# Homomorphisms and colourings of oriented graphs

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### Outline

### Preliminary (basic) notions

- Homomorphisms and colourings of oriented graphs (oriented chromatic number, oriented cliques, oriented clique numbers, complexity)
- Simple colourings
- 2-dipath colourings
- Some open problems



A (proper) k-colouring of a (simple, loopless) graph G is a mapping  $c: V(G) \rightarrow \{1, 2, ..., k\}$ 

such that every two *adjacent vertices* are assigned *distinct colours*.



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 $xy \in E(G) \implies h(x)h(y) \in E(H)$ 



#### Notation.

 $G \rightarrow H$ : there exists a homomorphism from G to H



#### **Colourings vs homomorphisms**

A k-colouring of G is nothing but a homomorphism from G to  $K_k$ , the complete graph on k vertices.



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A *k*-colouring of *G* is nothing but a *homomorphism* from *G* to  $K_k$ , the *complete graph* on *k* vertices.



#### Remark.

 $\chi(G) = k$  if and only if  $G \rightarrow K_k$  and  $G \not\rightarrow K_{k-1}$ 



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An oriented graph is an *orientation* of its underlying undirected graph, obtained by giving to each edge one of its two possible orientations.

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$$uv \in E(G) \implies c(u) \neq c(v)$$
  
(2)  $uv, xy \in E(G), c(u) = c(y) \implies c(v) \neq c(x)$ 

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Hence, all the arcs linking two colour classes (independent sets) *must have the same direction* (non-local condition...).



(2)

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#### Remark.

An oriented *k*-colouring of an oriented graph is nothing but a *homomorphism* to a given oriented graph (or *tournament*) with *k* vertices.

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The target graph gives the orientation of arcs linking any two colour classes...

### **Oriented chromatic number of oriented graphs**

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### **Oriented chromatic number of <u>undirected</u> graphs**

The oriented chromatic number  $\chi_0(U)$  of an *undirected* graph U is the smallest k for which *every orientation* of U admits an oriented k-colouring:

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- $\succ$  If *G* is a forest, then  $\chi_0(G) ≤ 3$
- ➤ Theorem. If G is an outerplanar graph, then  $\chi_o(G) \le 7$  (and this bound is tight)

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# ➤ Theorem. If G is an outerplanar graph, then $\chi_o(G) \le 7$ (and this bound is tight)

The target graph is the tournament  $QR_7$ , defined as follows:

- 
$$V(QR_7) = \{0, 1, ..., 6\}$$
  
-  $uv \in E(QR_7)$  iff  $v - u \pmod{7} = 1, 2 \text{ or } 4$   
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Since every outerplanar graph contains a vertex of degree at most 2 we are done...



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An outerplanar graph with oriented chromatic number 7:



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- A *k*-colouring of an undirected graph *U* is acyclic if every cycle in *U* uses *at least 3 colours*.
- (In other words, any two colours induce a forest.)

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- Theorem. Every planar graph admits an acyclic 5-coloring (and this bound is tight)
  (Borodin, 1979)
- Theorem. If G has acyclic chromatic number at most k, then  $\chi_o(G) \le k \cdot 2^{k-1}$  (Raspaud, S., 1994)

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➤ Corollary. If G is planar, then  $\chi_o(G) \le 80$ Best known lower bound : 18

(Marshall, 2012)

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Let **G** be a graph and **c** a k-acyclic colouring of **G**.

Let a, b be any two colours with a < b and H be any orientation of G. Consider the subgraph  $H_{a,b}$  induced by vertices with colour a or b  $(H_{a,b}$  is a forest).

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We thus obtain an oriented colouring of H using at most  $k \cdot 2^{k-1}$  colours.



#### **Planar graphs**

The girth g(G) of G is the size of a *shortest* cycle in G.

(3)

# (3)

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The girth g(G) of G is the size of a shortest cycle in G.

> The best known results are as follows:

girth	lower bound	upper bound	
≥ 3	18	80	Marshall, 2012 – Raspaud, S., 1994
≥4	11	40	Ochem, 2004 – Ochem, Pinlou, 2011
≥ 5	7	16	Marshall, 2012 – Pinlou, 2009
≥6	7	11	id. – Borodin, Kostochka, Nešetřil, Raspaud, S., 1999
≥7	6	7	Nešetřil, Raspaud, S., 1997 – Borodin, Ivanova, 2005
≥8	5	7	Nešetřil, Raspaud, S., 1997 – Borodin, Ivanova, 2005
≥9	5	6	Nešetřil, Raspaud, S., 1997 – Marshall, 2015
≥12	5	5	Nešetřil, Raspaud, S., 1997 – Borodin, Ivanova, Kostochka, 2007





Halin graphs






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➤ Theorem. Every Halin graph G satisfies  $\chi_0(G) \le 8$  (*tight bound*) (Dybizbański, Szepietowski, 2014)





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#### **Square grids**

> **Theorem.** For every integers *m* and *n*,  $\chi_0(P_m \Box P_n) \le 11$ 

(Fertin, Raspaud, Roychowdhury, 2003)





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$$\chi_{o}(P_7 \Box P_{212}) \ge 8$$
 (Dybizbański, Nenca, 2012)

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There exist such graphs with oriented chromatic number 7:



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➤ Conjecture. If G is a connected cubic graph, then  $\chi_o(G) \le 7$ .
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Theorem. If G is a graph with maximum degree 4, then  $\chi_0(G) \le 67$  (best known lower bound is 12) (Duffy, 2014+) Éric Sopena – DMDOCW'15



A well-known fact is that the (ordinary) chromatic number  $\chi(G)$  of an undirected graph G is bounded from below by the clique number  $\omega(G)$  of G (maximum order of a clique in G):  $\chi(G) \ge \omega(G)$ .

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**Remark.** An o-clique is nothing but an oriented graph in which any two vertices are linked by a directed path (in any direction) of length at most 2.

## **Oriented cliques (o-cliques)**



Building oriented o-cliques of order 2<sup>k</sup> - 1



# (3)

## **Structural properties of o-cliques**

Theorem. The minimum number of edges in an o-clique of order n is (1 + o(1))nlog<sub>2</sub>n.

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Conjecture. The maximum order of a *planar* o-clique is 15.

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### **Absolute oriented clique number**

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## Oriented clique numbers...

(2)

#### Example.



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(2)

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Example.



Clearly, for every oriented graph G, we have:

 $\omega_{ao}(G) \le \omega_{ro}(G) \le \chi_{o}(G)$ 

(3)

For planar graphs with given girth, the following is known:

girth	ω <sub>ao</sub>	ω <sub>ro</sub>
3	15	$15 \leq \ldots \leq 80$
4	6	$10 \leq \ldots \leq 26$
5	5	6
6	3	4
≥ 7	3	3

(Sen, 2013+) (Nandy, Sen, S., 2014+)

Consider the following decision problem:

OCN<sub>k</sub>: oriented k-colorability INSTANCE: an oriented graph G QUESTION: do we have  $\chi_0(G) \le k$ ?

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Theorem. OCN<sub>4</sub> is NP-complete for connected, cubic, planar, bipartite and acyclic oriented graphs (Coehlo, Faria, Gravier, Klein, 2013) Éric Sopena – DMDOCW'15

(2)

Even even more:

Theorem. For every fixed g ≥ 3, OCN<sub>4</sub> is NP-complete for oriented graphs that are planar, with girth at most g, bipartite, subcubic, with DAG-depth 3, with maximum outdegree 2 and maximum indegree 2, and such that every 3-vertex is adjacent to at most one 3-vertex!

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Remark. It is *polynomial* to decide whether an oriented graph admits a homomorphism to a tournament *T* of order 4, except when *T* is the following (contains a directed cycle of length 4):



(3)

Another related problem:

OWD\_k:orientation with weak diameter kINSTANCE:an undirected graph UQUESTION:does U admit an orientation with<br/>weak diameter k?

weak distance:  $d_w(u,v) = min \{ d(u,v), d(v,u) \}$
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Corollary. It is NP-complete to decide whether an undirected graph U admits an orientation which is an o-clique...

(Recall that) An oriented *k*-colouring of an oriented *G* is a partition of V(G) into *k* independent sets in such a way that all the arcs joining any two such sets have the same direction:

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# Simple colourings

Theorem. The maximum oriented chromatic number of planar graphs and the maximum simple chromatic number of planar graphs coincide... (Smolíková, 2000)



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## 2-dipath colouring

A 2-dipath *k*-colouring of an oriented graph *G* is a mapping

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#### 2-dipath chromatic number

The 2-dipath chromatic number  $\chi_{2d}(G)$  of an oriented graph G is the smallest k for which G admits a 2-dipath k-colouring.

(2)

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From these observations, we get:

If G is an oriented outerplanar graph, then  $\chi_{2d}(G) ≤ 7$ , and this bound is tight

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If G is an oriented planar graph, then  $\chi_{2d}(G) ≤ 80$ , and there exist planar graphs with 2-dipath chromatic number 15.



(3)

➤ Theorem. If G is an oriented Halin graph, then  $\chi_{2d}(G) \leq 7$  (and this bound is tight).
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➤ Theorem. Determining whether an oriented graph is 2-dipath k-colourable is polynomial if  $k \le 2$  and NP-complete if  $k \ge 3$ 

(MacGillivray, Sherk, 2014)

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Let  $G_k$ ,  $k \ge 1$ , be the oriented graph defined as follows:

 $\succ V(G_k) = \{ (u_0; u_1, ..., u_k) : 1 \le u_0 \le k, u_i \in \{+, -\} \text{ if } i \ne u_0, u_{u0} = * \}$ 

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**Example.** The oriented graph  $G_3$  (3.2<sup>2</sup> = 12 vertices)



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# ➤ Theorem. For every $k \ge 1$ and every oriented graph G, $\chi_{2d}(G) \le k \text{ iff } G \to G_k$

(MacGillivray, Sherk, 2014)



(2)

**Open Problem B.** Determine the maximum oriented chromatic number of graphs with degree at most 4 (lies between 12 and 67).

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**Open Problem F.** Determine the maximum relative oriented clique number of planar graphs (lies between 15 and 80).

**Open Problem G.** Determine the maximum relative oriented clique number of triangle-free planar graphs (lies between 10 and 26).

**Open Problem H.** Determine the maximum 2-dipath chromatic number of planar graphs (again, lies between 18 and 80).

**E. Sopena.** Homomorphisms and colourings of oriented graphs: An updated survey. *Discrete Math.*, available online (April 2015).



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## $\mathbf{T} \to \mathbf{H} \to \mathbf{A} \to \mathbf{N} \to \mathbf{K} \qquad \mathbf{Y} \to \mathbf{O} \to \mathbf{U}$



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