starting from now, we consider that the booleans and naturals are part of the definition of the λ -calculus.

- The obtained language is close to a classical programming language without side effects.
- There exist **stuck** expressions that are neither values nor in normal form.
- Stuck expressions are mostly **unavoidable** when extending the language.

In the following we shall endow this language with **types** that allow to determine whether an expression is stuck or not without its full evaluation.

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