

# Indistinguishability and multidimensional S Sturmian configurations

Sebastián **Barbieri Lemp**

On joint work with S. Labbé and Š. Starosta

Universidad de Santiago de Chile



One world  seminar

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- Let  $A$  be a finite set and  $d \geq 1$  be an integer.
- A configuration is a map  $x: \mathbb{Z}^d \rightarrow A$ .

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- A configuration is a map  $x: \mathbb{Z}^d \rightarrow A$ .

For example if  $d = 2$  and  $A = \{0, 1, 2\}$  a configuration looks like:

1	0	2	2	1	0	2	1	0	2	1	1	0	2	1
0	2	1	1	0	2	1	0	2	1	0	2	2	1	0
2	1	0	2	2	1	0	2	1	0	2	1	1	0	2
1	0	2	1	1	0	2	1	0	2	1	0	2	2	1
0	2	1	0	2	2	1	0	2	1	0	2	1	1	0
2	1	0	2	1	1	0	2	1	0	2	1	0	2	2
1	0	2	1	0	2	2	1	0	2	1	0	2	1	1
0	2	1	0	2	1	1	0	2	1	0	2	1	0	2
2	1	0	2	1	0	2	2	1	0	2	1	0	2	1
1	0	2	1	0	2	1	0	2	2	1	0	2	1	0
2	2	1	0	2	1	0	2	1	1	0	2	1	0	2
1	1	0	2	1	0	2	1	0	2	2	1	0	2	1
0	2	2	1	0	2	1	0	2	1	1	0	2	1	0
2	1	1	0	2	1	0	2	1	0	2	2	1	0	2
1	0	2	2	1	0	2	1	0	2	1	1	0	2	1

We say two configurations  $x, y \in A^{\mathbb{Z}^d}$  are **asymptotic** if there exists a finite  $F \subset \mathbb{Z}^d$  such that  $x|_{\mathbb{Z}^d \setminus F} = y|_{\mathbb{Z}^d \setminus F}$ .

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Given asymptotic  $x, y$ , we call  $F = \{n \in \mathbb{Z}^d : x_n \neq y_n\}$  their **difference set**.

$x$	$y$																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
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Let  $\sigma$  be the  $\mathbb{Z}^d$  action of  $A^{\mathbb{Z}^d}$  given by

$$\sigma^n(x)(m) = x(n + m) \text{ for every } n, m \in \mathbb{Z}^d.$$

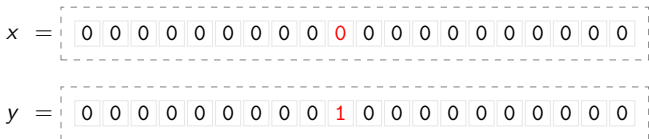
$x, y$  are asymptotic if and only if for any sequence  $(n_k)_{k \in \mathbb{N}}$  in  $\mathbb{Z}^d$  with  $\|n_k\| \rightarrow \infty$  then  $d(\sigma^{n_k}(x), \sigma^{n_k}(y)) \rightarrow 0$ .

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$$\begin{aligned} \sigma(x) &= \boxed{0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0} \\ \sigma(y) &= \boxed{0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0} \end{aligned}$$

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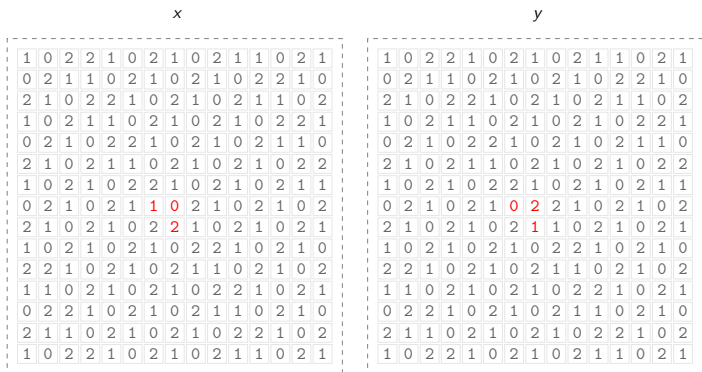
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$$\Delta_p(x, y) = \sum_{u \in F - S} 1_{[p]}(\sigma^u(y)) - 1_{[p]}(\sigma^u(x)).$$

We say an asymptotic pair  $x, y$  is indistinguishable if  $\Delta_p(x, y) = 0$  for every pattern  $p$ .

**Example:** Let  $d = 2$  and  $S = \{(0, 0), (0, 1), (1, 0), (2, 0)\}$ .





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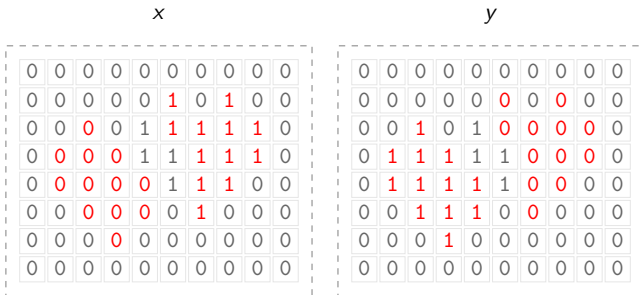
So for every pattern  $p$  with support  $S$ , we have  $\Delta_p(x, y) = 0$ .

## Examples:

- $(x, x)$  for any  $x \in A^{\mathbb{Z}^d}$  is an indistinguishable asymptotic pair. We call it **trivial**.

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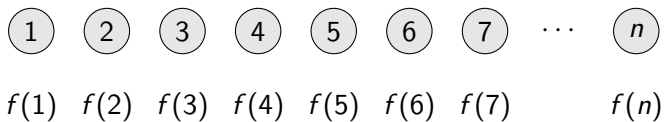
- $(x, x)$  for any  $x \in A^{\mathbb{Z}^d}$  is an indistinguishable asymptotic pair. We call it **trivial**.
- If  $x, y \in A^{\mathbb{Z}^d}$  are asymptotic and on the same orbit ( $\sigma^n(y) = x$  for some  $n \in \mathbb{Z}^d$ ) then they are indistinguishable.



**Does there exist indistinguishable asymptotic pairs which are not on the same orbit?**

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Consider  $n$  balls with real weights given by a map  $f$ .



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What is the probability distribution  $\mu = (\mu_1, \dots, \mu_n)$  on  $\{1, \dots, n\}$  that maximizes entropy plus average weight?

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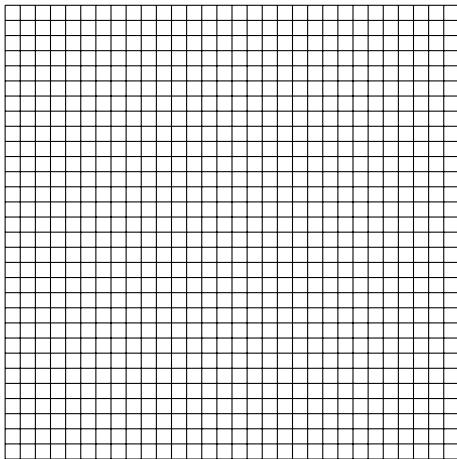
Answer: Boltzmann's distribution.

$$\mu_k = \frac{\exp(f(k))}{\sum_{i=1}^n \exp(f(i))}.$$

We can extend this idea to sets of configurations, yielding the notion of **Gibbs measures**.

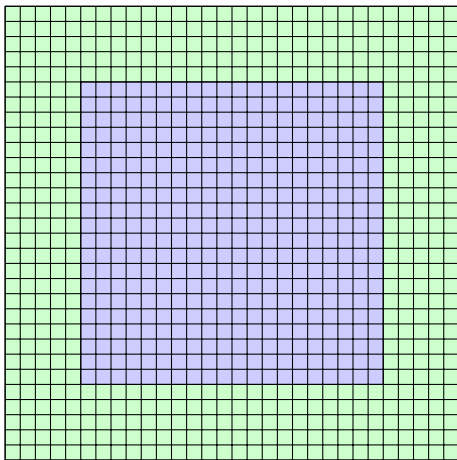


## Gibbs measures



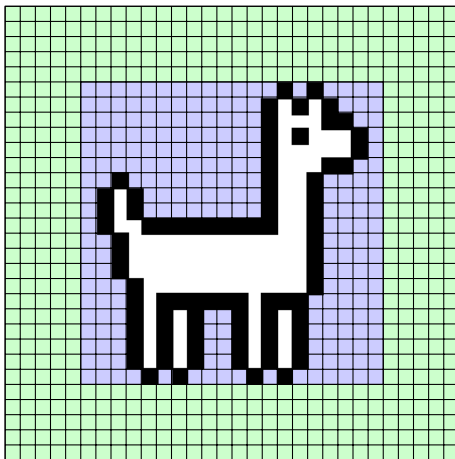
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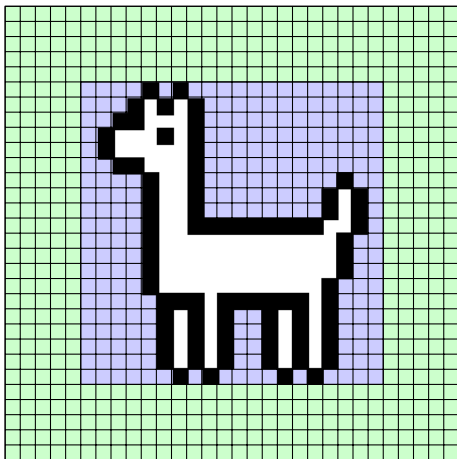
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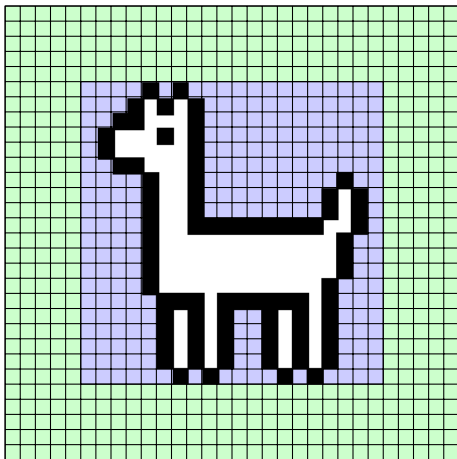
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## Gibbs measures



$\mu(\text{horse} | \square)$  and  $\mu(\square | \text{horse})$  follow Boltzmann's distribution for some  $f$ .

## Gibbs Measures

Denote the set of all asymptotic pairs  $(x, y)$  by  $\mathcal{A}$ . The Boltzmann distribution of a Gibbs measure is determined by a **cocycle**  $\Psi: \mathcal{A} \rightarrow \mathbb{R}$ , that is, a map which satisfies:

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**Example:** if all configurations all equally likely (that is, there is no associated weight) we obtain the cocycle  $\Psi = 0$  and the sole Gibbs measure for  $\Psi$  is the uniform Bernoulli measure on  $A^{\mathbb{Z}^d}$ .

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**Theorem (SB + SL + ŠS, 2021)**

*Yes. We completely characterize them on  $\mathbb{Z}$ . They are closely connected to Sturmian codings of irrational rotations.*

## Basic properties of indistinguishable pairs:

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Indistinguishable asymptotic pairs are invariant under actions of the affine group of  $\mathbb{Z}^d$ .

In particular, they are invariant under the shift map.

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On  $\mathbb{Z}$  life is easier (as opposed to  $\mathbb{Z}^d$  with  $d \geq 2$ ):

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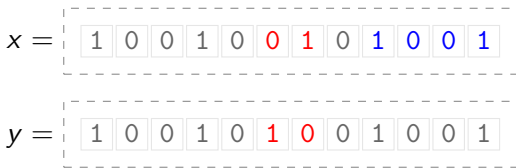
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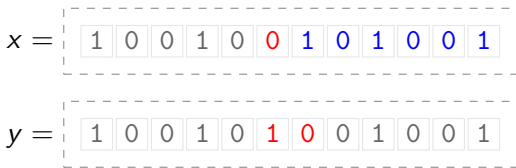
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**Corollary:** If  $x, y$  are indistinguishable with difference set  $F = \llbracket 0, k-1 \rrbracket$  then their word complexity satisfies

$$|\mathcal{L}_n(x)| \leq k + n - 1.$$

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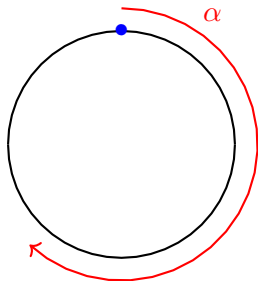
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Thus  $x, y$  must be Sturmian configurations!

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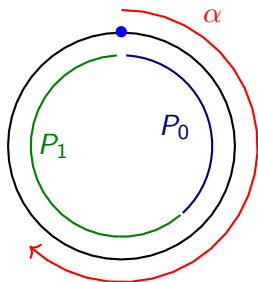
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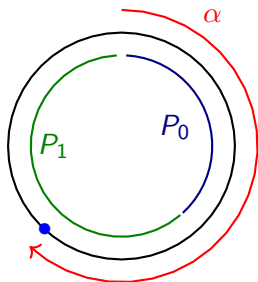


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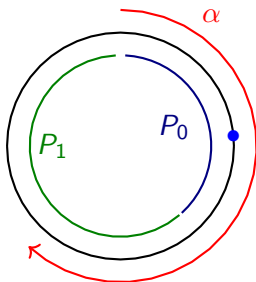


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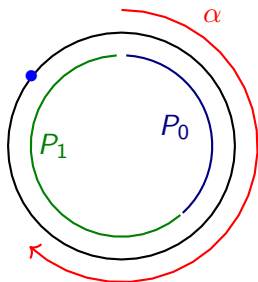


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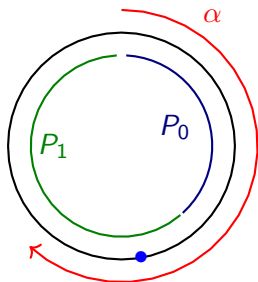


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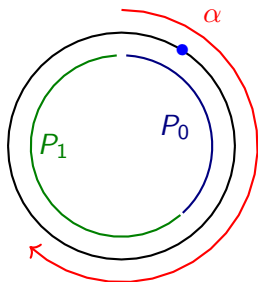


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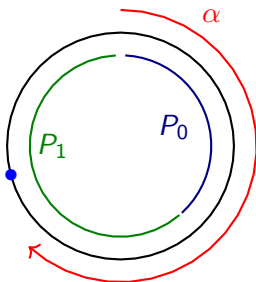
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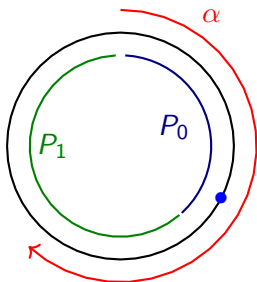


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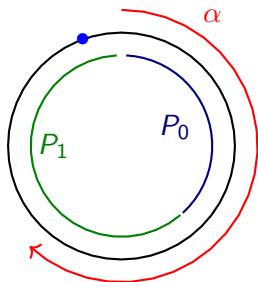


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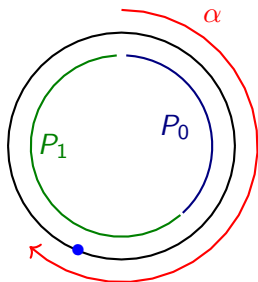


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The pair  $(c_\alpha, c'_\alpha)$  is indistinguishable. In fact, every pattern in their language occurs exactly once intersecting each of their difference sets.



## Theorem: B, Labbé and Starosta

Let  $x, y \in \{0, 1\}^{\mathbb{Z}}$  and assume that  $x$  is recurrent. The following are equivalent:

- $(x, y)$  is an indistinguishable asymptotic pair with difference set  $F = \{-1, 0\}$  such that  $x_{-1}x_0 = 10$  and  $y_{-1}y_0 = 01$
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The general case can be obtained from Sturmians using shifts and substitutions.

### Theorem: B, Labbé and Starosta

Let  $A$  be a finite alphabet and  $x, y \in A^{\mathbb{Z}}$  a non-trivial asymptotic pair. Then  $x, y$  is indistinguishable if and only if either

- $x$  is recurrent and there exists  $\alpha \in [0, 1] \setminus \mathbb{Q}$ , a substitution  $\varphi: \{0, 1\} \rightarrow A^+$  and an integer  $m \in \mathbb{Z}$  such that

$$\{x, y\} = \{\sigma^m \varphi(\sigma(c_\alpha)), \sigma^m \varphi(\sigma(c'_\alpha))\},$$

- $x$  is not recurrent and there exists a substitution  $\varphi: \{0, 1\} \rightarrow A^+$  and an integer  $m \in \mathbb{Z}$  such that

$$\{x, y\} = \{\sigma^m \varphi(\infty 0.10^\infty), \sigma^m \varphi(\infty 0.010^\infty)\}.$$

## What about $d \geq 2$ ?

Things are much harder:

- Patterns may occur without intersecting the difference set.
- recurrent indistinguishable pairs may not be uniformly recurrent.
- Substitutions do not help reduce the problem to a small size difference set (no good notion of derived sequences).
- In general, there is no complexity bound.



## Example:

x												y											
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	
1	0	0	1	0	0	1	1	0	1	0	0	1	1	0	0	1	0	1	0	0	1	1	
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	

The horizontal configuration is a 1-dimensional indistinguishable pair, everything else is the symbol 2.

- Recurrent but not uniformly recurrent.
- Some patterns do not occur in the difference set.



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- 1 The asymptotic pair  $(x, y)$  is indistinguishable, satisfies the **flip condition** and  $x$  is uniformly recurrent.
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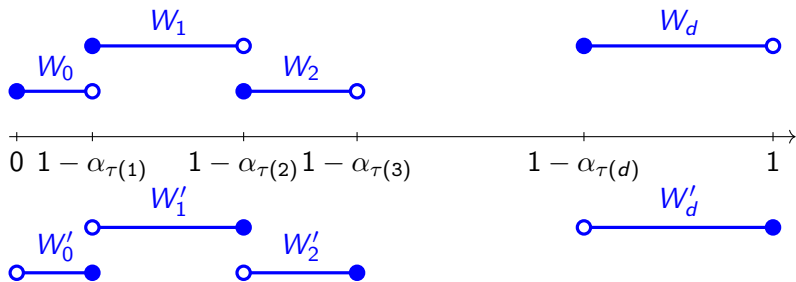
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The characteristic Sturmian configurations  $c_\alpha, c'_\alpha$  of slope  $\alpha$  are the codings of 0 under the  $\mathbb{Z}^d$ -orbit generated by the rotations  $R_{\alpha_i}$  and the partitions  $\mathcal{W}$  and  $\mathcal{W}'$  respectively.

Given  $\alpha = (\alpha_1, \dots, \alpha_d) \in [0, 1]^d$ , let  $\tau \in \mathcal{S}_d$  such that

$$1 \geq \alpha_{\tau(1)} \geq \alpha_{\tau(2)} \geq \dots \geq \alpha_{\tau(d)} \geq 0.$$

Then the partitions  $\mathcal{W}$  and  $\mathcal{W}'$  are given by:





Explicitly, given  $\alpha = (\alpha_1, \dots, \alpha_d)$  we have

$$\begin{aligned} c_\alpha : \mathbb{Z}^d &\rightarrow \{0, \dots, \mathbf{d}\} \\ n &\mapsto \sum_{i=1}^d (\lfloor \alpha_i + n \cdot \alpha \rfloor - \lfloor n \cdot \alpha \rfloor), \end{aligned}$$

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The configurations  $c_\alpha, c'_\alpha$  are asymptotic with difference set  $F = \{0, -e_1, \dots, -e_d\}$ .

Recall the picture from the beginning:

x

1	0	2	2	1	0	2	1	0	2	1	1	0	2	1
0	2	1	1	0	2	1	0	2	1	0	2	2	1	0
2	1	0	2	2	1	0	2	1	0	2	1	1	0	2
1	0	2	1	1	0	2	1	0	2	1	0	2	2	1
0	2	1	0	2	2	1	0	2	1	0	2	1	1	0
2	1	0	2	1	1	0	2	1	0	2	1	0	2	2
1	0	2	1	0	2	2	1	0	2	1	0	2	1	1
0	2	1	0	2	1	1	0	2	1	0	2	1	0	2
2	1	0	2	1	0	2	2	1	0	2	1	0	2	1
1	0	2	1	0	2	1	0	2	2	1	0	2	1	0
2	2	1	0	2	1	0	2	1	1	0	2	1	0	2
1	1	0	2	1	0	2	1	0	2	2	1	0	2	1
0	2	2	1	0	2	1	0	2	1	1	0	2	1	0
2	1	1	0	2	1	0	2	1	0	2	2	1	0	2
1	0	2	2	1	0	2	1	0	2	1	1	0	2	1

y

1	0	2	2	1	0	2	1	0	2	1	1	0	2	1
0	2	1	1	0	2	1	0	2	1	0	2	2	1	0
2	1	0	2	2	1	0	2	1	0	2	1	1	0	2
1	0	2	1	1	0	2	1	0	2	1	0	2	2	1
0	2	1	0	2	2	1	0	2	1	0	2	1	1	0
2	1	0	2	1	1	0	2	1	0	2	1	0	2	2
1	0	2	1	0	2	2	1	0	2	1	0	2	1	1
0	2	1	0	2	1	0	2	2	1	0	2	1	0	2
2	1	0	2	1	0	2	2	1	0	2	1	0	2	1
1	0	2	1	0	2	1	0	2	2	1	0	2	1	0
2	2	1	0	2	1	0	2	1	1	0	2	1	0	2
1	1	0	2	1	0	2	1	0	2	2	1	0	2	1
0	2	2	1	0	2	1	0	2	1	1	0	2	1	0
2	1	1	0	2	1	0	2	1	0	2	2	1	0	2
1	0	2	2	1	0	2	1	0	2	1	1	0	2	1

We have  $x = c_\alpha$  and  $y = c'_\alpha$  respectively for

$$\alpha = \left( \frac{\sqrt{2}}{2}, \sqrt{19} - 4 \right).$$

## Flip Condition

Let  $x, y \in \{0, \dots, d\}^{\mathbb{Z}^d}$  be an asymptotic pair. We say it satisfies the **flip condition** if:

- 1 the difference set of  $x$  and  $y$  is  $F = \{0, -e_1, \dots, -e_d\}$ ,
- 2 the restriction  $x|_F$  is a bijection  $F \rightarrow \{0, \dots, d\}$  such that  $x_0 = 0$ ,
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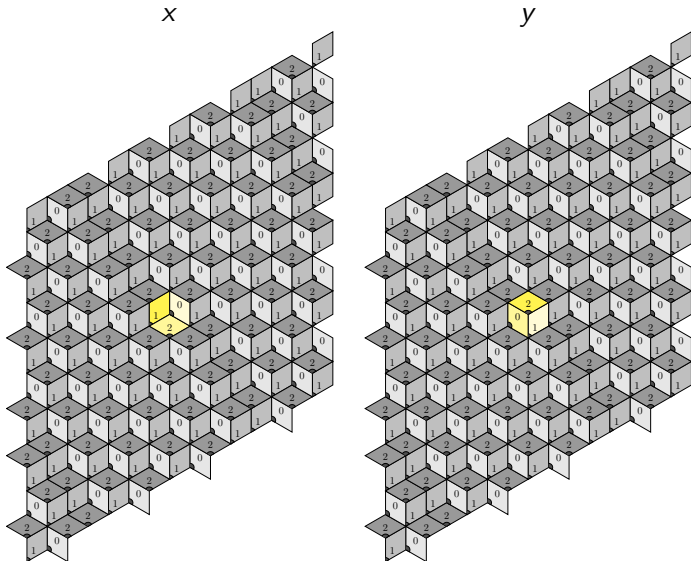
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The conditions above induce a permutation on  $\{0, \dots, d\}$  defined by  $y_n \mapsto x_n$  for every  $n \in F$ , which is the cyclic permutation  $(0, 1, \dots, d)$  of the alphabet.

The flip condition can be interpreted as flipping the unit hypercube on a co-dimension 1 discrete subspace.



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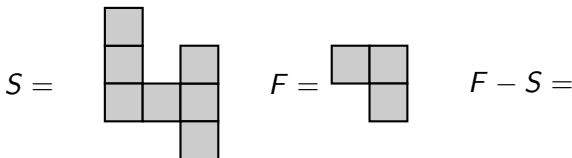
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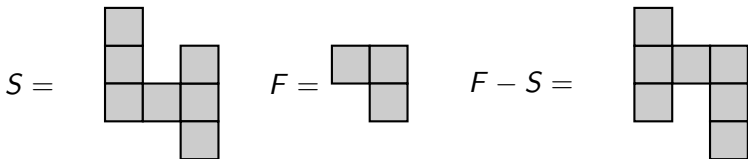
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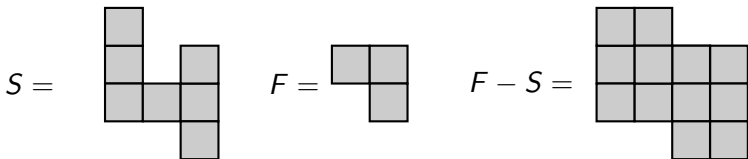
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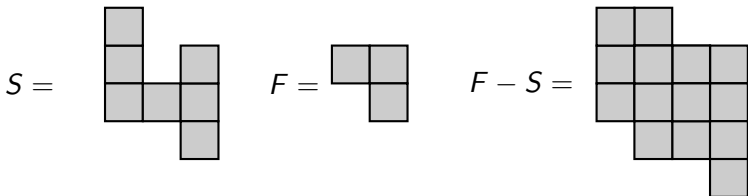
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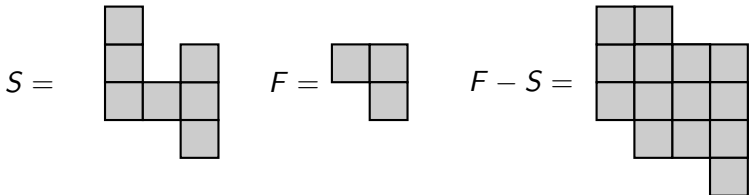
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There are exactly 14 patterns with support  $S$  on a 2-dimensional Sturmian configuration.

Let  $(m_1, \dots, m_d) \in \mathbb{N}^d$  and consider the rectangle

$$R = \prod_{i=1}^d \llbracket 0, m_i - 1 \rrbracket.$$

In this case we get a beautiful formula for the rectangular complexity of a multidimensional Sturmian configuration  $x$ :

$$|\mathcal{L}_R(x)| = |\mathcal{L}_{(m_1, \dots, m_d)}(x)| = m_1 \cdots m_d \left( 1 + \frac{1}{m_1} + \cdots + \frac{1}{m_d} \right).$$

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▷ For  $d = 1$  we recover  $L_n(x) = n + 1$ .




# Thanks!

 **Indistinguishable asymptotic pairs and multidimensional Sturmian configurations.**

S. Barbieri, S. Labbé

<https://arxiv.org/abs/2204.06413>

 **A characterization of Sturmian sequences by indistinguishable asymptotic pairs**

S. Barbieri, S. Labbé, Š. Starosta

<https://doi.org/10.1016/j.ejc.2021.103318>