Type Systems and Programming

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https://www.labri.fr/perso/renault/working/teaching/stp/stp.php

Introduction

What's a programming language?

A complex and expressive tool for the representation of computations.

Introduction

Focus on the problem of the verification of these computations.

What properties can one expect to be enforceable?

- Termination properties: is it possible to be perfectly certain that a given program evaluates in a finite number of steps?
- Correctness properties : is it possible to be perfectly certain that a program never ends up in an uncontrolled error state?

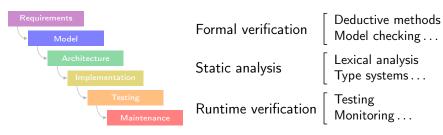
And more pragmatically, checking for the presence or absence of :

- null pointer exceptions, invalid file descriptors,
- indices out of array bounds, divisions by zero . . .

Introduction

How is it possible to enforce some of these properties?

 \Rightarrow Different families of methods, spread along the development cycle.



- ⇒ Each family possesses different characteristics :
 - Compile-time or Runtime
 - Automatic or Assisted
 - Decidable (complexity?) or Semi-decidable

Type systems

(informal description)

- a family of tractable methods,
- considering programs on a syntactic level,
- verifying some properties on their behaviors.

General tactics

- Classify the expressions occurring inside a program into types,
- Verify that the combination of these types into the program respect a set of coherence rules.

Example:

locomotive + flower

Programming languages and type systems studied in this course :

• OCaml (4.14)

ocaml.org

Haskell (ghc-9.4)

haskell.org/ghc

- LiquidHaskell (0.9.4-git)
- ucsd-progsys.github.io/liquidhaskell-blog

Scala (2.13)

scala-lang.org

And their influence in mainstream languages:

• Java 8-21, C++ 14-23, C# 5-13 ...

Some references

- Pierce, B. C. Types and Programming Languages. MIT Press, 2002.
- Bruce, K. B. Foundations of Object-oriented Languages: Types and Semantics. MIT Press, 2002.
- Hindley, J. R. *Basic simple type theory*. Cambridge University Press, 1997.
- Wadler, P. Propositions as types. Communications ACM, 2015.

Overview

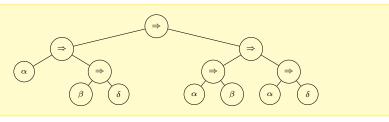
- Simple lambda-calculus
 - Untyped
 - Typed
- 2 Polymorphism
 - Parametric
 - Inclusion
 - Adhoc
- Subtyping
- Proofs with types

- Simple lambda-calculus
 - Propositional logic
 - Untyped lambda calculus
 - Simply typed lambda calculus
 - Type checking and inference
 - Curry-Howard correspondence
- 2 Polymorphism
- Subtyping
- Proofs with types

Definition (Minimal intuitionistic logic)

The minimal intuitionistic logic is the set of all formulae P, Q, \ldots constructed from :

- ullet an infinite set of atomic formulae denoted as variables $lpha,eta,\ldots$,
- if P, Q are two formulas, then $P \Rightarrow Q$ is also a formula.



It is a simple fragment of the more general propositional logic.

Definition (Sequent)

A sequent is an assertion $\Gamma \vdash \alpha$, where :

- Γ is a possibly empty sequence of formulae called the antecedents,
- and α is a formula called the consequent.

Writing $\Gamma, P \vdash Q$ means that the antecedents are constituted of a list of formulae Γ along with a specific formula P.

Definition (Derivation tree)

A derivation tree (or proof tree) is a tree whose nodes are syntactically coherent with a finite set of inference rules. In propositional logic, these rules are the following:

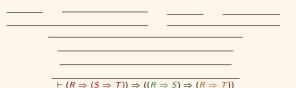
$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P} [AX] \qquad \frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow I] \qquad \frac{\Gamma \vdash P \qquad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow E]$$

Each inference rule possesses a name indicating its role, most of the time the introduction (I) or the elimination (E) of a logical operator.

$$\left(R\Rightarrow(S\Rightarrow T)\right)\Rightarrow\left((R\Rightarrow S)\Rightarrow(R\Rightarrow T)\right)$$

Inference rules

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow I] \qquad \frac{\Gamma \vdash P \qquad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow E]$$



$$\left(R\Rightarrow (S\Rightarrow T)\right)\Rightarrow \left((R\Rightarrow S)\Rightarrow (R\Rightarrow T)\right)$$

Inference rules

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow I] \qquad \frac{\Gamma \vdash P \qquad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow E]$$

Proof as a derivation tree

 $(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)$ $\vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))$

$$\left(R\Rightarrow(S\Rightarrow T)\right)\Rightarrow\left((R\Rightarrow S)\Rightarrow(R\Rightarrow T)\right)$$

Inference rules

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow I] \qquad \frac{\Gamma \vdash P \qquad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow E]$$

$$\frac{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)}{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)}$$
$$\vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))$$

$$\left(R\Rightarrow(S\Rightarrow T)\right)\Rightarrow\left((R\Rightarrow S)\Rightarrow(R\Rightarrow T)\right)$$

Inference rules

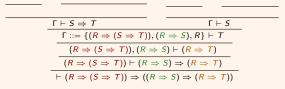
$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow I] \qquad \frac{\Gamma \vdash P \qquad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow E]$$

$$\frac{\Gamma ::= \{ (R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R \} \vdash T}{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)}$$
$$\frac{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)}{(R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))}$$

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Inference rules

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Inference rules

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow I] \qquad \frac{\Gamma \vdash P \qquad \Gamma \vdash P \Rightarrow Q}{\Gamma \vdash Q} [\Rightarrow E]$$

$$\frac{\Gamma \vdash R \qquad \Gamma \vdash R \Rightarrow S}{\Gamma \vdash S}$$

$$\frac{\Gamma \vdash S \Rightarrow T \qquad \Gamma \vdash S}{\Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T}$$

$$\frac{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T)}{(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T)}$$

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Inference rules

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Inference rules

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$$\begin{array}{c|c}
\Gamma \vdash R & \hline
\Gamma \vdash R \Rightarrow (S \Rightarrow T) & \hline
\Gamma \vdash R & \hline
\Gamma \vdash R \Rightarrow S \\
\hline
\Gamma \vdash S \Rightarrow T & \hline
\Gamma \vdash S \\
\hline
\Gamma ::= \{(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S), R\} \vdash T \\
\hline
(R \Rightarrow (S \Rightarrow T)), (R \Rightarrow S) \vdash (R \Rightarrow T) \\
\hline
(R \Rightarrow (S \Rightarrow T)) \vdash (R \Rightarrow S) \Rightarrow (R \Rightarrow T) \\
\hline
\vdash (R \Rightarrow (S \Rightarrow T)) \Rightarrow ((R \Rightarrow S) \Rightarrow (R \Rightarrow T))
\end{array}$$





Summary on propositional logic

The model of propositional logic offers :

- a language describing a family of objects inductively,
- and a system for defining a subset of this family respecting local rules.

The difficulty lies in constructing a kind of **proof** (here a derivation tree) for assessing the validity of a proposition.

In the following, we construct an equivalent model for a programming language : the untyped λ -calculus.

So let's start again ...

Definition (Untyped λ -calculus)

The untyped λ -calculus is the set of expressions t,u,\ldots constructed from :

- [Variable] an infinite set of abstract variables x, y, ...,
- [Abstraction] if t is an expression and x is a variable, then $\lambda x.t$ is an expression,
- [Application] if t, u are two expressions, then (t_u) is an expression.

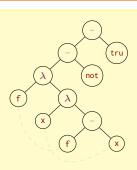
Example:

$$((\lambda f.\lambda x.(f_x)_not)_true)$$

Python: $(lambda f: lambda x: f(x))(_not_)(True)$

Scheme: (((lambda (f) (lambda (x) (f x))) not) #t)

OCaml: $(fun f \rightarrow fun x \rightarrow f(x))(not)(true)$



Definition (Free / Bound variables)

A variable x in a λ -expression u is said to be bound iff it appears as a descendant of an abstraction node over the same variable x. Otherwise, it is said to be free.

FV(u), the free variables of u:

•
$$FV(x) = \{x\}$$

$$FV(u_{-}v) = FV(u) \cup FV(v)$$

•
$$FV(\lambda x.u) = FV(u) \setminus \{x\}$$

BV(u), the bound variables of u:

•
$$BV(x) = \{\}$$

•
$$BV(u_v) = BV(u) \cup BV(v)$$

•
$$BV(\lambda x.u) = BV(u) \cup \{x\}$$

Examples

- $FV((\lambda f. \lambda x. (f_x)_not)_true) = \{not, true\}$
- $BV((\lambda f.\lambda x.(f.x).not).true) = \{f,x\}$

Definition (α -conversion)

Let $t := \lambda x.u$ be an expression and y a variable. An α -conversion of t is an expression $\lambda y.v$ where v is a copy of u where every free variable x in u has been replaced by y.

 \dots where $r_{x\to y}(t)$ is defined as :

$$\alpha \mathsf{cnv}_{\mathbf{X} \to \mathbf{y}}(\mathbf{t})$$
 is defined as :

•
$$\alpha \operatorname{cnv}_{\mathsf{X} \to \mathsf{y}}(\lambda \mathsf{x}.\mathsf{u}) = \lambda \mathsf{y}.\mathsf{r}_{\mathsf{X} \to \mathsf{y}}(\mathsf{v})$$

•
$$\alpha \operatorname{cnv}_{X \to y}(t) = t$$
 otherwise

•
$$r_{x\to y}(z) = y \text{ if } z = x,$$

= z otherwise

•
$$r_{x\to y}(\lambda z.w) = \lambda z.w$$
 if $z = x$,
= $\lambda z.r_{x\to y}(w)$ otherwise

Examples

- $\alpha \operatorname{cnv}_{\mathsf{X} \to \mathsf{V}}(\lambda \mathsf{x}.\mathsf{x}) = \lambda \mathsf{y}.\mathsf{y}$
- $\alpha \operatorname{cnv}_{x \to y}(\lambda x.((\lambda x.x)_{-}x)) = \lambda y.((\lambda x.x)_{-}y)$

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•
$$\alpha \operatorname{cnv}_{X \to y}(t) = t$$
 otherwise

• $r_{X\to y}(z) = y \text{ if } z = x,$ = z otherwise

•
$$r_{x\to y}(\lambda z.w) = \lambda z.w$$
 if $z = x$,
= $\lambda z.r_{x\to y}(w)$ otherwise

$$\bullet \quad r_{X \to y}(v_-w) = (r_{X \to y}(v)_-r_{X \to y}(w))$$

- Barendregt convention: give distinct names to distinct bound variables.
- The λ -expressions can be considered equivalent up to α -conversion.

Definition (Substitution)

Let t,u be λ -expressions and x a variable. To substitute x by u into t, noted $[x\mapsto u]t$, consists in replacing every free occurrence of x in t by a copy of u.

 $[x \mapsto u]t$ is defined as :

- $[x \mapsto u]$ z = u if z = x, z otherwise
- $\bullet \quad [x \mapsto u](v_-w) = ([x \mapsto u]v_-[x \mapsto u]w)$
- $[x \mapsto u] \lambda z.w = \lambda z.[x \mapsto u]w \text{ if } z \neq x \text{ and } z \notin FV(u),$ $\lambda z.w \text{ otherwise.}$

Example

$$[\mathsf{x} \mapsto \mathsf{biiip}] \bigg(\big(\lambda \mathsf{z}. (\mathsf{x}_- \mathsf{z}) \big)_- \mathsf{y} \bigg) = \big(\lambda \mathsf{z}. (\mathsf{biiip}_- \mathsf{z}) \big)_- \mathsf{y}$$

Definition (β -reduction)

A redex in a λ -expression t is a sub-expression of the form $((\lambda x, v)_{-w})$. Applying a β -reduction step from t to u, noted t \rightarrow_{β} u, consists in finding a redex sub-expression $((\lambda x.v)_{-w})$ inside t and replacing it by $[x \mapsto w]v$.

 $t \rightarrow_{\beta} u$ is defined as :

$$\bullet \ (\lambda x.v)_{-}w \rightarrow_{\beta} [x \mapsto w]v$$

• If
$$u \to_{\beta} v$$
 then • Parameter reduction : $(u_{-}w) \to_{\beta} (v_{-}w)$ • Body reduction : $(w_{-}u) \to_{\beta} (w_{-}v)$ • Body reduction : $(w_{-}u) \to_{\beta} (w_{-}v)$

• Body reduction :
$$\lambda x.u \rightarrow_{\beta} \lambda x.v$$

Example

$$\left(\lambda z.(\lambda x.x+1).(z+2)\right).(3+4)\rightarrow_{\beta}...\rightarrow_{\beta}((3+4)+2)+1$$

Definition (β -reduction)

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 $t \rightarrow_{\beta} u$ is defined as :

- $(\lambda x.v)_{-w} \rightarrow_{\beta} [x \mapsto w]_{v}$
- $(\lambda x.v)_{-w} \rightarrow_{\beta} [x \mapsto w]v$ Function reduction : $(u_{-w}) \rightarrow_{\beta} (v_{-w})$ if $u \rightarrow_{\beta} v$ then Parameter reduction : $(w_{-u}) \rightarrow_{\beta} (w_{-v})$ $\lambda x.v \rightarrow_{\beta} \lambda x.v$
 - Body reduction : $\lambda x.u \rightarrow_{\beta} \lambda x.v$
- An expression to which no β -reduction step can be applied is said to be in normal form.
- The evaluation of a λ -expression consists in applying β -reductions as long as it is possible.

Properties of the λ -calculus

The λ -calculus endowed with the β -reduction relation is a Turing-complete computational model.

- Church-Rosser theorem : the β -reduction relation is confluent.
- There exist λ -expressions for which the evaluation is **infinite**.

$$\mathsf{nt} ::= (\lambda \mathsf{x}.(\mathsf{x}_{-}\mathsf{x}))_{-}(\lambda \mathsf{x}.(\mathsf{x}_{-}\mathsf{x})) \qquad \qquad \mathsf{nt} \to_{\beta} \mathsf{nt}$$

• Church undecidability theorem : the problem of determining whether the evaluation of a λ -expression is finite or not is undecidable.

Undecidability is at the heart of dealing with programming languages.

Syntax	Evaluation rules
t::= expressions X variable $\lambda x.t$ abstraction $\begin{pmatrix} tt \end{pmatrix}$ application	$egin{aligned} & \operatorname{t}_1 o_{eta} \operatorname{t}_1' \ \hline & \left(\operatorname{t}_1 \operatorname{ o}_2 ight) o_{eta} \left(\operatorname{t}_1' \operatorname{ o}_2 ight) \ \hline & \operatorname{t} o_{eta} \operatorname{t}' \ \hline & \left(\operatorname{v}_{ ext{ o}} \operatorname{t} ight) o_{eta} \left(\operatorname{v}_{ ext{ o}} \operatorname{t}' ight) \end{aligned}$
v ::= values λ x.t abstraction value	$(\lambda x.t_1 - t_2) \rightarrow_{\beta} [x \mapsto t_2]t_1$

- Values are particular expressions that need no more evaluation.
- In this model, the values are exactly the expressions in normal form.

Let us encode the classical boolean values as the following expressions :

true ::=
$$\lambda x. \lambda y. x$$

false ::= $\lambda x. \lambda y. y$

(these are the classical projection functions)

The booleans can now be manipulated with the following expressions :

$$\begin{array}{ll} \text{if} & ::= \lambda b.\lambda t.\lambda e.((b_-t)_-e) \\ \text{or} & ::= \lambda x.\lambda y.(((\text{if}_-x)_-\text{true})_-y) \\ \text{and} & ::= \lambda x.\lambda y.(((\text{if}_-x)_-y})_-\text{false}) \\ \text{not} & ::= \lambda x.(((\text{if}_-x)_-\text{false})_-\text{true}) \end{array}$$

Example

or true false \rightarrow_{β}

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Example

or true false $ightarrow_{eta}$ if true true false $ightarrow_{eta}$

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Example

or true false $ightarrow_{eta}$ if true true false $ightarrow_{eta}$ true true false $ightarrow_{eta}$ true

Church encodings: naturals

And the following expressions encode the natural numbers :

```
zero ::= \lambda f.\lambda x.x f applied zero times succ ::= \lambda i.\lambda f.\lambda x.(f.((i.f).x)) f applied once to the result of (i.f)
```

With the addition and multiplication functions defined as follows :

```
\begin{aligned} \text{plus} &::= \lambda \text{i}.\lambda \text{j}.((\text{i\_succ})\_((\text{j\_succ})\_\text{zero})) & \text{apply succ, first j times then i} \\ & \text{times to zero} \\ \text{mult} &::= \lambda \text{i}.\lambda \text{j}.((\text{j\_(plus\_i)})\_\text{zero}) & \text{apply (plus\_i), j times to zero} \end{aligned}
```

Example : derivation tree of a β -reduction

In the λ -calculus extended with the Church boolean values :

$$\frac{\text{(if_true)} \quad \rightarrow_{\beta} \quad \lambda t. \lambda e. ((\text{true_t})_e)}{((\text{if_true})_false) \quad \rightarrow_{\beta} \quad (\lambda t. \lambda e. ((\text{true_false})_e)_false)} \quad \rightarrow_{\beta} \quad \lambda e. ((\text{true_false})_e)} \\ ((\text{not_true}) \quad \rightarrow_{\beta} \quad ((\text{if_true})_false)_true) \quad \rightarrow_{\beta} \quad (\lambda e. ((\text{true_false})_e)_true)$$

$$\frac{\text{(true_false)} \quad \rightarrow_{\beta} \quad \lambda \text{y.false}}{\text{((true_false)_true)} \quad \rightarrow_{\beta} \quad (\lambda \text{y.false_true)}} \quad \rightarrow_{\beta} \quad \text{false}}$$

The untyped λ -calculus is everything but practical :

- Its evaluation rule is remarkably simple.
- But the encodings are multiple and possibly overlapping.

Improvement idea

Extend the language with new expressions: true, succ, zero ...

Possible advantages: higher level of abstraction, custom constructs and values in the language, specific evaluation rules . . .

But it becomes necessary to deal with expressions such as succ true.

$$(\operatorname{succ_true}) \to_{\beta} (\underbrace{\lambda \operatorname{ifx.}(\operatorname{f_((i_f)_x)})}_{\operatorname{succ}} \underbrace{-(\lambda \operatorname{uv.u})}_{\operatorname{true}} \to_{\beta} \underbrace{\lambda \operatorname{fx.}(\operatorname{f_f})}_{??}$$

Let's do it anyway . . .

Extension of the λ -calculus : booleans & naturals

Syntax	Evaluation rules
t ::= expressions true, false booleans zero, succ t naturals if t then t else t if-then-else iszero t zero-equality	$\frac{t_1 \to_\beta t_1'}{if \; t_1 \; then \; t_2 \; else \; t_3 \to_\beta} \\ if \; t_1' \; then \; t_2 \; else \; t_3 \\ if \; true \; then \; t_2 \; else \; t_3 \to_\beta t_2 \\ if \; false \; then \; t_2 \; else \; t_3 \to_\beta t_3$
v ::= values true, false boolean value nv numeric value	$ au o_eta$ t' $ o_eta$ iszero t $ o_eta$ iszero t' iszero zero $ o_eta$ true
nv ::= numeric values Zero zero value SUCC NV successor value	iszero (succ t) $\overset{{}_{}}{ ightarrow}_{eta}$ false

Example : derivation tree of a β -reduction

succ (if false then zero else false) \rightarrow_{β} succ false \rightarrow_{β} ??

Examples of evaluation in the λ -calculus with booleans and integers :

```
\frac{\text{iszero (succ zero)}}{\text{if (iszero (succ zero)) then zero else (succ zero)}} \xrightarrow{\beta_{\beta}} \frac{\text{false}}{\text{if false then zero else (succ zero)}} \xrightarrow{\beta_{\beta}} \frac{\text{succ zero}}{\text{succ zero}} \xrightarrow{\beta_{\beta}} \frac{\text{false}}{\text{false}}
```

Problem

This new language contains stuck expressions, that cannot be evaluated further but are still not values, e.g succ_true or if zero then true else false.

- These expressions are the sign of an indecision in the evaluation relation.
- They occur because most of the interesting functions are partial.

Summary on the untyped λ -calculus

Starting from now, we consider that the booleans and naturals are part of the definition of the λ -calculus.

- The obtained language is close to a classical programming language without side effects.
- There exist stuck expressions that are neither values nor in normal form.
- Stuck expressions are mostly unavoidable when extending the language.

In the following we shall endow this language with types that allow to determine whether an expression is stuck or not without its full evaluation.

From untyped to typed

Recall our general approach :

General tactics

- Classify the expressions occurring inside a program into types,
- Verify that the composition of these types into the program respects a set of coherence rules.

In order to do this, we shall define a set of types and rules such that :

- a type acts as an approximation of the evaluation of an expression;
- a rule is associated to a syntactic construct of the language and expresses how this construct evaluates with regard to types.

These types and rules shall define a type system.

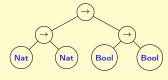
Definition (Types)

The set of types, noted Typ, is defined as:

- Type variable : an infinite set of abstract type variables T, U, ...
- Function type : if T and U are types, then $T \rightarrow U$ is also a type.
- In our setting, we add two constant types: Nat and Bool.
- A type is concrete iff it contains only constant types as sub-expressions.

Example

$$(\mathsf{Nat} {\to} \, \mathsf{Nat}) {\to} \, (\mathsf{Bool} {\to} \, \mathsf{Bool})$$



Example: the if-then-else construct

Consider an expression $t_{if} ::= if t_1$ then t_2 else t_3 that should be checked.

An inference rule for the if construct should:

- assume a series of properties on the types of t₁, t₂ and t₃,
- and deduce a property on the type of t_{if}.

Key: a type approximates the result of the evaluation of an expression.

 $\frac{\text{Assumption on } t_1 \quad \text{Assumption on } t_2 \quad \text{Assumption on } t_3}{\text{Assumption on if } t_1 \text{ then } t_2 \text{ else } t_3}$

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- assume a series of properties on the types of t₁, t₂ and t₃,
- and deduce a property on the type of t_{if}.

Key: a type approximates the result of the evaluation of an expression.

```
\frac{\text{if } t_1 \text{ has type Bool}}{\text{then if } t_1 \text{ then } t_2 \text{ else } t_3 \text{ has the same type as } t_2}
```

- An expression t is said to have type T ∈ Typ, noted t : T.
 This yields a typing, an association between an expression and a type.
- An environment Γ is a possibly empty sequence of typings.

Definition (Typing deduction)

To deduce a typing from Γ , noted $\Gamma \vdash t : T$, consists in building a derivation tree using Γ as a set of axioms and a finite set of typing rules, whose root asserts that t : T.

- An expression t is said to be typable if it is possible to deduce a typing T for t starting from the empty environment.
- As a consequence, the expression t : T is said to be (well)-typed.

Example: the if-then-else construct

For the if-then-else construct $t_{if} ::= if t_1$ then t_2 else t_3 .

Suppose that in an environment Γ :

- one can prove that t₁: Bool,
- one can prove that t₂: T for a particular T,
- one can prove that t₃: T,

Then we deduce that t_{if} : T.

```
\frac{\Gamma \vdash \mathsf{t}_1 : \mathsf{Bool} \qquad \Gamma \vdash \mathsf{t}_2 : \mathsf{T} \qquad \Gamma \vdash \mathsf{t}_3 : \mathsf{T}}{\Gamma \vdash \mathsf{if} \ \mathsf{t}_1 \ \mathsf{then} \ \mathsf{t}_2 \ \mathsf{else} \ \mathsf{t}_3 : \mathsf{T}}
```

For the application construct $t_{app} := (t_1 \cdot t_2)$ in an environment Γ .

$$\frac{\Gamma \vdash t_1 \qquad \Gamma \vdash t_2}{\Gamma \vdash (t_1 - t_2)}$$

For the application construct $t_{app} := (t_1 - t_2)$ in an environment Γ .

$$\frac{\Gamma \vdash t_1 : T \rightarrow U \qquad \Gamma \vdash t_2 : T}{\Gamma \vdash (t_1 \vdash t_2) : U}$$

For the application construct $t_{app} := (t_1 - t_2)$ in an environment Γ .

$$\frac{\Gamma \vdash t_1 : T \rightarrow U \qquad \Gamma \vdash t_2 : T}{\Gamma \vdash (t_1 - t_2) : U}$$

For the application construct $t_{app} := (t_1 \cdot t_2)$ in an environment Γ .

$$\frac{\Gamma \vdash t_1 : T {\rightarrow} \, U \qquad \Gamma \vdash t_2 : T}{\Gamma \vdash \left(t_1 {\scriptscriptstyle -} t_2\right) : U}$$

$$\Gamma \vdash \lambda x.t_1$$

For the application construct $t_{app} := (t_1 \cdot t_2)$ in an environment Γ .

$$\frac{\Gamma \vdash t_1 : T \rightarrow U \qquad \Gamma \vdash t_2 : T}{\Gamma \vdash \left(t_1 - t_2\right) : U}$$

$$\frac{\Gamma, x \vdash t_1}{\Gamma \vdash \lambda x. t_1}$$

For the application construct $t_{app} := (t_1 \cdot t_2)$ in an environment Γ .

$$\frac{\Gamma \vdash t_1 : T \rightarrow U \qquad \Gamma \vdash t_2 : T}{\Gamma \vdash \left(t_1 - t_2\right) : U}$$

$$\frac{\Gamma, x : T \vdash t_1 : U}{\Gamma \vdash \lambda x . t_1 : T \rightarrow U}$$

What about the typed abstraction?

Consider the typed abstraction construct : $t_{tabs} := \lambda x : T.t_1$ With nearly the same typing rule :

$$\frac{\Gamma, x : T \vdash t_1 : U}{\Gamma \vdash \lambda x : T.t_1 : T \rightarrow U}$$

Annotating the code with types or not offers different perspectives :

- Explicit types : simpler (or even just decidable) verification.
- Implicit types : no-hassle programming, principal types.

$\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x))$:		

 $\{f: \} \vdash \lambda x.(f_{-}(f_{-}x)):$ $\varnothing \vdash \lambda f.\lambda x.(f_{-}(f_{-}x)):$

```
\frac{\Gamma ::= \{f : ,x : \} \vdash (f_{-}(f_{-}x)) :}{\{f : \} \vdash \lambda x.(f_{-}(f_{-}x)) :}

\varnothing \vdash \lambda f.\lambda x.(f_{-}(f_{-}x)) :}
```

```
\frac{\Gamma \vdash f: \qquad \qquad \Gamma \vdash (f_{-}x):}{\Gamma ::= \{f: \qquad ,x: \qquad \} \vdash (f_{-}(f_{-}x)):} \\
\frac{\{f: \qquad \} \vdash \lambda x.(f_{-}(f_{-}x)):}{\varnothing \vdash \lambda f.\lambda x.(f_{-}(f_{-}x)):}
```

```
 \begin{array}{c|c} f: & \in \Gamma \\ \hline f: & \in \Gamma \\ \hline \Gamma \vdash f: & \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \Gamma \vdash f: & \hline \Gamma \vdash (f_{-}x): \mathsf{Nat} \\ \hline \Gamma ::= \{f: & ,x: \mathsf{Nat}\} \vdash (f_{-}(f_{-}x)): \mathsf{Nat} \\ \hline \{f: & \} \vdash \lambda x. (f_{-}(f_{-}x)): \\ \hline \varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)): \\ \hline \end{array}
```

```
 \begin{array}{c} \textbf{f}: \mathsf{Nat} \!\!\to \! \mathsf{Nat} \in \Gamma \\ \hline \textbf{f}: \mathsf{Nat} \!\!\to \! \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash \textbf{f}: \mathsf{Nat} \!\!\to \! \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} \underline{\textbf{r}: \mathsf{Nat} \!\!\to \! \mathsf{Nat}} & \underline{\textbf{x}: \mathsf{Nat} \in \Gamma} \\ \hline \Gamma \vdash \textbf{f}: \mathsf{Nat} \!\!\to \! \mathsf{Nat} \\ \hline \Gamma \vdash (\textbf{f}_{-}x): \mathsf{Nat} \\ \hline \end{array} \\ \hline \Gamma ::= \{ \textbf{f}: \mathsf{Nat} \!\!\to \! \mathsf{Nat}, \textbf{x}: \mathsf{Nat} \} \vdash (\textbf{f}_{-}(\textbf{f}_{-}x)): \mathsf{Nat} \\ \hline \{ \textbf{f}: \mathsf{Nat} \!\!\to \! \mathsf{Nat} \} \vdash \lambda x. (\textbf{f}_{-}(\textbf{f}_{-}x)): \mathsf{Nat} \!\!\to \! \mathsf{Nat} \\ \hline \varnothing \vdash \lambda \textbf{f}. \lambda x. (\textbf{f}_{-}(\textbf{f}_{-}x)): \end{array}
```

```
 \begin{array}{c} f: \mathsf{Nat} \to \mathsf{Nat} \in \Gamma \\ \hline f: \mathsf{Nat} \to \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash f: \mathsf{Nat} \to \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} f: \mathsf{Nat} \to \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash f: \mathsf{Nat} \to \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ 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\mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \end{array} \quad \begin{array}{c} x: \mathsf
```

```
 \begin{array}{c} f: \mathsf{Nat} \to \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash f: \mathsf{Nat} \to \mathsf{Nat} \\ \hline \Gamma \vdash f: \mathsf{Nat} \to \mathsf{Nat} \\ \hline \end{array} \quad \begin{array}{c} \underbrace{ f: \mathsf{Nat} \to \mathsf{Nat} \in \Gamma }_{ \ \Gamma \vdash f: \ \mathsf{Nat} \to \mathsf{Nat} } \quad \underbrace{ \begin{array}{c} x: \mathsf{Nat} \in \Gamma \\ \hline \Gamma \vdash x: \mathsf{Nat} \\ \hline \end{array} }_{ \ \Gamma \vdash f: \ \mathsf{Nat} \to \mathsf{Nat} } \\ \hline \Gamma ::= \{f: \mathsf{Nat} \to \mathsf{Nat}, x: \mathsf{Nat}\} \vdash (f_{-}(f_{-}x)): \mathsf{Nat} \\ \hline \{f: \mathsf{Nat} \to \mathsf{Nat}\} \vdash \lambda x. (f_{-}(f_{-}x)): \mathsf{Nat} \to \mathsf{Nat} \\ \hline \varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)): (\mathsf{Nat} \to \mathsf{Nat}) \to \mathsf{Nat} \to \mathsf{Nat} \\ \hline \end{array}
```

The simply-typed λ -calculus or λ_{\rightarrow} is defined as the set of the typable λ -expressions in the **Typ** family of types with the following typing rules :

$$\frac{\underline{t : T \in \Gamma}}{\Gamma \vdash \underline{t : T}} [VAR]$$

$$\frac{\Gamma, x : T \vdash \underline{u : U}}{\Gamma \vdash \lambda x.\underline{u} : T \to U} [ABS]$$

$$\frac{\Gamma \vdash x : T \qquad \Gamma \vdash \underline{f : T \to U}}{\Gamma \vdash (\underline{f}_{-}x) : U} [APP]$$

The simply-typed λ -calculus or λ_{\rightarrow} is defined as the set of the typable λ -expressions in the **Typ** family of types with the following typing rules :

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$$\frac{\Gamma \vdash x : T \qquad \Gamma \vdash f : T \rightarrow U}{\Gamma \vdash (f_{-}x) : U} [APP]$$

Comparison with the rules in propositional logic :

```
Γ⊢ true : Bool
                                   Γ⊢ false : Bool
\frac{\Gamma \vdash t_1 : \mathsf{Bool} \qquad \Gamma \vdash t_2 : \mathsf{T} \qquad \Gamma \vdash t_3 : \mathsf{T}}{\Gamma \vdash \mathsf{if} \ t_1 \ \mathsf{then} \ t_2 \ \mathsf{else} \ t_3 : \mathsf{T}} \left[ \mathsf{IF} \right]
                                      Γ ⊢ zero
                                      Γ ⊢ t [ISZ]
                             Γ⊢ iszero t
                               \frac{\Gamma \vdash t}{\Gamma \vdash \text{succ } t} [\text{SUC}]
```

```
Γ⊢ true : Bool
                                  Γ⊢ false : Bool
\frac{\Gamma \vdash t_1 : \mathsf{Bool} \qquad \Gamma \vdash t_2 : \mathsf{T} \qquad \Gamma \vdash t_3 : \mathsf{T}}{\Gamma \vdash \mathsf{if} \ t_1 \ \mathsf{then} \ t_2 \ \mathsf{else} \ t_3 : \mathsf{T}} \left[ \mathsf{IF} \right]
                                    Γ⊢ zero : Nat
                                     Γ ⊢ t [ISZ]
                            Γ⊢ iszero t
                              \frac{\Gamma \vdash t}{\Gamma \vdash \text{succ } t} [\text{SUC}]
```

```
Γ⊢ true : Bool
                                         Γ⊢ false : Bool
\frac{\Gamma \vdash t_1 : \mathsf{Bool} \qquad \Gamma \vdash t_2 : \mathsf{T} \qquad \Gamma \vdash t_3 : \mathsf{T}}{\Gamma \vdash \mathsf{if} \ t_1 \ \mathsf{then} \ t_2 \ \mathsf{else} \ t_3 : \mathsf{T}} \left[ \mathsf{IF} \right]
                                          Γ⊢ zero : Nat
                              \frac{\Gamma \vdash t : Nat}{\Gamma \vdash iszero \ t : Bool} [ISZ]
                                  \frac{\Gamma \vdash t}{\Gamma \vdash \text{succ t}} [\text{SUC}]
```

```
Γ⊢ true : Bool
                                        Γ⊢ false : Bool
\frac{\Gamma \vdash t_1 : \mathsf{Bool} \qquad \Gamma \vdash t_2 : \mathsf{T} \qquad \Gamma \vdash t_3 : \mathsf{T}}{\Gamma \vdash \mathsf{if} \ t_1 \ \mathsf{then} \ t_2 \ \mathsf{else} \ t_3 : \mathsf{T}} \left[\mathsf{IF}\right]
                                          Γ⊢ zero : Nat
                              \frac{\Gamma \vdash t : Nat}{\Gamma \vdash iszero \ t : Bool} [ISZ]
                               \frac{\Gamma \vdash t : Nat}{\Gamma \vdash succ \ t : Nat} [SUC]
```

Properties of the simply typed λ -calculus (1)

Theorem (Strong normalization)

In λ_{\rightarrow} , every expression reduces to a value in a finite number of steps.

- It is an example of programming language / model of computation where termination is decidable.
- Hence it is incomplete, and cannot express some computable functions. (restricted to the Church naturals, it can only compute extended polynomials)
- PCF defined as λ_{\to} extended with recursion and a type for naturals is a Turing-complete language.

Properties of the simply typed λ -calculus (2)

The type system of λ_{\rightarrow} is coherent with regard to β -reduction :

Theorem (Type preservation)

If t : T is typable, and $t \to_{\beta} u$, then u : T is typable.

Theorem (Progress):

If t : T is typable, then either t is a value or it can be β -reduced further.

Definition (Type safety)

A programming language possessing a type system with the preservation and progress properties is said to be type-safe.

What is the manifestation of type-safety in classic programming languages?

```
char x = 12345; // Char
void *px = &x; // v
int *py = px; // v
int y = *py; // Int
```

```
int a = INT_MIN;
int b = -1;
return a/b;
// → Runtime failure
```

Non-preservation

Non-progress

Types as approximations

Values of a given type are composable and interchangeable.

Substitution lemma

Given an expression t:T containing a sub-expression x:S, then x can be substituted to any expression s of type S without affecting the type of t.

$$\frac{\Gamma, x : S \vdash t : T \qquad \Gamma \vdash s : S}{\Gamma \vdash [x \mapsto s]t : T}$$

Not every expression is typable

Limits of type systems: Incompleteness

There exist λ -expressions that are not typable in λ_{\rightarrow} .

Example

The expression $\operatorname{nt} ::= \lambda x.(x_x)$ is not typable in λ_{\to} .

Sketch of proof:

- If nt were typable, x would have a type T.
- Since x appears on the left of an application, $T \equiv U \rightarrow V$.
- But x also appears on the right of the same application, hence $T \equiv U$.
- There is no type in Typ such that $U \equiv U \rightarrow V$.

Conservativeness of typing

Limits of type systems : Conservativeness

A type system is in general conservative : there exist expressions in λ_{\to} that are not typable even though they evaluate safely.

Simple programs mixing different types of values :

```
let pi = fun b → if b then 3.14 else "Pie";;
if (pi true > 3.) then print_string (pi false);;
```

The fixed-point combinator (also called the Y-combinator) :

$$Y ::= \lambda f.(\lambda x.f_{-}(x_{-}x))_{-}(\lambda x.f_{-}(x_{-}x))$$

... that can be used to encode recursion into the language.

Partial functions

Limits of type systems: Liberalness

A type system is in general liberal : it cannot discriminate all the stuck expressions of a programming language with simple arithmetic.

Consider the addition of a predecessor function to λ_{\rightarrow} :

$$\frac{\mathsf{t} \to_\beta \mathsf{t'}}{\mathsf{pred} \; \mathsf{t} \to_\beta \mathsf{pred} \; \mathsf{t'}} \qquad \qquad \frac{\Gamma \vdash \mathsf{t} : \mathsf{Nat}}{\Gamma \vdash \mathsf{pred} \; \mathsf{t} : \mathsf{Nat}} [\mathsf{PRE}]$$

The expression pred zero is well-typed and yet stuck. Possible solutions :

- either consider that the evaluation can progress (on floats, return inf)
- or add a mechanism that redirects the evaluation (e.g exceptions).

Designing a language with types

- 1. Define a programming language as the set of expressions of a grammar.
- 2. Define an operational semantics that performs a computation.
- 3. Select a set of values that are the results of the evaluation.

Usually, the evaluation function cannot be meaningful on the complete language : some expressions remain stuck.

4. Set typing rules and restrict the language to well-typed expressions.

Type-safety ensures every computation to be either infinite or yield a value.

```
Syntax and Types
          expressions
          unit
ref t
          reference
                                                             values
!t
                                                    ()
          dereference
                                                             unit
          location
                                                             location
t := t
          assignment
t;t
          sequence
```

- The locations are the internal representations of references, i.e the result of the computation of an expression ref t.
- The associations between locations and values are saved into a store.

ullet A store μ is a dictionary mapping locations to values :

$$\mu ::= \ell_1 \to \mathsf{v}_1, \dots, \ell_n \to \mathsf{v}_n$$

• The store acts as a context and is modified during the evaluation.

Evaluation rules

$$\begin{array}{c} \ell \not\in dom(\mu) \\ \hline \mu & \mu, \ell \to \mathsf{v} \\ \mathsf{ref} \ \mathsf{v} \to_{\beta} & \ell \\ \hline \underline{\mu(\ell) = \mathsf{v}} \\ \underline{\ell + \mu} & \mu \\ \underline{\ell + \ell} \to_{\beta} \mathsf{v} \end{array}$$

$$\mu \qquad \mu \qquad [\ell \rightarrow v] \mu$$

$$\ell := v \rightarrow_{\beta} \qquad ()$$

$$\mu \qquad \mu' \qquad \mu' \qquad \mu''$$

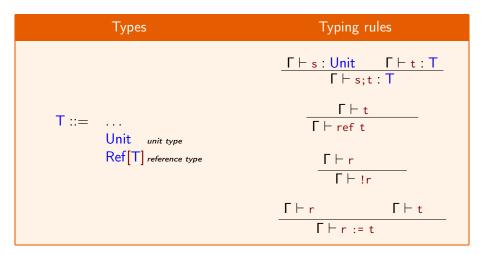
$$t_{1} \rightarrow_{\beta} v_{i} \qquad t_{2} \rightarrow_{\beta} v_{r}$$

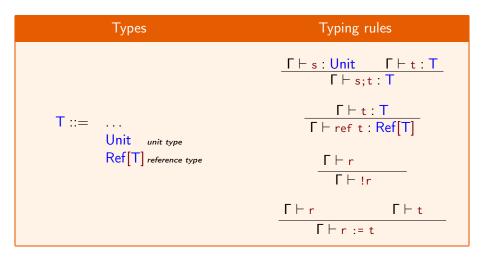
$$\mu \qquad \mu''$$

$$t_{1}; t_{2} \rightarrow_{\beta} v_{r}$$

Types	Typing rules
	$ \frac{\Gamma \vdash s}{\Gamma \vdash s;t} $ $ \frac{\Gamma \vdash t}{\Gamma \vdash ref t} $ $ \frac{\Gamma \vdash r}{\Gamma \vdash r} $ $ \frac{\Gamma \vdash r}{\Gamma \vdash r} $ $ \frac{\Gamma \vdash r}{\Gamma \vdash r} = t $

Types	Typing rules
T ::= Unit unit type Ref[T] reference type	$ \begin{array}{c c} \Gamma \vdash s & \Gamma \vdash t \\ \hline \Gamma \vdash s;t \\ \hline \Gamma \vdash t \\ \hline \Gamma \vdash ref t \\ \hline \Gamma \vdash r := t $





Types	Typing rules
T ::= Unit unit type Ref[T] reference type	$\frac{\Gamma \vdash s : Unit \qquad \Gamma \vdash t : T}{\Gamma \vdash s; t : T}$
	$\frac{\Gamma \vdash t : T}{\Gamma \vdash ref \ t : Ref[T]}$
	<u>Γ⊢r: Ref[T]</u> Γ⊢!r: T
	<u>Γ⊢r</u>

Types	Typing rules
T ::= Unit unit type Ref[T] reference type	$\frac{\Gamma \vdash s : Unit \qquad \Gamma \vdash t : T}{\Gamma \vdash s; t : T}$
	$\frac{\Gamma \vdash t : T}{\Gamma \vdash ref \ t : Ref[T]}$
	Γ⊢r: Ref[T] Γ⊢!r: T
	$\frac{\Gamma \vdash r : Ref[T] \qquad \Gamma \vdash t : T}{\Gamma \vdash r := t : Unit}$

Definition (Algebraic Datatype)

An algebraic datatype is a type associated to a set of values defined by a regular tree grammar.

Example: lists containing only integers

NatList → Nil | Cons(Nat,NatList)

Nil is a terminal of arity 0, Cons is a terminal of arity 2.

In order to introduce such a datatype into the language, it is necessary to :

- add a way to construct the values,
- and another to deconstruct them.

 Construction: associate to each terminal a keyword acting as a function with the same arity:

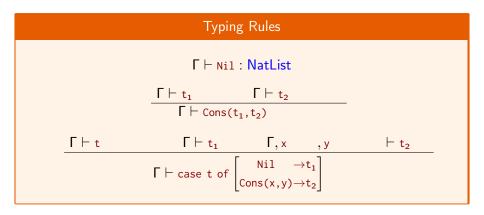
```
Nil (* Nil is a constant *)
Cons(2, Nil) (* Cons takes 2 arguments *)
Cons(1, Cons(2, Cons(3, Nil))) (* their composition yields complex lists *)
```

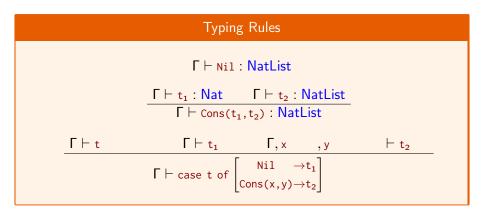
• Deconstruction / Pattern-matching : associate to each non-terminal a mechanism to select its associated production rules :

Syntax and Types expressions values Ni1 nil nil Cons(t,t) Cons(v,v) cons case t of $\begin{bmatrix} Nil \rightarrow t \\ Cons(x,y) \rightarrow t \end{bmatrix}$ case NatList list type Note that x and y in the case-expression are special variable names that cannot be modified in this example.

Evaluation Rules

$$\begin{array}{c} \begin{array}{c} t_1 \rightarrow_{\beta} t_1' \\ \hline \text{Cons}(t_1,t_2) \rightarrow_{\beta} \text{Cons}(t_1',t_2) \end{array} & \begin{array}{c} t_1 \rightarrow_{\beta} t_1' \\ \hline \text{Cons}(v,t_1) \rightarrow_{\beta} \text{Cons}(v,t_1') \end{array} \\ \\ \hline \\ \begin{array}{c} t_1 \rightarrow_{\beta} t_1' \\ \hline \\ \text{case } t_1 \text{ of } \begin{bmatrix} \text{Nil} & \rightarrow t_2 \\ \text{Cons}(x,y) \rightarrow t_3 \end{bmatrix} \rightarrow_{\beta} \text{ case } t_1' \text{ of } \begin{bmatrix} \text{Nil} & \rightarrow t_2 \\ \text{Cons}(x,y) \rightarrow t_3 \end{bmatrix} \end{array} \\ \\ \text{case Nil of } \begin{bmatrix} \text{Nil} & \rightarrow t_1 \\ \text{Cons}(x,y) \rightarrow t_2 \end{bmatrix} \rightarrow_{\beta} t_1 \\ \\ \text{case Cons}(v_1,v_2) \text{ of } \begin{bmatrix} \text{Nil} & \rightarrow t_1 \\ \text{Cons}(x,y) \rightarrow t_2 \end{bmatrix} \rightarrow_{\beta} [x \mapsto v_1, y \mapsto v_2] t_2 \end{array}$$





Typing Rules Γ⊢ Ni1: NatList $\Gamma \vdash t_1 : Nat \qquad \Gamma \vdash t_2 : NatList$ $\Gamma \vdash Cons(t_1, t_2) : NatList$ $\Gamma \vdash t : NatList \qquad \Gamma \vdash t_1 : T \qquad \Gamma, x : Nat, y : NatList \vdash t_2 : T$ $\Gamma \vdash \mathsf{case} \ \mathsf{t} \ \mathsf{of} \left[\begin{matrix} \mathsf{Nil} & \to \mathsf{t}_1 \\ \mathsf{Cons}(\mathsf{x},\mathsf{y}) \to \mathsf{t}_2 \end{matrix} \right] : \mathsf{T}$

Summary on the simply-typed lambda-calculus

We showed how to endow a language with a type system and how to perform verifications at a syntactic level.

- Type systems and languages are modular and can be extended easily;
- Type safety is a key property for a typed language, ensuring stability properties of programs respecting well-defined bounds;
- Nevertheless, type systems are by essence both conservative and liberal in their verifications.

Next, we consider the different decision problems for typed expressions.

Type checking and inference

Generally the main problems with regard to typing are :

- Typability: for an expression t, is there a type T and a derivation tree proving that t: T?
- Type checking: given an expression t, a type T and an environment typing the variables of t (free or bounded), build a derivation tree which proves t: T or find an inconsistency;
- Type inference: for a typable expression t, compute a type T such that there exists a derivation tree which proves t: T.

In order to solve these problems, we shall :

- derive a system of equations called constraints from the expression;
- compute a solution to this system if any, or prove that there is none.

Definition (Substitution)

A substitution σ is an application from type variables to types. It can be extended as a function from types to types.

Example

Consider the substitution $\sigma ::= \{X \hookrightarrow (Y \rightarrow Y), Y \hookrightarrow Nat\}$. Then :

- $\sigma(X) = Y \rightarrow Y$, $\sigma(Y) = Nat$
- $\sigma(Y \rightarrow Bool) = Nat \rightarrow Bool$
- $\sigma \circ \sigma(X) = Nat \rightarrow Nat$
- Not very different from the substitutions D defined for expressions.
- Cycles in substutions should be handled carefully.

Definition (Type constraints)

A constraint is an equation of the form S = T where $S, T \in Typ$.

A constraint set C is a finite set of constraints.

Definition (Unification)

The substitution σ is said to unify \mathcal{C} iff for all equation S = T in \mathcal{C} , σS and σT are syntactically equal.

```
if b then (f_zero) else (f_true)
```

b : B f : F

```
if b then (f_zero) else (f_true)
```

```
b : B
f : F
B = Bool
F = U \rightarrow V
```

```
if b then (f_zero) else (f_true)
```

```
b: B
f: F
B = Bool
F = U \rightarrow V
U = Bool
U = Nat
```

```
if b then (f_zero) else (f_true)
```

```
b: B
f: F
B = Bool
F = U \rightarrow V
U = Bool
U = Nat
```

 \Rightarrow Type error : Bool used where Nat expected.

```
if b then (f_zero) else (f_succ zero)
```

b : B f : F

if b then (f_zero) else (f_succ zero)

```
b: B
f: F
B = Bool
F = U \rightarrow V
```

```
if b then (f_zero) else (f_succ zero)
```

```
\begin{array}{l} b : B \\ f : F \\ B = Bool \\ F = U \rightarrow V \\ U = Nat \\ V \text{ is unconstrained} \end{array}
```

if b then (f_zero) else (f_succ zero)

 $\begin{array}{l} b : B \\ f : F \\ B = Bool \\ F = U \rightarrow V \\ U = Nat \\ V \text{ is unconstrained} \end{array}$

Type checks : the following substitution unifies the constraints :

$$\{\mathsf{B} \hookrightarrow \mathsf{Bool}, \mathsf{F} \hookrightarrow (\mathsf{U} {\rightarrow} \mathsf{V}), \mathsf{U} \hookrightarrow \mathsf{Nat}\}$$

Definition (Constrained typing)

To deduce a constrained typing $\Gamma \vdash t : T \mid \mathcal{C}$ means that t has type T under the assumptions in Γ , whenever the constraints in \mathcal{C} are satisfied.

$$\frac{t:T\in\Gamma}{\Gamma\vdash t:T\mid\left\{\right\}}\;{}_{[VAR]}$$

$$\frac{\mathsf{T_1},\mathsf{T_2} \; \mathsf{fresh} \qquad \mathsf{\Gamma},\mathsf{x}:\mathsf{T_1} \vdash \mathsf{u}:\mathsf{T_2} \mid \mathcal{C} \qquad \mathcal{C}_f ::= \mathcal{C} \cup \{\mathsf{U} = \mathsf{T_1} {\rightarrow} \mathsf{T_2}\}}{\mathsf{\Gamma} \vdash \lambda \mathsf{x.u}:\mathsf{U} \mid \mathcal{C}_f} \qquad \mathsf{_{[ABS]}}$$

$$\frac{\Gamma \vdash t : \mathsf{T_1} \mid \mathcal{C}_1 \qquad \Gamma \vdash \mathsf{u} : \mathsf{T_2} \mid \mathcal{C}_2 \qquad \mathcal{C}_f \coloneqq \mathcal{C}_1 \cup \mathcal{C}_2 \cup \{\mathsf{T_1} = \mathsf{T_2} {\to} \mathsf{U}\}}{\Gamma \vdash (\mathsf{t_-u}) : \mathsf{U} \mid \mathcal{C}_f}$$

$$\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)) : \mathsf{T}$$

$$\frac{\{f: \mathsf{T}_1\} \vdash \lambda \mathsf{x}.(\mathsf{f}_{\scriptscriptstyle{-}}(\mathsf{f}_{\scriptscriptstyle{-}}\mathsf{x})) : \mathsf{T}_2}{\varnothing \vdash \lambda \mathsf{f}.\lambda \mathsf{x}.(\mathsf{f}_{\scriptscriptstyle{-}}(\mathsf{f}_{\scriptscriptstyle{-}}\mathsf{x})) : \mathsf{T}}$$

```
\Gamma ::= \{f : T_1, x : T_3\} \vdash (f_{-}(f_{-}x)) : T_4

\underline{\{f : T_1\} \vdash \lambda x. (f_{-}(f_{-}x)) : T_2}

\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)) : T
```

```
 \begin{array}{c} f: \mathsf{T}_1 \in \mathsf{\Gamma} \\ \hline \mathsf{\Gamma} \vdash \mathsf{f} : \mathsf{T}_5 & \mathsf{\Gamma} \vdash (\mathsf{f}_-\mathsf{x}) : \mathsf{T}_6 \\ \hline \\ \underline{\mathsf{\Gamma}} ::= \{\mathsf{f} : \mathsf{T}_1, \mathsf{x} : \mathsf{T}_3\} \vdash (\mathsf{f}_-(\mathsf{f}_-\mathsf{x})) : \mathsf{T}_4 \\ \hline \\ \underline{\{\mathsf{f} : \mathsf{T}_1\} \vdash \lambda \mathsf{x}. (\mathsf{f}_-(\mathsf{f}_-\mathsf{x})) : \mathsf{T}_2} \\ \varnothing \vdash \lambda \mathsf{f}. \lambda \mathsf{x}. (\mathsf{f}_-(\mathsf{f}_-\mathsf{x})) : \mathsf{T} \end{array}
```

```
 \begin{array}{c|c} f: T_1 \in \Gamma & \Gamma \vdash f: T_7 & \Gamma \vdash x: T_8 \\ \hline \Gamma \vdash f: T_5 & \Gamma \vdash (f_-x): T_6 \\ \hline \hline \Gamma ::= \{f: T_1, x: T_3\} \vdash (f_-(f_-x)): T_4 \\ \hline \{f: T_1\} \vdash \lambda x. (f_-(f_-x)): T_2 \\ \hline \varnothing \vdash \lambda f. \lambda x. (f_-(f_-x)): T \end{array}
```

```
 \begin{array}{c|c} f: T_1 \in \Gamma & x: T_3 \in \Gamma \\ \hline f: T_1 \in \Gamma & \overline{\Gamma \vdash f: T_7} & \overline{\Gamma \vdash x: T_8} \\ \hline \hline \Gamma \vdash f: T_5 & \overline{\Gamma \vdash (f_-x): T_6} \\ \hline \hline \underline{\Gamma ::= \{f: T_1, x: T_3\} \vdash (f_-(f_-x)): T_4} \\ \hline \underline{\{f: T_1\} \vdash \lambda x. (f_-(f_-x)): T} \\ \hline \varnothing \vdash \lambda f. \lambda x. (f_-(f_-x)): T \\ \hline \end{array}
```

```
 \begin{array}{c|c} f: T_{1} \in \Gamma & x: T_{3} \in \Gamma \\ \hline f: T_{1} \in \Gamma & \overline{\Gamma \vdash f: T_{7}} & \overline{\Gamma \vdash x: T_{8}} \\ \hline \hline \Gamma \vdash f: T_{5} \mid \{\tau_{1} = \tau_{5}\} & \Gamma \vdash (f_{-}x): T_{6} \\ \hline \hline \Gamma ::= \{f: T_{1}, x: T_{3}\} \vdash (f_{-}(f_{-}x)): T_{4} \\ \hline \qquad \qquad \underbrace{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T_{2}}_{\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)): T} \end{array}
```

$$\frac{f: \mathsf{T}_1 \in \Gamma}{\Gamma: \mathsf{T}_1 \in \Gamma} \frac{\frac{f: \mathsf{T}_1 \in \Gamma}{\Gamma \vdash f: \mathsf{T}_7 \mid \{\mathsf{T}_1 = \mathsf{T}_7\}} \frac{\mathsf{x}: \mathsf{T}_3 \in \Gamma}{\Gamma \vdash \mathsf{x}: \mathsf{T}_8}}{\Gamma \vdash \mathsf{x}: \mathsf{T}_5} \frac{\mathsf{x}: \mathsf{T}_3 \in \Gamma}{\Gamma \vdash \mathsf{x}: \mathsf{T}_8}$$

$$\frac{\Gamma ::= \{f: \mathsf{T}_1, \mathsf{x}: \mathsf{T}_3\} \vdash (f_-(f_-\mathsf{x})): \mathsf{T}_4}{\{f: \mathsf{T}_1\} \vdash \lambda \mathsf{x}. (f_-(f_-\mathsf{x})): \mathsf{T}_2}$$

$$\varnothing \vdash \lambda f. \lambda \mathsf{x}. (f_-(f_-\mathsf{x})): \mathsf{T}$$

$$\frac{f: T_{1} \in \Gamma}{\Gamma: T_{1} \in \Gamma} = \frac{ \frac{f: T_{1} \in \Gamma}{\Gamma \vdash f: T_{7} \mid \{T_{1} = T_{7}\}} - \frac{x: T_{3} \in \Gamma}{\Gamma \vdash x: T_{8} \mid \{T_{3} = T_{8}\}} }{ \frac{\Gamma \vdash f: T_{5} \mid \{T_{1} = T_{5}\}}{\Gamma \vdash (f_{-}x): T_{6}} }$$

$$\frac{\Gamma ::= \{f: T_{1}, x: T_{3}\} \vdash (f_{-}(f_{-}x)): T_{4}}{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T} }$$

$$\frac{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T}{\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)): T} }$$

$$\frac{f: T_{1} \in \Gamma}{\Gamma: T_{1} \in \Gamma} = \frac{\frac{f: T_{1} \in \Gamma}{\Gamma \vdash f: T_{7} \mid \{T_{1} = T_{7}\}} = \frac{x: T_{3} \in \Gamma}{\Gamma \vdash x: T_{8} \mid \{T_{3} = T_{8}\}} }{\frac{\Gamma \vdash f: T_{5} \mid \{T_{1} = T_{5}\}}{\Gamma \vdash (f_{-}x): T_{6} \mid \{... T_{7} = T_{8} \rightarrow T_{6}\}}}{\frac{\Gamma ::= \{f: T_{1}, x: T_{3}\} \vdash (f_{-}(f_{-}x)): T_{4}}{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T}} }$$

$$\frac{f: T_{1} \in \Gamma}{\Gamma: T_{1} \in \Gamma} \frac{f: T_{1} \in \Gamma}{\Gamma \vdash f: T_{7} \mid \{T_{1} = T_{7}\}} \frac{x: T_{3} \in \Gamma}{\Gamma \vdash x: T_{8} \mid \{T_{3} = T_{8}\}}$$

$$\frac{\Gamma \vdash f: T_{5} \mid \{T_{1} = T_{5}\}}{\Gamma \vdash (f_{-}x): T_{6} \mid \{...T_{7} = T_{8} \rightarrow T_{6}\}}$$

$$\frac{\Gamma ::= \{f: T_{1}, x: T_{3}\} \vdash (f_{-}(f_{-}x)): T_{4} \mid \{...T_{5} = T_{6} \rightarrow T_{4}\}}{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T}$$

$$\frac{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T}{\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)): T}$$

$$\frac{f: T_{1} \in \Gamma}{\Gamma: T_{1} \in \Gamma} \frac{f: T_{1} \in \Gamma}{\Gamma \vdash f: T_{7} \mid \{\tau_{1} = \tau_{7}\}} \frac{x: T_{3} \in \Gamma}{\Gamma \vdash x: T_{8} \mid \{\tau_{3} = \tau_{8}\}}$$

$$\frac{\Gamma \vdash f: T_{5} \mid \{\tau_{1} = \tau_{5}\}}{\Gamma \vdash (f_{-}x): T_{6} \mid \{\dots \tau_{7} = \tau_{8} \to \tau_{6}\}}$$

$$\frac{\Gamma ::= \{f: T_{1}, x: T_{3}\} \vdash (f_{-}(f_{-}x)): T_{4} \mid \{\dots \tau_{5} = \tau_{6} \to \tau_{4}\}}{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T_{2} \mid \{\dots \tau_{2} = \tau_{3} \to \tau_{4}\}}$$

$$\frac{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T}{\varnothing \vdash \lambda f_{1} \land f_{1} \land f_{2} \land f_{3} \land f_{4}}$$

$$\frac{f: T_{1} \in \Gamma}{\Gamma: T_{1} \in \Gamma} = \frac{f: T_{1} \in \Gamma}{\Gamma \vdash f: T_{7} \mid \{\tau_{1} = \tau_{7}\}} = \frac{x: T_{3} \in \Gamma}{\Gamma \vdash x: T_{8} \mid \{\tau_{3} = \tau_{8}\}}$$

$$\frac{\Gamma \vdash f: T_{5} \mid \{\tau_{1} = \tau_{5}\}}{\Gamma \vdash (f_{-}x): T_{6} \mid \{\dots \tau_{7} = \tau_{8} \to \tau_{6}\}}$$

$$\frac{\Gamma ::= \{f: T_{1}, x: T_{3}\} \vdash (f_{-}(f_{-}x)): T_{4} \mid \{\dots \tau_{5} = \tau_{6} \to \tau_{4}\}}{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T_{2} \mid \{\dots \tau_{2} = \tau_{3} \to \tau_{4}\}}$$

$$\frac{\{f: T_{1}\} \vdash \lambda x. (f_{-}(f_{-}x)): T \mid \{\dots \tau_{2} = \tau_{3} \to \tau_{4}\}}{\varnothing \vdash \lambda f. \lambda x. (f_{-}(f_{-}x)): T \mid \{\dots \tau_{3} = \tau_{1} \to \tau_{2}\}}$$

List of constraints:

$$\left\{ \begin{array}{l} T_1 = T_5, T_1 = T_7, T_3 = T_8, \\ T_7 = T_8 {\rightarrow} \, T_6, T_5 = T_6 {\rightarrow} \, T_4, \\ T_2 = T_3 {\rightarrow} \, T_4, T = T_1 {\rightarrow} \, T_2 \end{array} \right\}$$

$$\left\{ \begin{array}{l} T_{1} = T_{5}, T_{1} = T_{7}, T_{3} = T_{8}, \\ T_{7} = T_{8} \rightarrow T_{6}, T_{5} = T_{6} \rightarrow T_{4}, \\ T_{2} = T_{3} \rightarrow T_{4}, T = T_{1} \rightarrow T_{2} \end{array} \right\}$$

•
$$T_1 = T_5 = T_7$$

$$\left\{ \begin{array}{l} T_{1} = T_{5}, T_{1} = T_{7}, T_{3} = T_{8}, \\ T_{7} = T_{8} \rightarrow T_{6}, T_{5} = T_{6} \rightarrow T_{4}, \\ T_{2} = T_{3} \rightarrow T_{4}, T = T_{1} \rightarrow T_{2} \end{array} \right\}$$

- $T_1 = T_5 = T_7$
- T₃ = T₀

$$\left\{ \begin{array}{l} T_{1} = T_{5}, T_{1} = T_{7}, T_{3} = T_{8}, \\ T_{7} = T_{8} \rightarrow T_{6}, T_{5} = T_{6} \rightarrow T_{4}, \\ T_{2} = T_{3} \rightarrow T_{4}, T = T_{1} \rightarrow T_{2} \end{array} \right\}$$

- $T_1 = T_5 = T_7$
- $T_3 = T_8$
- $\bullet \ \, \mathsf{T_8} {\rightarrow} \, \mathsf{T_6} = \mathsf{T_6} {\rightarrow} \, \mathsf{T_4}$

$$\left\{ \begin{array}{l} T_{1} = T_{5}, T_{1} = T_{7}, T_{3} = T_{8}, \\ T_{7} = T_{8} \rightarrow T_{6}, T_{5} = T_{6} \rightarrow T_{4}, \\ T_{2} = T_{3} \rightarrow T_{4}, T = T_{1} \rightarrow T_{2} \end{array} \right\}$$

- $T_1 = T_5 = T_7$
- $T_3 = T_8$
- $\bullet \ \, \mathsf{T_8} {\rightarrow} \, \mathsf{T_6} = \mathsf{T_6} {\rightarrow} \, \mathsf{T_4}$
- \bullet $T_4 = T_6 = T_8 = T_3$

$$\left\{ \begin{array}{l} T_{1} = T_{5}, T_{1} = T_{7}, T_{3} = T_{8}, \\ T_{7} = T_{8} \rightarrow T_{6}, T_{5} = T_{6} \rightarrow T_{4}, \\ T_{2} = T_{3} \rightarrow T_{4}, T = T_{1} \rightarrow T_{2} \end{array} \right\}$$

- $T_1 = T_5 = T_7$
- T₃ = T₀
- $\bullet \ \, \mathsf{T_8} {\rightarrow} \, \mathsf{T_6} = \mathsf{T_6} {\rightarrow} \, \mathsf{T_4}$
- $T_4 = T_6 = T_8 = T_3$
- $\bullet \ T = T_1 {\rightarrow} T_2 = (T_4 {\rightarrow} T_4) {\rightarrow} T_4 {\rightarrow} T_4$

$$\left\{ \begin{array}{l} T_1 = T_5, T_1 = T_7, T_3 = T_8, \\ T_7 = T_8 {\rightarrow} T_6, T_5 = T_6 {\rightarrow} T_4, \\ T_2 = T_3 {\rightarrow} T_4, T = T_1 {\rightarrow} T_2 \end{array} \right\}$$

- $T_1 = T_5 = T_7$
- T₃ = T₀
- $\bullet \ T_8 {\rightarrow} \, T_6 = T_6 {\rightarrow} \, T_4$
- $T_4 = T_6 = T_8 = T_3$
- $\bullet \ \mathsf{T} = \mathsf{T_1} {\rightarrow} \, \mathsf{T_2} = \big(\mathsf{T_4} {\rightarrow} \, \mathsf{T_4}\big) {\rightarrow} \, \mathsf{T_4} {\rightarrow} \, \mathsf{T_4}$

$$\lambda f. \lambda x. (f_{-}(f_{-}x)) : (T_4 \rightarrow T_4) \rightarrow T_4 \rightarrow T_4$$

Definition (Unification algorithm)

 $\mathsf{unify}(\mathcal{C})$ takes a list of constraints and returns a substitution :

- unify($\{\}$) = id the identity on Typ;
- if $\mathcal{C} ::= \{S = T\} \cup \mathcal{C}'$ then :
 - ullet if S=T syntactically, return unify (\mathcal{C}')
 - if S is a variable T is a type expression, if $S \in T$, fail, otherwise return unify($[S \mapsto T]C'$) \circ $[S \to T]$,
 - proceed symetrically if S is a type expression and T is a variable
 - if $S = S_1 \rightarrow S_2$ and $T = T_1 \rightarrow T_2$, then return unify $(C' \cup \{S_1 = T_1, S_2 = T_2\})$
 - otherwise fail.

Principal types

Theorem (Principal types)

Given a constraint set C for an expression e: T, the unification algorithm returns a substitution σ that unifies all the constraints.

Moreover, σ is the most general solution in the following sense : every unifier τ of $\mathcal C$ can be decomposed as $\tau=\nu\circ\sigma$.

- σ is called the most general unifier (or mgu) of the set C.
- $\sigma(T)$ yields a type for e that is called the **principal type** of e.

Summary on type checking and inference

In this context, both problems of type checking and type inference are reduced to a single constraint solving problem.

- The description of languages and type systems by sequents is modular and extensible;
- The algorithms for checking and inference are effective (quadratic complexity in general) for λ_{\rightarrow} ;
- The sequent description and the algorithms are tightly linked, involving the same inductive approach.

Other algorithms may prevail for different type systems, in particular for languages with explicit type annotations.

There is a strong relation between type systems and logics :

Curry-Howard correspondence

Given a derivation tree proving $\Gamma \vdash P$ in the propositional calculus, one can construct a well-typed expression e and a derivation tree $\Gamma \vdash e : P$ in the simply-typed λ -calculus, and conversely.

```
\begin{array}{ccc} \text{types} & \Leftrightarrow & \text{theorems} \\ \text{expressions} & \Leftrightarrow & \text{proofs} \end{array}
```

From this seminal idea stemmed numerous developments in proof theory :

- de Bruijn's Automath (1967),
- Martin-Löf's intuitionistic type theory (1972),
- Milner's LCF (1972) \Rightarrow HOL (1988) and Isabelle (1986),
- and Huet and Coquand's calculus of constructions (1988) ⇒ Coq

General tactics

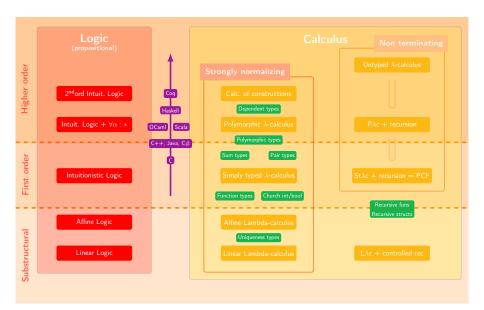
Associate a typed λ -calculus and a logic system.

Constructs in logic are associated to constructs in the calculus:

- The proposition $A \Rightarrow B$ is associated to the function type $A \rightarrow B$. "Given an expression/proof of A, I can derive an expression/proof of B"
- The proposition $A \vee B$ is associated to a sum type $A \oplus B$. "I contain either an expression/proof of A, or an expression/proof of B"
- The proposition $A \wedge B$ is associated to a pair type $A \otimes B$. "I contain both an expression/proof of A, and an expression/proof of B"

And the expressivity of the logic and of the calculus are intertwined.

This is called the Brouwer-Heyting-Kolmogorov interpretation for intuitionistic logic (introduced between 1908 and the 1930's).



Summary on the simply-typed λ -calculus

Up until now, the framework we developed around $\lambda_{
ightarrow}$ contains :

- A language containing functions, integers and booleans, that can be easily extended (cf. references and algebraic data types),
- A family of types Typ sufficiently rich to accommodate for all these constructs,
- A framework for type checking and inference within the language.

More importantly, this framework boasts type-safety: a type is always an approximation of an expression and remains invariant through evaluation.

Limits of the simply-typed λ -calculus

In some sense, this remains unsatisfactory. Take the following expression :

fst ::=
$$\lambda x. \lambda y. x : X \rightarrow Y \rightarrow X$$

It is sufficiently generic to be reused in different computations :

- fst_true_zero
- fst_(succ zero)_false

Yet it is impossible in λ_{\to} to use both applications in the same program, because it would yield the following contradictory set of constraints :

$${X = Bool, X = Nat, Y = Bool, Y = Nat}$$

Let-polymorphism

What we would really like is a quantification on the free type variables :

fst ::=
$$\lambda x. \lambda y. x : \forall X, \forall Y, X \rightarrow Y \rightarrow X$$

Intuitively, this just consists in separating the different applications of fst, each time replacing the quantified variables by fresh type variables.

$$\text{let fst} = \underbrace{\underbrace{\lambda x. \lambda y. x}_{\forall Y, \ X \to Y \to X}} \text{ in if b then } \underbrace{\underbrace{\left(\text{fst_zero_true}\right)}_{X_1 = \text{Nat}, Y_1 = \text{Bool}}} \text{ else } \underbrace{\left(\text{fst_true_true}\right)}_{X_2 = \text{Bool}, Y_2 = \text{Bool}}$$

This typing process is called the let-polymorphism.

Hindley-Milner type system

1. Extend the type family with type schemes.

Type scheme : if $X_1 \dots X_n$ are type variables and T in Typ, then $\forall X_1 \dots X_n$, T is a type scheme.

2. Introduce the let-in mechanism for the construction of type schemes,

$$\frac{\Gamma \vdash t_1 : T_1 \qquad \Gamma, x : generalize(T_1) \vdash t_2 : T_2}{\Gamma \vdash let \ x = t_1 \ in \ t_2 : T_2}$$

3. Allow the application of a "quantified" expression at different places involving potentially different types.

$$\frac{x:\forall X_1\dots X_n,\, T\in \Gamma}{\Gamma\vdash x:[X_1\mapsto Y_1,\dots,X_n\mapsto Y_n]T}$$

Hindley-Milner type system

The result is the **Hindley-Milner type system**.

- Used in OCaml and the other languages from the ML family.
- It's an example of a language with an inferred polymorphism.

• A polymorphic function may then be reused in different contexts.

What can be learned from the H-M type system

- Different type systems entail different families of types, with different sets of properties (ex. : with or without type schemes);
- In some systems, the types can be polymorphic, and represent sets of concrete types :

$$\forall X,\, \forall Y,\, X {\rightarrow}\, Y {\rightarrow}\, X \qquad \equiv \qquad \left\{ X {\rightarrow}\, Y {\rightarrow}\, X, \text{ for } X,Y \in \textbf{Typ} \right\}$$

• The correspondence between type systems and logics steers the kind of properties we can expect from types (ex : the ∀ quantifiers).

In the following, we explore further the concept of polymorphism.

Polymorphism

Polymorphism

An expression in a programming language is said to be polymorphic whenever it may be typed with multiply different types.

Examples

```
fst : Nat\rightarrow Bool\rightarrow Nat or Bool\rightarrow Nat\rightarrow Bool or ...

plus : Int\rightarrow Int\rightarrow Int or Float\rightarrow Float\rightarrow Float or ...
```

- Applies to functions, but also to non-functional values.
- Polymorphism is a natural property aimed at genericity / code reuse

"Write code once, use it anywhere1."

1. Type conditions may apply.

Considerations on types

General idea (Types as sets)

A type represents a set of values.

Definition (Set of values)

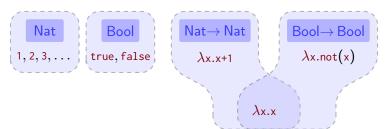
The set of values associated to a type T, noted vals (T), is the set of values of the language that can be typed by T.

Equivalently, $e \in vals(T) \Leftrightarrow e : T$.

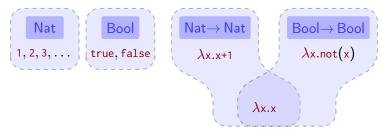
Definition (Subtype)

The type T is said to a subtype of the type U, noted T<: U, if and only if vals (T) \subset vals (U).

• The "types as sets" proposition leads to the following kind of picture :



• The "types as sets" proposition leads to the following kind of picture :



- The identity function $id := \lambda x.x$ does not have a satisfactory type in λ_{\rightarrow} .
- An extension of λ_{\rightarrow} is the addition of a new type T_{id} for id such that :

$$\mathsf{vals}\left(\mathsf{T}_\mathsf{id}\right) = \mathsf{vals}\left(\mathsf{Nat} \!\to \mathsf{Nat}\right) \ \bigcap \ \mathsf{vals}\left(\mathsf{Bool} \!\to \! \mathsf{Bool}\right)$$

• In this type system, id: Tid may be applied either to Nat or Bool values.

Properties of subtyping

Definition (Subsumption rule)

Whenever S <: T, every expression typable by S is also typable by T.

$$\frac{\Gamma \vdash t : S \qquad S <: T}{\Gamma \vdash t : T} [SUB]$$

Extended Substitution Lemma

Consider an expression t : T containing a free variable x : S.

Then x can be substituted to any expression s of type S' <: S without affecting the type of t.

$$\frac{\Gamma, x : S \vdash t : T \qquad \Gamma \vdash s : S' \qquad S' <: S}{\Gamma \vdash [x \mapsto s]t : T}$$

General idea

Create type systems expressing more sophisticated families of types.

Some of these families are well-identified [CW85] :

Parametric: define sets of values with the help of universally

quantified type parameters (ex : $\forall X, X \rightarrow X \rightarrow X$)

Inclusion: define sets of values that are related by inclusion or

refinement (ex : Object → Number → Integer)

Overloading: combine sets of values in an adhoc manner, without

a particular structure (ex : $Nat \oplus Bool$)

... while other families do not fit well in that classification (cf. for example the Haskell type classes or OCaml open object types)

Parametric polymorphism (1)

Consider the following extension to our Typ family :

Definition (Parametric types)

Given a type T containing the variable X, then $\forall X$, T is also a type, called a parametric or universal type.

The variable X in $\forall X$, T is said to be **bound**. Unbound variables are **free**.

A type scheme is a parametric type without free variables.

Example

A type for the first projection fst ::= $\lambda x. \lambda y. x$ is $\forall X, \forall Y, X \rightarrow Y \rightarrow X$

Parametric polymorphism (2)

Parametric types may be considered as functions and be applied :

Definition (Parametric expression)

Given an expression t: T, then $\lambda X.t$ is also an expression, called a parametric expression with type $\forall X, T$.

A parametric expression $e: \forall X, T$ can be applied to a type U, noted e[U], which consists in substituting X by U inside T.

This extension introduces a form of computation at the type level :

$$\left(\left\lceil \lambda \mathsf{X}.\lambda \mathsf{Y}. \big(\lambda \mathsf{x} : \mathsf{X}. (\lambda \mathsf{y} : \mathsf{Y}.\mathsf{x})\big)\right\rceil [\mathsf{Int}][\mathsf{Bool}]\right) _1_\mathsf{true}$$

Syntax and types	Typing rules
$t ::= \dots \substack{expressions \\ \lambda X.t type abstraction \\ t egin{bmatrix} T \end{bmatrix} type application \end{cases}$	$\frac{t \to_\beta t'}{t[T] \to_\beta t'[T]}$ $(\lambda X.t)[U] \to_\beta [X \mapsto U]t$
v::= values $\lambda X.t$ type abstr. value	$\frac{\Gamma \vdash t : T}{\Gamma \vdash \lambda X.t : \forall X, T}$
$T ::= \dots \qquad \qquad \qquad \qquad types \qquad \qquad \forall X, \ T \qquad \qquad universal type$	$\frac{\Gamma \vdash t : \forall X, T}{\Gamma \vdash t[U] : [X \mapsto U]T}$

Notice the ressemblance with the untyped lambda-calculus .

This defines λ_2 the polymorphic or 2^{nd} -order calculus, or also System F.

- It was introduced independently by Girard (1972) and Reynolds (1974).
- It possesses the following properties :

Theorem (Strong normalization)

In λ_2 , every expression reduces to a value in a finite number of steps.

It is also incomplete, and cannot express all computable functions.
 (restricted to the Church naturals, it can only compute the functions definable in 2nd-order Peano arithmetic, among which the Ackermann function)

Theorem (Impossibility of type inference)

Type inference in λ_2 (without annotations) is undecidable.

Example: polymorphic lists

Syntax	Evaluation rules	Typing rules
t ::= exprs nil empty list cons t t cons list head t list head tail t list tail v ::= values nil empty list cons v v cons list T ::= types List[T] list type	$t \rightarrow_{\beta} t'$ $cons t u \rightarrow_{\beta} cons t' u$ $t \rightarrow_{\beta} t'$ $cons v t \rightarrow_{\beta} cons v t'$ $t \rightarrow_{\beta} t'$ $head t \rightarrow_{\beta} head t'$ $t \rightarrow_{\beta} t'$ $tail t \rightarrow_{\beta} tail t'$ $head(cons v_1 v_2) \rightarrow_{\beta} v_1$ $tail(cons v_1 v_2) \rightarrow_{\beta} v_2$	

Compare the syntax of polymorphic lists in different languages :

in Scala : abstract class List[+A] { **def** map[B](f: (A) \Rightarrow B): List[B] } in Java : interface List<E> { E set(int index, E element); } • in C# : public interface IEnumerable<out T> { IEnumerable<R> Select<S, R>(this IEnumerable<S> src, Func<S, R> f) in C++ via iterators : template<class InputIt, class Function> Function for_each(InputIt first, InputIt last, Function fn); in OCaml ('a list) and in Haskell ([a]) **val** map : ('a \rightarrow 'b) \rightarrow 'a list \rightarrow 'b list

Boehm-Berarducci encoding of lists

As a matter of fact, there exists a general technique to encode algebraic types such as the lists in λ_2 , called the Boehm-Berarducci encoding :

$$List[T] ::= \forall X, \ (\underbrace{T \rightarrow X \rightarrow X}_{cons}) \rightarrow \underbrace{X}_{ni1} \rightarrow X$$

The empty list is the following value :

$$nil ::= \left| \lambda X. \lambda c : (T \rightarrow X \rightarrow X). \lambda n : X \right|. n$$

Consider x : T and xs : List[T]. The list beginning with x and ending with xs is the following value :

$$\mathsf{cons}\ \mathsf{x}\ \mathsf{xs} ::= \left| \lambda \mathsf{X}.\lambda \mathsf{c} : (\mathsf{T} {\rightarrow}\,\mathsf{X} {\rightarrow}\,\mathsf{X}).\lambda \mathsf{n} : \mathsf{X} \right|.\mathsf{c}\ \mathsf{x}\ (\mathsf{xs}[\mathsf{X}]\ \mathsf{c}\ \mathsf{n})$$

Type substitution

Definition (Type substitution)

A type substitution σ is a finite mapping from type variables to types. We write $[X_i \mapsto T_i]$ for the substitution mapping X_i to T_i . The application of σ to a type $U \equiv \forall X_1, \ldots \forall X_n, T$, noted σU consists in substituting the free occurrences of X_i inside T by T_i simultaneously, and then generalizing the type. Variables bound inside T are left invariant.

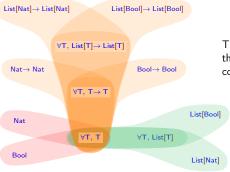
Examples

- $\bullet \ [\mathsf{X} \mapsto \mathsf{Nat}, \mathsf{Y} \mapsto \mathsf{Bool}] \big(\forall \mathsf{X} \ \mathsf{Y}, \ \mathsf{X} \!\!\to\! \mathsf{Y} \!\!\to\! \mathsf{X} \big) = \mathsf{Nat} \!\!\to\! \mathsf{Bool} \!\!\to\! \mathsf{Nat}$
- $[X \mapsto Z \rightarrow Z](\forall X, X \rightarrow X) = (Z \rightarrow Z) \rightarrow Z \rightarrow Z$

Parametric subtyping

Lemma (Parametric subtyping) :

For all substitutions σ and all types T, T <: σ T.



The set of values typed by $Bool \rightarrow Bool$ contains the set of values typed by $\forall T, T \rightarrow T$, which also contains those typed by $\forall T, T$.

Principal types

What is the "best type" for a given expression?

Definition (Principal type)

Given a typable expression e, the **principal type** of e is the maximum type T for the subtype relation (when it exists) such that e:T.

- Our inference algorithm infers types that are principal for the Hindley-Milner type system.
- The System F type system does not have principal types.

$$t ::= \lambda \text{f.if f(true) then f(1) else f(0)} \quad \begin{cases} t_1 : (\forall X, \, X \rightarrow X) \rightarrow \text{Nat} \\ t_2 : (\forall X, \, X \rightarrow \text{Bool}) \rightarrow \text{Bool} \end{cases}$$

Implementations of the parametric polymorphism

To compile (parametric) polymorphic code ...

```
class HashTbl<Key, Val extends Object> {
  HashTbl() { .. }
  Val get(Key k) { .. }
  Val put(Key k, Val v) { .. }
}
```

Implementations of the parametric polymorphism

To compile (parametric) polymorphic code, two main strategies coexist :

 Homogeneous translation: generate code where the generic data has a uniform representation, independent of its real type.

```
class HashTbl<Key, Val extends Object> {
  HashTbl() { .. }
  Val get(Key k) { .. }
  Val put(Key k, Val v) { .. }
}

class HashTbl {
  HashTbl() { .. }
  Object get(Object k) { .. }
  Object put(Object k, Object v) { }
}
```

Example: Java with type erasure.

Implementations of the parametric polymorphism

To compile (parametric) polymorphic code, two main strategies coexist :

 Heterogeneous translation: duplicate and tailor the generated code for each possible concrete type effectively used.

```
class HashTbl
class HashTbl
class HashTbl
class HashTbl
class HashTbl
class HashTbl
Int_String() { ... }
string get(int k) { ... }
string put(int k, string v) { }}

class HashTbl
HashTbl
class HashTbl
class HashTbl
class HashTbl
class HashTbl
Hash
```

Example: C++ via the preprocessor, Rust monomorphism.

The most general approach is a combination of both styles.

Summary on the parametric polymorphism

 The parametric polymorphism allows the definition of types as logic formulas containing universally quantified variables.

$$\forall \mathsf{T},\,\mathsf{F}[\mathsf{T}] \equiv \bigcap_{\mathsf{U} \in \mathsf{Typ}} \mathsf{F}[\mathsf{U}]$$

• Type substitution on these variables induces subtyping relations.

$$\forall T, F[T] <: F[U] \text{ where } U \text{ concrete}$$

- For a polymorphic expression, the maximal type wrt subtyping is called the **principal** type. Not all type systems possess maximal types.
- The inference of parametric types is possible in the Hindley-Milner type system, but undecidable in System F.

Sometimes, a polymorphic type may be too generic.

Take the example of an equality function, with the following type:

$$\forall T, T \rightarrow T \rightarrow Bool$$

Yet **not** all values are comparable, for instance functional values. It is natural to restrict the possible Ts to a family of types.

$$\forall T \in Comparable, T \rightarrow T \rightarrow Bool$$

This is a form of constrained polymorphism, appearing as :

- the ''a equality types in SML, a subset of the types of the language,
- the Eq a type class in Haskell, defined by a form of overloading,
- the Comparable<T> interface in Java, with inclusion polymorphism.

Constrained polymorphism example: equality

In OCaml:

```
let rec belongs x 1 = match 1 with | [] \rightarrow false | y::ys \rightarrow (x=y) || (belongs x ys)
```

```
\textbf{val} \ belongs : \ \texttt{`a} {\rightarrow} \texttt{`a} \ list {\rightarrow} bool
```

belongs sin [cos] compiles, but yields an exception at runtime.

(* Exception: Invalid_argument
 "equal: functional value". *)

In Haskell:

belongs :: Eq t \Rightarrow t \rightarrow [t] \rightarrow Bool

The expression belongs sin [cos] simply does not compile.

No instance for (Eq (a0 \rightarrow a0)) arising from a use of "=="

Constrained polymorphism: numeric classes

Definition (Type class)

In Haskell, a type class is a set of concrete types sharing a common generic interface. These concrete types are then instances of the type class.

Example:

```
class Eq a whereinstance Eq Int where(==) :: a \rightarrow a \rightarrow Bool(==) i j = -- specific code(/=) :: a \rightarrow a \rightarrow Bool(/=) i j = not (i == j)
```

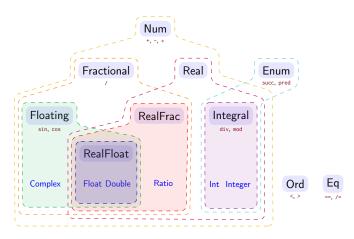
• A type class such as Eq a represents the following set of types :

```
Eq[T] ::= \{T \in Typ, T "can be used with" == \}
```

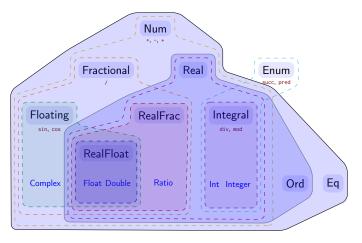
• It is used as a universal type variable in the type of belongs :

```
\mathsf{belongs}: \forall \mathsf{T} \in \mathsf{Eq}[\mathsf{T}], \ \mathsf{T} {\rightarrow} \, \mathsf{List}[\mathsf{T}] {\rightarrow} \, \mathsf{Bool}
```

The numeric types in Haskell inherit a structure from the type classes.



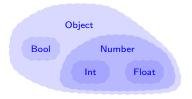
The numeric types in Haskell inherit a structure from the type classes.



In the following, we investigate the inclusion relations of sets of values.

Inclusion polymorphism

Inclusion polymorphism is based on the construction of sets of values sharing relations of inclusion.



Whereas parametric polymorphism defines inclusions bottom-up (2), inclusions in this polymorphism are defined top-down.

For a better understanding of these relations, we introduce a new type.

Record types

Definition (Record types)

Given a set $\{l_i\}$ of labels and a set $\{t_i\}$ of expressions of the same size n, a record value is defined as the expression $\{l_1 = t_1, \ldots, l_n = t_n\}$.

The pairs (l_i, t_i) are called the **fields** of the record.

The projection of r onto one of its fields (I_i, t_i) , noted $r \triangleright I_i$, evaluates to t_i .

The **type** of r is the set of the types of its fields, noted $\{l_1 : T_1, \ldots, l_n : T_n\}$.

Examples

- $\bullet \ \{\mathit{first} = \texttt{"Haskell"}, \mathit{last} = \texttt{"Curry"}\} : \{\mathit{first} : \mathsf{String}, \mathit{last} : \mathsf{String}\}$
- $\{hd = 1, tl = \{hd = 2, tl = \{\}\}\} : \{hd : Nat, tl : \{hd : Nat, tl : \{\}\}\}$

Syntax

Evaluation rules

$$\mathsf{t} ::= \dots$$
 expressions $\big\{ \mathit{l}_i = \mathsf{t}_i \big\}_{i \in [1;n]}$ record $\mathsf{t} \Rightarrow \mathit{l}$ projection

$$\{I_{i} = \mathsf{v}_{i}\}_{i \in [1:n]} \Rightarrow I_{j} \to_{\beta} \mathsf{v}_{j}$$

$$\frac{\mathsf{t} \to_{\beta} \mathsf{t}'}{\mathsf{t} \Rightarrow I \to_{\beta} \mathsf{t}' \Rightarrow I}$$

$$\frac{\mathsf{t}_{j} \to_{\beta} \mathsf{t}'_{j}}{\{\dots I_{j} = \mathsf{t}_{i} \dots\} \to_{\beta} \{\dots I_{j} = \mathsf{t}'_{i} \dots\}}$$

$$\mathsf{v} ::= \dots \qquad \qquad \mathsf{values}$$

$$\{\mathit{l}_i = \mathsf{v}_i\}_{i \in [1;n]} \qquad \mathsf{record value}$$

Typing Rules

$$\mathsf{T} ::= \dots$$
 types $\{I_i : \mathsf{T}_i\}_{i \in [1;n]}$ record type

for each
$$i$$
, $\Gamma \vdash t_i : T_i$

$$\Gamma \vdash \{I_i = t_i\} : \{I_i : T_i\}$$

$$\Gamma \vdash \{I_i = t_i\} : \{I_i : T_i\}$$

$$\Gamma \vdash t \Rightarrow I_i : T_i$$

All-purpose records

Records are good examples of the saying "he who can do more, can do less". Consider the following function :

half_size ::=
$$\lambda r.(r \Rightarrow size/2)$$

It can be happily applied to every record possessing a field size.

```
\begin{array}{ll} \texttt{r}_1 ::= \{ \textit{size} = 2 \} & \texttt{half\_size}(\texttt{r}_1) \rightarrow_{\beta} \texttt{1} \\ \texttt{r}_2 ::= \{ \textit{size} = 6, \textit{name} = \texttt{"Alonzo"} \} & \texttt{half\_size}(\texttt{r}_2) \rightarrow_{\beta} \texttt{3} \\ \texttt{r}_3 ::= \{ \textit{size} = 2, \textit{contents} = \texttt{Cons}(\texttt{1},\texttt{Cons}(\texttt{2},\texttt{Nil})) \} & \texttt{half\_size}(\texttt{r}_3) \rightarrow_{\beta} \texttt{1} \end{array}
```

A natural typing for this function is $half_size: \{size: Nat\} \rightarrow Nat.$ Yet it is too restrictive: it only authorizes the first application. So what?

Inclusion subtyping

Lemma (Record subtyping)

Let $T = \{I_i : T_i\}$ and $T' = \{m_i : T'_i\}$ be two record types.

- Width subtyping : if $T \supset T'$ as sets of pairs labels/types, then T <: T'.
- Depth subtyping : if T and T' share exactly the same labels and $\forall i, T_i <: T'_i$, then T <: T'.

Examples

- Width: { size: Nat, name: String} <: { size: Nat}
- Depth: if Int <: Number, then {size: Int} <: {size: Number}

With the following definitions:

- half_size ::= $\lambda r.(r \Rightarrow size/2)$
- person ::= { *size* = 7, *name* = "Haskell"}

Let
$$\Gamma ::= \{ \mathsf{half_size} : \{ \mathit{size} : \mathsf{Nat} \} \rightarrow \mathsf{Nat}, \mathsf{person} : \{ \mathit{size} : \mathsf{Nat}, \mathit{name} : \mathsf{String} \} \}$$

Then the expression (half_size_person) is well-typed :

```
\begin{array}{c} & & & & & \\ & \text{half\_size} \in \Gamma & & & & & \\ \hline \Gamma \vdash \text{half\_size} : \{\textit{size} : \text{Nat}\} \rightarrow \text{Nat} & & & & \\ \hline \Gamma \vdash \text{(half\_size\_person)} : \text{Nat} \\ \end{array}
```

In this case, the subtyping rules solve the "do-more, do-less" problem. But what implications does this have on our sets of values?

Existential types

Proposition

A record type is by nature an existential type.

All records having a field size of type Nat can be typed with $\{size : Nat\}$.

Example

$$half_size : (\exists T.\{size : Nat\} \cup T) \rightarrow Nat$$

Aside: upcast, downcast

Definition (Casting)

Casting (or ascription) consists in ascribing a particular type to an expression in an explicit manner. It has no effect on the value.

The expression v as T is a called a cast from v into the type T.

v as
$$\mathsf{T} \to_\beta \mathsf{v}$$

$$\frac{\Gamma \vdash t : ?}{\Gamma \vdash t \text{ as } T : T}$$

- A cast can be seen as an operation redefining the type of an expression.
- Casting into a supertype is also called an upcast.
 Upcasts are implicit with the subsumption rule.

$$\begin{array}{c|c} \Gamma \vdash t : S & S <: T \\ \hline \Gamma \vdash t \text{ as } T : T \end{array}$$

• Casting into a subtype is also called an downcast. Downcasts are usually checked dynamically.

$$\frac{\mathcal{E} \vdash \mathsf{V} : \mathsf{T}}{\mathsf{V} \mathsf{as} \mathsf{T} \to_{\beta} \mathsf{V}}$$

Consider the following function:

$$cut_in_half ::= \lambda r. \left\{ r \Rightarrow size := (half_size_(r \Rightarrow size)); r \right\}$$

It basically takes a record with a *size* field and returns a record where this field has been modified and the others left untouched.

What is a good type for cut_in_half?

Consider the following function:

$$cut_in_half ::= \lambda r. \left\{ r \Rightarrow size := (half_size_(r \Rightarrow size)); r \right\}$$

It basically takes a record with a *size* field and returns a record where this field has been modified and the others left untouched.

What is a good type for cut_in_half?

- cut_in_half: ∀T, T→T?
 Too generic, no way of ensuring the existence of the size field.
- out_in_half: {size: Ref[Nat]} → {size: Ref[Nat]}?
 Too restrictive, the return type constrains the result.
 Same behavior as the clone method in Java, requiring downcasts.

Consider the following function:

cut_in_half ::=
$$\lambda r. \left\{ r \Rightarrow size := (half_size_(r \Rightarrow size)); r \right\}$$

It basically takes a record with a *size* field and returns a record where this field has been modified and the others left untouched.

What is a good type for cut_in_half?

What about:
$$\forall T$$
, $\left(\{ size : Nat \} \cup T \right) \rightarrow \left(\{ size : Nat \} \cup T \right) \checkmark$

Or is it an existential type?
$$(\exists T. \{ size : Nat \} \cup T) \rightarrow \left(\{ size : Nat \} \cup U \right) \checkmark$$

$$\exists T. \left(\{ size : Nat \} \cup T \right) \rightarrow \left(\{ size : Nat \} \cup T \right) \checkmark$$

Aside on existentials and universals

Beware : $\forall T, \{ size : Nat \} \cup T \neq \exists T. \{ size : Nat \} \cup T$

A universal type T can substitute for all possible types.

An existential type T can substitute for only one.

Nevertheless, there are equivalences:

Equivalence theorem

$$\left(\exists x. P(x)\right) \Rightarrow Q \qquad \Leftrightarrow \qquad \forall x. \left(P(x) \Rightarrow Q\right)$$

Example

$$\left(\exists \mathsf{T}. \{\mathit{size} : \mathsf{Nat}\} \cup \mathsf{T}\right) \rightarrow \mathsf{Nat} \equiv \forall \mathsf{T}, \ \left(\{\mathit{size} : \mathsf{Nat}\} \cup \mathsf{T} \rightarrow \mathsf{Nat}\right)$$

Naming the existential variables prompts for more precise types :

Existential types in PureScript :

Open types in OCaml :

```
\texttt{cut\_in\_half} : (\langle \texttt{get\_size} : \texttt{int}; \ \texttt{set\_size} : \texttt{int} \rightarrow \texttt{unit}; \ .. \rangle \ \textbf{as} \ \ \texttt{'a}) \rightarrow \ \ \texttt{'a}
```

But in general, libraries contain few functions requiring such types.

Existentials as an abstraction means

Definition (Abstract data type)

An abstract data type or ADT consists of :

- a type variable T and a set of operation types acting on values of type T.
- a concrete type S and an implementation of these operation types where the variable T is substituted by S.

Akin to interfaces in Java or module signatures in ML.

```
interface Counter {
  int get();
  Counter incr();
}
```

```
class CImpl implements Counter {
  private int val = 0;
  CImpl(int in) { val = in; }
  int get() { return val; }
  Counter incr() { return new CImpl(val+1); }
}
```

The existential type corresponds here to the "abstract" part of the ADT.

Existentials as an abstraction means

Definition (Abstract data type)

An abstract data type or ADT consists of :

- a type variable T and a set of operation types acting on values of type T.
- a concrete type S and an implementation of these operation types where the variable T is substituted by S.

Akin to interfaces in Java or module signatures in ML.

```
module type COUNTER = sig
  type counter
  val new_c : unit → counter
  val get : counter → int
  val inc : counter → counter
end
```

```
module C : COUNTER = struct
  type counter = int
  let new_c () = 0
  let get c = c
  let inc c = c + 1
end
```

The existential type corresponds here to the "abstract" part of the ADT.

Existentials vs Universals

- Existentials are items of abstraction.
 Allowing multiple implementations,
 Offering a precise protocol of exchange.
- Universals are items of genericity.
 Maximising code reuse,
 With few knowledge on the values they manipulate.

In that, the combination of both polymorphisms is natural.

<T extends Comparable<T>> void sort(List<T> list)

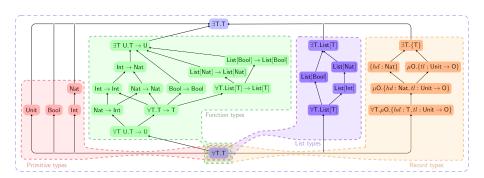
 $\forall T, \exists U.List[Comparable[T] \cup U] \rightarrow Unit$

∃T.T

Comparable

List[Nat]

∀T, List[T]



Object types (1)

Objects can be modelled as records with access to self: they are recursive.

```
let (c:cpt) = let rec self = { (* Recursive definition *)
                       v = 0;
                       get = (fun () \rightarrow self.v);
                       set = (fun y \rightarrow self.v \leftarrow y);
                       inc = (fun () \rightarrow self.set (self.get() + 1)); }
                 in self;;
```

In OCaml. classes are indeed identified to their recursive constructors:

```
class cpt = fun init \rightarrow object (self)
                     val mutable v:int = init
                     method get = v
                     method set d = v \leftarrow d
                     method inc () = self#set (self#get + 1)
             end;;
```

Object types (2)

- A class, representing a set of values, can be identified to a type.
- Accordingly, these types are also recursive :

```
Object ::= \mathbf{fix}(\lambda T.\{ equals : T \rightarrow Bool, \\ clone : Unit \rightarrow T \})
```

- ... where fix is a fixed-point operator.
- This definition yields an infinite type represented by a rewriting rule.
- Usually, this fixed-point is made invisible by nominal types :

```
\begin{array}{ll} \mathsf{Object} & ::= & \{ \\ & \textit{equals} : \mathsf{Object} \!\to\! \mathsf{Bool}, \\ & \textit{clone} : \mathsf{Unit} \!\to\! \mathsf{Object} \\ & \} \end{array}
```

Summary on the inclusion polymorphism

- The inclusion polymorphism allows the definition of types by refining sets of values into more specific subsets.
- These sets of values can be identified as logic formulas containing existentially quantified variables.

$$\exists \mathsf{T}.\mathsf{F}[\mathsf{T}] \equiv \bigcup_{\mathsf{U} \in \mathsf{Typ}} \mathsf{F}[\mathsf{U}]$$

 Subtyping relations with existential types promote abstraction by masking concrete types:

$$F[U] <: \exists T.F[T]$$
 where U concrete

• Object types are at the same time existential and recursive types.

Aside on Inheritance

Inheritance

Inheritance is a mechanism to derive new classes from old ones by :

- (i) adding implementation for new methods
- (ii) and / or overriding implementations of old methods.

Consider a method m defined in Number and overriden in its subclasses.

```
Number class Number { m(..); } m(x : Number,...) int class Int extends Number { m(..); } m(x : Int,...) Nat class Nat extends Int { m(..); } m(x : Nat,...)
```

The method m can be considered as a function whose definition is selected depending on the value of its first parameter.

Overloading

Overloading

Overloading is a mechanism allowing the use of a single identifier for the representation of multiple values, distinguished according to their type.

Example : string concatenation in C++

```
string operator+ (const string& lhs, const string& rhs);
string operator+ (const string& lhs, const char* rhs);
string operator+ (const char* lhs, const string& rhs);
string operator+ (const string& lhs, char rhs);
string operator+ (char lhs, const string& rhs);
```

Example : default values in Haskell

 A manner to represent overloaded values consists in packing all the implementations together in a single object.

```
\begin{array}{ll} \text{def} ::= \emptyset \oplus \text{[]} & \text{packing 0 and [] together} \\ \text{plus} ::= \text{plus}_{\text{Int}} \oplus \text{plus}_{\text{List}} & \text{packing addition and concatenation} \end{array}
```

• For typing purposes, an overloaded value possesses the types of all the values it merges :

 A manner to represent overloaded values consists in packing all the implementations together in a single object.

```
\begin{array}{ll} \text{def ::= 0} \oplus \text{[]} & \text{packing 0 and [] together} \\ \text{plus ::= plus_{int}} \oplus \text{plus_{List}} & \text{packing addition and concatenation} \end{array}
```

• For typing purposes, an overloaded value possesses the types of all the values it merges: an intersection type.

• It is **not** a union type : as a value, def has the possibility to be used indifferently as a number and as a list (but only one at a time).

Consequence

If an identifier is overloaded, a correct implementation must be selected every time the identifier is used (at compile-time or at runtime)

Syntax	Evaluation rules	Typing rules
t ::= expressions	$\frac{t_1 \to_\beta t'_1}{t_1 \oplus t_2 \to_\beta t'_1 \oplus t_2}$	$\frac{\Gamma \vdash t_1 : T_1 \Gamma \vdash t_2 : T_2}{\Gamma \vdash t_1 \oplus t_2 : T_1 \& T_2}$
t⊕t merge	$\frac{t_2 \to_\beta t'_2}{t_1 \oplus t_2 \to_\beta t_1 \oplus t'_2}$	$\frac{\Gamma \vdash t : T_1 \& T_2}{\Gamma \vdash t : T_1}$
T ::= types T & T intersec. type	$v_1 \oplus v_2 {\rightarrow_{eta}} v_1$	Γ⊢ t : T₁ & T₂_
	$v_1 \oplus v_2 { ightarrow}_{eta} v_2$	

- Caution : these rules **break** the type safety of the system.
- At least, a mechanism must be introduced to ensure that the value used at runtime is compatible with the type checked at compile-time.

Lemma (Intersection subtyping):

If T_1 and T_2 are two different types, $T_1 \& T_2 <: T_1$ and $T_1 \& T_2 <: T_2$

Let $def := 1 \oplus true : Bool \& Nat be an overloaded value.$

How can we evaluate the expression : if def then 0 else def?

```
Let def := 1 \oplus true : Bool \& Nat be an overloaded value.
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```

Decide the implementation used at compile-time (cf. Haskell),

Let $def := 1 \oplus true : Bool \& Nat be an overloaded value.$ How can we evaluate the expression : if def then 0 else def?

Decide the implementation used at runtime (cf. Python),

$$\frac{\mathcal{E} \vdash \mathsf{def} : \mathsf{Bool} \; \& \; \mathsf{Nat}}{\mathsf{def} \to_{\beta} \mathsf{def} \; \mathsf{as} \; \mathsf{Bool}}$$

if def then 0 else def \rightarrow_{β} if def as Bool then 0 else def $\rightarrow_{\beta} \cdots$

```
Let def := 1 \oplus true : Bool \& Nat be an overloaded value.
How can we evaluate the expression : if def then 0 else def?
```

Decide the implementation used at compile-time (cf. Haskell),

Decide the implementation used at runtime (cf. Python),

```
\frac{\mathcal{E} \vdash \mathsf{def} : \mathsf{Bool} \; \& \; \mathsf{Nat}}{\mathsf{def} \to_{\beta} \; \mathsf{def} \; \mathsf{as} \; \mathsf{Bool}} if def then 0 else def \to_{\beta} if def as Bool then 0 else def \to_{\beta} \cdots
```

• ... or use a combination of both (cf. Java, C++).

Static/ Late binding

The presence of subtyping allows the following technique :

Static / Late binding

To every object value is attached a type called its **concrete type**. It may differ from the **apparent type** of this same value in an expression.

At the callpoint of an overloaded method, the appropriate code is selected. In static binding, the selection depends on the apparent type. In late binding, the selection depends on the concrete type.

Example

```
String s = new String("Concrete") // Apparent : String / Concrete : String
Object o = (Object) s; // Apparent : Object / Concrete : String
o.equals(s); // Which equals method is called ?
```

Consider the following examples based on a Counter class :

```
class Counter {
   int v; // count calls to inc
   public Counter(int v) { this.v = v; };
   int get() { return v; }
   void set(int v) { this.v = v; }
   void inc() { this.set(this.get() + 1); }
```

```
class CounterExt extends Counter {
        int a; // count calls to set
        public CounterExt(int v) { super(v); a = 0;};
        // inherit get
        void set(int v) { this.a++: super.set(v): }
        // inherit inc
        int get_a() { return a; }
10
```

3

5

7

Consider the following examples based on a Counter class :

```
class Counter {
                                                            class CounterExt extends Counter {
   int v; // count calls to inc
                                                       3
                                                               int a: // count calls to set
                                                       5
   public Counter(int v) { this.v = v; };
                                                               public CounterExt(int v) { super(v); a = 0;};
   int get() { return v; }
                                                               // inherit get
                                                       7
                                                               void set(int v) { this.a++: super.set(v): }
   void set(int v) { this.v = v: }
   void inc() { this.set(this.get() + 1); }
                                                               // inherit inc
                                                               int get_a() { return a; }
                                                      10
```

Consider the call to set inside the inc method in Counter:

In early binding, this call is attached to the apparent type.
 For an object of type CounterExt, the set method of Counter is used.

Consider the following examples based on a Counter class :

```
class Counter {
    int v; // count calls to inc

public Counter(int v) { this.v = v; };
    int get() { return v; }
    void set(int v) { this.v = v; }
    void inc() { this.set() + 1); }
}

class CounterExt extends Counter {
    int a; // count calls to set
    public CounterExt(int v) { super(v); a = 0;};
    // inherit get
    void set(int v) { this.a++; super.set(v); }
    // inherit inc
    int get_a() { return a; }
}
```

Consider the call to set inside the inc method in Counter:

In late binding, this call is attached to the concrete type.
 For an object of type CounterExt, the set method of CounterExt is used.

Summary on the overloading polymorphism

 Overloading polymorphism allows the definition of values sharing multiply different implementations :

$$m ::= \{m_1 : \mathsf{T}_1, m_2 : \mathsf{T}_2, \dots, m_n : \mathsf{T}_n\}$$

The types T_i may not share a common structure.

Overloaded types may be modelized as finite intersection types.

$$\mathsf{T}_1 \& \mathsf{T}_2 \& \ldots \& \mathsf{T}_n = \bigcap_{i=1}^n \mathsf{T}_i$$

- In order to use this polymorphism, a selection of the correct implementation for m is necessary, be it static or dynamic.
- The late binding is an example of dynamic selection in the case of overloaded types. It appears naturally in object-oriented programming.

- Simple lambda-calculus
- 2 Polymorphism
- Subtyping
 - Variance
 - The contravariance curse
 - Principles for variance
- Proofs with types

The subtyping test (1)

Rationale

- A value can have multiple types, and the types share inclusion relations.
- By definition, a value v : T can also be typed v : T' whenever T <: T'.

Consequence:

Substitution Lemma

 $\mathsf{T}\mathop{<:} \mathsf{T}'$ iff every value $v:\mathsf{T}$ can be used in a context where T' is expected.

Subtyping test (example in Java)

Attempt to assign a value of type T into a variable of type T' unchanged.

```
Integer one = 1;
Number super_one = one; // OK
```

```
Number pi = 3.14;
Double sub_pi = pi; // Type error
```

The subtyping test (2)

Problem: implicit conversions

As a subtyping test, it has false positives, because many languages allow implicit conversions of values.

Example in C

Initializing an int variable with a float value forces an implicit conversion :

```
int main(void) {
  int z = 3.14; //!!
  z += 2.92; //!!
  printf("%d\n", z); }
```

```
% clang implicit.cpp -Wall -Wextra implicit.cpp:4:11: warning: implicit conversion from 'double' to 'int' changes value from 3.14 to 3 int z = 3.14;
```

- The subtyping relation is supposed to be antisymmetric, but the implicit conversions blur this property.
- In this course, we consider subtyping without implicit conversions.

Summary on the different kinds of polymorphisms

- Each form of polymorphism defines new families of types, and these families share subtyping relations.
- The more families of types exist, the more complex the subtyping relation between types becomes.
- In this section, we explore some characteristics of this relation, and examine some implications in terms of programming.

Recall the subtyping theorems established for each form of polymorphism :

▶ Lemma (Parametric subtyping) :

Let $\forall T_1..T_n$, U be a parametric type.

• Parametric subtyping : $\forall T_1...T_n$, $U <: [T_1 \mapsto U_1, ...T_n \mapsto U_n]U$.

▶ Lemma (Record subtyping) :

Let $T = \{I_i : T_i\}$ and $T' = \{m_i : T'_i\}$ be two record types.

- Width subtyping : if $T \supset T'$ as sets of pairs labels/types, then T <: T'.
- Depth subtyping : if T and T' share exactly the same labels and $\forall i, T_i <: T'_i$, then T <: T'.

▶ Lemma (Intersection subtyping) :

If T_1 and T_2 are two different types, $T_1 \& T_2 <: T_1$ and $T_1 \& T_2 <: T_2$

Where do subtyping relations come from?

- Some relations are structural, meaning that they are related to the form of the underlying type families.
- Some relations may be deduced as consequences of existing subtyping relations.

Given for instance:

- the List[\cdot] type as a type function $T \to List[T]$,
- two types Banana and Fruit such that Banana <: Fruit.

Can we deduce a subtyping relation between List[Banana] and List[Fruit]?

For example : List[Banana] ? List[Fruit]

 \Rightarrow In other words, is the List[·] function increasing on types?

Variance

Definition (Covariance / Contravariance / Invariance)

Let $f : \mathsf{Typ} \to \mathsf{Typ}$ be a function on types.

• f is said to be covariant iff it is increasing with regard to <:

$$\forall \mathsf{T}, \mathsf{U}, \; \; \mathsf{T} \mathrel{<:} \mathsf{U} \; \; \Rightarrow \; \; f(\mathsf{T}) \mathrel{<:} f(\mathsf{U})$$

• f is said to be contravariant iff it is decreasing with regard to <:

$$\forall \mathsf{T}, \mathsf{U}, \; \; \mathsf{T} \mathrel{<:} \mathsf{U} \; \; \Rightarrow \; \; f(\mathsf{T}) \mathrel{:>} f(\mathsf{U})$$

• If the images f(T) and f(U) are always incomparable when $T \neq U$, f is said to be invariant.

Example

The type of the immutable lists List[.] can be considered to be covariant.

• The Java generics are invariant, the variance appearing in wildcards.

```
// "? extends Number" refers to any subtype of Number
ArrayList<? extends Number> a = new ArrayList<Integer>();
```

• C# support variance for generic interfaces, but the classes are invariant.

```
public interface IEnumerable<out T> { // "out T" indicates the covariance
public IEnumerable<T> Append<T> (IEnumerable<T> source, T elem); }
```

• Scala supports variance for generic interfaces and classes.

Variance of the function type

The type $T \rightarrow U$ can be considered as a function (on types) of T and U. What kind of variance relations does it induce?

Recall > that subtyping can be thought of in terms of substitution.

Consider the following record type for storing Nat values :

$$\mathsf{S} ::= \{\mathsf{get} : \mathsf{Unit} {\rightarrow} \, \mathsf{Nat}, \mathsf{set} : \mathsf{Nat} {\rightarrow} \, \mathsf{Unit}\}$$

- {get : Unit \rightarrow Int, set : ..} where Int <: Nat
- {get : Unit→ Number, set : ..} where Nat <: Number

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- $\bullet \ \{\mathsf{get} : .., \mathsf{set} : \mathsf{Int} \rightarrow \mathsf{Unit}\} \qquad \quad \mathsf{where} \ \mathsf{Int} <: \mathsf{Nat}$
- {get : .., set : Number → Unit} where Nat <: Number

Variance of the function type

The type $T \rightarrow U$ can be considered as a function (on types) of T and U. What kind of variance relations does it induce?

Recall > that subtyping can be thought of in terms of substitution.

Consider the following record type for storing Nat values :

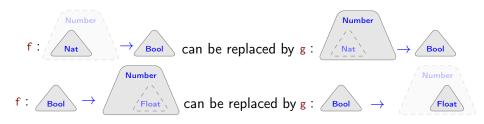
$$\mathsf{S} ::= \{\mathsf{get} : \mathsf{Unit} {\rightarrow} \, \mathsf{Nat}, \mathsf{set} : \mathsf{Nat} {\rightarrow} \, \mathsf{Unit}\}$$

- $\{get : ..., set : Int \rightarrow Unit\}$ where Int <: Nat • $\{get : ..., set : Number \rightarrow Unit\}$ where Nat <: Number
- D. Renault (ENSEIRB-Matmeca)

Lemma (Function subtyping):

A function type is **covariant** in its **result type** and **contravariant** in its **parameter type**.

If $T_{\it inf} <: T_{\it sup}$ and $U_{\it inf} <: U_{\it sup},$ then $(T_{\it sup} \! \to U_{\it inf}) <: (T_{\it inf} \! \to U_{\it sup})$



The contravariance curse

Subtyping is often used as a means to refine types (e.g. with inheritance). But the variance rules somewhat hinder these forms of refinements.

Consider the example for a record type that compares numbers :

```
EqNumber ::= \{val : Number, equal : Number \rightarrow Bool\}
```

Consider now inheriting from this with more precise internal numbers :

$$\mathsf{EqFloat} ::= \{\mathsf{val} : \mathsf{Float}, \mathsf{equal} : \mathsf{Float} \rightarrow \mathsf{Bool}\}$$

EgFloat <. EgNumber

```
class Point {
   int x, y;
   Point(int _x, int _y) { x = _x; y = _y; }
   boolean equal(Point other) { return (other.x == x) &&
                                       (other.v == v); }
class ColPoint extends Point {
   int c:
   ColPoint(int _x, int _y, int _c) { super(_x, _y); c = _c; }
   boolean equal(ColPoint other) { return (other.c == c) &&
                                             (super.equals(other)); }
static boolean isOrigin(Point p) { return p.equal(new ColPoint(0,0,0)); }
```

• What happens when calling isOrigin(new ColPoint(0,0,7))?

```
class Point {
   int x, y;
   Point(int _x, int _y) { x = _x; y = _y; }
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- What happens when calling isOrigin(new ColPoint(0,0,7))?
 - ColPoint.equal ✓: Point.equal because of the contravariance rule.

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```

- What happens when calling isOrigin(new ColPoint(0,0,7))?
 - ColPoint.equal ✓: Point.equal because of the contravariance rule.
 - equal is overloaded, it uses Points in isOrigin and returns true.

```
class Point {
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   Point(int _x, int _y) { x = _x; y = _y; }
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   boolean equal(ColPoint other) { return (other.c == c) &&
                                             (super.equals(other)); }
static boolean isOriginBis(Point p) { return p.equal(new Point(0,0)); }
```

• What happens if we suppose that ColPoint.equal <: Point.equal anyway?

```
class Point {
   int x, y;
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- What happens if we suppose that ColPoint.equal <: Point.equal anyway?</p>
 - the call isOriginBis(new ColPoint(0,0,7)) is well-typed,

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class Point {
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                                              (super.equals(other)); }
static boolean isOriginBis(Point p) { return p.equal(new Point(0,0)); }
```

- What happens if we suppose that ColPoint.equal <: Point.equal anyway?
 - the call isOriginBis(new ColPoint(0,0,7)) is well-typed,
 - it uses the ColPoint equality with a Point parameter, and gets stuck.

Principles for variance of type variables

A type playing the role of a supplier can vary covariantly and must not vary contravariantly.

For example: r-values, getters, results of functions

A type playing the role of a receiver can vary contravariantly and must not vary covariantly.

For example : I-values, setters, parameters of functions

In Java, this takes the form of the "Get and Put principle" popularized by Naftalin and Wadler in *Java Generics* (2006) :

"Use an extends wildcard when you only get values out of a structure, use a super wildcard when you only put values into a structure, and don't use a wildcard when you both get and put."

Consider two types ColPoint <: Point, and the following generic interfaces :

• The following examples are unsafe if the variance is reversed :

```
let gpt : GetF[Point] = ... in
(* Valid if GetF[·] is contravariant *)
let gcpt : GetF[ColPoint] = gpt in
(* Unsafe access to inexistant color field *)
gcpt.get().color
```

```
let gcpt : SetF[ColPoint] = ... in
(* Valid if SetF[·] is covariant *)
let gpt : SetF[Point] = gcpt in
(* Unsafe setting of missing color field *)
gpt.set(new Point())
```

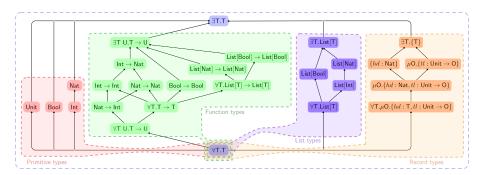
The following is an example of type unsafety in Java :

The Java arrays implement both GetF and SetF, and should be invariant.

Summary on subtyping

- Subtyping is an important property of a type system, enabling to use the polymorphism at full strength.
- The subtyping relations are refined by the notion of variance.
- Many constructions of the language (functions, records . . .) are the source of specific subtyping rules.
 It is also possible to refine these relations by defining variance relations for particular type functions.
- Depending on the coherence of the relations, type unsafety problems may appear when programming.
- Deciding the subtyping relation also relies on verifying the coherence of the different local subtype relations.

The type lattice



Deciding the subtype relation (1)

In order to deal with object types, it is necessary to decide the subtyping relation on a wide family of types, among which recursive types.

Subtyping decision algorithm

The function subtype(A, S, T) decides whether S <: T.

- It considers a set of assumptions A, each assumption being a pair (S_i, T_i) such that $S_i <: T_i$. Initially, the set is empty.
- Depending on the form of S and T, it deduces new assumptions.
- It terminates either when finding an incoherent set of assumptions or returns a set of coherent assumptions.

Basically, subtype builds a subset of the type lattice.

Definition (Subtyping decision algorithm)

The function subtype(A, S, T) is defined as :

- if S = T or $(S, T) \in A$, return A
- if $(T, S) \in A$, fail
- else let $A_0 = A \cup (S, T)$ and depending on (S, T):
 - S = $\{I_i : S_i\}_{i=[1..n+m]}$ and T = $\{I_i : T_i\}_{i=[1..n]}$, then compute in sequence $A_i = \mathsf{subtype}(A_{i-1}, S_i, T_i)$ for $i \in [1..n]$, return fail if any computation fails otherwise return A_n .
 - $S = S_1 \rightarrow S_2$ and $T = T_1 \rightarrow T_2$ then let $\mathcal{A}_1 = \mathsf{subtype}(\mathcal{A}_0, \mathsf{T}_1, \mathsf{S}_1)$ and $\mathcal{A}_2 = \mathsf{subtype}(\mathcal{A}_1, \mathsf{S}_2, \mathsf{T}_2)$ in return fail if any computation fails otherwise return \mathcal{A}_2 .
 - $T = \mu X.T_1$ then compute subtype $(A_0, S, [X \mapsto \mu X.T_1]T_1)$
 - $S = \mu X.S_1$ then compute $subtype(A_0, [X \mapsto \mu X.S_1]S_1, T)$
 - otherwise fail.

Deciding the subtype relation (2)

Consider the following two object types:

```
O ::= \mu X.\{\text{clone}: \text{Unit} \rightarrow X\}
S ::= \mu Y.\{\text{clone}: \text{Unit} \rightarrow Y, \text{val}: \text{Nat}\}
```

The computation of subtype(S, O) passes through the following steps :

- subtype(S, O)
- subtype(S, {clone : Unit $\rightarrow O$ })
- $\bullet \ \mathsf{subtype}\big(\{\mathsf{clone} : \mathsf{Unit} {\rightarrow} \, \mathsf{S}, \mathsf{val} : \mathsf{Nat}\}, \{\mathsf{clone} : \mathsf{Unit} {\rightarrow} \, \mathsf{O}\}\big)$
- subtype(Unit \rightarrow S, Unit \rightarrow O)
- \bullet subtype(Unit, Unit) and subtype(S, O) then terminates successfully.

As a result, this proves S <: O, even if they both are recursive types.

- Simple lambda-calculus
- 2 Polymorphism
- Subtyping
- Proofs with types
 - Phantom types
 - Refinement types
 - Dependent types

General idea

Use types to enforce logic properties on the values they represent.

Examples

- having values that can be compared (Comparable, Eq a . . .)
- having numeric-like values (Number, Num a ...)
- having a list-like representation (Cons, Nil)

More generally, any form of interface can be seen as a logic property.

Can we generalize and find other sorts of properties represented by types?

Representation of particular sets of values

Consider the following algebraic data type 🖸 for representing lists :

$$List[T] ::= Nil \mid Cons(T, List[T])$$

Suppose that this type is realized with the following constructors :

- nil : List[T] is the empty list
- $\bullet \ \mathsf{cons} : T \! \to \mathsf{List}[T] \! \to \mathsf{List}[T] \quad \text{ is a constructor for lists}.$

The usual accessors head and tail are provided:

- ullet head : List[T] \to T returns the first element
- tail : List[T] \rightarrow List[T] returns all but the first element.

These accessors are **problematic**: they are not defined for nil. Could these accessors be typed for only non-empty lists?

Refinement of sets of values

In this very case, it is natural to define two types :

- EmptyList containing the nil value
- NonEmptyList[T] containing the non-empty lists

Both types are naturally subtypes of List[T].

With this refinement, the accessors can be defined as total functions :

```
• head : NonEmptyList[T] \rightarrow T returns the first element
```

• tail : $NonEmptyList[T] \rightarrow List[T]$ returns all but the first element.

(head_nil) becomes a non-typable expression instead of stuck at runtime.

This idea can be generalized, restricting values to :

- non-zero or positive numeric values,
- open file descriptors in contrast to closed ones,
- non-null pointers in contrast to null ones.

It is desirable to have the possibility to refine the implementation and the logical properties **independently**.

- Otherwise, an implementation of an AssocList[T] <: List[T] must provide code for both empty and non-empty lists.
- One must devise a mechanism for attaching logical properties to existing types without hindering the usual inheritance mechanisms.

Phantom types

Definition (Phantom type)

A type T is said to be a **phantom type** if it has no influence at runtime, i.e its values never occur in any computation.

A type variable T in a parameterized type F[T] is said to be a **phantom** type if it is only meant to be applied to phantom types.

Examples

interface Phantom {} // In Java

type phantom (* In OCaml *)

A sufficient condition to be a phantom type is to stand for the empty set of values.

Example: write-restricted objects

Consider two phantom types readonly and readwrite.

Let us create a parameterized type Readable[T] such that T constrains its capabilities: only Readable[readwrite] can be modified.

```
(* Interface *)
module type REF = sig
  type 'a t
  val create : int → readwrite t
  val set : readwrite t → int → unit
  val get : 'a t → int
  val freeze : 'a t → readonly t
end
```

```
(* Implementation *)
module Ref : REF = struct
  type 'a t = int ref
  let create x = ref x
  let set r x = r := x
  let get r = !r
  let freeze x = x
end
```

Example: write-restricted objects

Consider two phantom types readonly and readwrite.

Let us create a parameterized type Readable[T] such that T constrains its capabilities: only Readable[readwrite] can be modified.

GADTs

Definition (GADT)

A generalized algebraic datatype is an algebraic datatype containing a phantom type, and whose constructors can enforce restrictions on the phantom type.

Example

```
let x = Int 1 and y = Str "one" in Pair(x, y);; (* \rightarrow (int*string) data*)
```

GADTs

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Example

```
let add (Int u) (Int v) = Int(u+v);; (* int data \rightarrow int data \rightarrow int data \ast)
```

Example: typed evaluator

In this example, a GADT is used to represent typed computations :

```
type _ expr =
  | Bool : bool → bool expr
  | Int : int → int expr
  | If : bool expr * 'a expr * 'a expr → 'a expr
  | Eq : 'a expr * 'a expr → bool expr
  | Add : int expr * int expr → int expr
```

The eval function returns the value encapsulated inside the expression :

- A GADT value is an existential value, involving runtime checking.
- The compiler checks the constraints for each constructor individually.

Reification of types

 Once phantom types have been attached to other types, it becomes natural to apply computations on these.

```
\begin{array}{c|cccc} \text{plus(int, float)} & \Rightarrow & \text{float} & & \text{append(Int, String)} & \Rightarrow & \text{Vector<Any>} \\ & & \text{plus(int, int)} & \Rightarrow & \text{int} & & \text{append(Char, String)} & \Rightarrow & \text{String} \end{array}
```

 Not all languages allow computations at the type level, and therefore mimic these computations at the value level.

Definition (Reification)

A set of types $\mathcal{T} := \{\mathsf{T}_i\}$ is said to be **reified** into a set of values $\mathcal{V} := \{\mathsf{v}_i\}$ if there exists a bijection between the \mathcal{T} and \mathcal{V} .

Ideally, the set $\mathcal V$ is represented as (another) type supporting this bijection. If both sets are of size 1, the type is called a **singleton type**.

Example: reification of naturals

In this example, the phantom types represent the Peano naturals :

```
type zero (* Type for representing zero *)
type 'a succ (* Type for representing the successor *)
                            (* Bridge Nat[T] between values and types *)
type _ nat =
 | NS : 'a nat \rightarrow ('a succ) nat (* Value for representing the successor *)
```

• NZ and NS are values typed by Nat[T] in bijection with the naturals :

$$(NS \cdots NS NZ) : (SUCC \cdots SUCC Zero)$$
 $k \text{ times}$

Computations on types can be carried over onto values :

```
let rec nat_to_int : type a. a nat \rightarrow int = fun x \rightarrow match x with
   | NS n \rightarrow 1 + nat_to_int n
```

Example: length-encoded lists

Let's extend this example to create lists whose type contains their length:

```
type (\_,\_) seq = (* 1st parameter = type of elements, 2nd parameter = length <math>*)
  | Nil : ('a, zero) seq
  | Cons : 'a * ('a,'n) seq \rightarrow ('a, 'n succ) seq
```

```
let rec head : type a n. (a, n succ) seq \rightarrow a = function
   | Cons(x, _{-}) \rightarrow x
let rec tail : type a n. (a, n succ) seq \rightarrow (a, n) seq = function
  | Cons(\_, s) \rightarrow s
let rec map : type a b n. (a \rightarrow b) \rightarrow (a,n) seq \rightarrow (b,n) seq =
  fun f 1 \rightarrow \mathsf{match}\ 1 with
          → Nil
  I Nil
  | Cons (x, s) \rightarrow Cons (f x, map f s)
```

• The type of map encodes the fact that it preserves the length of lists.

Example: length-encoded lists

• In Haskell, it's even possible to express computations on types :

This yields the following type for the concatenation on lists :

```
(++) :: List a len1 \rightarrow List a len2 \rightarrow List a (len1 :+ len2)
Nil ++ list = list
Cons el els ++ list = Cons el (els ++ list)
```

• The type of ++ encodes the fact that the length of the concatenation of two lists is the sum of the lengths of its components.

Composition of static properties

Problem

Annotated types do not compose well in general.

Computations returning an integer

Computations applying a division

1) Consider the example of the mean function on lists of integers :

```
mean ::= \mathbf{fun} \ 1 \rightarrow \mathbf{let} \ \mathsf{n} = \mathsf{List.length} \ 1 \ \mathbf{in} \ (\mathsf{sum} \ 1) \ / \ \mathsf{n}
```

- The length function must return a generic non-negative integer.
- The division function should take a generic positive integer.

Composition of static properties

Problem

Annotated types do not compose well in general.

Computations returning a list

Computations taking the head of a list

2) Consider a function returning the first even integer in a list :

```
fst\_even ::= fun \ 1 \rightarrow let \ m = filter \ is\_even \ 1 \ in \ head \ m
```

Without static knowledge that ${\tt m}$ is non-empty, one must check dynamically.

Composition of static properties

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Computations returning a list Computations taking the head of a list

2) Consider a function returning the first even integer in a list :

```
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```

Without static knowledge that ${\tt m}$ is non-empty, one must check dynamically.

This is a consequence of the <u>undecidability of evaluation</u>: logic properties that evolve at runtime cannot be decided statically in general.

Strategy for composing properties

In some cases, it is possible to provide a static proof of the property.

Consider the problem of accessing the n-th element of a list :

$$get: Nat \rightarrow List[T] \rightarrow T$$

How could we make get access only concrete indices of the list?

• Construct a type Leq[m, n] expressing the fact that $m \in [0; n[:]]$

• A value of type Leq[m, n] is computed dynamically when required.

Example: lists with safe access

• The GADT reifying the property m < n:

```
data Leq[m,n] where
  LessZ :: Leq[Zero,Succ n]
  LessS :: Leq[m,n] → Leq[Succ m,Succ n]
```

• The less-than function computes a proof that m < n (if any) :

• The type-safe get function can only access safe indices of a list :

Refinement types

Going further along these lines, it is possible to attach a proof-checker to help the compilation phase, as is done in Liquid Haskell or in Dafny.

Consider the problem of defining a type-safe divide function on integers :

```
type NonZero = { v : Int | v /= 0 } -- type for non-zero integers

divide :: Int → NonZero → Int
divide _ 0 = die "divide_by_zero" -- can never happen
divide n d = n 'div' d
```

- A type attached with a logical property is called a refinement type.
- Logical assertions are transferred and checked by a SMT solver.

Example: iterating on vectors (1)

Here, loop iterates a function over the integers in the interval [lo; hi[:

```
loop :: lo:Nat \rightarrow hi:{Nat|lo <= hi} \rightarrow a \rightarrow (Btwn lo hi \rightarrow a \rightarrow a) \rightarrow a loop lo hi base f = loop_rec base lo where loop_rec acc i | i < hi = loop_rec (f i acc) (i + 1) loop_rec _ _ | otherwise = acc
```

Typically, $100p \ 0 \ n \ x_0 \ f$ computes the sequence :

$$\begin{cases} x_0 \text{ given} \\ x_{k+1} = f(k, x_k) \end{cases}$$

The type of the loop function is verified by the compiler and ensures that :

- lo ≤ hi, forming an interval Btwn lo hi ::= [lo; hi[;
- f accesses only integers in the interval Btwn lo hi.

Example: iterating on vectors (2)

The loop function can then be used to write a dotProduct function :

```
loop :: lo:Nat \rightarrow hi:{Nat|lo <= hi} \rightarrow a \rightarrow (Btwn lo hi \rightarrow a \rightarrow a) \rightarrow a
```

- The compiler is able to infer that the indices accessed are always valid.
- This function only requires a proof that both vectors have same length.
- It does not need to check that all the array accesses are safe.

Termination proofs

Liquid Haskell is able to prove the termination of the following function :

```
fib :: i:Int \rightarrow Int
fib i | i == 0 = 0
| i == 1 = 1
| otherwise = fib (i-1) + fib (i-2)
```

- Applying a series of well-chosen heuristics, the compiler finds a well founded metric that decreases at each recursive call.
- More generally, it can automatically prove termination for a particular but expressive class of recursive functions (strong normalization).

... which in itself is a pretty amazing feat.

The frontier of automaticity

In some cases, the compiler is not able to infer the proofs automatically.

- More complex calculi exist with particularly powerful type systems.
 Examples: Martin-Löf's type theory, the calculus of constructions . . .
- As type inference became undecidable for λ_2 , it is not surprising that it remains undecidable for more powerful calculi.

These proofs may be provided, possibly with the help of a proof-assistant.

• Proofs become another software component, at the same level as code. Examples: languages with proof assistants such as Coq, Agda, Idris, ...

Definition (Dependent type)

A dependent type is a type whose definition is parameterized by a value.

Note: allowing values inside types dramatically complexifies a type system

Example

The type Vec[n, A] of the vectors of n elements of type A. It is technically called a dependent product written $\Pi_{n \in \mathbb{N}} \text{Vec}_n[A]$.

```
Inductive vec a : nat → Type := (* Dependent type written as a function *)
  | nil : vec a 0
  | cons : forall (h:a) (n:nat), vec a n → vec a (S n).
Definition hd {a} {n} (v:vec a (S n)) : a
Definition tl {a} {n} (v:vec a (S n)) : vec a n
Definition nth {a} {n} {p} (v:vec a n) (H: p < n) : a
Fixpoint append {a} {n} {p} (v:vec a n) (w:vec a p) : vec a (n+p)</pre>
```

Proof example: associativity of concatenation

```
data List a = Nil | a ::: List a deriving (Eq)
-- Definition of a concatenation function '++' on lists
Nil
       ++ ys = ys
(x ::: xs) ++ ys = x ::: (xs ++ ys)
```

```
assocThm xs ys zs = (xs ++ ys) ++ zs == xs ++ (ys ++ zs)
```

```
assocPf :: xs:\_ \rightarrow ys:\_ \rightarrow zs:\_ \rightarrow \{ assocThm xs ys zs \}
assocPf Nil ys zs = (Nil ++ ys) ++ zs
                 ==. Nil ++ (ys ++ zs)
assocPf (x ::: xs) ys zs = ((x ::: xs) ++ ys) ++ zs
                        ==. (x ::: (xs ++ ys)) ++ zs
                        ==. x ::: ((xs ++ ys) ++ zs)
                        ==. x ::: (xs ++ (ys ++ zs)) ? assocPf xs ys zs
                        ==. (x ::: xs) ++ (ys ++ zs)
```

Conclusion

- Type systems offer a general framework to verify the safety of the composition of programming expressions.
- The association between types and logic properties is natural in this framework and mechanisms exist to facilitate this association :



- These logic properties constitute another form of programming.
 Types / proofs become a natural component accompanying the code.
- The mechanisms for the verification of these properties grow in complexity accordingly with the expressivity of the properties: type annotations, SMT-solvers ... up to proof assisants.
- Undecidability problems occur for the highest levels of complexity, hindering the verification capabilities for programmers.

The present and future of type systems

- The development of more recent type systems and even more recent programming languages displays a high level of activity.
- As an example, regions constitute a mechanism to describe zones of code and memory determined statically.
- Effects systems restrict the kind of operations allowed in certain of these regions, typically reading or writing to memory.
 Many of these languages are experimentations derived from Haskell.
- The Rust programming language is an example of the last generation of general-purpose languages incorporating some of these advances.
- It claims solving the problems of dangling pointers, uses-after-free and even data races for some classes of concurrent programs.