



Tutorial on testing techniques

A research point of view

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Context of this presentation

This talk should :

- Provide some basics in the domain of testing
- Prepare the audience for following presentations
- Provide some historical research results on testing

This talk is **not** :

- My personal research results^a
- An exhaustive presentation
- Advanced research, more a general view on the topic

^aimplying that I am not a specialist of all of the presented topics!



Outline

- 1 Generalities on testing
- 2 Source Code Based Testing (SCBT)
- 3 Functional testing - Model Based Testing
 - "Historical" approaches of MBT : based on Mealy Machines
 - "Historical" approaches of MBT : based on Labelled Transition Systems
- 4 Conclusion



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Why testing?

Famous bugs (non exhaustive panorama)

- Ariane 5.01 (1996)
- Patriot missile (1991)
- First Pentium[®] Chip (1994)
- Therac 25 (1985-1987)
- ... (long long list)
- Urban legends also (F16 fighter jet bug)

Wondering what the cost of software bugs? → \$ 312 Billions per year according to Cambridge University (2013).

In fact it depends on how late you find it.



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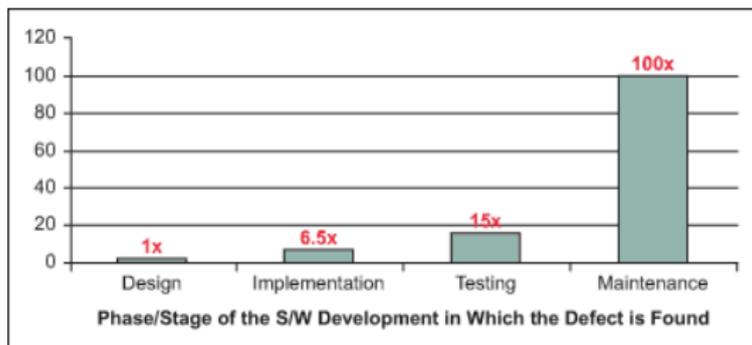
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Why testing? (2)



Source : IBM Systems Sciences Institute

Consequences :

- Product recall (Pentium[®] Chip, Toyota brake system bug (2009))
- Sometimes loss of human lives (Therac 25, Patriot), loss of expensive system (Ariane 5.01)
- Space domain : send patches (NASA Curiosity Probe on Mars)



What is testing?

Dynamic testing

Dynamic testing : the software (IUT)^a is executed in order

- To ensure a “correct” behaviour
- To find bugs and defaults (Myers)

(≠ **Static testing**)

→ this presentation will focus mainly on dynamic testing techniques

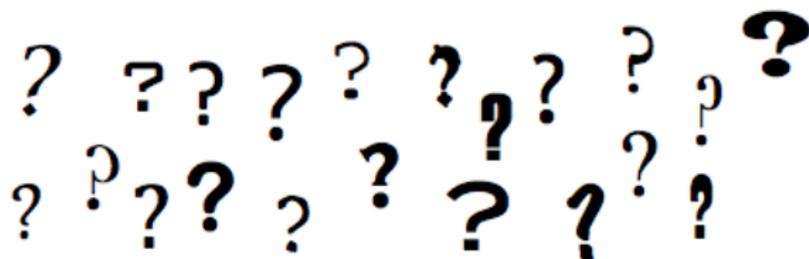
^aImplementation Under Test

but not so simple ...



What is testing? (2)

Security testing
Performance testing
System test testing
Mutation testing
Conformance testing
Functional testing
Structural testing
Robustness testing
Acceptance testing
Random testing
Pairwise testing
Integration testing
Unit testing
(non)-Regression testing
Model-Based testing
Black-box testing
White box testing





Difficulty(ies) of testing

- Testing is a **difficult/expensive** task

B. Gates :

“50% of the people at Microsoft[®] are testers, and the programmers spend 50% of their time testing, thus Microsoft is more of a testing than a development organization”^a

^a<http://www.informationweek.com/story/IWK20020517S0011>

⇒ **Important research domain**

- Ideally a test should be **exhaustive**, but not possible in practice...

A simple function

```
int product(int i, int j);
```

2^{64} possibilities. Considering one test per micro-second → 583000 years...



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Difficulty(ies) of testing (2)

Dijkstra :

“Testing shows the presence, not the absence of bugs “

- \implies The objective of testing is to **increase confidence** in the system (IUT)
- Main problems :
 - Find a “representative” **sample of data** (Test Data (TD)), providing “enough” confidence
 - Automatically **generate** this sample of data
 - Automatically provide a **verdict** (**oracle** problem)



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Testing point of view

Three dimensions of testing (Tretmans)

- **Level of detail** : Unit, Module, Integration ...
- **Accessibility** : White-box, black-box.
- **Characteristics** : Conformance, Robustness, Performance, ...

→ this presentation will focus mainly on (unit) conformance testing

Two (complementary) main approaches of conformance testing :

- **Functional Testing** : TD is generated using the specification of the System Under Test (SUT).
If specification = Model → Model Based Testing
- **Structural Testing** : TD is generated using the “structure” of the SUT, generally the Source Code (Code Based Testing)



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→ in many books, functional = black-box ; structural = white-box



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→ in any case, TD are applied on the IUT and result is compared to the specification



Some words about integration testing

Integration : combining already tested components.

⚠ Even if each component is working fine → integration may reveal new bugs

Main (functional-decomposition based) strategies

- **Big-bang**
→ integrate all components together, then test the whole
- **Bottom-up**
→ from leaves to root of the functional decomposition tree
- **Top-down**
→ from root to leaves of the the functional decomposition tree
→ need to use stubs
- **Sandwich**
→ combining Bottom-up and Top-Down

Other approaches may be used (Call-graph based, Path based)





Another way to classify/point of view

Functional Testing

Checking that the IUT meets the **functional requirements**. Divided into four components :

Unit, Integration, System, Acceptance

Non-Functional Testing

Testing the application against **non-functional requirements** :

Performance, Load, Stress, Security, ...

The previous classification will be used in this presentation



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And beyond this classification, in a loose way

Mutation Testing

“Testing the Tester”

- Apply **tiny mutations** on the SUT (usually on the source code)
- Check that the test cases **“kill the mutants”** → **mutation score**
- **Difficulty** : apply significant mutations; equivalent mutants

(non-)Regression Testing

Verifying that an update of the SUT does not affect other parts

- Check that older test cases **still pass**
- Usually based on functional test cases
- **Difficulty** : costly, find a subset of test cases suited for regression testing
- **Remark** : sometimes, a distinction is made between regression and non-regression testing





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References

Part essentially based on :

- [BH09] S. Bardin and P. Herrman, “Pruning the Search Space in Path-based Test Generation”, *ICST 09*
- [Got10] A. Gotlieb, “Constraint-Based testing”, presentation at Uppsala University, May 2010.
- [Rue08] M. Rueher, Software testing courses.



General Principle - Code coverage

Source Code Based Testing

TD is generated using the Source Code of the IUT

Ideally, the best TD would cover **all possible executions**. But usually **not possible in practice**.

- The more we cover code, the more confident we are, but
- The more we cover code, the more TD we need to generate and apply
- Notion of **coverage criterion**

There exists an **ordering** between coverage criteria :

all statements < ... < all executions



Control Flow Graph

CFG

Directed Graph representing the possible **paths** of the program

- Built from the source code
- A test may be seen as a path in the CFG
- Direct link between code coverage and CFG coverage
- Easy to obtain, e.g. with gcc :

```
gcc -fdump-tree-cfg ...
```

- Not an equivalent representation :
→ risk of adding **unfeasible paths**



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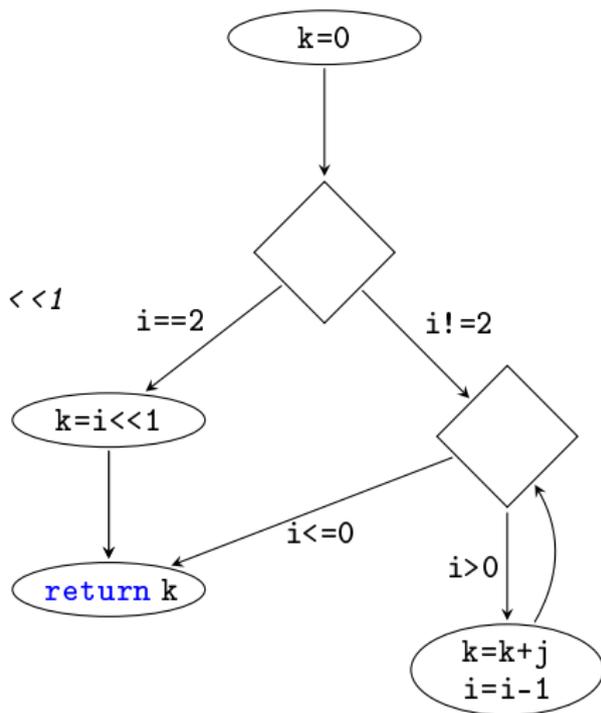
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Control Flow Graph : example

```
// product of two int
int prod(int i, int j) {
    int k=0;
    if (i == 2)
        k = i<<1;
        // error here; should be j<<1
    else {
        while (i>0) {
            k = k+j;
            i--;
        }
    }
    return k;
}
```





Classical coverage criteria

Coverage criteria hierarchy (not exhaustive) :

- All statements (TER1) = All nodes of the CFG
- All decisions (TER2) = All branches of the CFG
- ...
- All conditions (BCCC, Branch Condition Combination Coverage) :
each atomic predicate (i.e. condition) is tested with a true value and a false value
- MCDC (modified condition / decision coverage)
Check “the role” of each condition in the decision
- ...
- All *i*-paths
(When feasible,) loop j times in each loop ($0 \leq j \leq i$).
- All executions = All (feasible) paths \rightarrow Usually infinite

Other possible approaches : e.g. Data Flow based



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Constraint Based Testing

Constraint-Based Testing (CBT)

CBT is the process of generating test cases against a testing objective by using **constraint solving techniques** (Gotlieb)

Principle of Test Generation

- Given a location in the program under test, **automatically generate a TD that reaches this location**
- **Transform (part of) the program** into a **logical formula φ** , such that **solving φ** provides a TD

→ Does not solve the **oracle** problem.

→ Pointers may lead to difficult problems



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Path Predicate

Path predicate

Given a path Π of a program, a formula φ_{Π} is a **path predicate** of Π if for a given set of values V , $V \models \varphi_{\Pi} \implies$ the execution of the program on V follows Π

→ Find a solution (if any) to φ_{Π} in order to activate Π

- Need to remember the values of variables along the path
 - need to rename each variable in case of assignment
 - **SSA**¹ form

Remark : Using gcc, SSA form can be easily obtained :

```
gcc -S -fdump-tree-ssa ...
```

¹(Single Static Assignment)



Path predicate example

```
1 read (y,z);      ->  y0, z0 as inputs
2 y = y + 2;      ->  y1 = y0 + 2
3 x = y + 4;      ->  x1 = y1 + 4
4 if (x > 2 * z)  ->  x1 > 2 * z0
                    or x1 <= 2 * z0 depending on path
5     x = y + 2;  ->  x2 = y1 + 2
```

For the **path** : $1 \rightarrow 2 \rightarrow 3 \rightarrow (4, true) \rightarrow 5$,
the corresponding **predicate** is :

$$y_1 = y_0 + 2 \wedge x_1 = y_1 + 4 \wedge x_1 > 2 * z_0 \wedge x_2 = y_1 + 2.$$

Considering the inputs, we have $y_0 + 6 > 2 * z_0$

Example of TD : $y = 0, z = 0$



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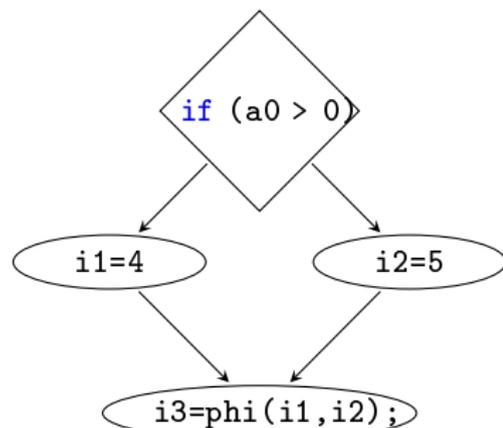
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if/then ; Φ -expressions, SSA form

if (a>0)	->	if (a0 > 0)
i=4;	->	i1=4;
else	->	else
i=5;	->	i2=5;
	->	i3=phi(i1 ,i2);



Φ -expr \rightarrow decide the value of i_3 according to the path used to reach it

- $a_0 > 0 \implies i_1 = 4 \wedge i_3 = i_1$
- $\neg(a_0 > 0) \implies i_2 = 5 \wedge i_3 = i_2$

Choice of path (join operator)

$\text{join}(a_0 > 0 \wedge i_1 = 4 \wedge i_3 = i_1, \neg(a_0 > 0) \wedge i_2 = 5 \wedge i_3 = i_2)$



While; Φ -expressions, SSA form

While : Φ -expr added just before the decision

Initial code

```
x = 1;

while ( x != 10 ) {
    c = x;
    x = x + 1;
}

print(x);
```

SSA code

```
x1 = 1;
x2 = phi(x1, x3);
while (x2 != 10) {
    c = x2;
    x3 = x2 + 1;
}

print(x2);
```

→ but need to solve a constraint according to the number of loops desired

→ each loop turn \implies new recursive constraints



Symbolic Depth First Search (DFS)

Path Based TD generation

- 1 Select (another) path Π of the CFG
- 2 Build the corresponding predicate φ_{Π}
- 3 Solve φ_{Π} (if possible); keep an input solution as a TD (if any)
- 4 Back to (1)

A strategy for the coverage criterion **All paths** :

- The CFG is unwound providing an **execution tree**
- The **execution tree** is explored using a **DFS** approach

☹ Constraint solving, even on a single path, may be **costly** (unwinding, unfeasible paths, ...).



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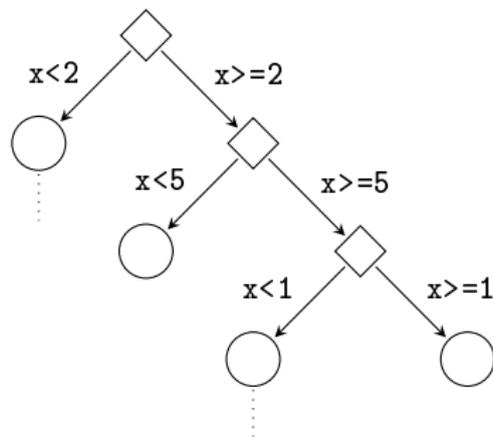
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Concolic approach

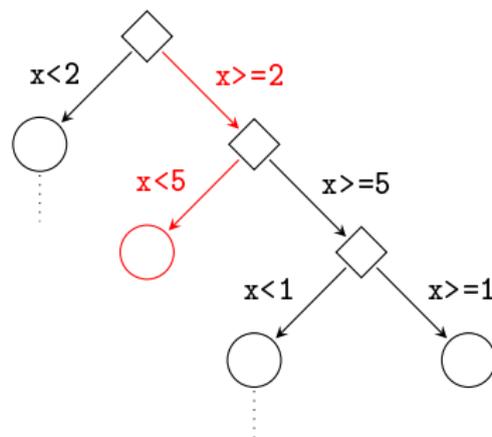
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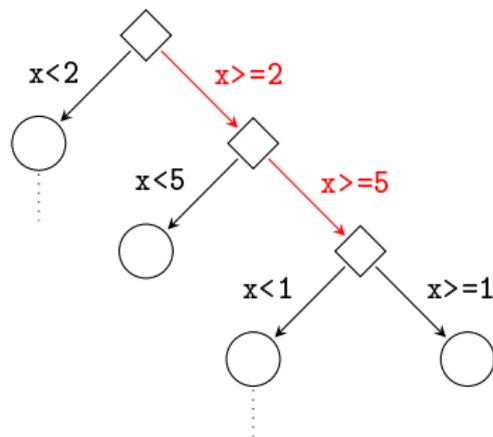


Random choice : e.g. $x=3$ (concrete)



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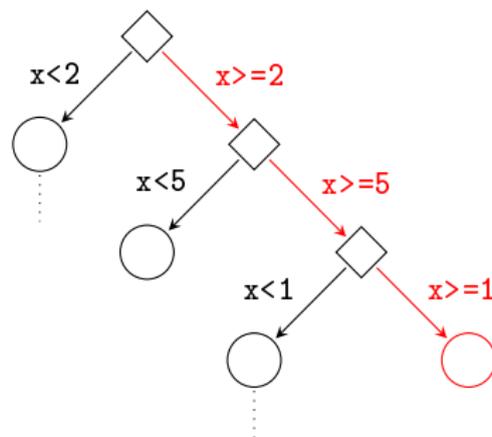


Backtrack + resolution : $x \geq 2 \wedge x \geq 5$; possible solution : $x = 8$



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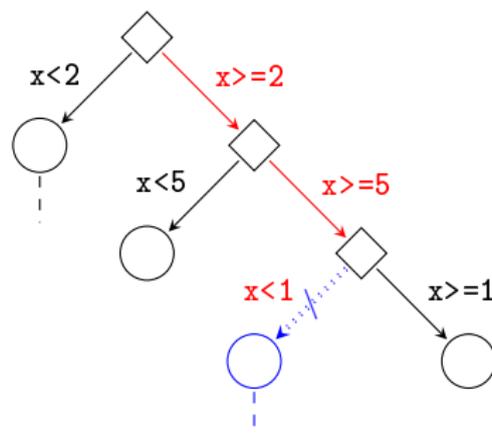


$x=8$ (concrete)



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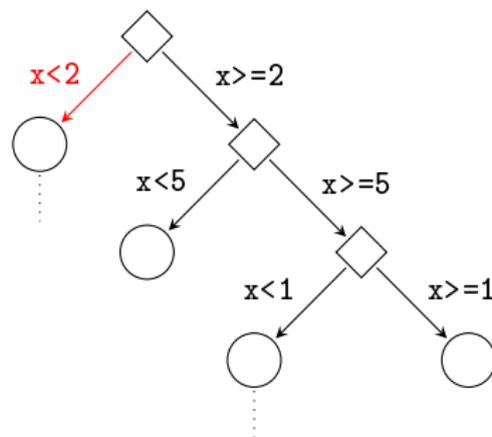


Backtrack + resolution : $x \geq 2 \wedge x \geq 5 \wedge x < 1$; **unfeasible**



Concolic approach

Idea : accelerate **symbolic** execution by using **concrete** execution at the same time. → Permits to select **feasible paths**.



Backtrack + resolution : $x < 2$; etc ...



Some known tools of CBT (non exhaustive ...)

- C - C++ :
 - Cute (University of Illinois, Berkeley)
 - Crest (Berkeley)
 - Dart (Bell Labs)
 - EXE (University of Stanford)
 - Inka (INRIA, France)
 - PathCrawler (CEA)
- Java, C# :
 - CATG (NTT Labs, Berkeley)
 - CPBPV (I3S, Sophia Antipolis, France)
 - JCute (University of Illinois, Berkeley)
 - Java Path Finder (NASA)
 - Pex (Microsoft)
 - Pet (University of Madrid)
- Binaries :
 - Osmose (CEA)
 - Sage (Microsoft)
 - Triton (Bordeaux University, Quarkslab)



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Generalities

Functional testing

TD is generated using the **specification** of the SUT

Example of known methods :

- **Equivalent classes** analysis - **Boundary values** analysis
 - Divide the global (multi-dimensional) set of inputs into equivalent classes
 - **One value** of the class tested \implies **all values** of the class tested
 - Sometimes add tests for the **boundaries**, often source of bugs
 - Decreases the number of TD in theory, sometimes not easy in practice



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Example of known methods :

- **Combinatory** testing - **Pairwise** testing

When more than 2 params, TD checks **only pairs** of values, not all possible combinations^a

Example : 3 boolean variables :

V1	V2	V3
0	0	0
0	0	1
0	1	0
...		

← **redundant**, remove test case

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^awww.pairwise.org



Generalities

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Example of known methods :

- **Random** testing
 - **Quick feedback** for coarse testing
 - In case of bug on few values, **low probability** to find it
 - May provide an important number of test cases → oracle?



Generalities

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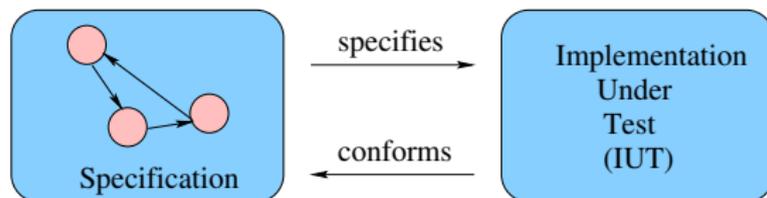
- **Model Based Testing**
 - Powerful technique
 - Particularly adapted for testing reactive systems, communication protocols
 - Not easy to have a (formal) model in practice
 - ☹ ← requires an important modelling effort (costly, but generally profitable)
 - Requires sometimes a *mapping* between **abstract** test cases and **concrete** test cases



What about Model Based Testing?

Model Based Testing

Model Based Testing (MBT) → testing with the ability to detect *faults* which do not conform to a **model** called **specification**.



→ possible automation for test generation, test execution, test evaluation (verdict)

→ Formal Methods



What about Model Based Testing? (2)

- Test cases are generated from the **Model**
- As usual, TD are applied on the **Implementation**, and results are compared with the **specification**
- Problems :
 - Need to find a “good” model of the specification
 - What does **specify** mean?
 - What does **conform** mean?
- **Implementation** is supposed to be equivalent to a formal model (but Implementation is unknown)
- Need a **conformance relation** between the **Specification** and the **Implementation**



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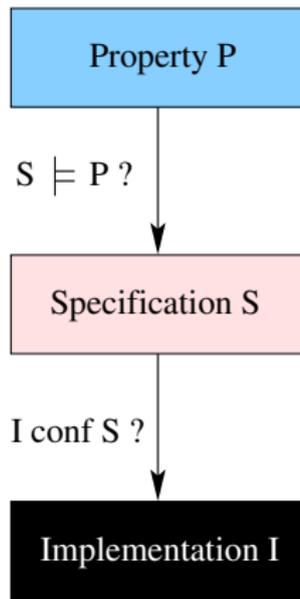
Two historical approaches of MBT of reactive systems :

- Finite State Machines
- Labeled Transition Systems



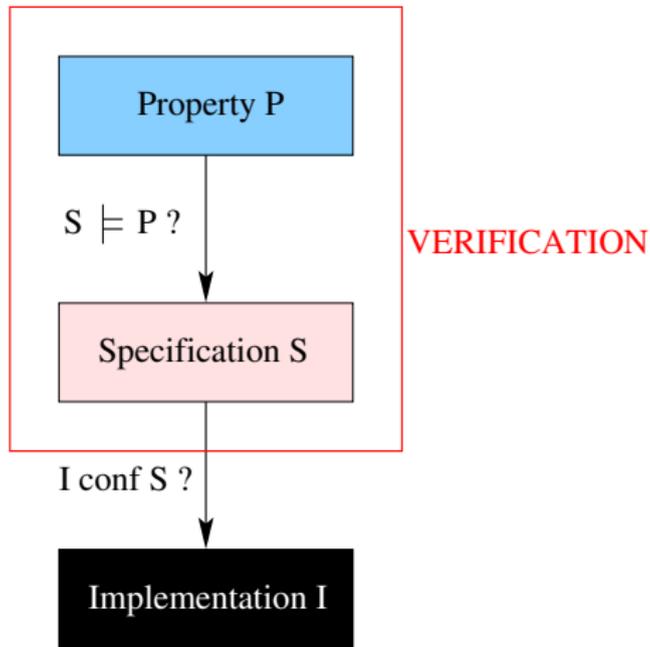


General schema



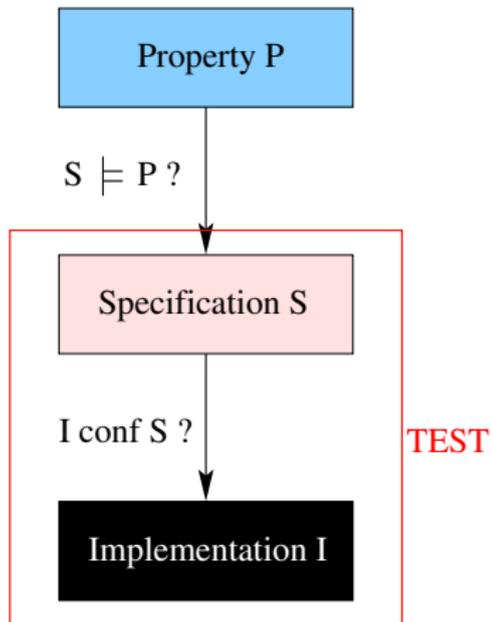


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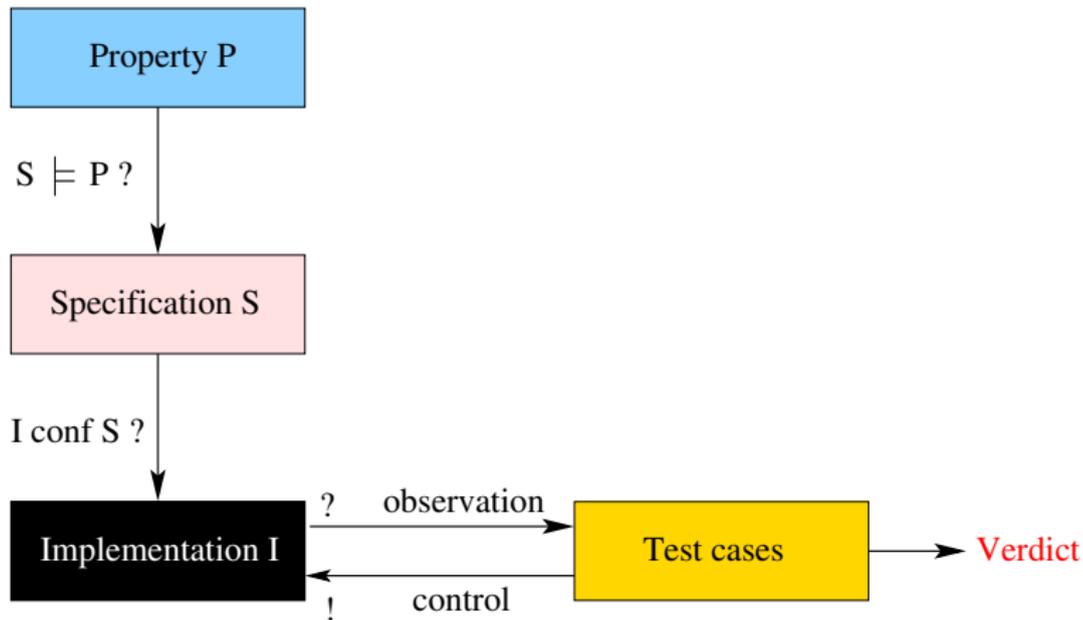


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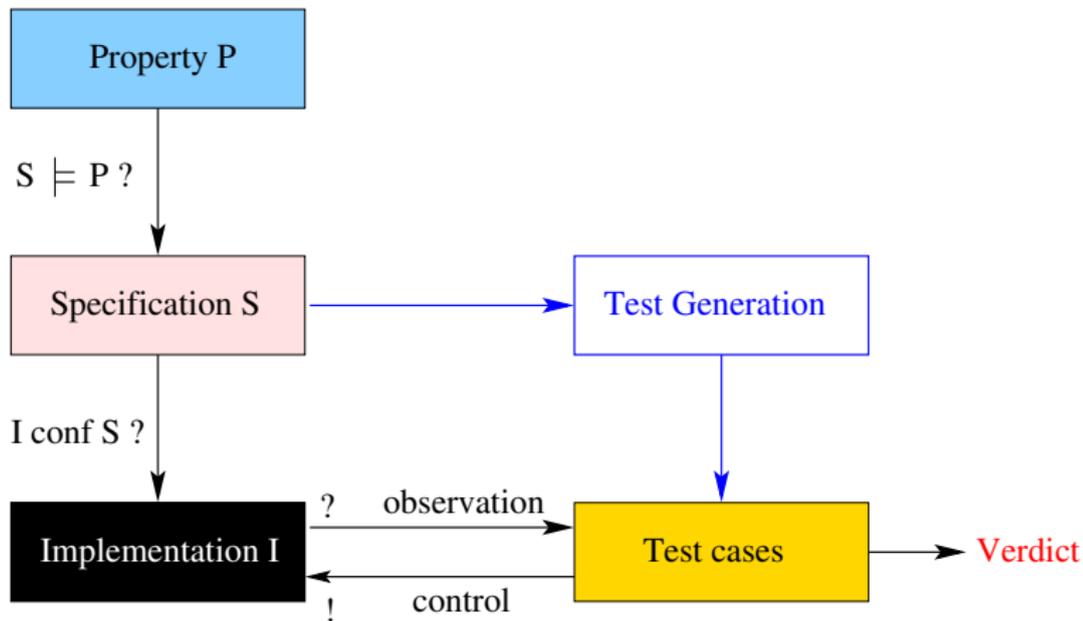


General schema



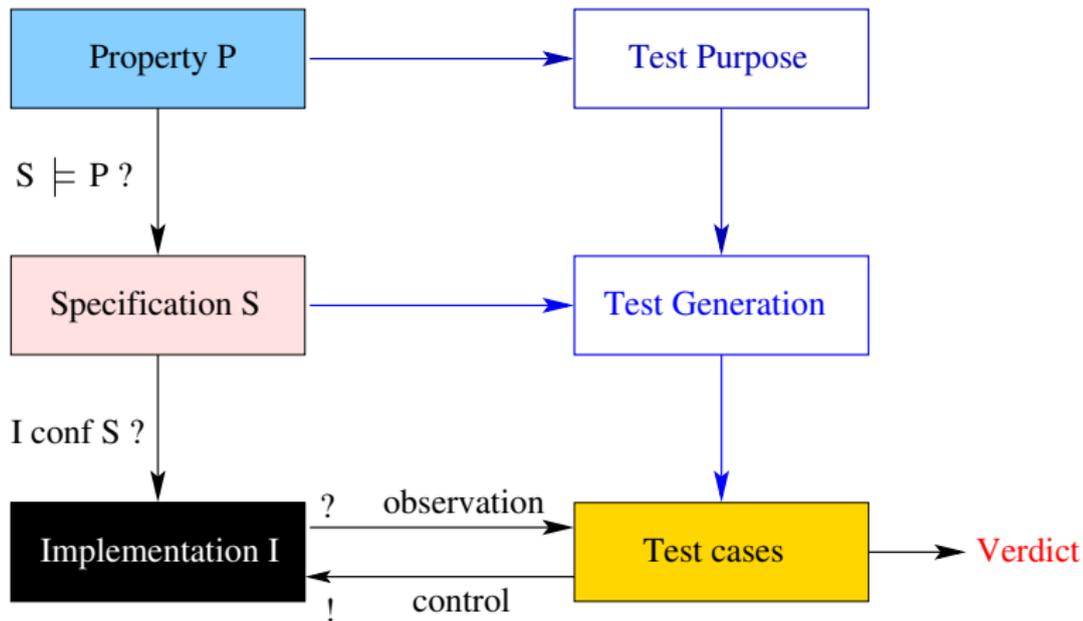


General schema





General schema





Modelling the system (1)

Find a “good” model

- Necessity to find a formal model **adapted** to the description of the specification
- **Too abstract** \implies not realistic, no interest
- **Too detailed** \implies difficult to model, too many cases to check
- Usually, the choice of the model has an impact on choice of the testing method (and conversely)?

Many possibilities of models, **more or less formal**



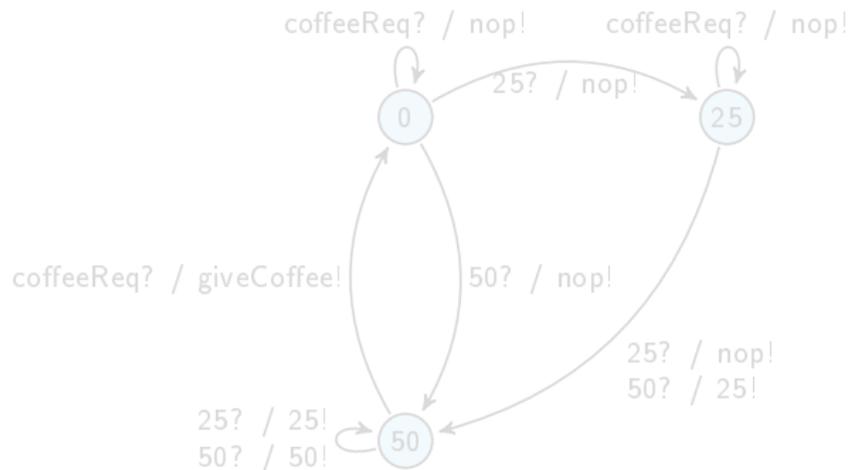
Modelling the system (2)

Example of Model : **Mealy machine** (FSM with outputs)

Simple coffee machine controller giving change, managing 2 coins

Inputs : { coffeeReq?, 25?, 50? } (labelled with "?")

Outputs : { nop!, 25!, 50!, giveCoffee! } (labelled with "!")



Possibility to add time (TFSM), data variables (EFSM), or both (TEFSM)



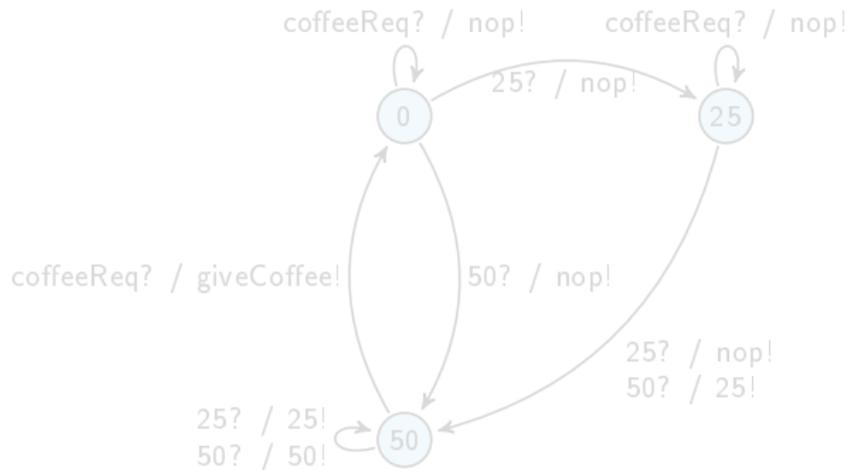
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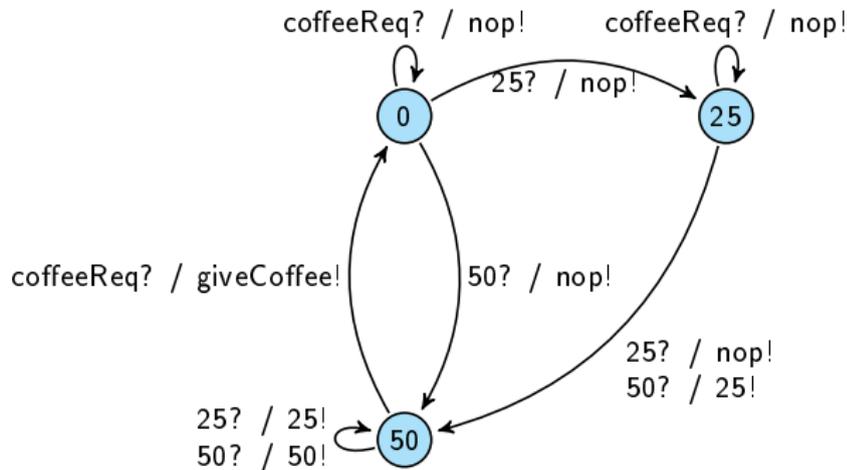
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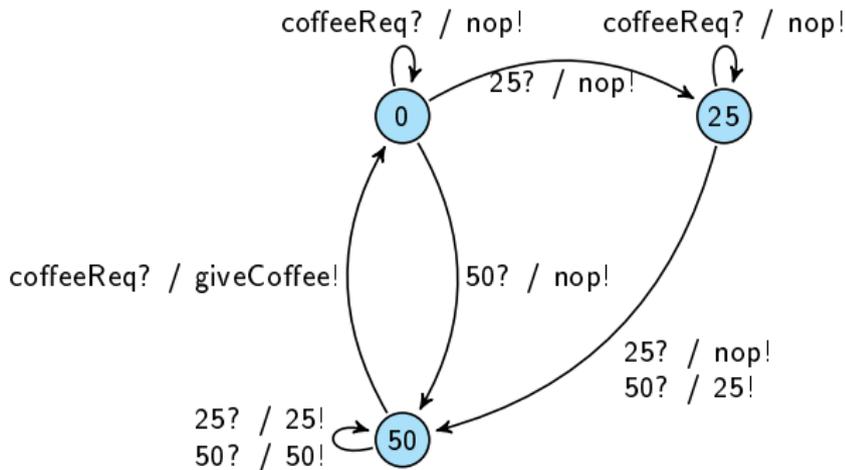
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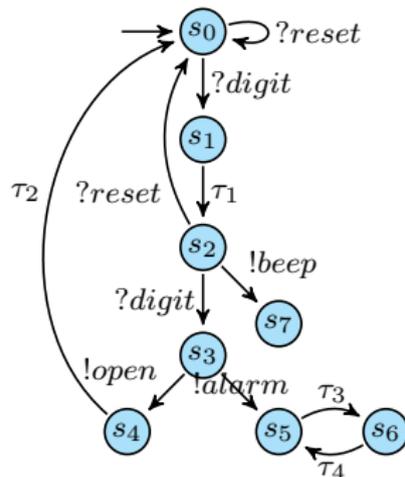


Modelling the system (3)

Example of Model : (IO)LTS (LTS with inputs and outputs)

A (very very) simplified digicode.

inputs (resp. outputs) labelled with "?" (resp. "!")



Possibility to add time (TIOTS, TAIO), data variables (IOSTS)

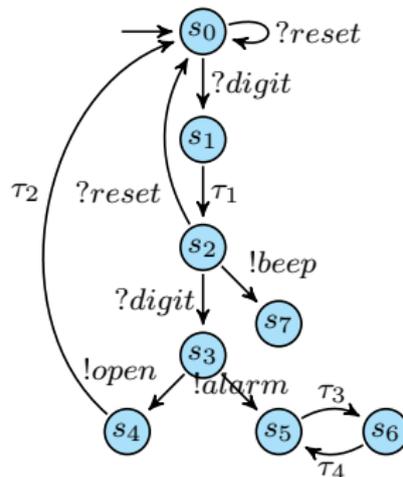


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Outline

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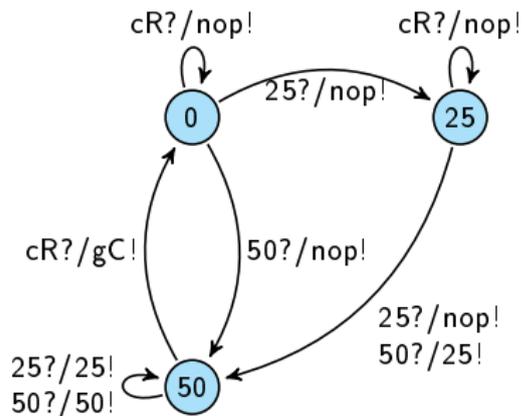
References

Part essentially based on :

- [BJK+05] Broy, M.; Jonsson, B.; Katoen, J.-P.; Leucker, M., Pretschner, A. (Eds.), “Model-Based Testing of Reactive Systems”, *Springer, LNCS, volume 3472*
- [BP94] G. v. Bochmann and Alexandre Petrenko, “Protocol Testing : Review of Methods and Relevance for Software Testing” In *Proceedings of the 1994 ACM SIGSOFT international symposium on Software testing and analysis*, Seattle, Washington, United States, p 109 - 124, 1994
- [Jer04] T. Jéron, “Contribution à la génération automatique de tests pour les systèmes réactifs,” 2004, habilitation à Diriger des Recherches - Université de Rennes 1.



Mealy Machine



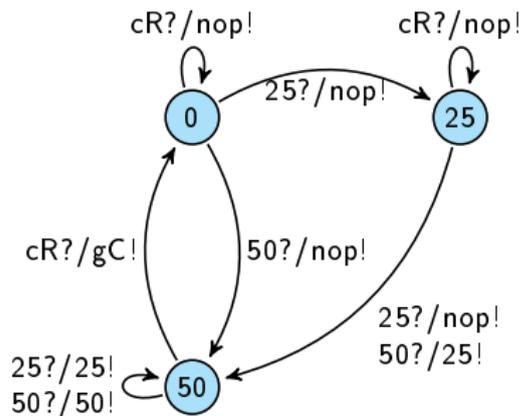
$\mathcal{M} = (I, O, S, \delta, \lambda)$ where

- I and O are finite sets of **inputs** and **outputs symbols**
- S is a finite set of **states**,
- $\delta : S \times I \rightarrow S$ is the **state transition function**, extend to input sequences with $\delta^* : S \times I^* \rightarrow S^*$
- $\lambda : S \times I \rightarrow O$ is the **output function**, extend to output sequences with $\lambda^* : S \times I^* \rightarrow O^*$

→ **Deterministic** since here δ and λ are functions



Mealy Machine



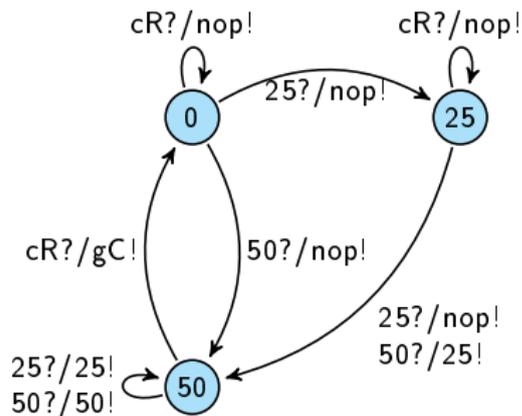
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→ Usually **complete** : δ and λ defined for any input



Mealy Machine



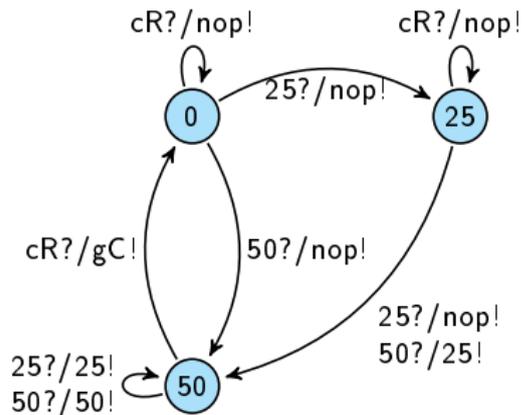
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→ **Equivalent** states : two states s and t are **equivalent** if
 $\forall x \in I^*, \lambda(s, x) = \lambda(t, x)$



Mealy Machine



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→ **Minimal machine** : no pair of distinct equivalent states (possible to build a minimal machine from a non minimal one)



Conformance testing

Problem description

- **Specification** M_S , Mealy machine, known
- **Implementation** M_I Mealy machine, unknown, only inputs/outputs are observable
- Aim : Use test sequences to check if M_I is **equivalent**^a to M_S , i.e. M_I **conforms to** M_S

^aHere “equivalent” means *isomorphic*

→ generally, M_S and M_I supposed to be **minimal** and **strongly connected** (usually existence of a *reset* action)

→ M_S and M_I have the same number of states (usually not necessary)



Test synthesis

Fault model

Checking **equivalence** between M_I and M_S means checking if M_I has no :

- **Output fault** : not the expected **output** for a given (state, input)
- **Transfer fault** : not the expected **arrival state** for a given (state, input)

Exhaustivity \implies any transition should be checked

Elementary test, general algorithm

For any state s and any input i (of the specification)

- Go to s
- Apply i , verify output o (compare to the specification)
- Identify arrival state with a sequence





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Identification sequences

For a given Mealy Machine $\mathcal{M} = (I, O, S, \delta, \lambda)$,

- Distinguishing Sequence :

$\exists DS \in I^*, \forall s, s' \in S : s \neq s' \Rightarrow \lambda^*(s, DS) \neq \lambda^*(s', DS)$ (DS method [Gon70])

- UIO² Sequence :

$\forall s \in S, \exists UIO_s \in I^*, \forall s' \in S \setminus \{s\}, \lambda^*(s, UIO_s) \neq \lambda^*(s', UIO_s)$ (UIO method [SD88])

- W set of x_{ij} sequences :

$\forall s_i, s_j \in S, \exists x_{ij} \in I^*, \lambda^*(s_i, x_{ij}) \neq \lambda^*(s_j, x_{ij})$ (W method [Cho78])

→ W set always exists in case of minimal machine, others may not exist

²Unique Input Output



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Test generation (1)

Aim : reduce the length of the test case

- TT [NT81]

Find a minimal sequence running through all transitions

→ Chinese Postman problem :

- Transform the graph into a symmetric one in a minimal way
- Find an Eulerian circuit

- UIO [SD88]

- Find a UIO sequence for each state

- Considering the transitions $s_i \xrightarrow{i/o} s_j \xrightarrow{UIO_{s_j}} s_k$, find a minimal circuit running once through these transitions
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- **DS** [Gon70]
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 - Noting $X \cdot Y$ the concatenation of all elements of X with all elements of Y , generate $\{reset\} \cdot P \cdot W$
- W_p, UIO_p, UIO_v, DS without resets, Adaptative DS, HSI, \dots
- Possibility to be more efficient by using **Adaptative Sequences**

³case where M_I and M_S have the same number of states



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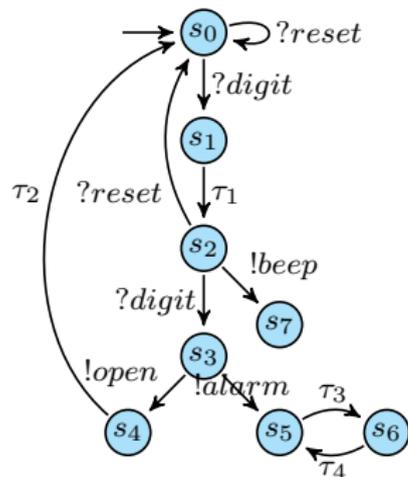
References

Part essentially based on :

- [Tre96] J. Tretmans, “Test generation with inputs, outputs, and repetitive quiescence,” *Software–Concepts and Tools*, vol. 17, pp. 103–120, 1996.
- [JJ04] C. Jard and T. Jéron, “Tgv: theory, principles and algorithms, a tool for the automatic synthesis of conformance test cases for non-deterministic reactive systems,” *Software Tools for Technology Transfer (STTT)*, 10 2004.
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- [Jer12] T. Jéron, Model Based Testing courses.



Input Output Labelled Transition System (IOLTS)



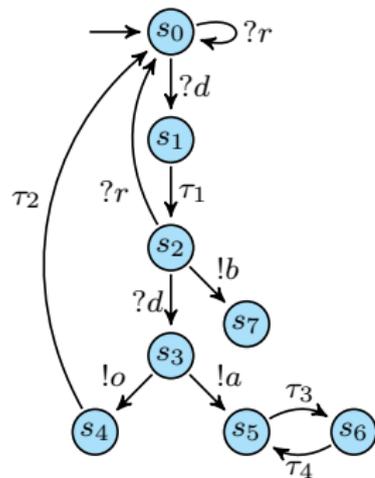
$M = (Q^M, A^M, \longrightarrow_M, q_0^M)$ with :

- Q^M set of states
- $q_0^M \in Q^M$ initial state
- A^M action alphabet,
 - A_I^M input alphabet (with ?)
 - A_O^M output alphabet (with !)
 - I^M internal actions (τ_k)
- $\longrightarrow_M \subseteq Q^M \times A^M \times Q^M$
transition relation

$A_{VIS}^M = A_I^M \cup A_O^M$ set of visible actions



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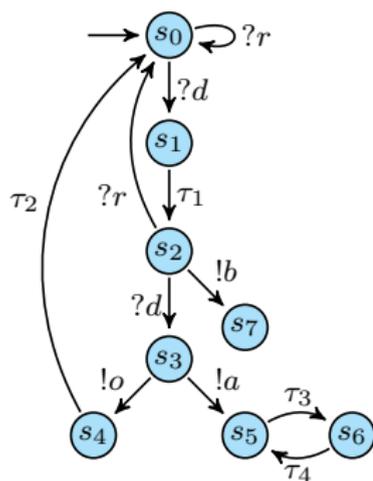
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Runs / Traces



Runs: alternate sequences of states and actions fireable btw those states

$$s_0 \xrightarrow{?d} s_1 \xrightarrow{\tau_1} s_2 \xrightarrow{?d} s_3 \xrightarrow{!o} s_4 \in Runs(M)$$

Traces: projections of *Runs* on visible actions:

$$Traces(M) = \{\varepsilon, ?d, ?r, ?d.?r, ?r.?d, ?d.!b, \dots\}$$

***P* after σ :** set of states reachable from *P* after observation σ :

$$\{s_2\} \text{ after } ?d.!o = \{s_0, s_4\}$$

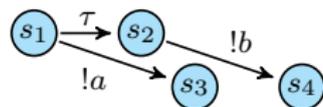
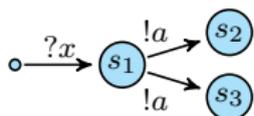
$$\{s_0\} \text{ after } ?d,!a = \emptyset$$

$$M \text{ after } \sigma \triangleq \{q_0\} \text{ after } \sigma$$

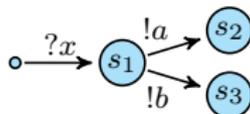


Non-determinism

M is **deterministic** if it has no internal action,
and $\forall q, q', q'' \in Q, \forall a \in AVIS, (q \xrightarrow{a} q' \wedge q \xrightarrow{a} q'') \Rightarrow q' = q''$



Not to be confused with **uncontrolled choice**

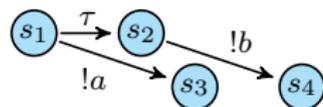
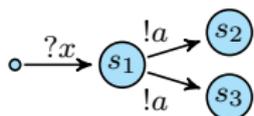


Determinization: $det(M) = (2^Q, AVIS, \xrightarrow{det}, q_0 \text{ after } \epsilon)$ with
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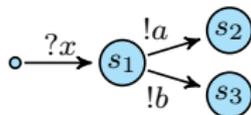
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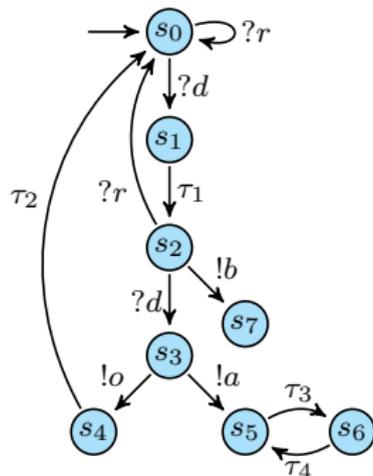
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Observation of quiescence

In testing practice, one can observe traces of the *IUT*, but also its **quiescences** with **timers**.

Only quiescences of *IUT* unspecified in *S* should be rejected.



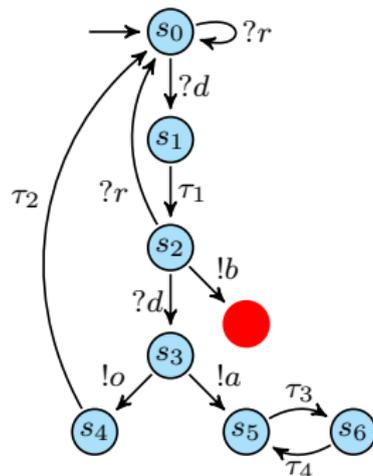
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deadlock : no possible evolution :

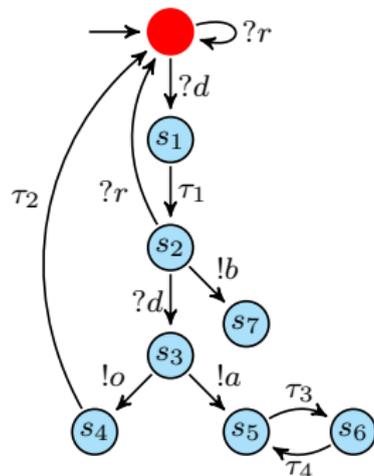
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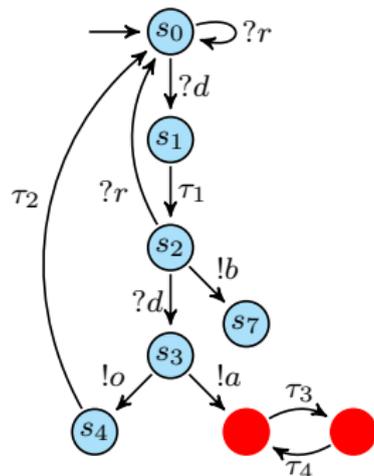
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livelock : internal actions loop :

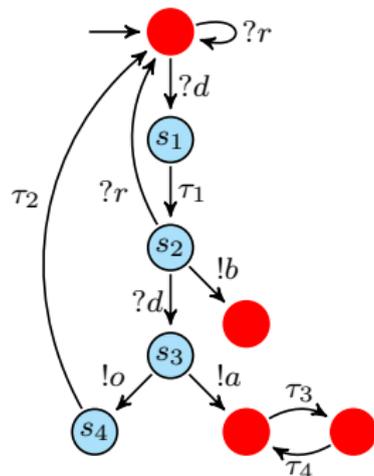
$$\exists \tau_1, \dots, \tau_n : q \xrightarrow{\tau_1 \dots \tau_n} q.$$



Observation of quiescence

In testing practice, one can observe traces of the *IUT*, but also its **quiescences** with **timers**.

Only quiescences of *IUT* unspecified in *S* should be rejected.



Notation : $\Gamma(q) \triangleq \{a \in A \mid q \xrightarrow{a}\}$

deadlock : no possible evolution :

$$\Gamma(q) = \emptyset.$$

outputlock : system waiting for an action :

$$\Gamma(q) \subseteq A_I.$$

livelock : internal actions loop :

$$\exists \tau_1, \dots, \tau_n : q \xrightarrow{\tau_1 \dots \tau_n} q.$$

$$\text{quiescent}(M) = \text{deadlock}(M) \cup \text{livelock}(M) \cup \text{outputlock}(M)$$

Suspension automaton

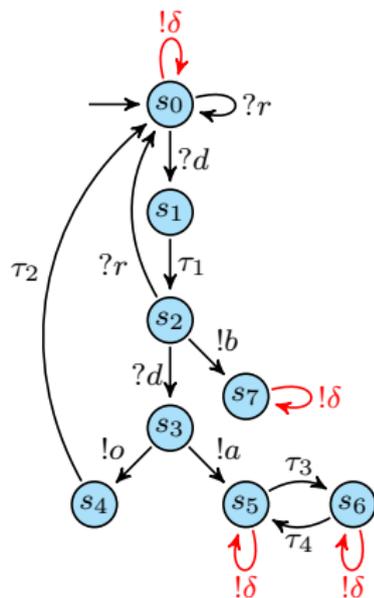
Quiescence : special output δ

Suspension automaton $\Delta(M)$

$\Delta(M)$ = Specification M + δ -transitions
on **quiescent** states

Suspension traces

$STraces(M) \triangleq Traces(\Delta(M)) =$
 $Traces(det(\Delta(M)))$





Testing framework

Specification : ioLTS $S = (Q^S, A^S, \longrightarrow_s, s_0^S)$

Implementation : ioLTS $IUT = (Q^{IUT}, A^{IUT}, \longrightarrow_{IUT}, s_0^{IUT})$

Unknown implementation, except for its interface,
identical to S 's

Hyp.: IUT is **input-complete** : In any state, IUT
accepts any input, possibly after internal actions.



Conformance relation

The **conformance relation** defines the set of implementations IUT **conforming** to S .

Conformance

$$IUT \text{ ioco } S \triangleq \forall \sigma \in S\text{Traces}(S), \\ \text{Out}(\Delta(IUT) \text{ after } \sigma) \subseteq \text{Out}(\Delta(S) \text{ after } \sigma)$$

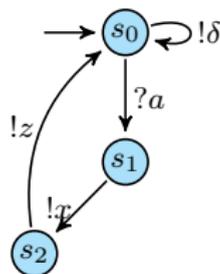
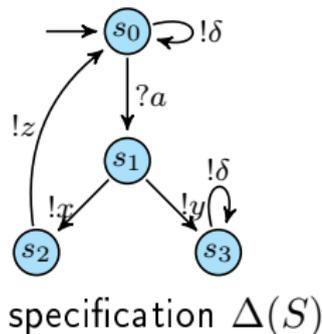
with $\text{Out}(P) \triangleq \Gamma(P) \cap A_O^\delta$ ^a: set of outputs \wedge quiescences in P .

^a A_O^δ is equivalent notation for A_O since δ is an output of $\Delta(S)$ and $\Delta(IUT)$

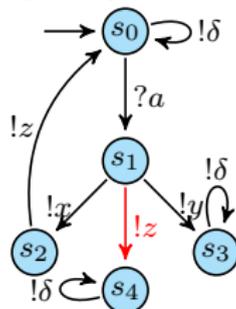
Intuition : IUT conforms to S iff after any suspension trace of S and IUT , all outputs and quiescences of IUT are specified by S .



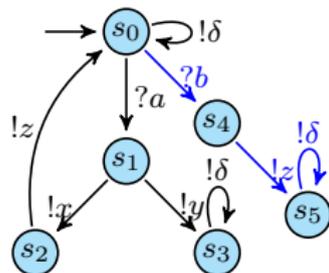
ioco: example



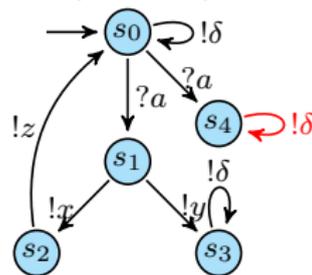
I_1 : **Implem. choice**



I_3 : **Unspec. output**



I_2 : **Implem. of a partial spec.**



I_4 : **Unspec. quiescence**



Canonical Tester

From S (more precisely from $det(\Delta(S)) = (Q^d, A^d, \longrightarrow_d, q_0^d)$),
build an ioLTS $Can(S) = (Q^c, A^c, \longrightarrow_c, q_0^c)$

→ the most general ioLTS detecting non-conformance of
implementation *IUT*.



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From $det(\Delta(S))$:

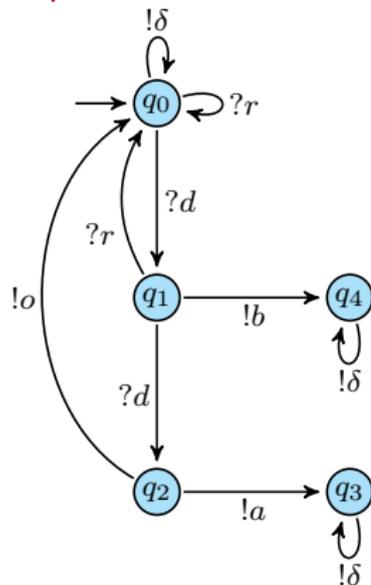
- Invert inputs and outputs (tester point of view)
- All non-specified outputs lead to **Fail**.



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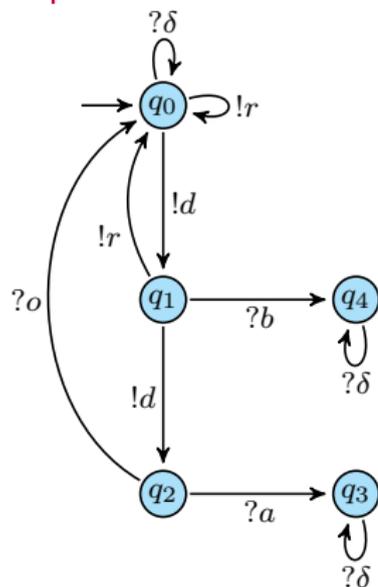




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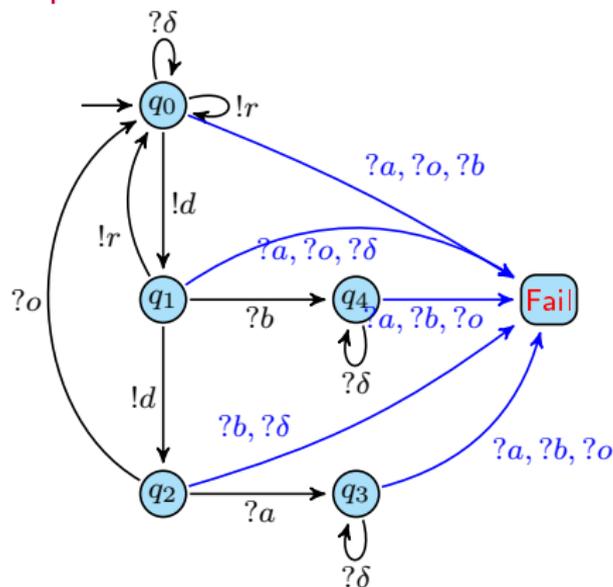




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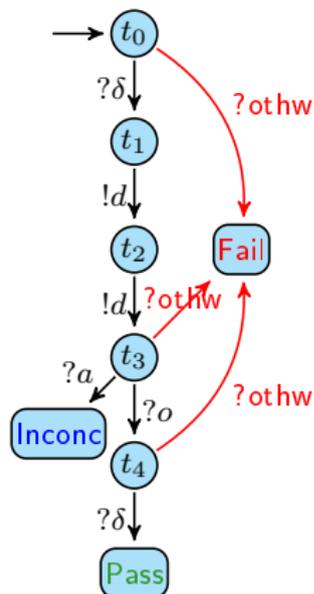




Test cases

A test case is a deterministic ioLTS $(Q^{TC}, A^{TC}, \rightarrow_{TC}, t_0^{TC})$, equipped with verdict states: **Pass**, **Fail** and **Inconc** s.t.

- TC follows the tester point of view (input / output inversion)
- TC is **controllable**, i.e. never have to choose btw. several outputs or btw. inputs and outputs :
- All states with an input, are **input-complete**, except verdict states.

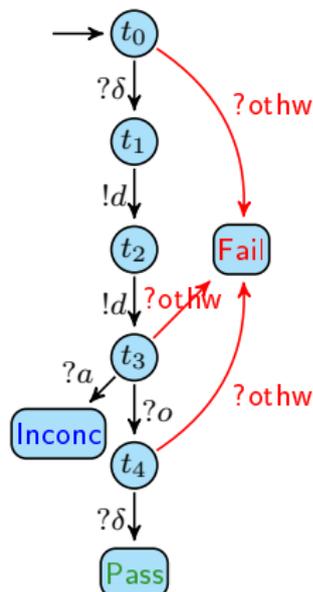


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Properties of test suites

TC fails IUT iff an execution of $TC \parallel \Delta(IUT)$ reaches **Fail**

Expresses a *possibility* for rejection.

→ a single test case may lead to several different verdicts

Soundness, Exhaustiveness, Completeness

A set of test cases TS is

- *Sound* \triangleq
 $\forall IUT : (IUT \text{ ioco } S \implies \forall TC \in TS : \neg(TC \text{ fails } IUT)),$
i.e. only non-conformant IUT may be rejected by a $TC \in TS$.
- *Exhaustive* \triangleq
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- *Complete* = *Sound* and *Exhaustive*

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- **Complete** = Sound and Exhaustive



Test selection

Objective : Find an algorithm taking as input a finite state ioLTS S , and satisfying the following properties:

- Produces only **sound** test suites
- Is limit-**exhaustive** i.e. the infinite suite of test cases that can be produced is **exhaustive**

Two techniques :

- 1 Non-deterministic selection (TorX)
- 2 Selection guided by a test purpose (TGV)



Non-deterministic selection

Algorithm: partial unfolding of $Can(S)$

Start in q_0^c . After any trace σ in $Can(S)$

- If $Can(S)$ after $\sigma \subseteq \text{Fail}$, emit a **Fail** verdict
- Otherwise make a choice between
 - Produce a **Pass** verdict and stop,
 - Consider all inputs of $Can(S)$ after σ and continue.
 - Choose one output in those of $Can(S)$ after σ and continue.

Properties

TS = all possible Test cases generated with this algorithm :

TS is **sound** and **limit-exhaustive**



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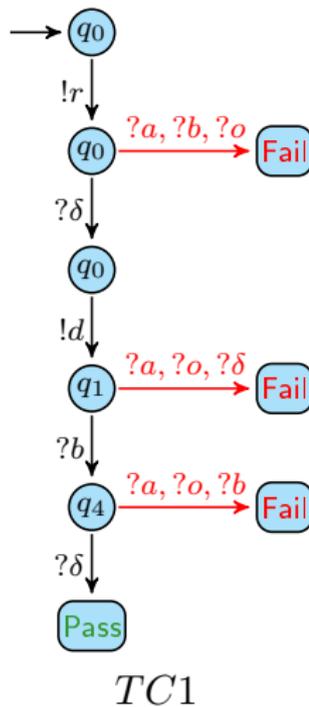
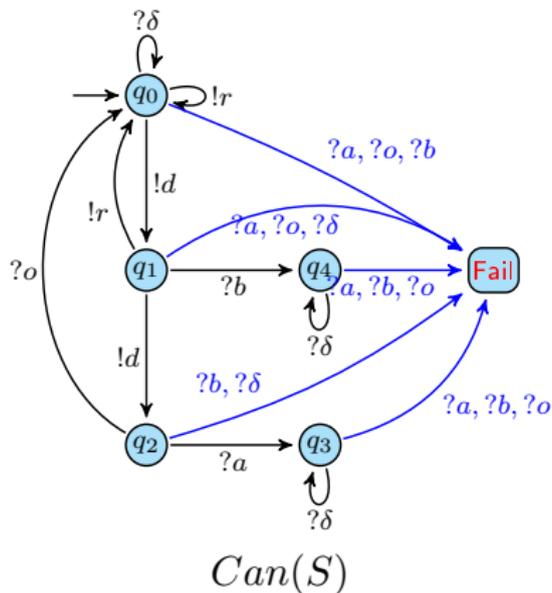
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Example





Test Purpose generation

Previous algorithm : maybe quite long if we intend to focus on a specific behavior...

Main characteristics of **Test Purpose Generation**:

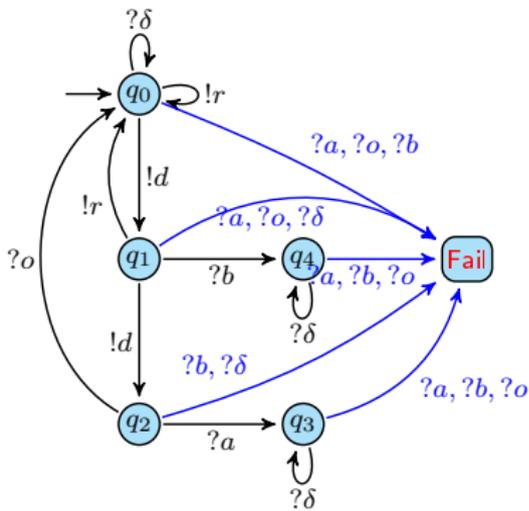
- Test selection by **test purposes** describing a set of behaviors to be tested, targeted by a test case



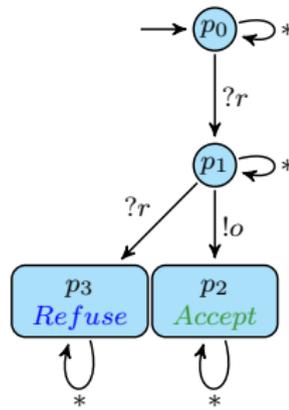
Test Purpose definition

Test Purpose

Deterministic and complete ioLTS $TP = (Q^{TP}, A^{TP}, \longrightarrow_{TP}, q_0^{TP})$ equipped with two sets $Accept^{TP}$ and $Refuse^{TP}$ of trap states



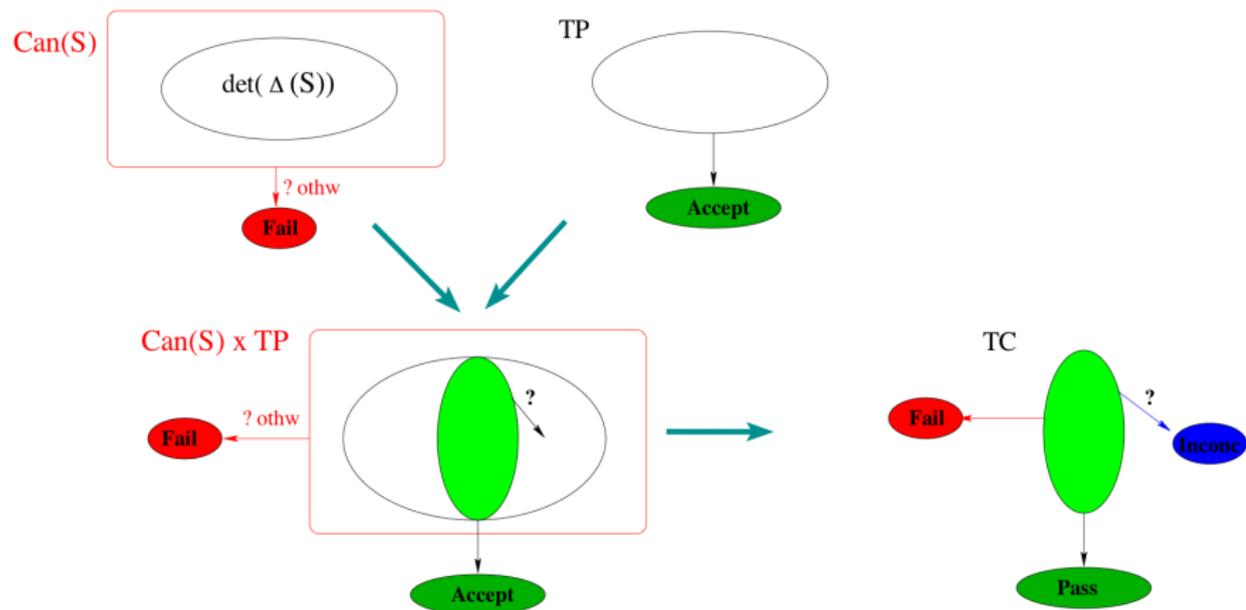
$Can(S)$



TP



Selection principle





Synchronous Product : definition

Definition of Synchronous Product

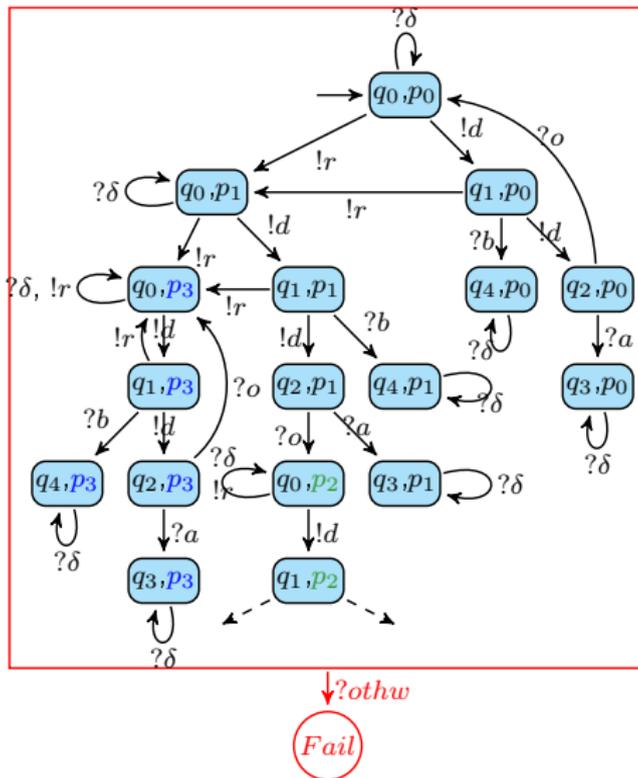
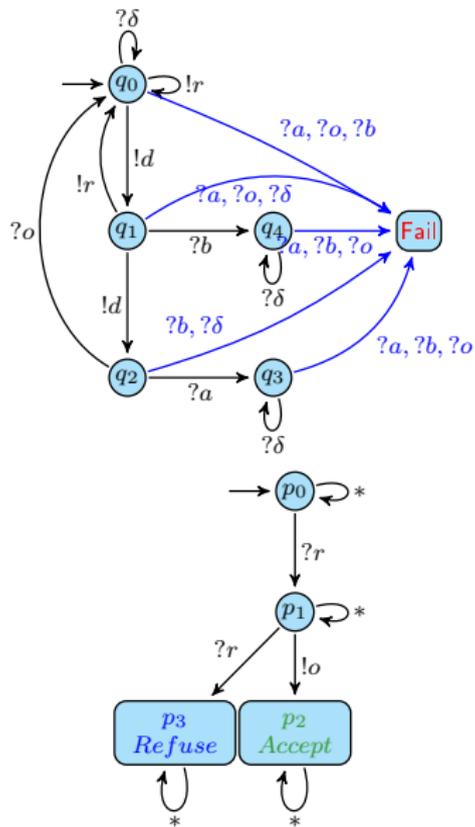
The **Synchronous Product** of two ioLTS

$M_1 = (Q^{M_1}, A, \longrightarrow_{M_1}, q_0^{M_1})$, and $M_2 = (Q^{M_2}, A, \longrightarrow_{M_2}, q_0^{M_2})$ is the ioLTS $M_1 \times M_2 = (Q^{M_1} \times Q^{M_2}, A, \longrightarrow, q_0^{M_1} \times q_0^{M_2})$ where \longrightarrow is defined by :

$$(q_{M_1}, q_{M_2}) \xrightarrow{a} (q'_{M_1}, q'_{M_2}) \Leftrightarrow (q_{M_1} \xrightarrow{a}_{M_1} q'_{M_1}) \wedge (q_{M_2} \xrightarrow{a}_{M_2} q'_{M_2})$$



The Synchronous Product $Can(S) \times TP$

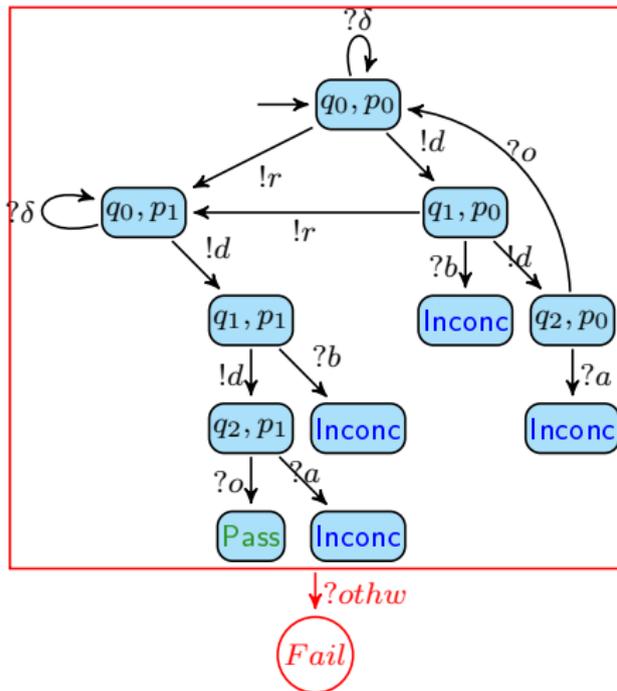




Complete Test Graph (CTG)

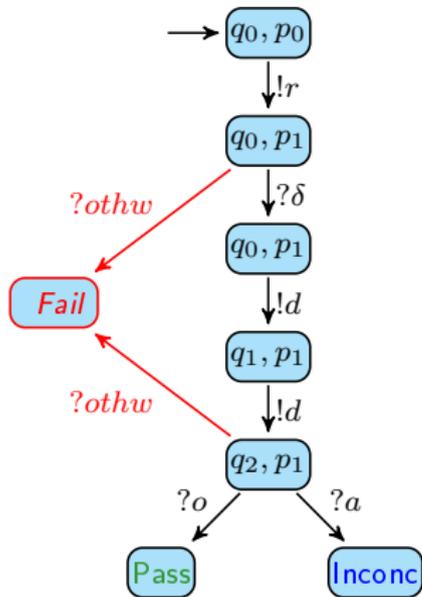
Co-reachability analysis :

- Keep the first *Accept* state in a path \rightarrow *Pass*
- If $q \in \text{coreach}(\text{Pass})$ keep q
- If $q \in \{\text{Fail}\}$ keep q
- If $q \notin \text{coreach}(\text{Pass})$ input (tester point of view) is successor of a state $q' \in \text{coreach}(\text{Pass})$ then *Inconc*

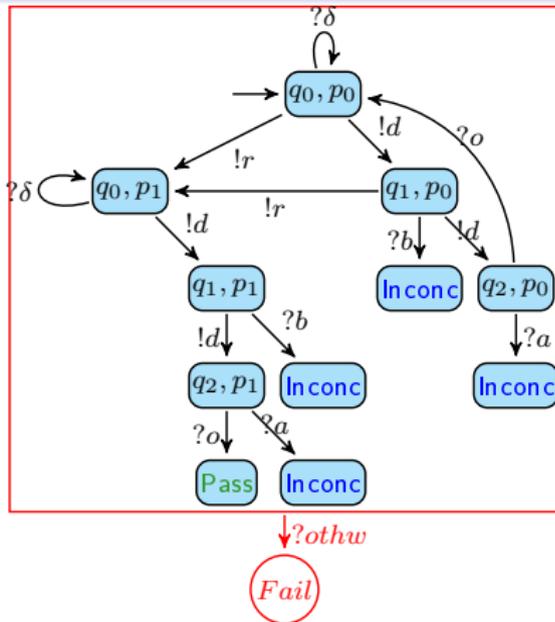




Ensuring controlability of test cases



Example of Test Case



The test suite composed of the set of test cases that the algorithm can produce is **sound** and **limit-exhaustive**.



If we summarize MBT...

- Two “historical” approaches of MBT : based on **ioLTS** and **Mealy Machines** theory
- Today, many other approaches exist, with **various describing formats**
(e.g. extensions of FSM and LTS, UML, SysML, Markov chains, Simulink, Lustre, ...)
- **Many tools are available.**
→ A (non-exhaustive, but yet interesting) list may be found here :
http://mit.bme.hu/~micskeiz/pages/modelbased_testing.html



Outline

- 1 Generalities on testing
- 2 Source Code Based Testing (SCBT)
- 3 Functional testing - Model Based Testing
 - "Historical" approaches of MBT : based on Mealy Machines
 - "Historical" approaches of MBT : based on Labelled Transition Systems
- 4 Conclusion



Concluding remarks

- Very active domain
- Many issues, both theoretical and practical
- Still a lot to do
- Huge industrial needs

Perspectives

- Many !
- See the following presentations of this Summer School !



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Thank you for your attention

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