

Topological entropies of subshifts of finite type in amenable groups.

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Symbolic Dynamical Systems

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There was ergodic theory.

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... However, they kept a shameful secret!

Consider

- $\mathbb{Z} \curvearrowright X = \{0, 1\}^{\mathbb{Z}}$ with the Bernoulli measure $(\frac{1}{2}, \frac{1}{2})$.
- $\mathbb{Z} \curvearrowright Y = \{0, 1, 3\}^{\mathbb{Z}}$ with the Bernoulli measure $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$.

The secret: ergodic theory cannot tell them appart.

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The secret: ergodic theory cannot tell them apart.

Why: the Koopman operator of a system $(G \curvearrowright X, \mu)$ is

$$\kappa_X: G \rightarrow \mathcal{B}(L^2(X))$$

given by

$$\kappa_X(s)(f) = f \circ s^{-1}$$

And it happens that

$$\kappa_X, \kappa_Y \text{ are equivalent to } 1_{\mathbb{Z}} \oplus \lambda_{\mathbb{Z}}^{\oplus \mathbb{N}}.$$

The age of heroes

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And they showed that it was an invariant under isomorphism.

This was great:

Theorem (Ornstein, 70.)

Two Bernoulli shifts are isomorphic if and only if they have the same entropy.

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Let G be amenable, $(F_n)_{n \in \mathbb{N}}$ a Følner sequence, \mathcal{U} an open cover of X and $N(\mathcal{U})$.

$$h_{\text{top}}(G \curvearrowright X) = \sup_{\mathcal{U}} \lim_{n \rightarrow \infty} \frac{1}{|F_n|} \log N(\mathcal{U}^{F_n}).$$

Annoying question

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- \mathbb{Z} -SFTs [Lind, 84] Non-negative rational multiples of logarithms of Perron numbers.
- \mathbb{Z}^d -SFTs, $d \geq 2$ [Hochman and Meyerovitch, 10] The set of non-negative upper semi-computable numbers.
- Effectively closed \mathbb{Z} -subshifts. The set of non-negative upper semi-computable numbers.

Even more annoying question

Let G be a countable amenable group. Characterize the set $\mathcal{E}_{\text{SFT}}(G)$ of entropies attainable by G -SFTs

Trivial realization result

If G is a countable amenable group and $H \leq G$, then

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Computability bound

If G is a finitely generated amenable group with decidable word problem, then

$$\mathcal{E}_{\text{SFT}}(G) \subseteq \mathcal{E}_{\text{SFT}}(\mathbb{Z}^2)$$

A simple consequence:

G is polycyclic if there exists a sequence of N_i

$$G = N_0 \triangleright N_1 \triangleright \cdots \triangleright N_n \triangleright N_{n+1} = \{1_G\}.$$

such that every quotient N_i/N_{i+1} is cyclic. The Hirsch index of G is the number of infinite quotients in such a series.

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Theorem (B., 19.)

Let G be a polycyclic-by-finite group and denote by $h(G)$ its Hirsch index.

- 1 If $h(G) = 0$ then $\mathcal{E}_{SFT}(G) = \{\frac{1}{|G|} \log(n) \mid n \in \mathbb{Z}_+\}$.
- 2 If $h(G) = 1$ then $\mathcal{E}_{SFT}(G) = \mathcal{E}_{SFT}(\mathbb{Z})$, the set of non-negative rational multiples of logarithms of Perron eigenvalues.
- 3 If $h(G) \geq 2$ then $\mathcal{E}_{SFT}(G) = \mathcal{E}_{SFT}(\mathbb{Z}^2)$, the set of non-negative upper semi-computable numbers.

Theorem (B., 19.)

Let G be a finitely generated amenable group such that

- G has decidable word problem.
- G admits a translation-like action by \mathbb{Z}^2 .

Then the set of entropies attainable by G -subshifts of finite type is the set of non-negative upper semi-computable numbers.

$$\mathcal{E}_{SFT}(G) = \mathcal{E}_{SFT}(\mathbb{Z}^2).$$

Translation-like action

$H \curvearrowright G$ is translation-like if

- 1 $H \curvearrowright G$ is free. $h \cdot g = g \implies h = 1_H$.
- 2 $H \curvearrowright G$ is bounded.
 $\{(h \cdot g)g^{-1} \mid g \in G\}$ is finite for every $h \in H$.

Products of f.g. groups

If G_1, G_2 are f.g, amenable, and have decidable word problem, then

$$\mathcal{E}_{\text{SFT}}(G_1 \times G_2) = \mathcal{E}_{\text{SFT}}(\mathbb{Z}^2).$$

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Products of countable, non-locally finite groups

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Branch groups

If G is an infinite, f.g, amenable branch group with decidable word problem (ex: Grigorchuk group), then

$$\mathcal{E}_{\text{SFT}}(G) = \mathcal{E}_{\text{SFT}}(\mathbb{Z}^2).$$

To prove the main theorem, we need to introduce group charts.

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H-cocycle

Consider $G \curvearrowright X$. A continuous function $\gamma : H \times X \rightarrow G$ is an ***H*-cocycle** if it satisfies

$$\gamma(h_1 h_2, x) = \gamma(h_1, \gamma(h_2, x)x) \cdot \gamma(h_2, x) \text{ for every } h_1, h_2 \text{ in } H.$$

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G -charts

A pair (X, γ) is called a **G -chart of H** . If every induced action $H \overset{x}{\curvearrowright} G$ is free, it is called a **free G -chart of H** .

Example

Let $H \leq G$, then for any $G \curvearrowright X$ if we define:

$$\gamma(h, x) = h \text{ for } x \in X, h \in H$$

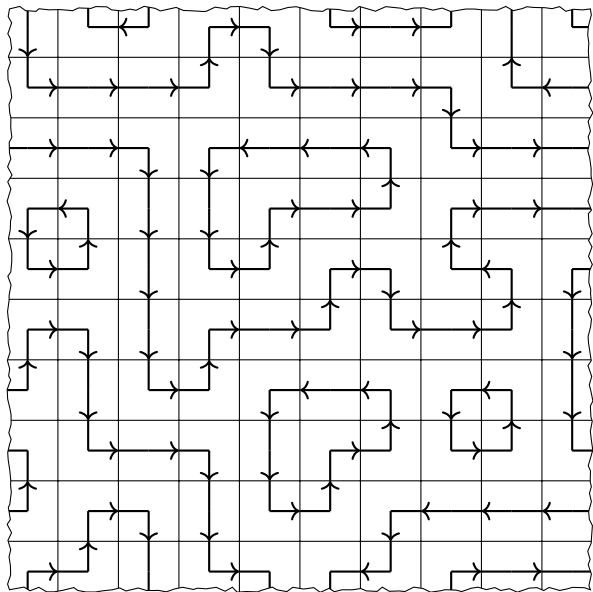
Then γ is an H -cocycle and (X, γ) is a free G -chart of H .

Let $G = \mathbb{Z}^2$ and consider the set of tiles Σ :




Let $X \subset \Sigma^{\mathbb{Z}^2}$ the set of all configurations such that every outgoing arrow matches with an incoming arrow.

Group charts




Group charts

Let $\gamma: \mathbb{Z} \times X \rightarrow \mathbb{Z}^2$ be the cocycle such that: $\gamma(1, x)$ is the unit vector represented by the outgoing arrowhead of $x((0, 0))$ and $\gamma(-1, x)$ the vector represented by the incoming arrow.

if $x(0, 0) =$ 

$$\gamma(1, x) = (0, 1)$$


$$\gamma(-1, x) = (-1, 0)$$

if $y(0, 0) =$ 

$$\gamma(1, y) = (1, 0)$$


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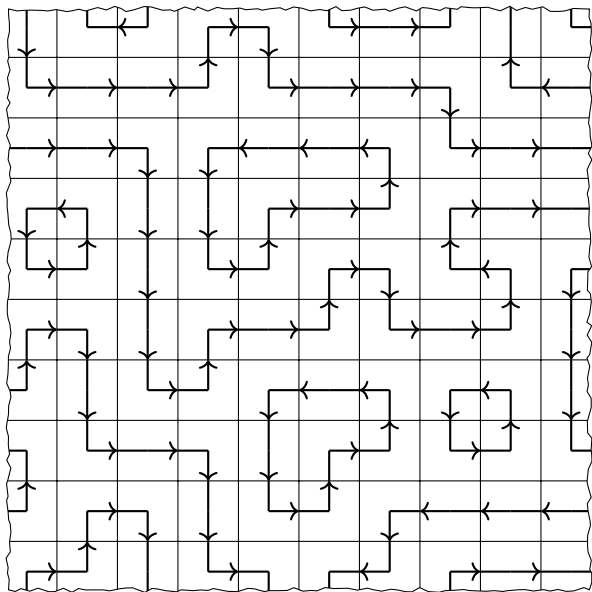
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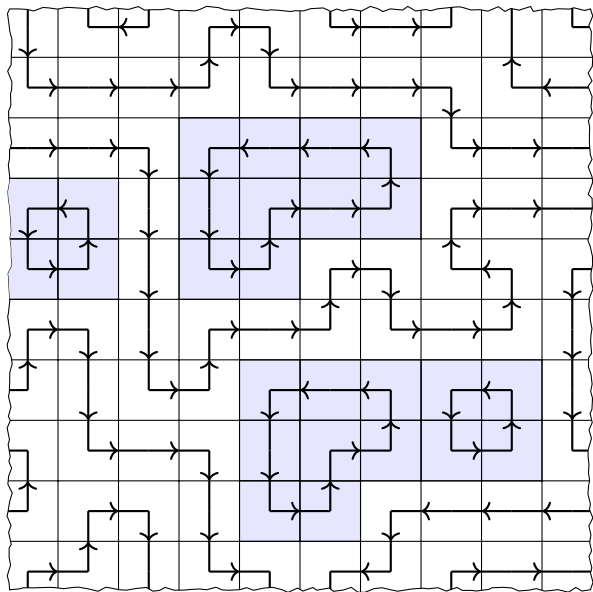
Example

(X, γ) is a \mathbb{Z}^2 -chart of \mathbb{Z} . It is not free.

Group charts

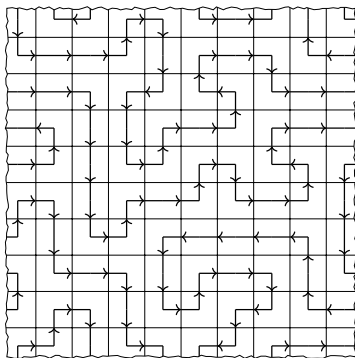


Group charts

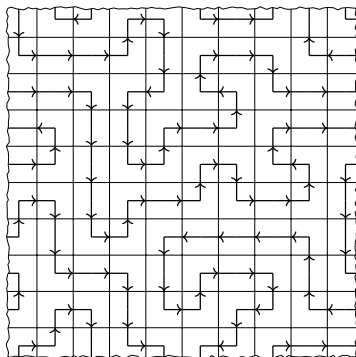


Group charts

Consider $\widehat{X} \subset X$ be the subshift of X such that no cycles appear.



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Example

$(\widehat{X}, \gamma|_{\mathbb{Z} \times \widehat{X}})$ is a **free** \mathbb{Z}^2 -chart of \mathbb{Z} .

Consider

- A G -subshift X .
- A G -chart (X, γ) of H .
- An H -subshift Y .

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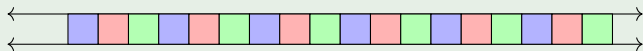
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Embedding of $H \curvearrowright Y$ into (X, γ)

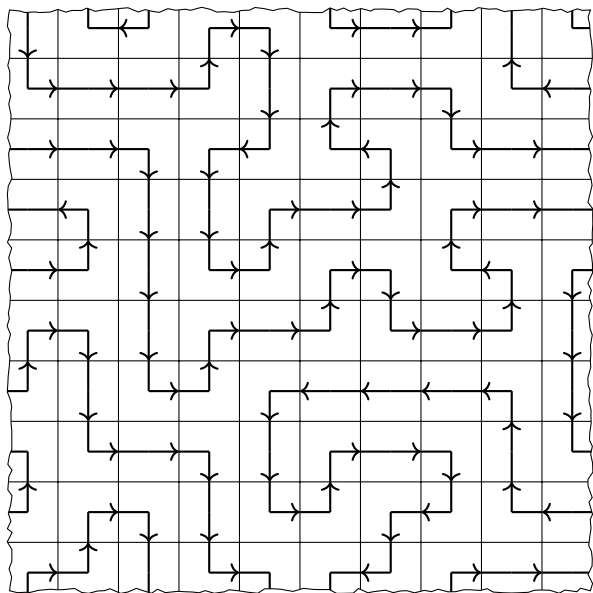
Let $Y_\gamma[X]$ be the set of all $(x, y) \in X \times \mathcal{A}^G$ such that every copy of H induced by the cocycle γ carries a configuration from Y .

Example

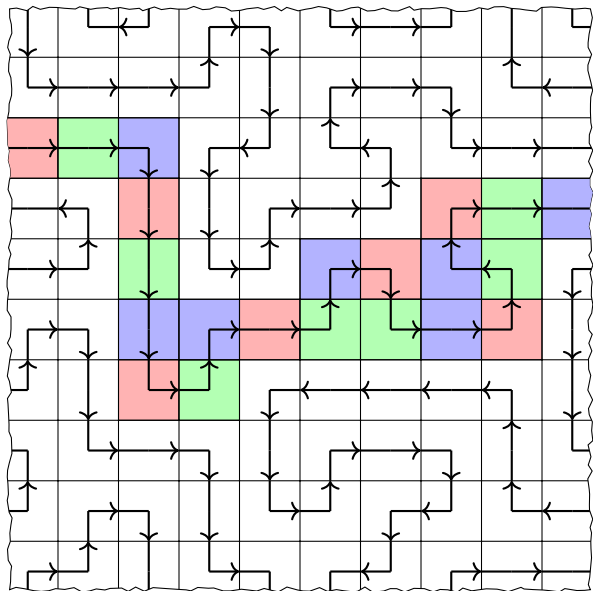
- The \mathbb{Z}^2 -subshift \widehat{X} from the example before.
- The free G -chart (\widehat{X}, γ) of H .
- The \mathbb{Z} -subshift consisting of the orbit of the periodic configuration:



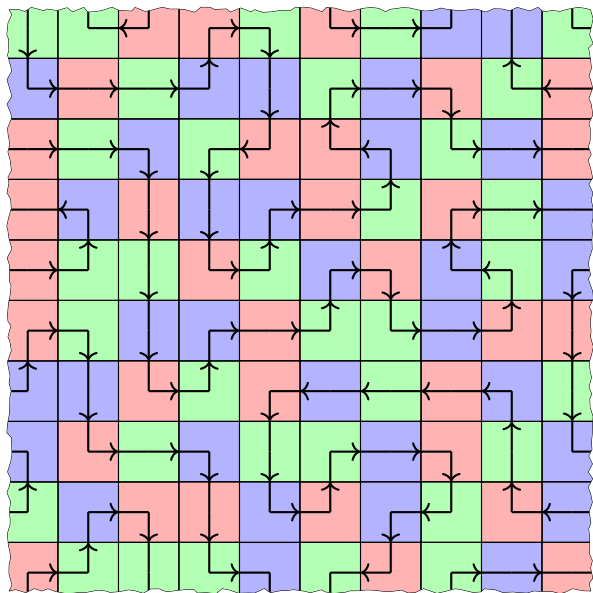
Embedding H -actions: example



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Let G, H be countable amenable groups.

Theorem (B., 19.)

If (X, γ) is a free G -chart of H , then for every H -subshift Y ,

$$h_{\text{top}}(G \curvearrowright Y_\gamma[X]) = h_{\text{top}}(H \curvearrowright Y) + h_{\text{top}}(G \curvearrowright X)$$

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Remark: If both X and Y are SFTs, then $Y_{\gamma}[X]$ is an SFT.

$$h_{\text{top}}(G \curvearrowright X) + \mathcal{E}_{\text{SFT}}(H) \subset \mathcal{E}_{\text{SFT}}(G).$$

Goal: Let G be a finitely generated amenable group such that

- G has decidable word problem.
- G admits a translation-like action by \mathbb{Z}^2 .

Then $\mathcal{E}_{\text{SFT}}(G) = \mathcal{E}_{\text{SFT}}(\mathbb{Z}^2)$.

Tool: If (X, γ) is a G -chart of H and X is an SFT then,

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- 1 For which groups H, G are there free G -charts (X, γ) of H such that X is an SFT.
- 2 How to reduce the entropy of such a chart.

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Free charts

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Free charts

Let H, G be finitely generated groups. There exists a free G -chart of H if and only if H admits a translation-like action on G .

Free SFT charts

With the extra hypotheses:

- H is finitely presented.
- There exists a strongly aperiodic H -SFT.

Then the free G -chart of H can be chosen as an SFT.

Note: This is essentially due to Jeandel.

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Theorem (B., 19.)

*Let G be a countable amenable group and X a G -SFT.
For every $\varepsilon > 0$ there exists a G -SFT Y such that*

- $h_{\text{top}}(G \curvearrowright Y) \leq \varepsilon,$
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“Every SFT contains sofic subsystems which admit an SFT extension with arbitrarily low entropy”

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Corollary: Instead of choosing (X, γ) take (Y, γ') where $\gamma': H \times Y \rightarrow G$ is given by $\gamma'(h, y) = \gamma(h, \phi(y))$.

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“If there exists one SFT chart, then one can find another SFT chart with arbitrarily low entropy ”

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Corollary: Every G -SFT contains a subshift with zero entropy. In particular, every minimal G -SFT has zero topological entropy.

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Question: Is there a G -SFT which does not contain a zero entropy sub- G -SFT? (I don't know the answer for $G = \mathbb{Z}^2$)

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$$\mathcal{E}_{SFT}(G) = \mathcal{E}_{SFT}(\mathbb{Z}^2).$$

proof:

- \mathbb{Z}^2 is finitely presented and admits strongly aperiodic SFTs. Thus there exists an SFT free G -chart (X, γ) of \mathbb{Z}^2 .
- For arbitrarily $\varepsilon > 0$ we can choose $h_{\text{top}}(G \curvearrowright X) \leq \varepsilon$.
- $h_{\text{top}}(G \curvearrowright X) + \mathcal{E}_{SFT}(\mathbb{Z}^2) \subseteq \mathcal{E}_{SFT}(G) \subseteq \mathcal{E}_{SFT}(\mathbb{Z}^2)$.
- Done. □

Proving the entropy reduction theorem (in \mathbb{Z}^2)

0	0	1	0	0	0	0	1	0	1	0	1	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	1	0
0	0	0	1	0	1	0	0	1	0	0	0	1	0	0	1	0	1	0	1	0	0	0	0	1	0	1	0	0	1	0	0	0	0
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1	0	0	1	0	1	0	0	1	0	1	0	0	1	0	0	0	0	0	1	0	0	0	0	1	0	1	0	1	0	0	1	0	
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1	0	0	1	0	0	0	0	1	0	0	1	0	1	0	0	1	0	0	1	0	0	0	1	0	0	0	0	1	0	0	0	0	
0	0	0	0	1	0	0	0	0	0	1	0	1	0	0	1	0	0	1	0	0	0	0	0	0	0	0	1	0	1	0	0	0	
0	0	1	0	0	0	1	0	1	0	0	1	0	0	1	0	0	0	0	0	1	0	0	1	0	1	0	1	0	1	0	1	0	
1	0	0	0	1	0	0	1	0	0	0	0	0	0	0	0	1	0	0	1	0	0	0	1	0	0	0	0	0	1	0	1	0	
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Proving the entropy reduction theorem (in \mathbb{Z}^2)

0	0	1	0	0	0	0	1	0	1	0	1	0	0	0	0	0	0	0	0	0	1	0					
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Proving the entropy reduction theorem (in \mathbb{Z}^2)

0			0	0		1	0		0	0		0	0		0	0		0	0		0	1		
0			0	1		0	0		0	1		1	0		1	0		0	1		0	0		
1			0	0		1	0		0	0		0	0		0	1		1	0		0	1		
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0			1	0		0	0		0	0		1	0		0	0		1	0		0	1		
1	0	0	1	0	0	0	0	1	0	0	1	0	1	0	0	1	0	0	0	0	1	0	0	
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0			0	0		0	0		1	0		0	0		1	0		0	1		1	0		
1			1	0		0	0		0	1		0	0		0	0		0	0		0	1		
0			0	0		0	1		0	0		0	1		0	1		0	1		1	0		
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1			1	0		0	0		1	0		0	1		0	0		0	1		0	0		
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0	0	0	0	1	0	0	1	0	0	0	0	1	0	0	0	1	0	1	0	0	0	0	1	0

Proving the entropy reduction theorem (in \mathbb{Z}^2)

0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0					
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Proving the entropy reduction theorem

Remark: This idea fails in a countable amenable group.

(No sequence of subgroups of finite index isomorphic to the original group such that a choice of coset representatives forms a Følner sequence)

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Idea: Replace the square by a finite set of sufficiently invariant finite sets which **tile** the group.

Tilings of a group (Introduced by Ornstein and Weiss, 87.)

Let $\mathcal{T} = \{T_1, \dots, T_n\}$ be a set of finite subsets of G . A tiling of the group is a function $\tau: G \rightarrow \mathcal{T} \cup \{\emptyset\}$ such that:

- 1 (τ is pairwise-disjoint) For every $g, h \in G$, if $g \neq h$ then $\tau(g)g \cap \tau(h)h = \emptyset$.
- 2 (τ covers G) For every $g \in G$ there exists $h \in G$ such that $g \in \tau(h)h$.

Proving the entropy reduction theorem

Remark: Given $\mathcal{T} = \{T_1, \dots, T_n\}$. The set of all tilings of G by \mathcal{T} is a G -SFT.

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Theorem (Downarowicz, Huczek and Zhang, 19.)

Let G be a countable amenable group. For any $F \in G$ and $\varepsilon > 0$ there exists a tile set \mathcal{T} such that:

- *Every $T \in \mathcal{T}$ is (F, ε) -invariant,*
- *There exists a tiling τ by \mathcal{T} such that the topological entropy of its orbit closure is zero.*

Proving the entropy reduction theorem

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To prove the entropy reduction Theorem, use the set of tiles from the Theorem above instead of the squares.

Goal: Study the possible sets $\mathcal{E}_{\text{SFT}}(G)$ for an amenable group.

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In this talk:

- Full classification for Polycyclic groups.
- Tools for embedding entropies of one group into another.
- A class of groups with a full classification

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To keep in mind:

- This is far from a full characterization.

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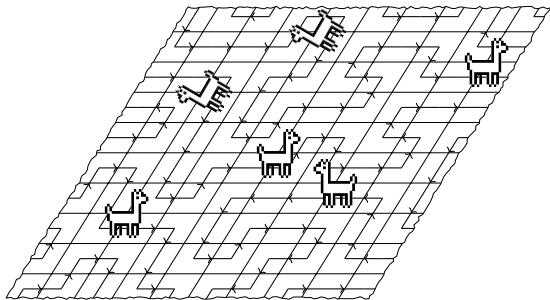
In this talk:

- Full classification for Polycyclic groups.
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To keep in mind:

- This is far from a full characterization.
- Does not cover many solvable groups with decidable word problem. Baumslag-Solitar groups, Lamplighter, etc.

Thank you for your attention!



Entropies of subshifts of finite type on countable
amenable groups
Draft available on request.